

CIS Microsoft Windows 7 Workstation Benchmark

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ARCHIVE

End of Life (EOL)

This is the final release of the CIS Benchmark for Windows 7 as the technology is no longer supported by the vendor and therefore is End of Life and will no longer be supported or maintained by CIS. Continuing to support unsupported technology is not a security best practice, therefore CIS encourages you to move to a supported version of the technology to reduce the negative impact unsupported technology can have on your environment.

ARCHIVE

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Overview

This document provides prescriptive guidance for establishing a secure configuration posture for Microsoft Windows 7. This guide was tested against Microsoft Windows 7 Enterprise Edition (SP1). To obtain the latest version of this guide, please visit <https://www.cisecurity.org/cis-benchmarks/>. If you have questions, comments, or have identified ways to improve this guide, please write us at feedback@cisecurity.org.

Intended Audience

The Windows CIS Benchmarks are written for Active Directory domain-joined systems using Group Policy, not standalone/workgroup systems. Adjustments/tailoring to some recommendations will be needed to maintain functionality if attempting to implement CIS hardening on standalone systems or a system running in the cloud.

Consensus Guidance

This benchmark was created using a consensus review process comprised of subject matter experts. Consensus participants provide perspective from a diverse set of backgrounds including consulting, software development, audit and compliance, security research, operations, government, and legal.

Each CIS benchmark undergoes two phases of consensus review. The first phase occurs during initial benchmark development. During this phase, subject matter experts convene to discuss, create, and test working drafts of the benchmark. This discussion occurs until consensus has been reached on benchmark recommendations. The second phase begins after the benchmark has been published. During this phase, all feedback provided by the Internet community is reviewed by the consensus team for incorporation in the benchmark. If you are interested in participating in the consensus process, please visit <https://workbench.cisecurity.org/>.

Typographical Conventions

The following typographical conventions are used throughout this guide:

Convention	Meaning
<code>Stylized Monospace font</code>	Used for blocks of code, command, and script examples. Text should be interpreted exactly as presented.
Monospace font	Used for inline code, commands, or examples. Text should be interpreted exactly as presented.
< <i>italic font in brackets</i> >	Italic texts set in angle brackets denote a variable requiring substitution for a real value.
<i>Italic font</i>	Used to denote the title of a book, article, or other publication.
Note	Additional information or caveats

Scoring Information

A scoring status indicates whether compliance with the given recommendation impacts the assessed target's benchmark score. The following scoring statuses are used in this benchmark:

Scored

Failure to comply with "Scored" recommendations will decrease the final benchmark score. Compliance with "Scored" recommendations will increase the final benchmark score.

Not Scored

Failure to comply with "Not Scored" recommendations will not decrease the final benchmark score. Compliance with "Not Scored" recommendations will not increase the final benchmark score.

Profile Definitions

The following configuration profiles are defined by this Benchmark:

- **Level 1 (L1) - Corporate/Enterprise Environment (general use)**

Items in this profile intend to:

- be the starting baseline for most organizations;
- be practical and prudent;
- provide a clear security benefit; and
- not inhibit the utility of the technology beyond acceptable means.

- **Level 1 (L1) + BitLocker (BL)**

This profile extends the "Level 1 (L1)" profile and includes BitLocker-related recommendations.

- **Level 1 (L1) + Next Generation Windows Security (NG)**

This profile extends the "Level 1 (L1)" profile and includes Next Generation Windows Security-related recommendations.

- **Level 1 (L1) + BitLocker (BL) + Next Generation Windows Security (NG)**

This profile extends the "Level 1 (L1)" profile and includes BitLocker and Next Generation Windows Security-related recommendations.

- **Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)**

This profile extends the "Level 1 (L1)" profile. Items in this profile exhibit one or more of the following characteristics:

- are intended for environments or use cases where security is more critical than manageability and usability;
- may negatively inhibit the utility or performance of the technology; and
- limit the ability of remote management/access.

Note: Implementation of Level 2 requires that **both** Level 1 and Level 2 settings are applied.

- **Level 2 (L2) + BitLocker (BL)**

This profile extends the "Level 2 (L2)" profile and includes BitLocker-related recommendations.

- **Level 2 (L2) + Next Generation Windows Security (NG)**

This profile extends the "Level 2 (L2)" profile and includes Next Generation Windows Security-related recommendations.

- **Level 2 (L2) + BitLocker (BL) + Next Generation Windows Security (NG)**

This profile extends the "Level 2 (L2)" profile and includes BitLocker and Next Generation Windows Security-related recommendations.

- **BitLocker (BL) - optional add-on for when BitLocker is deployed**

This profile contains BitLocker-related recommendations, if your organization chooses to use it. It is intended be an optional "add-on" to the Level 1 (L1) or Level 2 (L2) profiles.

- **Next Generation Windows Security (NG) - optional add-on for use in the newest hardware and configuration environments**

This profile contains advanced Windows security features that have specific configuration dependencies, and may not be compatible with all systems. It therefore requires special attention to detail and testing before implementation. If your environment supports these features, they are highly recommended as they have tangible security benefits. This profile is intended to be an optional "add-on" to the Level 1 (L1) or Level 2 (L2) profiles.

Acknowledgements

This benchmark exemplifies the great things a community of users, vendors, and subject matter experts can accomplish through consensus collaboration. The CIS community thanks the entire consensus team with special recognition to the following individuals who contributed greatly to the creation of this guide:

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Recommendations

1 Account Policies

This section contains recommendations for account policies.

1.1 Password Policy

This section contains recommendations for password policy.

1.1.1 (L1) Ensure 'Enforce password history' is set to '24 or more password(s)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines the number of renewed, unique passwords that have to be associated with a user account before you can reuse an old password. The value for this policy setting must be between 0 and 24 passwords. The default value for Windows Vista is 0 passwords, but the default setting in a domain is 24 passwords. To maintain the effectiveness of this policy setting, use the Minimum password age setting to prevent users from repeatedly changing their password.

The recommended state for this setting is: `24 or more password(s)`.

Rationale:

The longer a user uses the same password, the greater the chance that an attacker can determine the password through brute force attacks. Also, any accounts that may have been compromised will remain exploitable for as long as the password is left unchanged. If password changes are required but password reuse is not prevented, or if users continually reuse a small number of passwords, the effectiveness of a good password policy is greatly reduced.

If you specify a low number for this policy setting, users will be able to use the same small number of passwords repeatedly. If you do not also configure the Minimum password age setting, users might repeatedly change their passwords until they can reuse their original password.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to 24 or more password(s):

Computer Configuration\Policies\Windows Settings\Security Settings\Account Policies>Password Policy\Enforce password history

Impact:

The major impact of this configuration is that users must create a new password every time they are required to change their old one. If users are required to change their passwords to new unique values, there is an increased risk of users who write their passwords somewhere so that they do not forget them. Another risk is that users may create passwords that change incrementally (for example, password01, password02, and so on) to facilitate memorization but make them easier to guess. Also, an excessively low value for the Minimum password age setting will likely increase administrative overhead, because users who forget their passwords might ask the help desk to reset them frequently.

Default Value:

24 passwords remembered on domain members. 0 passwords remembered on stand-alone workstations.

References:

1. CCE-35219-5

CIS Controls:

Version 6

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

16.5 Encrypt Transmittal of Username and Authentication Credentials

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

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1.1.2 (L1) Ensure 'Maximum password age' is set to '60 or fewer days, but not 0' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting defines how long a user can use their password before it expires.

Values for this policy setting range from 0 to 999 days. If you set the value to 0, the password will never expire.

Because attackers can crack passwords, the more frequently you change the password the less opportunity an attacker has to use a cracked password. However, the lower this value is set, the higher the potential for an increase in calls to help desk support due to users having to change their password or forgetting which password is current.

The recommended state for this setting is 60 or fewer days, but not 0.

Rationale:

The longer a password exists the higher the likelihood that it will be compromised by a brute force attack, by an attacker gaining general knowledge about the user, or by the user sharing the password. Configuring the Maximum password age setting to 0 so that users are never required to change their passwords is a major security risk because that allows a compromised password to be used by the malicious user for as long as the valid user has authorized access.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to 60 or fewer days, but not 0:

Computer Configuration\Policies\Windows Settings\Security Settings\Account Policies>Password Policy\Maximum password age

Impact:

If the Maximum password age setting is too low, users are required to change their passwords very often. Such a configuration can reduce security in the organization, because users might write their passwords in an insecure location or lose them. If the value for this policy setting is too high, the level of security within an organization is reduced because it allows potential attackers more time in which to discover user passwords or to use compromised accounts.

Default Value:

42 days.

References:

1. CCE-34907-6

CIS Controls:

Version 6

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.10 Ensure All Accounts Have An Expiration Date

Ensure that all accounts have an expiration date that is monitored and enforced.

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

16.5 Encrypt Transmittal of Username and Authentication Credentials

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

1.1.3 (L1) Ensure 'Minimum password age' is set to '1 or more day(s)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines the number of days that you must use a password before you can change it. The range of values for this policy setting is between 1 and 999 days. (You may also set the value to 0 to allow immediate password changes.) The default value for this setting is 0 days.

The recommended state for this setting is: 1 or more day(s)).

Rationale:

Users may have favorite passwords that they like to use because they are easy to remember and they believe that their password choice is secure from compromise. Unfortunately, passwords are compromised and if an attacker is targeting a specific individual's user account, with foreknowledge of data about that user, reuse of old passwords can cause a security breach. To address password reuse a combination of security settings is required. Using this policy setting with the Enforce password history setting prevents the easy reuse of old passwords. For example, if you configure the Enforce password history setting to ensure that users cannot reuse any of their last 12 passwords, they could change their password 13 times in a few minutes and reuse the password they started with, unless you also configure the Minimum password age setting to a number that is greater than 0. You must configure this policy setting to a number that is greater than 0 for the Enforce password history setting to be effective.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to 1 or more day(s):

Computer Configuration\Policies\Windows Settings\Security Settings\Account Policies>Password Policy\Minimum password age

Impact:

If an administrator sets a password for a user but wants that user to change the password when the user first logs on, the administrator must select the User must change password at next logon check box, or the user will not be able to change the password until the next day.

Default Value:

1 day on domain members. 0 days on stand-alone workstations.

References:

1. CCE-35366-4

CIS Controls:

Version 6

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.10 Ensure All Accounts Have An Expiration Date

Ensure that all accounts have an expiration date that is monitored and enforced.

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

16.5 Encrypt Transmittal of Username and Authentication Credentials

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

1.1.4 (L1) Ensure 'Minimum password length' is set to '14 or more character(s)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines the least number of characters that make up a password for a user account. There are many different theories about how to determine the best password length for an organization, but perhaps "pass phrase" is a better term than "password." In Microsoft Windows 2000 and newer, pass phrases can be quite long and can include spaces. Therefore, a phrase such as "I want to drink a \$5 milkshake" is a valid pass phrase; it is a considerably stronger password than an 8 or 10 character string of random numbers and letters, and yet is easier to remember. Users must be educated about the proper selection and maintenance of passwords, especially with regard to password length.

The recommended state for this setting is: `14 or more character(s)`.

Note: In Windows 10 Release 1709 and older versions of Windows, the GUI of the Local Security Policy (LSP), Local Group Policy Editor (LGPE) and Group Policy Management Editor (GPME) would not let you set this value higher than 14 characters. However, starting with Windows 10 Release 1803, Microsoft changed the GUI to allow up to a 20 character minimum password length.

Rationale:

Types of password attacks include dictionary attacks (which attempt to use common words and phrases) and brute force attacks (which try every possible combination of characters). Also, attackers sometimes try to obtain the account database so they can use tools to discover the accounts and passwords.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to 14 or more character(s):

```
Computer Configuration\Policies\Windows Settings\Security Settings\Account Policies\Password Policy\Minimum password length
```

Impact:

Requirements for extremely long passwords can actually decrease the security of an organization, because users might leave the information in an insecure location or lose it. If very long passwords are required, mistyped passwords could cause account lockouts and increase the volume of help desk calls. If your organization has issues with forgotten passwords due to password length requirements, consider teaching your users about pass phrases, which are often easier to remember and, due to the larger number of character combinations, much harder to discover.

Note: Older versions of Windows such as Windows 98 and Windows NT 4.0 do not support passwords that are longer than 14 characters. Computers that run these older operating systems are unable to authenticate with computers or domains that use accounts that require long passwords.

Default Value:

7 characters on domain members. 0 characters on stand-alone workstations.

References:

1. CCE-33789-9

CIS Controls:

Version 6

5.7 User Accounts Shall Use Long Passwords

Where multi-factor authentication is not supported, user accounts shall be required to use long passwords on the system (longer than 14 characters).

16.2 All Accounts Have A Monitored Expiration Date

Ensure that all accounts have an expiration date that is monitored and enforced.

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

16.5 Encrypt Transmittal of Username and Authentication Credentials

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

1.1.5 (L1) Ensure 'Password must meet complexity requirements' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting checks all new passwords to ensure that they meet basic requirements for strong passwords.

When this policy is enabled, passwords must meet the following minimum requirements:

- Not contain the user's account name or parts of the user's full name that exceed two consecutive characters
- Be at least six characters in length
- Contain characters from three of the following categories:
 - English uppercase characters (A through Z)
 - English lowercase characters (a through z)
 - Base 10 digits (0 through 9)
 - Non-alphabetic characters (for example, !, \$, #, %)
 - A catch-all category of any Unicode character that does not fall under the previous four categories. This fifth category can be regionally specific.

Each additional character in a password increases its complexity exponentially. For instance, a seven-character, all lower-case alphabetic password would have 267 (approximately 8×10^9 or 8 billion) possible combinations. At 1,000,000 attempts per second (a capability of many password-cracking utilities), it would only take 133 minutes to crack. A seven-character alphabetic password with case sensitivity has 527 combinations. A seven-character case-sensitive alphanumeric password without punctuation has 627 combinations. An eight-character password has 268 (or 2×10^{11}) possible combinations. Although this might seem to be a large number, at 1,000,000 attempts per second it would take only 59 hours to try all possible passwords. Remember, these times will significantly increase for passwords that use ALT characters and other special keyboard characters such as "!" or "@". Proper use of the password settings can help make it difficult to mount a brute force attack.

The recommended state for this setting is: `Enabled`.

Rationale:

Passwords that contain only alphanumeric characters are extremely easy to discover with several publicly available tools.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

Computer Configuration\Policies\Windows Settings\Security Settings\Account Policies\Password Policy\Password must meet complexity requirements

Impact:

If the default password complexity configuration is retained, additional help desk calls for locked-out accounts could occur because users might not be accustomed to passwords that contain non-alphabetic characters. However, all users should be able to comply with the complexity requirement with minimal difficulty.

If your organization has more stringent security requirements, you can create a custom version of the Passfilt.dll file that allows the use of arbitrarily complex password strength rules. For example, a custom password filter might require the use of non-upper row characters. (Upper row characters are those that require you to hold down the SHIFT key and press any of the digits between 1 and 0.) A custom password filter might also perform a dictionary check to verify that the proposed password does not contain common dictionary words or fragments.

Also, the use of ALT key character combinations can greatly enhance the complexity of a password. However, such stringent password requirements can result in unhappy users and an extremely busy help desk. Alternatively, your organization could consider a requirement for all administrator passwords to use ALT characters in the 0128 - 0159 range. (ALT characters outside of this range can represent standard alphanumeric characters that would not add additional complexity to the password.)

Default Value:

Enabled on domain members. Disabled on stand-alone workstations.

References:

1. CCE-33777-4

CIS Controls:

Version 7

4.4 Use Unique Passwords

Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

16.5 Encrypt Transmittal of Username and Authentication Credentials

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

1.1.6 (L1) Ensure 'Store passwords using reversible encryption' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether the operating system stores passwords in a way that uses reversible encryption, which provides support for application protocols that require knowledge of the user's password for authentication purposes. Passwords that are stored with reversible encryption are essentially the same as plaintext versions of the passwords.

The recommended state for this setting is: Disabled.

Rationale:

Enabling this policy setting allows the operating system to store passwords in a weaker format that is much more susceptible to compromise and weakens your system security.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

Computer Configuration\Policies\Windows Settings\Security Settings\Account Policies>Password Policy\Store passwords using reversible encryption

Impact:

If your organization uses either the CHAP authentication protocol through remote access or IAS services or Digest Authentication in IIS, you must configure this policy setting to Enabled. This setting is extremely dangerous to apply through Group Policy on a user-by-user basis, because it requires the appropriate user account object to be opened in Active Directory Users and Computers.

Default Value:

Disabled.

References:

1. CCE-35370-6

CIS Controls:

Version 6

16.14 Encrypt/Hash All Authentication Files And Monitor Their Access

Verify that all authentication files are encrypted or hashed and that these files cannot be accessed without root or administrator privileges. Audit all access to password files in the system.

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

16.4 Encrypt or Hash all Authentication Credentials

Encrypt or hash with a salt all authentication credentials when stored.

16.5 Encrypt Transmittal of Username and Authentication Credentials

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

1.2 Account Lockout Policy

This section contains recommendations for account lockout policy.

1.2.1 (L1) Ensure 'Account lockout duration' is set to '15 or more minute(s)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines the length of time that must pass before a locked account is unlocked and a user can try to log on again. The setting does this by specifying the number of minutes a locked out account will remain unavailable. If the value for this policy setting is configured to 0, locked out accounts will remain locked out until an administrator manually unlocks them.

Although it might seem like a good idea to configure the value for this policy setting to a high value, such a configuration will likely increase the number of calls that the help desk receives to unlock accounts locked by mistake. Users should be aware of the length of time a lock remains in place, so that they realize they only need to call the help desk if they have an extremely urgent need to regain access to their computer.

The recommended state for this setting is: 15 or more minute(s).

Rationale:

A denial of service (DoS) condition can be created if an attacker abuses the Account lockout threshold and repeatedly attempts to log on with a specific account. Once you configure the Account lockout threshold setting, the account will be locked out after the specified number of failed attempts. If you configure the Account lockout duration setting to 0, then the account will remain locked out until an administrator unlocks it manually.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to 15 or more minute(s):

```
Computer Configuration\Policies\Windows Settings\Security Settings\Account Policies\Account Lockout Policy\Account lockout duration
```

Impact:

Although it may seem like a good idea to configure this policy setting to never automatically unlock an account, such a configuration can increase the number of requests that your organization's help desk receives to unlock accounts that were locked by mistake.

Default Value:

None, because this policy setting only has meaning when an Account lockout threshold is specified. When an Account lockout threshold is configured, Windows automatically suggests a value of 30 minutes.

References:

1. CCE-35409-2

CIS Controls:

Version 6

16 Account Monitoring and Control

Account Monitoring and Control

16.7 Configure Account Lockouts

Use and configure account lockouts such that after a set number of failed login attempts the account is locked for a standard period of time.

Version 7

16.11 Lock Workstation Sessions After Inactivity

Automatically lock workstation sessions after a standard period of inactivity.

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

1.2.2 (L1) Ensure 'Account lockout threshold' is set to '10 or fewer invalid logon attempt(s), but not 0' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines the number of failed logon attempts before the account is locked. Setting this policy to 0 does not conform to the benchmark as doing so disables the account lockout threshold.

The recommended state for this setting is: 10 or fewer invalid logon attempt(s), but not 0.

Rationale:

Setting an account lockout threshold reduces the likelihood that an online password brute force attack will be successful. Setting the account lockout threshold too low introduces risk of increased accidental lockouts and/or a malicious actor intentionally locking out accounts.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to 10 or fewer invalid login attempt(s), but not 0:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Account Policies\Account Lockout Policy\Account lockout threshold
```


Impact:

If this policy setting is enabled, a locked-out account will not be usable until it is reset by an administrator or until the account lockout duration expires. This setting may generate additional help desk calls.

If you enforce this setting an attacker could cause a denial of service condition by deliberately generating failed logons for multiple user, therefore you should also configure the Account Lockout Duration to a relatively low value.

If you configure the Account Lockout Threshold to 0, there is a possibility that an attacker's attempt to discover passwords with a brute force password attack might go undetected if a robust audit mechanism is not in place.

Default Value:

0 failed logon attempts.

References:

1. CCE-33728-7

CIS Controls:

Version 6

16 Account Monitoring and Control

Account Monitoring and Control

16.7 Configure Account Lockouts

Use and configure account lockouts such that after a set number of failed login attempts the account is locked for a standard period of time.

Version 7

16.11 Lock Workstation Sessions After Inactivity

Automatically lock workstation sessions after a standard period of inactivity.

1.2.3 (L1) Ensure 'Reset account lockout counter after' is set to '15 or more minute(s)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines the length of time before the Account lockout threshold resets to zero. The default value for this policy setting is Not Defined. If the Account lockout threshold is defined, this reset time must be less than or equal to the value for the Account lockout duration setting.

If you leave this policy setting at its default value or configure the value to an interval that is too long, your environment could be vulnerable to a DoS attack. An attacker could maliciously perform a number of failed logon attempts on all users in the organization, which will lock out their accounts. If no policy were determined to reset the account lockout, it would be a manual task for administrators. Conversely, if a reasonable time value is configured for this policy setting, users would be locked out for a set period until all of the accounts are unlocked automatically.

The recommended state for this setting is: `15 or more minute(s)`.

Rationale:

Users can accidentally lock themselves out of their accounts if they mistype their password multiple times. To reduce the chance of such accidental lockouts, the Reset account lockout counter after setting determines the number of minutes that must elapse before the counter that tracks failed logon attempts and triggers lockouts is reset to 0.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to `15 or more minute(s)`:

Computer Configuration\Policies\Windows Settings\Security Settings\Account Policies\Account Lockout Policy\Reset account lockout counter after

Impact:

If you do not configure this policy setting or if the value is configured to an interval that is too long, a DoS attack could occur. An attacker could maliciously attempt to log on to each user's account numerous times and lock out their accounts as described in the preceding paragraphs. If you do not configure the Reset account lockout counter after setting, administrators would have to manually unlock all accounts. If you configure this policy setting to a reasonable value the users would be locked out for some period, after which their accounts would unlock automatically. Be sure that you notify users of the values used for this policy setting so that they will wait for the lockout timer to expire before they call the help desk about their inability to log on.

Default Value:

None, because this policy setting only has meaning when an Account lockout threshold is specified. When an Account lockout threshold is configured, Windows automatically suggests a value of 30 minutes.

References:

1. CCE-35408-4

CIS Controls:

Version 6

16 Account Monitoring and Control

Account Monitoring and Control

16.7 Configure Account Lockouts

Use and configure account lockouts such that after a set number of failed login attempts the account is locked for a standard period of time.

Version 7

16.11 Lock Workstation Sessions After Inactivity

Automatically lock workstation sessions after a standard period of inactivity.

2 Local Policies

This section contains recommendations for local policies.

2.1 Audit Policy

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

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2.2 User Rights Assignment

This section contains recommendations for user rights assignments.

2.2.1 (L1) Ensure 'Access Credential Manager as a trusted caller' is set to 'No One' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This security setting is used by Credential Manager during Backup and Restore. No accounts should have this user right, as it is only assigned to Winlogon. Users' saved credentials might be compromised if this user right is assigned to other entities.

The recommended state for this setting is: No One.

Rationale:

If an account is given this right the user of the account may create an application that calls into Credential Manager and is returned the credentials for another user.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to No One:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Access Credential Manager as a trusted caller

Impact:

None - this is the default behavior.

Default Value:

No one.

References:

1. CCE-35457-1

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.8 Log and Alert on Changes to Administrative Group Membership

Configure systems to issue a log entry and alert when an account is added to or removed from any group assigned administrative privileges.

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2.2.2 (L1) Ensure 'Access this computer from the network' is set to 'Administrators, Remote Desktop Users' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows other users on the network to connect to the computer and is required by various network protocols that include Server Message Block (SMB)-based protocols, NetBIOS, Common Internet File System (CIFS), and Component Object Model Plus (COM+).

The recommended state for this setting is: Administrators, Remote Desktop Users.

Rationale:

Users who can connect from their computer to the network can access resources on target computers for which they have permission. For example, the **Access this computer from the network** user right is required for users to connect to shared printers and folders. If this user right is assigned to the `Everyone` group, then anyone will be able to read the files in those shared folders. However, this situation is unlikely for new installations of Windows Server 2003 with Service Pack 1 (SP1), because the default share and NTFS permissions in Windows Server 2003 do not include the `Everyone` group. This vulnerability may have a higher level of risk for computers that you upgrade from Windows NT 4.0 or Windows 2000, because the default permissions for these operating systems are not as restrictive as the default permissions in Windows Server 2003.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators, Remote Desktop Users:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Access this computer from the network
```

Impact:

If you remove the **Access this computer from the network** user right on Domain Controllers for all users, no one will be able to log on to the domain or use network resources. If you remove this user right on Member Servers, users will not be able to connect to those servers through the network. Successful negotiation of IPsec connections requires that the initiating machine has this right, therefore if using IPsec, it is recommended that it be assigned to the `Authenticated Users` group. If you have installed optional components such as ASP.NET or Internet Information Services (IIS), you may need to assign this user right to additional accounts that are required by those components. It is important to verify that authorized users are assigned this user right for the computers they need to access the network.

Default Value:

Administrators, Backup Operators, Everyone, Users.

References:

1. CCE-32928-4

CIS Controls:

Version 6

9 Limitation and Control of Network Ports, Protocols, and Services

Limitation and Control of Network Ports, Protocols, and Services

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

2.2.3 (L1) Ensure 'Act as part of the operating system' is set to 'No One' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows a process to assume the identity of any user and thus gain access to the resources that the user is authorized to access.

The recommended state for this setting is: No One.

Note: This user right is considered a "sensitive privilege" for the purposes of auditing.

Rationale:

The **Act as part of the operating system** user right is extremely powerful. Anyone with this user right can take complete control of the computer and erase evidence of their activities.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to No One:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Act as part of the operating system

Impact:

There should be little or no impact because the **Act as part of the operating system** user right is rarely needed by any accounts other than the Local System account, which implicitly has this right.

Default Value:

No one.

References:

1. CCE-35403-5

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.8 Log and Alert on Changes to Administrative Group Membership

Configure systems to issue a log entry and alert when an account is added to or removed from any group assigned administrative privileges.

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2.2.4 (L1) Ensure 'Adjust memory quotas for a process' is set to 'Administrators, LOCAL SERVICE, NETWORK SERVICE' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows a user to adjust the maximum amount of memory that is available to a process. The ability to adjust memory quotas is useful for system tuning, but it can be abused. In the wrong hands, it could be used to launch a denial of service (DoS) attack.

The recommended state for this setting is: Administrators, LOCAL SERVICE, NETWORK SERVICE.

Rationale:

A user with the **Adjust memory quotas for a process** user right can reduce the amount of memory that is available to any process, which could cause business-critical network applications to become slow or to fail. In the wrong hands, this privilege could be used to start a denial of service (DoS) attack.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators, LOCAL SERVICE, NETWORK SERVICE:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Adjust memory quotas for a process
```

Impact:

Organizations that have not restricted users to roles with limited privileges will find it difficult to impose this countermeasure. Also, if you have installed optional components such as ASP.NET or IIS, you may need to assign the **Adjust memory quotas for a process** user right to additional accounts that are required by those components. Otherwise, this countermeasure should have no impact on most computers. If this user right is necessary for a user account, it can be assigned to a local computer account instead of a domain account.

Default Value:

Administrators, LOCAL SERVICE, NETWORK SERVICE.

References:

1. CCE-35490-2

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

4.4 Use Unique Passwords

Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.

2.2.5 (L1) Ensure 'Allow log on locally' is set to 'Administrators, Users' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which users can interactively log on to computers in your environment. Logons that are initiated by pressing the CTRL+ALT+DEL key sequence on the client computer keyboard require this user right. Users who attempt to log on through Terminal Services / Remote Desktop Services or IIS also require this user right.

The recommended state for this setting is: Administrators, Users.

Note: The Guest account is also assigned this user right by default. Although this account is disabled by default, it's recommended that you configure this setting through Group Policy. However, this user right should generally be restricted to the Administrators and Users groups. Assign this user right to the Backup Operators group if your organization requires that they have this capability.

Rationale:

Any account with the **Allow log on locally** user right can log on at the console of the computer. If you do not restrict this user right to legitimate users who need to be able to log on to the console of the computer, unauthorized users could download and run malicious software to elevate their privileges.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to

Administrators, Users:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Allow log on locally

Impact:

If you remove these default groups, you could limit the abilities of users who are assigned to specific administrative roles in your environment. You should confirm that delegated activities will not be adversely affected by any changes that you make to the **Allow log on locally** user right.

Default Value:

Administrators, Backup Operators, Guest, Users.

References:

1. CCE-35640-2

CIS Controls:

Version 6

16 Account Monitoring and Control
Account Monitoring and Control

Version 7

4.1 Maintain Inventory of Administrative Accounts

Use automated tools to inventory all administrative accounts, including domain and local accounts, to ensure that only authorized individuals have elevated privileges.

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

2.2.6 (L1) Ensure 'Allow log on through Remote Desktop Services' is set to 'Administrators, Remote Desktop Users' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which users or groups have the right to log on as a Remote Desktop Services client. If your organization uses Remote Assistance as part of its help desk strategy, create a group and assign it this user right through Group Policy. If the help desk in your organization does not use Remote Assistance, assign this user right only to the `Administrators` group or use the Restricted Groups feature to ensure that no user accounts are part of the `Remote Desktop Users` group.

Restrict this user right to the `Administrators` group, and possibly the `Remote Desktop Users` group, to prevent unwanted users from gaining access to computers on your network by means of the Remote Assistance feature.

The recommended state for this setting is: `Administrators, Remote Desktop Users`.

Note: The above list is to be treated as a whitelist, which implies that the above principals need not be present for assessment of this recommendation to pass.

Note #2: In all versions of Windows prior to Windows 7, **Remote Desktop Services** was known as **Terminal Services**, so you should substitute the older term if comparing against an older OS.

Rationale:

Any account with the **Allow log on through Remote Desktop Services** user right can log on to the remote console of the computer. If you do not restrict this user right to legitimate users who need to log on to the console of the computer, unauthorized users could download and run malicious software to elevate their privileges.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to

Administrators, Remote Desktop Users:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Allow log on through Remote Desktop Services

Impact:

Removal of the **Allow log on through Remote Desktop Services** user right from other groups or membership changes in these default groups could limit the abilities of users who perform specific administrative roles in your environment. You should confirm that delegated activities will not be adversely affected.

Default Value:

Administrators, Remote Desktop Users.

References:

1. CCE-33035-7

CIS Controls:

Version 6

16 Account Monitoring and Control
Account Monitoring and Control

Version 7

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

4.4 Use Unique Passwords

Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.

2.2.7 (L1) Ensure 'Back up files and directories' is set to 'Administrators' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows users to circumvent file and directory permissions to back up the system. This user right is enabled only when an application (such as NTBACKUP) attempts to access a file or directory through the NTFS file system backup application programming interface (API). Otherwise, the assigned file and directory permissions apply.

The recommended state for this setting is: Administrators.

Note: This user right is considered a "sensitive privilege" for the purposes of auditing.

Rationale:

Users who are able to back up data from a computer could take the backup media to a non-domain computer on which they have administrative privileges and restore the data. They could take ownership of the files and view any unencrypted data that is contained within the backup set.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators.

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Back up files and directories

Impact:

Changes in the membership of the groups that have the **Back up files and directories** user right could limit the abilities of users who are assigned to specific administrative roles in your environment. You should confirm that authorized backup administrators are still able to perform backup operations.

Default Value:

Administrators, Backup Operators.

References:

1. CCE-35699-8

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.1 Maintain Inventory of Administrative Accounts

Use automated tools to inventory all administrative accounts, including domain and local accounts, to ensure that only authorized individuals have elevated privileges.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.8 (L1) Ensure 'Change the system time' is set to 'Administrators, LOCAL SERVICE' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which users and groups can change the time and date on the internal clock of the computers in your environment. Users who are assigned this user right can affect the appearance of event logs. When a computer's time setting is changed, logged events reflect the new time, not the actual time that the events occurred.

The recommended state for this setting is: Administrators, LOCAL SERVICE.

Note: Discrepancies between the time on the local computer and on the Domain Controllers in your environment may cause problems for the Kerberos authentication protocol, which could make it impossible for users to log on to the domain or obtain authorization to access domain resources after they are logged on. Also, problems will occur when Group Policy is applied to client computers if the system time is not synchronized with the Domain Controllers.

Rationale:

Users who can change the time on a computer could cause several problems. For example, time stamps on event log entries could be made inaccurate, time stamps on files and folders that are created or modified could be incorrect, and computers that belong to a domain may not be able to authenticate themselves or users who try to log on to the domain from them. Also, because the Kerberos authentication protocol requires that the requestor and authenticator have their clocks synchronized within an administrator-defined skew period, an attacker who changes a computer's time may cause that computer to be unable to obtain or grant Kerberos tickets.

The risk from these types of events is mitigated on most Domain Controllers, Member Servers, and end-user computers because the Windows Time service automatically synchronizes time with Domain Controllers in the following ways:

- All client desktop computers and Member Servers use the authenticating Domain Controller as their inbound time partner.
- All Domain Controllers in a domain nominate the Primary Domain Controller (PDC) Emulator operations master as their inbound time partner.

- All PDC Emulator operations masters follow the hierarchy of domains in the selection of their inbound time partner.
- The PDC Emulator operations master at the root of the domain is authoritative for the organization. Therefore it is recommended that you configure this computer to synchronize with a reliable external time server.

This vulnerability becomes much more serious if an attacker is able to change the system time and then stop the Windows Time service or reconfigure it to synchronize with a time server that is not accurate.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators, LOCAL SERVICE:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Change the system time

Impact:

There should be no impact, because time synchronization for most organizations should be fully automated for all computers that belong to the domain. Computers that do not belong to the domain should be configured to synchronize with an external source.

Default Value:

Administrators, LOCAL SERVICE.

References:

1. CCE-33094-4

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.4 Use Unique Passwords

Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.9 (L1) Ensure 'Change the time zone' is set to 'Administrators, LOCAL SERVICE, Users' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting determines which users can change the time zone of the computer. This ability holds no great danger for the computer and may be useful for mobile workers.

The recommended state for this setting is: Administrators, LOCAL SERVICE, Users.

Rationale:

Changing the time zone represents little vulnerability because the system time is not affected. This setting merely enables users to display their preferred time zone while being synchronized with Domain Controllers in different time zones.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators, LOCAL SERVICE, Users:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Change the time zone

Impact:

None - this is the default behavior.

Default Value:

Administrators, LOCAL SERVICE, Users.

References:

1. CCE-33431-8

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.4 Use Unique Passwords

Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.10 (L1) Ensure 'Create a pagefile' is set to 'Administrators' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows users to change the size of the pagefile. By making the pagefile extremely large or extremely small, an attacker could easily affect the performance of a compromised computer.

The recommended state for this setting is: `Administrators`.

Rationale:

Users who can change the page file size could make it extremely small or move the file to a highly fragmented storage volume, which could cause reduced computer performance.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Administrators`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Create a pagefile
```

Impact:

None - this is the default behavior.

Default Value:

`Administrators`.

References:

1. CCE-33051-4

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.1 Maintain Inventory of Administrative Accounts

Use automated tools to inventory all administrative accounts, including domain and local accounts, to ensure that only authorized individuals have elevated privileges.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.11 (L1) Ensure 'Create a token object' is set to 'No One' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows a process to create an access token, which may provide elevated rights to access sensitive data.

The recommended state for this setting is: No One.

Note: This user right is considered a "sensitive privilege" for the purposes of auditing.

Rationale:

A user account that is given this user right has complete control over the system and can lead to the system being compromised. It is highly recommended that you do not assign any user accounts this right.

The operating system examines a user's access token to determine the level of the user's privileges. Access tokens are built when users log on to the local computer or connect to a remote computer over a network. When you revoke a privilege, the change is immediately recorded, but the change is not reflected in the user's access token until the next time the user logs on or connects. Users with the ability to create or modify tokens can change the level of access for any currently logged on account. They could escalate their own privileges or create a DoS condition.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to No One:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Create a token object

Impact:

None - this is the default behavior.

Default Value:

No one.

References:

1. CCE-33779-0

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.4 Use Unique Passwords

Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.12 (L1) Ensure 'Create global objects' is set to 'Administrators, LOCAL SERVICE, NETWORK SERVICE, SERVICE' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether users can create global objects that are available to all sessions. Users can still create objects that are specific to their own session if they do not have this user right.

Users who can create global objects could affect processes that run under other users' sessions. This capability could lead to a variety of problems, such as application failure or data corruption.

The recommended state for this setting is: Administrators, LOCAL SERVICE, NETWORK SERVICE, SERVICE.

Rationale:

Users who can create global objects could affect Windows services and processes that run under other user or system accounts. This capability could lead to a variety of problems, such as application failure, data corruption and elevation of privilege.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators, LOCAL SERVICE, NETWORK SERVICE, SERVICE:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Create global objects
```

Impact:

None - this is the default behavior.

Default Value:

Administrators, LOCAL SERVICE, NETWORK SERVICE, SERVICE.

References:

1. CCE-33095-1

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.1 Maintain Inventory of Administrative Accounts

Use automated tools to inventory all administrative accounts, including domain and local accounts, to ensure that only authorized individuals have elevated privileges.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.13 (L1) Ensure 'Create permanent shared objects' is set to 'No One' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This user right is useful to kernel-mode components that extend the object namespace. However, components that run in kernel mode have this user right inherently. Therefore, it is typically not necessary to specifically assign this user right.

The recommended state for this setting is: No One.

Rationale:

Users who have the **Create permanent shared objects** user right could create new shared objects and expose sensitive data to the network.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to No One:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Create permanent shared objects

Impact:

None - this is the default behavior.

Default Value:

No one.

References:

1. CCE-33780-8

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.8 Log and Alert on Changes to Administrative Group Membership

Configure systems to issue a log entry and alert when an account is added to or removed from any group assigned administrative privileges.

ARCHIVE

2.2.14 (L1) Configure 'Create symbolic links' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which users can create symbolic links. In Windows Vista, existing NTFS file system objects, such as files and folders, can be accessed by referring to a new kind of file system object called a symbolic link. A symbolic link is a pointer (much like a shortcut or .lnk file) to another file system object, which can be a file, folder, shortcut or another symbolic link. The difference between a shortcut and a symbolic link is that a shortcut only works from within the Windows shell. To other programs and applications, shortcuts are just another file, whereas with symbolic links, the concept of a shortcut is implemented as a feature of the NTFS file system.

Symbolic links can potentially expose security vulnerabilities in applications that are not designed to use them. For this reason, the privilege for creating symbolic links should only be assigned to trusted users. By default, only `Administrators` can create symbolic links.

The recommended state for this setting is: `Administrators` and (when the *Hyper-V* feature is installed) `NT VIRTUAL MACHINE\Virtual Machines`.

Rationale:

Users who have the **Create symbolic links** user right could inadvertently or maliciously expose your system to symbolic link attacks. Symbolic link attacks can be used to change the permissions on a file, to corrupt data, to destroy data, or as a Denial of Service attack.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To implement the recommended configuration state, configure the following UI path:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Create symbolic links
```


Impact:

In most cases there will be no impact because this is the default configuration. However, on Windows Workstations with the Hyper-V feature installed, this user right should also be granted to the special group NT VIRTUAL MACHINE\Virtual Machines - otherwise you will not be able to create new virtual machines.

Default Value:

Administrators.

References:

1. CCE-33053-0

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.1 Maintain Inventory of Administrative Accounts

Use automated tools to inventory all administrative accounts, including domain and local accounts, to ensure that only authorized individuals have elevated privileges.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.15 (L1) Ensure 'Debug programs' is set to 'Administrators' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which user accounts will have the right to attach a debugger to any process or to the kernel, which provides complete access to sensitive and critical operating system components. Developers who are debugging their own applications do not need to be assigned this user right; however, developers who are debugging new system components will need it.

The recommended state for this setting is: Administrators.

Note: This user right is considered a "sensitive privilege" for the purposes of auditing.

Rationale:

The **Debug programs** user right can be exploited to capture sensitive computer information from system memory, or to access and modify kernel or application structures. Some attack tools exploit this user right to extract hashed passwords and other private security information, or to insert rootkit code. By default, the **Debug programs** user right is assigned only to administrators, which helps to mitigate the risk from this vulnerability.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Debug programs
```

Impact:

If you revoke this user right, no one will be able to debug programs. However, typical circumstances rarely require this capability on production computers. If a problem arises that requires an application to be debugged on a production server, you can move the server to a different OU temporarily and assign the **Debug programs** user right to a separate Group Policy for that OU.

The service account that is used for the cluster service needs the **Debug programs** user right; if it does not have it, Windows Clustering will fail.

Tools that are used to manage processes will be unable to affect processes that are not owned by the person who runs the tools. For example, the Windows Server 2003 Resource Kit tool `Kill.exe` requires this user right for administrators to terminate processes that they did not start.

Default Value:

Administrators.

References:

1. CCE-33157-9

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.1 Maintain Inventory of Administrative Accounts

Use automated tools to inventory all administrative accounts, including domain and local accounts, to ensure that only authorized individuals have elevated privileges.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.16 (L1) Ensure 'Deny access to this computer from the network' to include 'Guests, Local account' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting prohibits users from connecting to a computer from across the network, which would allow users to access and potentially modify data remotely. In high security environments, there should be no need for remote users to access data on a computer. Instead, file sharing should be accomplished through the use of network servers. This user right supersedes the **Access this computer from the network** user right if an account is subject to both policies.

The recommended state for this setting is to include: `Guests, Local account`.

Caution: Configuring a standalone (non-domain-joined) workstation as described above may result in an inability to remotely administer the workstation.

Note: The security identifier `Local account` is not available in Windows 7 and Windows 8.0 unless [MSKB 2871997](#) has been installed.

Rationale:

Users who can log on to the computer over the network can enumerate lists of account names, group names, and shared resources. Users with permission to access shared folders and files can connect over the network and possibly view or modify data.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include `Guests, Local account`:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Deny access to this computer from the network

Impact:

If you configure the **Deny access to this computer from the network** user right for other groups, you could limit the abilities of users who are assigned to specific administrative roles in your environment. You should verify that delegated tasks will not be negatively affected.

Default Value:

Guest.

References:

1. CCE-34173-5

CIS Controls:

Version 6

16 Account Monitoring and Control
Account Monitoring and Control

Version 7

16.8 Disable Any Unassociated Accounts
Disable any account that cannot be associated with a business process or business owner.

2.2.17 (L1) Ensure 'Deny log on as a batch job' to include 'Guests' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which accounts will not be able to log on to the computer as a batch job. A batch job is not a batch (.bat) file, but rather a batch-queue facility. Accounts that use the Task Scheduler to schedule jobs need this user right.

This user right supersedes the **Log on as a batch job** user right, which could be used to allow accounts to schedule jobs that consume excessive system resources. Such an occurrence could cause a DoS condition. Failure to assign this user right to the recommended accounts can be a security risk.

The recommended state for this setting is to include: `Guests`.

Rationale:

Accounts that have the **Log on as a batch job** user right could be used to schedule jobs that could consume excessive computer resources and cause a DoS condition.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include `Guests`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Deny log on as a batch job
```

Impact:

If you assign the **Deny log on as a batch job** user right to other accounts, you could deny users who are assigned to specific administrative roles the ability to perform their required job activities. You should confirm that delegated tasks will not be affected adversely.

For example, if you assign this user right to the `IWAM_(ComputerName)` account, the MSM Management Point will fail. On a newly installed computer that runs Windows Server 2003 this account does not belong to the `Guests` group, but on a computer that was upgraded from Windows 2000 this account is a member of the `Guests` group. Therefore, it is important that you understand which accounts belong to any groups that you assign the **Deny log on as a batch job** user right.

Default Value:

No one.

References:

1. CCE-35461-3

CIS Controls:

Version 6

16 Account Monitoring and Control
Account Monitoring and Control

Version 7

16.8 Disable Any Unassociated Accounts
Disable any account that cannot be associated with a business process or business owner.

2.2.18 (L1) Ensure 'Deny log on as a service' to include 'Guests' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This security setting determines which service accounts are prevented from registering a process as a service. This user right supersedes the **Log on as a service** user right if an account is subject to both policies.

The recommended state for this setting is to include: `Guests`.

Note: This security setting does not apply to the `System`, `Local Service`, or `Network Service` accounts.

Rationale:

Accounts that can log on as a service could be used to configure and start new unauthorized services, such as a keylogger or other malicious software. The benefit of the specified countermeasure is somewhat reduced by the fact that only users with administrative privileges can install and configure services, and an attacker who has already attained that level of access could configure the service to run with the `System` account.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include `Guests`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Deny log on as a service
```

Impact:

If you assign the **Deny log on as a service** user right to specific accounts, services may not be able to start and a DoS condition could result.

Default Value:

No one.

References:

1. CCE-35404-3

CIS Controls:

Version 6

16 Account Monitoring and Control
Account Monitoring and Control

Version 7

16.8 Disable Any Unassociated Accounts
Disable any account that cannot be associated with a business process or business owner.

2.2.19 (L1) Ensure 'Deny log on locally' to include 'Guests' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This security setting determines which users are prevented from logging on at the computer. This policy setting supersedes the **Allow log on locally** policy setting if an account is subject to both policies.

The recommended state for this setting is to include: `Guests`.

Important: If you apply this security policy to the `Everyone` group, no one will be able to log on locally.

Rationale:

Any account with the ability to log on locally could be used to log on at the console of the computer. If this user right is not restricted to legitimate users who need to log on to the console of the computer, unauthorized users might download and run malicious software that elevates their privileges.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include `Guests`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Deny log on locally
```

Impact:

If you assign the **Deny log on locally** user right to additional accounts, you could limit the abilities of users who are assigned to specific roles in your environment. However, this user right should explicitly be assigned to the `ASPNET` account on computers that run IIS 6.0. You should confirm that delegated activities will not be adversely affected.

Default Value:

Guest.

References:

1. CCE-35293-0

CIS Controls:

Version 6

16 Account Monitoring and Control
Account Monitoring and Control

Version 7

16.8 Disable Any Unassociated Accounts
Disable any account that cannot be associated with a business process or business owner.

2.2.20 (L1) Ensure 'Deny log on through Remote Desktop Services' to include 'Guests, Local account' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether users can log on as Remote Desktop clients. After the baseline workstation is joined to a domain environment, there is no need to use local accounts to access the workstation from the network. Domain accounts can access the workstation for administration and end-user processing. This user right supersedes the **Allow log on through Remote Desktop Services** user right if an account is subject to both policies.

The recommended state for this setting is to include: `Guests`, `Local account`.

Caution: Configuring a standalone (non-domain-joined) workstation as described above may result in an inability to remotely administer the workstation.

Note: The security identifier `Local account` is not available in Windows 7 and Windows 8.0 unless [MSKB 2871997](#) has been installed.

Note #2: In all versions of Windows prior to Windows 7, **Remote Desktop Services** was known as **Terminal Services**, so you should substitute the older term if comparing against an older OS.

Rationale:

Any account with the right to log on through Remote Desktop Services could be used to log on to the remote console of the computer. If this user right is not restricted to legitimate users who need to log on to the console of the computer, unauthorized users might download and run malicious software that elevates their privileges.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include Guests, Local account:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Deny log on through Remote Desktop Services

Impact:

If you assign the **Deny log on through Remote Desktop Services** user right to other groups, you could limit the abilities of users who are assigned to specific administrative roles in your environment. Accounts that have this user right will be unable to connect to the computer through either Remote Desktop Services or Remote Assistance. You should confirm that delegated tasks will not be negatively impacted.

Default Value:

No one.

References:

1. CCE-33787-3

CIS Controls:

Version 6

16 Account Monitoring and Control
Account Monitoring and Control

Version 7

16.8 Disable Any Unassociated Accounts
Disable any account that cannot be associated with a business process or business owner.

2.2.21 (L1) Ensure 'Enable computer and user accounts to be trusted for delegation' is set to 'No One' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows users to change the Trusted for Delegation setting on a computer object in Active Directory. Abuse of this privilege could allow unauthorized users to impersonate other users on the network.

The recommended state for this setting is: No One.

Note: This user right is considered a "sensitive privilege" for the purposes of auditing.

Rationale:

Misuse of the **Enable computer and user accounts to be trusted for delegation** user right could allow unauthorized users to impersonate other users on the network. An attacker could exploit this privilege to gain access to network resources and make it difficult to determine what has happened after a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to No One:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Enable computer and user accounts to be trusted for delegation
```

Impact:

None - this is the default behavior.

Default Value:

No one.

References:

1. CCE-33778-2

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.1 Maintain Inventory of Administrative Accounts

Use automated tools to inventory all administrative accounts, including domain and local accounts, to ensure that only authorized individuals have elevated privileges.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.22 (L1) Ensure 'Force shutdown from a remote system' is set to 'Administrators' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows users to shut down Windows Vista-based and newer computers from remote locations on the network. Anyone who has been assigned this user right can cause a denial of service (DoS) condition, which would make the computer unavailable to service user requests. Therefore, it is recommended that only highly trusted administrators be assigned this user right.

The recommended state for this setting is: Administrators.

Rationale:

Any user who can shut down a computer could cause a DoS condition to occur. Therefore, this user right should be tightly restricted.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Force shutdown from a remote system
```

Impact:

If you remove the **Force shutdown from a remote system** user right from the Server Operator group you could limit the abilities of users who are assigned to specific administrative roles in your environment. You should confirm that delegated activities will not be adversely affected.

Default Value:

Administrators.

References:

1. CCE-33715-4

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.1 Maintain Inventory of Administrative Accounts

Use automated tools to inventory all administrative accounts, including domain and local accounts, to ensure that only authorized individuals have elevated privileges.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.23 (L1) Ensure 'Generate security audits' is set to 'LOCAL SERVICE, NETWORK SERVICE' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which users or processes can generate audit records in the Security log.

The recommended state for this setting is: `LOCAL SERVICE, NETWORK SERVICE`.

Note: This user right is considered a "sensitive privilege" for the purposes of auditing.

Rationale:

An attacker could use this capability to create a large number of audited events, which would make it more difficult for a system administrator to locate any illicit activity. Also, if the event log is configured to overwrite events as needed, any evidence of unauthorized activities could be overwritten by a large number of unrelated events.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to `LOCAL SERVICE, NETWORK SERVICE`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Generate security audits
```

Impact:

On most computers, this is the default configuration and there will be no negative impact. However, if you have installed *Web Server (IIS)*, you will need to allow the IIS application pool(s) to be granted this user right.

Default Value:

LOCAL SERVICE, NETWORK SERVICE.

References:

1. CCE-35363-1

CIS Controls:

Version 6

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Account Monitoring and Control

Version 7

4.4 Use Unique Passwords

Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.

2.2.24 (L1) Ensure 'Impersonate a client after authentication' is set to 'Administrators, LOCAL SERVICE, NETWORK SERVICE, SERVICE' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

The policy setting allows programs that run on behalf of a user to impersonate that user (or another specified account) so that they can act on behalf of the user. If this user right is required for this kind of impersonation, an unauthorized user will not be able to convince a client to connect—for example, by remote procedure call (RPC) or named pipes—to a service that they have created to impersonate that client, which could elevate the unauthorized user's permissions to administrative or system levels.

Services that are started by the Service Control Manager have the built-in Service group added by default to their access tokens. COM servers that are started by the COM infrastructure and configured to run under a specific account also have the Service group added to their access tokens. As a result, these processes are assigned this user right when they are started.

Also, a user can impersonate an access token if any of the following conditions exist:

- The access token that is being impersonated is for this user.
- The user, in this logon session, logged on to the network with explicit credentials to create the access token.
- The requested level is less than Impersonate, such as Anonymous or Identify.

An attacker with the **Impersonate a client after authentication** user right could create a service, trick a client to make them connect to the service, and then impersonate that client to elevate the attacker's level of access to that of the client.

The recommended state for this setting is: Administrators, LOCAL SERVICE, NETWORK SERVICE, SERVICE.

Note: This user right is considered a "sensitive privilege" for the purposes of auditing.

Rationale:

An attacker with the **Impersonate a client after authentication** user right could create a service, trick a client to make them connect to the service, and then impersonate that client to elevate the attacker's level of access to that of the client.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators, LOCAL SERVICE, NETWORK SERVICE, SERVICE:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Impersonate a client after authentication
```

Impact:

In most cases this configuration will have no impact. If you have installed *Web Server (IIS)*, you will need to also assign the user right to IIS_IUSRS.

Default Value:

Administrators, LOCAL SERVICE, NETWORK SERVICE, SERVICE.

References:

1. CCE-34021-6

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.4 Use Unique Passwords

Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.25 (L1) Ensure 'Increase scheduling priority' is set to 'Administrators' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether users can increase the base priority class of a process. (It is not a privileged operation to increase relative priority within a priority class.) This user right is not required by administrative tools that are supplied with the operating system but might be required by software development tools.

The recommended state for this setting is: Administrators.

Rationale:

A user who is assigned this user right could increase the scheduling priority of a process to Real-Time, which would leave little processing time for all other processes and could lead to a DoS condition.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Increase scheduling priority
```

Impact:

None - this is the default behavior.

Default Value:

On Windows 10 R1607 or older: Administrators.

On Windows 10 R1703 or newer: Administrators, Window Manager\Window Manager Group.

References:

1. CCE-35178-3

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.1 Maintain Inventory of Administrative Accounts

Use automated tools to inventory all administrative accounts, including domain and local accounts, to ensure that only authorized individuals have elevated privileges.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.26 (L1) Ensure 'Load and unload device drivers' is set to 'Administrators' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows users to dynamically load a new device driver on a system. An attacker could potentially use this capability to install malicious code that appears to be a device driver. This user right is required for users to add local printers or printer drivers in Windows Vista.

The recommended state for this setting is: Administrators.

Note: This user right is considered a "sensitive privilege" for the purposes of auditing.

Rationale:

Device drivers run as highly privileged code. A user who has the **Load and unload device drivers** user right could unintentionally install malicious code that masquerades as a device driver. Administrators should exercise greater care and install only drivers with verified digital signatures.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Load and unload device drivers
```

Impact:

If you remove the **Load and unload device drivers** user right from the `Print Operators` group or other accounts you could limit the abilities of users who are assigned to specific administrative roles in your environment. You should ensure that delegated tasks will not be negatively affected.

Default Value:

Administrators.

References:

1. CCE-34903-5

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.1 Maintain Inventory of Administrative Accounts

Use automated tools to inventory all administrative accounts, including domain and local accounts, to ensure that only authorized individuals have elevated privileges.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.27 (L1) Ensure 'Lock pages in memory' is set to 'No One' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows a process to keep data in physical memory, which prevents the system from paging the data to virtual memory on disk. If this user right is assigned, significant degradation of system performance can occur.

The recommended state for this setting is: No One.

Rationale:

Users with the **Lock pages in memory** user right could assign physical memory to several processes, which could leave little or no RAM for other processes and result in a DoS condition.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to No One:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Lock pages in memory
```

Impact:

None - this is the default behavior.

Default Value:

No one.

References:

1. CCE-33807-9

CIS Controls:

Version 6

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Version 7

4.8 Log and Alert on Changes to Administrative Group Membership

Configure systems to issue a log entry and alert when an account is added to or removed from any group assigned administrative privileges.

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2.2.28 (L2) Ensure 'Log on as a batch job' is set to 'Administrators' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting allows accounts to log on using the task scheduler service. Because the task scheduler is often used for administrative purposes, it may be needed in enterprise environments. However, its use should be restricted in high security environments to prevent misuse of system resources or to prevent attackers from using the right to launch malicious code after gaining user level access to a computer.

The recommended state for this setting is: Administrators.

Rationale:

The **Log on as a batch job** user right presents a low-risk vulnerability. For most organizations, the default settings are sufficient.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators:

```
Computer Configuration\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Log on as a batch job
```

Impact:

If you configure the **Log on as a batch job** setting through domain-based Group Policies, the computer will not be able to assign the user right to accounts that are used for scheduled jobs in the Task Scheduler. If you install optional components such as ASP.NET or IIS, you might need to assign this user right to additional accounts that are required by those components. For example, IIS requires assignment of this user right to the `IIS_WPG` group and the `IUSR_(ComputerName)`, `ASPNET`, and `IWAM_(ComputerName)` accounts. If this user right is not assigned to this group and these accounts, IIS will be unable to run some COM objects that are necessary for proper functionality.

Default Value:

Administrators, Backup Operators, Performance Log Users.

References:

1. CCE-33432-6

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

2.2.29 (L2) Configure 'Log on as a service' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting allows accounts to launch network services or to register a process as a service running on the system. This user right should be restricted on any computer in a high security environment, but because many applications may require this privilege, it should be carefully evaluated and tested before configuring it in an enterprise environment. On Windows Vista-based (and newer) computers, no users or groups have this privilege by default.

The recommended state for this setting is: `No One` or (when the *Hyper-V* feature is installed) `NT VIRTUAL MACHINE\Virtual Machines`.

Note: The *Hyper-V* feature was first introduced on Windows workstations with the 64-bit version of Windows 8.0, so the `NT VIRTUAL MACHINE\Virtual Machines` option does not apply to Windows 7 (or older) versions of Windows. Older OSes should only be configured for `No One`.

Rationale:

Log on as a service is a powerful user right because it allows accounts to launch network services or services that run continuously on a computer, even when no one is logged on to the console. The risk is reduced by the fact that only users with administrative privileges can install and configure services. An attacker who has already attained that level of access could configure the service to run with the `Local System` account.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path:

```
Computer Configuration\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Log on as a service
```

Impact:

If you have installed optional components such as ASP.NET or IIS, you may need to assign the **Log on as a service** user right to additional accounts that are required by those components. IIS requires that this user right be explicitly granted to the ASPNET user account. On Windows Workstations with the Hyper-V feature installed, this user right should also be granted to the special group NT VIRTUAL MACHINE\Virtual Machines.

Default Value:

NT SERVICE\ALL SERVICES

References:

1. CCE-33731-1

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

2.2.30 (L1) Ensure 'Manage auditing and security log' is set to 'Administrators' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which users can change the auditing options for files and directories and clear the Security log.

The recommended state for this setting is: Administrators.

Note: This user right is considered a "sensitive privilege" for the purposes of auditing.

Rationale:

The ability to manage the Security event log is a powerful user right and it should be closely guarded. Anyone with this user right can clear the Security log to erase important evidence of unauthorized activity.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Manage auditing and security log

Impact:

None - this is the default behavior.

Default Value:

Administrators.

References:

1. CCE-35275-7

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.1 Maintain Inventory of Administrative Accounts

Use automated tools to inventory all administrative accounts, including domain and local accounts, to ensure that only authorized individuals have elevated privileges.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.31 (L1) Ensure 'Modify an object label' is set to 'No One' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This privilege determines which user accounts can modify the integrity label of objects, such as files, registry keys, or processes owned by other users. Processes running under a user account can modify the label of an object owned by that user to a lower level without this privilege.

The recommended state for this setting is: No One.

Rationale:

By modifying the integrity label of an object owned by another user a malicious user may cause them to execute code at a higher level of privilege than intended.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to No One:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Modify an object label
```

Impact:

None - this is the default behavior.

Default Value:

No one.

References:

1. CCE-34913-4

CIS Controls:

Version 6

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Version 7

4.8 Log and Alert on Changes to Administrative Group Membership

Configure systems to issue a log entry and alert when an account is added to or removed from any group assigned administrative privileges.

ARCHIVE

2.2.32 (L1) Ensure 'Modify firmware environment values' is set to 'Administrators' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows users to configure the system-wide environment variables that affect hardware configuration. This information is typically stored in the Last Known Good Configuration. Modification of these values and could lead to a hardware failure that would result in a denial of service condition.

The recommended state for this setting is: Administrators.

Note: This user right is considered a "sensitive privilege" for the purposes of auditing.

Rationale:

Anyone who is assigned the **Modify firmware environment values** user right could configure the settings of a hardware component to cause it to fail, which could lead to data corruption or a DoS condition.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Modify firmware environment values

Impact:

None - this is the default behavior.

Default Value:

Administrators.

References:

1. CCE-35183-3

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.33 (L1) Ensure 'Perform volume maintenance tasks' is set to 'Administrators' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows users to manage the system's volume or disk configuration, which could allow a user to delete a volume and cause data loss as well as a denial-of-service condition.

The recommended state for this setting is: Administrators.

Rationale:

A user who is assigned the **Perform volume maintenance tasks** user right could delete a volume, which could result in the loss of data or a DoS condition.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Perform volume maintenance tasks

Impact:

None - this is the default behavior.

Default Value:

Administrators.

References:

1. CCE-35369-8

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

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2.2.34 (L1) Ensure 'Profile single process' is set to 'Administrators' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which users can use tools to monitor the performance of non-system processes. Typically, you do not need to configure this user right to use the Microsoft Management Console (MMC) Performance snap-in. However, you do need this user right if System Monitor is configured to collect data using Windows Management Instrumentation (WMI). Restricting the **Profile single process** user right prevents intruders from gaining additional information that could be used to mount an attack on the system.

The recommended state for this setting is: Administrators.

Rationale:

The **Profile single process** user right presents a moderate vulnerability. An attacker with this user right could monitor a computer's performance to help identify critical processes that they might wish to attack directly. The attacker may also be able to determine what processes run on the computer so that they could identify countermeasures that they may need to avoid, such as antivirus software, an intrusion-detection system, or which other users are logged on to a computer.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Profile single process

Impact:

None - this is the default behavior.

Default Value:

Administrators.

References:

1. CCE-35000-9

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.35 (L1) Ensure 'Profile system performance' is set to 'Administrators, NT SERVICE\WdiServiceHost' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows users to use tools to view the performance of different system processes, which could be abused to allow attackers to determine a system's active processes and provide insight into the potential attack surface of the computer.

The recommended state for this setting is: Administrators, NT SERVICE\WdiServiceHost.

Rationale:

The **Profile system performance** user right poses a moderate vulnerability. Attackers with this user right could monitor a computer's performance to help identify critical processes that they might wish to attack directly. Attackers may also be able to determine what processes are active on the computer so that they could identify countermeasures that they may need to avoid, such as antivirus software or an intrusion detection system.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators, NT SERVICE\WdiServiceHost:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Profile system performance
```

Impact:

None - this is the default behavior.

Default Value:

Windows Vista: Administrators.

Windows 7 and newer: Administrators, NT SERVICE\WdiServiceHost.

References:

1. CCE-35001-7

CIS Controls:**Version 6****5.1 Minimize And Sparingly Use Administrative Privileges**

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7**4.4 Use Unique Passwords**

Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.36 (L1) Ensure 'Replace a process level token' is set to 'LOCAL SERVICE, NETWORK SERVICE' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows one process or service to start another service or process with a different security access token, which can be used to modify the security access token of that sub-process and result in the escalation of privileges.

The recommended state for this setting is: `LOCAL SERVICE, NETWORK SERVICE`.

Note: This user right is considered a "sensitive privilege" for the purposes of auditing.

Rationale:

Users with the **Replace a process level token** privilege are able to start processes as other users whose credentials they know. They could use this method to hide their unauthorized actions on the computer. (On Windows 2000-based computers, use of the **Replace a process level token** user right also requires the user to have the **Adjust memory quotas for a process** user right that is discussed earlier in this section.)

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to `LOCAL SERVICE, NETWORK SERVICE`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Replace a process level token
```

Impact:

On most computers, this is the default configuration and there will be no negative impact. However, if you have installed *Web Server (IIS)*, you will need to allow the IIS application pool(s) to be granted this User Right Assignment.

Default Value:

LOCAL SERVICE, NETWORK SERVICE.

References:

1. CCE-35003-3

CIS Controls:

Version 6

16 Account Monitoring and Control
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Version 7

4.4 Use Unique Passwords

Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.

2.2.37 (L1) Ensure 'Restore files and directories' is set to 'Administrators' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which users can bypass file, directory, registry, and other persistent object permissions when restoring backed up files and directories on computers that run Windows Vista (or newer) in your environment. This user right also determines which users can set valid security principals as object owners; it is similar to the **Back up files and directories** user right.

The recommended state for this setting is: `Administrators`.

Note: This user right is considered a "sensitive privilege" for the purposes of auditing.

Rationale:

An attacker with the **Restore files and directories** user right could restore sensitive data to a computer and overwrite data that is more recent, which could lead to loss of important data, data corruption, or a denial of service. Attackers could overwrite executable files that are used by legitimate administrators or system services with versions that include malicious software to grant themselves elevated privileges, compromise data, or install backdoors for continued access to the computer.

Note: Even if the following countermeasure is configured, an attacker could still restore data to a computer in a domain that is controlled by the attacker. Therefore, it is critical that organizations carefully protect the media that is used to back up data.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Administrators`:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Restore files and directories

Impact:

If you remove the **Restore files and directories** user right from the Backup Operators group and other accounts you could make it impossible for users who have been delegated specific tasks to perform those tasks. You should verify that this change won't negatively affect the ability of your organization's personnel to do their jobs.

Default Value:

Administrators, Backup Operators.

References:

1. CCE-35067-8

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.38 (L1) Ensure 'Shut down the system' is set to 'Administrators, Users' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which users who are logged on locally to the computers in your environment can shut down the operating system with the Shut Down command. Misuse of this user right can result in a denial of service condition.

The recommended state for this setting is: Administrators, Users.

Rationale:

The ability to shut down a workstation should be available generally to Administrators and authorized users of that workstation, but not permitted for guests or unauthorized users - in order to prevent a Denial of Service attack.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators, Users:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Shut down the system

Impact:

The impact of removing these default groups from the **Shut down the system** user right could limit the delegated abilities of assigned roles in your environment. You should confirm that delegated activities will not be adversely affected.

Default Value:

Administrators, Backup Operators, Users.

References:

1. CCE-35004-1

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.2.39 (L1) Ensure 'Take ownership of files or other objects' is set to 'Administrators' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows users to take ownership of files, folders, registry keys, processes, or threads. This user right bypasses any permissions that are in place to protect objects to give ownership to the specified user.

The recommended state for this setting is: Administrators.

Note: This user right is considered a "sensitive privilege" for the purposes of auditing.

Rationale:

Any users with the **Take ownership of files or other objects** user right can take control of any object, regardless of the permissions on that object, and then make any changes they wish to that object. Such changes could result in exposure of data, corruption of data, or a DoS condition.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Administrators:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\User Rights Assignment\Take ownership of files or other objects

Impact:

None - this is the default behavior.

Default Value:

Administrators.

References:

1. CCE-35009-0

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

2.3 Security Options

This section contains recommendations for security options.

2.3.1 Accounts

This section contains recommendations related to default accounts.

2.3.1.1 (L1) Ensure 'Accounts: Administrator account status' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting enables or disables the Administrator account during normal operation. When a computer is booted into safe mode, the Administrator account is always enabled, regardless of how this setting is configured. Note that this setting will have no impact when applied to the Domain Controllers organizational unit via group policy because Domain Controllers have no local account database. It can be configured at the domain level via group policy, similar to account lockout and password policy settings.

The recommended state for this setting is: Disabled.

Rationale:

In some organizations, it can be a daunting management challenge to maintain a regular schedule for periodic password changes for local accounts. Therefore, you may want to disable the built-in Administrator account instead of relying on regular password changes to protect it from attack. Another reason to disable this built-in account is that it cannot be locked out no matter how many failed logons it accrues, which makes it a prime target for brute force attacks that attempt to guess passwords. Also, this account has a well-known security identifier (SID) and there are third-party tools that allow authentication by using the SID rather than the account name. This capability means that even if you rename the Administrator account, an attacker could launch a brute force attack by using the SID to log on.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Accounts: Administrator account status
```

Impact:

Maintenance issues can arise under certain circumstances if you disable the Administrator account. For example, if the secure channel between a member computer and the Domain Controller fails in a domain environment for any reason and there is no other local Administrator account, you must restart in safe mode to fix the problem that broke the secure channel.

If the current Administrator password does not meet the password requirements, you will not be able to re-enable the Administrator account after it is disabled. If this situation occurs, another member of the Administrators group must set the password on the Administrator account with the Local Users and Groups tool.

Default Value:

Disabled.

References:

1. CCE-33511-7

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

16.8 Disable Any Unassociated Accounts

Disable any account that cannot be associated with a business process or business owner.

ARCHIVE

2.3.1.2 (L1) Ensure 'Accounts: Guest account status' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether the Guest account is enabled or disabled. The Guest account allows unauthenticated network users to gain access to the system.

The recommended state for this setting is: Disabled.

Note: This setting will have no impact when applied to the Domain Controllers organizational unit via group policy because Domain Controllers have no local account database. It can be configured at the domain level via group policy, similar to account lockout and password policy settings.

Rationale:

The default Guest account allows unauthenticated network users to log on as Guest with no password. These unauthorized users could access any resources that are accessible to the Guest account over the network. This capability means that any network shares with permissions that allow access to the Guest account, the Guests group, or the Everyone group will be accessible over the network, which could lead to the exposure or corruption of data.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Accounts: Guest account status

Impact:

All network users will need to authenticate before they can access shared resources. If you disable the Guest account and the Network Access: Sharing and Security Model option is set to Guest Only, network logons, such as those performed by the Microsoft Network Server (SMB Service), will fail. This policy setting should have little impact on most organizations because it is the default setting in Microsoft Windows 2000, Windows XP, and Windows Server™ 2003.

Default Value:

Disabled.

References:

1. CCE-33949-9

CIS Controls:

Version 6

16.1 Perform Regular Account Reviews

Review all system accounts and disable any account that cannot be associated with a business process and owner.

Version 7

16.8 Disable Any Unassociated Accounts

Disable any account that cannot be associated with a business process or business owner.

2.3.1.3 (L1) Ensure 'Accounts: Limit local account use of blank passwords to console logon only' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether local accounts that are not password protected can be used to log on from locations other than the physical computer console. If you enable this policy setting, local accounts that have blank passwords will not be able to log on to the network from remote client computers. Such accounts will only be able to log on at the keyboard of the computer.

The recommended state for this setting is: Enabled.

Rationale:

Blank passwords are a serious threat to computer security and should be forbidden through both organizational policy and suitable technical measures. In fact, the default settings for Active Directory domains require complex passwords of at least seven characters. However, if users with the ability to create new accounts bypass your domain-based password policies, they could create accounts with blank passwords. For example, a user could build a stand-alone computer, create one or more accounts with blank passwords, and then join the computer to the domain. The local accounts with blank passwords would still function. Anyone who knows the name of one of these unprotected accounts could then use it to log on.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Lsa:LimitBlankPasswordUse
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Accounts: Limit local account use of blank passwords to console logon only
```

Impact:

None - this is the default behavior.

Default Value:

Enabled.

References:

1. CCE-32929-2

CIS Controls:

Version 6

16 Account Monitoring and Control
Account Monitoring and Control

Version 7

4.4 Use Unique Passwords

Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.

2.3.1.4 (L1) Configure 'Accounts: Rename administrator account' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

The built-in local administrator account is a well-known account name that attackers will target. It is recommended to choose another name for this account, and to avoid names that denote administrative or elevated access accounts. Be sure to also change the default description for the local administrator (through the Computer Management console).

Rationale:

The Administrator account exists on all computers that run the Windows 2000 or newer operating systems. If you rename this account, it is slightly more difficult for unauthorized persons to guess this privileged user name and password combination.

The built-in Administrator account cannot be locked out, regardless of how many times an attacker might use a bad password. This capability makes the Administrator account a popular target for brute force attacks that attempt to guess passwords. The value of this countermeasure is lessened because this account has a well-known SID, and there are third-party tools that allow authentication by using the SID rather than the account name. Therefore, even if you rename the Administrator account, an attacker could launch a brute force attack by using the SID to log on.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, configure the following UI path:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Accounts: Rename administrator account

Impact:

You will have to inform users who are authorized to use this account of the new account name. (The guidance for this setting assumes that the Administrator account was not disabled, which was recommended earlier in this chapter.)

Default Value:

Administrator.

References:

1. CCE-33034-0

CIS Controls:

Version 6

16 Account Monitoring and Control
Account Monitoring and Control

Version 7

16.8 Disable Any Unassociated Accounts
Disable any account that cannot be associated with a business process or business owner.

2.3.1.5 (L1) Configure 'Accounts: Rename guest account' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

The built-in local guest account is another well-known name to attackers. It is recommended to rename this account to something that does not indicate its purpose. Even if you disable this account, which is recommended, ensure that you rename it for added security.

Rationale:

The Guest account exists on all computers that run the Windows 2000 or newer operating systems. If you rename this account, it is slightly more difficult for unauthorized persons to guess this privileged user name and password combination.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, configure the following UI path:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Accounts: Rename guest account
```

Impact:

There should be little impact, because the Guest account is disabled by default.

Default Value:

Guest.

References:

1. CCE-35488-6

CIS Controls:

Version 6

16 Account Monitoring and Control

Account Monitoring and Control

Version 7

16.8 Disable Any Unassociated Accounts

Disable any account that cannot be associated with a business process or business owner.

ARCHIVE

2.3.2 Audit

This section contains recommendations related to auditing controls.

2.3.2.1 (L1) *Ensure 'Audit: Force audit policy subcategory settings (Windows Vista or later) to override audit policy category settings' is set to 'Enabled' (Scored)*

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows administrators to enable the more precise auditing capabilities present in Windows Vista.

The Audit Policy settings available in Windows Server 2003 Active Directory do not yet contain settings for managing the new auditing subcategories. To properly apply the auditing policies prescribed in this baseline, the Audit: Force audit policy subcategory settings (Windows Vista or later) to override audit policy category settings setting needs to be configured to Enabled.

The recommended state for this setting is: *Enabled*.

Important: Be very cautious about audit settings that can generate a large volume of traffic. For example, if you enable either success or failure auditing for all of the Privilege Use subcategories, the high volume of audit events generated can make it difficult to find other types of entries in the Security log. Such a configuration could also have a significant impact on system performance.

Rationale:

Prior to the introduction of auditing subcategories in Windows Vista, it was difficult to track events at a per-system or per-user level. The larger event categories created too many events and the key information that needed to be audited was difficult to find.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Lsa:SCENoApplyLegacyAuditPolicy
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Audit: Force audit policy subcategory settings (Windows Vista or later) to override audit policy category settings
```

Impact:

None - this is the default behavior.

Default Value:

Enabled. (Advanced Audit Policy Configuration settings will be used for auditing configuration, and legacy Audit Policy configuration settings will be ignored.)

References:

1. CCE-35533-9

CIS Controls:

Version 6

6.2 Ensure Audit Log Settings Support Appropriate Log Entry Formatting

Validate audit log settings for each hardware device and the software installed on it, ensuring that logs include a date, timestamp, source addresses, destination addresses, and various other useful elements of each packet and/or transaction. Systems should record logs in a standardized format such as syslog entries or those outlined by the Common Event Expression initiative. If systems cannot generate logs in a standardized format, log normalization tools can be deployed to convert logs into such a format.

Version 7

6.2 Activate audit logging

Ensure that local logging has been enabled on all systems and networking devices.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.4 Ensure adequate storage for logs

Ensure that all systems that store logs have adequate storage space for the logs generated.

2.3.2.2 (L1) Ensure 'Audit: Shut down system immediately if unable to log security audits' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether the system shuts down if it is unable to log Security events. It is a requirement for Trusted Computer System Evaluation Criteria (TCSEC)-C2 and Common Criteria certification to prevent auditable events from occurring if the audit system is unable to log them. Microsoft has chosen to meet this requirement by halting the system and displaying a stop message if the auditing system experiences a failure. When this policy setting is enabled, the system will be shut down if a security audit cannot be logged for any reason.

If the Audit: Shut down system immediately if unable to log security audits setting is enabled, unplanned system failures can occur. The administrative burden can be significant, especially if you also configure the Retention method for the Security log to Do not overwrite events (clear log manually). This configuration causes a repudiation threat (a backup operator could deny that they backed up or restored data) to become a denial of service (DoS) vulnerability, because a server could be forced to shut down if it is overwhelmed with logon events and other security events that are written to the Security log. Also, because the shutdown is not graceful, it is possible that irreparable damage to the operating system, applications, or data could result. Although the NTFS file system guarantees its integrity when an ungraceful computer shutdown occurs, it cannot guarantee that every data file for every application will still be in a usable form when the computer restarts.

The recommended state for this setting is: `Disabled`.

Rationale:

If the computer is unable to record events to the Security log, critical evidence or important troubleshooting information may not be available for review after a security incident. Also, an attacker could potentially generate a large volume of Security log events to purposely force a computer shutdown.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Lsa:CrashOnAuditFail
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Audit: Shut down system immediately if unable to log security audits
```

Impact:

None - this is the default behavior.

Default Value:

Disabled.

References:

1. CCE-33046-4

CIS Controls:

Version 6

6 Maintenance, Monitoring, and Analysis of Audit Logs

Maintenance, Monitoring, and Analysis of Audit Logs

Version 7

6.2 Activate audit logging

Ensure that local logging has been enabled on all systems and networking devices.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.4 Ensure adequate storage for logs

Ensure that all systems that store logs have adequate storage space for the logs generated.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

2.3.3 DCOM

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

2.3.4 Devices

This section contains recommendations related to managing devices.

2.3.4.1 (L1) Ensure 'Devices: Allowed to format and eject removable media' is set to 'Administrators and Interactive Users' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines who is allowed to format and eject removable NTFS media. You can use this policy setting to prevent unauthorized users from removing data on one computer to access it on another computer on which they have local administrator privileges.

The recommended state for this setting is: `Administrators and Interactive Users`.

Rationale:

Users may be able to move data on removable disks to a different computer where they have administrative privileges. The user could then take ownership of any file, grant themselves full control, and view or modify any file. The fact that most removable storage devices will eject media by pressing a mechanical button diminishes the advantage of this policy setting.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows  
NT\CurrentVersion\Winlogon:AllocateDASD
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Administrators and Interactive Users`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local  
Policies\Security Options\Devices: Allowed to format and eject removable  
media
```

Impact:

None - the default value is Administrators only. Administrators and Interactive Users will be able to format and eject removable NTFS media.

Default Value:

Administrators. (Only Administrators will be able to format and eject removable NTFS media.)

References:

1. CCE-34355-8

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

8.4 Configure Anti-Malware Scanning of Removable Devices

Configure devices so that they automatically conduct an anti-malware scan of removable media when inserted or connected.

13.7 Manage USB Devices

If USB storage devices are required, enterprise software should be used that can configure systems to allow the use of specific devices. An inventory of such devices should be maintained.

2.3.4.2 (L2) Ensure 'Devices: Prevent users from installing printer drivers' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

For a computer to print to a shared printer, the driver for that shared printer must be installed on the local computer. This security setting determines who is allowed to install a printer driver as part of connecting to a shared printer.

The recommended state for this setting is: `Enabled`.

Note: This setting does not affect the ability to add a local printer. This setting does not affect Administrators.

Rationale:

It may be appropriate in some organizations to allow users to install printer drivers on their own workstations. However, in a high security environment, you should allow only Administrators, not users, to do this, because printer driver installation may unintentionally cause the computer to become less stable. A malicious user could install inappropriate printer drivers in a deliberate attempt to damage the computer, or a user might accidentally install malicious software that masquerades as a printer driver. It is feasible for an attacker to disguise a Trojan horse program as a printer driver. The program may appear to users as if they must use it to print, but such a program could unleash malicious code on your computer network.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Print\Providers\LanMan  
Print Services\Servers:AddPrinterDrivers
```


Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Devices: Prevent users from installing printer drivers
```

Impact:

Only Administrators will be able to install a printer driver as part of connecting to a shared printer. The ability to add a local printer will not be affected.

Default Value:

Disabled. (Any user can install a printer driver as part of connecting to a shared printer.)

References:

1. CCE-33958-0

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

2.3.5 Domain controller

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

2.3.6 Domain member

This section contains recommendations related to domain membership.

2.3.6.1 (L1) Ensure 'Domain member: Digitally encrypt or sign secure channel data (always)' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether all secure channel traffic that is initiated by the domain member must be signed or encrypted.

The recommended state for this setting is: Enabled.

Rationale:

When a computer joins a domain, a computer account is created. After it joins the domain, the computer uses the password for that account to create a secure channel with the Domain Controller for its domain every time that it restarts. Requests that are sent on the secure channel are authenticated—and sensitive information such as passwords are encrypted—but the channel is not integrity-checked, and not all information is encrypted.

Digital encryption and signing of the secure channel is a good idea where it is supported. The secure channel protects domain credentials as they are sent to the Domain Controller.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\Netlogon\Parameters:RequireSignOrSeal
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Domain member: Digitally encrypt or sign secure channel data (always)
```

Impact:

None - this is the default behavior. However, only Windows NT 4.0 with Service Pack 6a (SP6a) and subsequent versions of the Windows operating system support digital encryption and signing of the secure channel. Windows 98 Second Edition clients do not support it unless they have `Dsclient` installed. Therefore, you cannot enable the Domain member: Digitally encrypt or sign secure channel data (always) setting on Domain Controllers that support Windows 98 clients as members of the domain. Potential impacts can include the following:

- The ability to create or delete trust relationships with clients running versions of Windows earlier than Windows NT 4.0 with SP6a will be disabled.
- Logons from clients running versions of Windows earlier than Windows NT 4.0 with SP6a will be disabled.
- The ability to authenticate other domains' users from a Domain Controller running a version of Windows earlier than Windows NT 4.0 with SP6a in a trusted domain will be disabled.

You can enable this policy setting after you eliminate all Windows 9x clients from the domain and upgrade all Windows NT 4.0 servers and Domain Controllers from trusted/trusting domains to Windows NT 4.0 with SP6a.

Default Value:

Enabled. (All secure channel data must be signed or encrypted.)

References:

1. CCE-34892-0

CIS Controls:

Version 6

13 Data Protection
Data Protection

Version 7

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

2.3.6.2 (L1) Ensure 'Domain member: Digitally encrypt secure channel data (when possible)' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether a domain member should attempt to negotiate encryption for all secure channel traffic that it initiates.

The recommended state for this setting is: `Enabled`.

Rationale:

When a computer joins a domain, a computer account is created. After it joins the domain, the computer uses the password for that account to create a secure channel with the Domain Controller for its domain every time that it restarts. Requests that are sent on the secure channel are authenticated—and sensitive information such as passwords are encrypted—but the channel is not integrity-checked, and not all information is encrypted.

Digital encryption and signing of the secure channel is a good idea where it is supported. The secure channel protects domain credentials as they are sent to the Domain Controller.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\Netlogon\Parameters:SealSecureChannel
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Domain member: Digitally encrypt secure channel data (when possible)
```

Impact:

None - this is the default behavior. However, only Windows NT 4.0 Service Pack 6a (SP6a) and subsequent versions of the Windows operating system support digital encryption and signing of the secure channel. Windows 98 Second Edition clients do not support it unless they have `Dsclient` installed.

Default Value:

Enabled. (The domain member will request encryption of all secure channel traffic.)

References:

1. CCE-35273-2

CIS Controls:

Version 6

13 Data Protection

Data Protection

Version 7

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

2.3.6.3 (L1) Ensure 'Domain member: Digitally sign secure channel data (when possible)' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether a domain member should attempt to negotiate whether all secure channel traffic that it initiates must be digitally signed. Digital signatures protect the traffic from being modified by anyone who captures the data as it traverses the network.

The recommended state for this setting is: `Enabled`.

Rationale:

When a computer joins a domain, a computer account is created. After it joins the domain, the computer uses the password for that account to create a secure channel with the Domain Controller for its domain every time that it restarts. Requests that are sent on the secure channel are authenticated—and sensitive information such as passwords are encrypted—but the channel is not integrity-checked, and not all information is encrypted.

Digital encryption and signing of the secure channel is a good idea where it is supported. The secure channel protects domain credentials as they are sent to the Domain Controller.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\Netlogon\Parameters:SignSecureChannel
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Domain member: Digitally sign secure channel data (when possible)
```

Impact:

None - this is the default behavior. However, only Windows NT 4.0 with Service Pack 6a (SP6a) and subsequent versions of the Windows operating system support digital encryption and signing of the secure channel. Windows 98 Second Edition clients do not support it unless they have `Dsclient` installed.

Default Value:

Enabled. (The domain member will request digital signing of all secure channel traffic.)

References:

1. CCE-34893-8

CIS Controls:

Version 6

13 Data Protection

Data Protection

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13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

2.3.6.4 (L1) Ensure 'Domain member: Disable machine account password changes' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether a domain member can periodically change its computer account password. Computers that cannot automatically change their account passwords are potentially vulnerable, because an attacker might be able to determine the password for the system's domain account.

The recommended state for this setting is: `Disabled`.

Note: Some problems can occur as a result of machine account password expiration, particularly if a machine is reverted to a previous point-in-time state, as is common with virtual machines. Depending on how far back the reversion is, the older machine account password stored on the machine may no longer be recognized by the domain controllers, and therefore the computer loses its domain trust. This can also disrupt non-persistent VDI implementations, and devices with write filters that disallow permanent changes to the OS volume. Some organizations may choose to exempt themselves from this recommendation and disable machine account password expiration for these situations.

Rationale:

The default configuration for Windows Server 2003-based computers that belong to a domain is that they are automatically required to change the passwords for their accounts every 30 days. If you disable this policy setting, computers that run Windows Server 2003 will retain the same passwords as their computer accounts. Computers that are no longer able to automatically change their account password are at risk from an attacker who could determine the password for the computer's domain account.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\Netlogon\Parameters:DisablePasswordChange
```


Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Domain member: Disable machine account password changes
```

Impact:

None - this is the default behavior.

Default Value:

Disabled. (The domain member can change its computer account password as specified by the *Domain Member: Maximum machine account password age* setting (Rule 2.3.6.5), which by default is every 30 days.)

References:

1. CCE-34986-0

CIS Controls:

Version 6

16 Account Monitoring and Control
Account Monitoring and Control

Version 7

5.1 Establish Secure Configurations
Maintain documented, standard security configuration standards for all authorized operating systems and software.

2.3.6.5 (L1) Ensure 'Domain member: Maximum machine account password age' is set to '30 or fewer days, but not 0' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines the maximum allowable age for a computer account password. By default, domain members automatically change their domain passwords every 30 days. If you increase this interval significantly so that the computers no longer change their passwords, an attacker would have more time to undertake a brute force attack against one of the computer accounts.

The recommended state for this setting is: `30 or fewer days, but not 0`.

Note: A value of 0 does not conform to the benchmark as it disables maximum password age.

Note #2: Some problems can occur as a result of machine account password expiration, particularly if a machine is reverted to a previous point-in-time state, as is common with virtual machines. Depending on how far back the reversion is, the older machine account password stored on the machine may no longer be recognized by the domain controllers, and therefore the computer loses its domain trust. This can also disrupt non-persistent VDI implementations, and devices with write filters that disallow permanent changes to the OS volume. Some organizations may choose to exempt themselves from this recommendation and disable machine account password expiration for these situations.

Rationale:

In Active Directory-based domains, each computer has an account and password just like every user. By default, the domain members automatically change their domain password every 30 days. If you increase this interval significantly, or set it to 0 so that the computers no longer change their passwords, an attacker will have more time to undertake a brute force attack to guess the password of one or more computer accounts.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to 30 or fewer days, but not 0:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Domain member: Maximum machine account password age
```

Impact:

None - this is the default behavior.

Default Value:

30 days.

References:

1. CCE-34894-6

CIS Controls:

Version 6

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Account Monitoring and Control

Version 7

5.1 Establish Secure Configurations
Maintain documented, standard security configuration standards for all authorized operating systems and software.

2.3.6.6 (L1) Ensure 'Domain member: Require strong (Windows 2000 or later) session key' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

When this policy setting is enabled, a secure channel can only be established with Domain Controllers that are capable of encrypting secure channel data with a strong (128-bit) session key.

To enable this policy setting, all Domain Controllers in the domain must be able to encrypt secure channel data with a strong key, which means all Domain Controllers must be running Microsoft Windows 2000 or newer.

The recommended state for this setting is: Enabled.

Rationale:

Session keys that are used to establish secure channel communications between Domain Controllers and member computers are much stronger in Windows 2000 than they were in previous Microsoft operating systems. Whenever possible, you should take advantage of these stronger session keys to help protect secure channel communications from attacks that attempt to hijack network sessions and eavesdropping. (Eavesdropping is a form of hacking in which network data is read or altered in transit. The data can be modified to hide or change the sender, or be redirected.)

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\Netlogon\Parameters:RequireStrongKey
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Domain member: Require strong (Windows 2000 or later) session key
```

Impact:

None - this is the default behavior. However, computers will not be able to join Windows NT 4.0 domains, and trusts between Active Directory domains and Windows NT-style domains may not work properly.

Default Value:

Enabled. (The secure channel will not be established unless 128-bit encryption can be performed.)

References:

1. CCE-35177-5

CIS Controls:

Version 6

13 Data Protection

Data Protection

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

2.3.7 Interactive logon

This section contains recommendations related to interactive logons.

2.3.7.1 (L1) Ensure 'Interactive logon: Do not display last user name' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether the account name of the last user to log on to the client computers in your organization will be displayed in each computer's respective Windows logon screen. Enable this policy setting to prevent intruders from collecting account names visually from the screens of desktop or laptop computers in your organization.

The recommended state for this setting is: Enabled.

Rationale:

An attacker with access to the console (for example, someone with physical access or someone who is able to connect to the server through Remote Desktop Services) could view the name of the last user who logged on to the server. The attacker could then try to guess the password, use a dictionary, or use a brute-force attack to try and log on.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System:  
DontDisplayLastUserName
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**:

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Interactive logon: Do not display last user name

Note: In newer versions of Microsoft Windows, starting with Windows 10 Release 1703, this setting was renamed *Interactive logon: Don't display last signed-in*.

Impact:

The name of the last user to successfully log on will not be displayed in the Windows logon screen.

Default Value:

Disabled. (The name of the last user to log on is displayed in the Windows logon screen.)

References:

1. CCE-34898-7

CIS Controls:

Version 6

13 Data Protection
Data Protection

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

2.3.7.2 (L1) Ensure 'Interactive logon: Do not require CTRL+ALT+DEL' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether users must press CTRL+ALT+DEL before they log on.

The recommended state for this setting is: `Disabled`.

Rationale:

Microsoft developed this feature to make it easier for users with certain types of physical impairments to log on to computers that run Windows. If users are not required to press CTRL+ALT+DEL, they are susceptible to attacks that attempt to intercept their passwords. If CTRL+ALT+DEL is required before logon, user passwords are communicated by means of a trusted path.

An attacker could install a Trojan horse program that looks like the standard Windows logon dialog box and capture the user's password. The attacker would then be able to log on to the compromised account with whatever level of privilege that user has.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System:  
DisableCAD
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local  
Policies\Security Options\Interactive logon: Do not require CTRL+ALT+DEL
```


Impact:

Users must press CTRL+ALT+DEL before they log on to Windows unless they use a smart card for Windows logon. A smart card is a tamper-proof device that stores security information.

Default Value:

On Windows 7 or older: Disabled.

On Windows 8.0 or newer: Enabled.

References:

1. CCE-35099-1

CIS Controls:

Version 6

8 Malware Defenses

Malware Defenses

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

2.3.7.3 (L1) Configure 'Interactive logon: Message text for users attempting to log on' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting specifies a text message that displays to users when they log on. Set the following group policy to a value that is consistent with the security and operational requirements of your organization.

Rationale:

Displaying a warning message before logon may help prevent an attack by warning the attacker about the consequences of their misconduct before it happens. It may also help to reinforce corporate policy by notifying employees of the appropriate policy during the logon process. This text is often used for legal reasons—for example, to warn users about the ramifications of misusing company information or to warn them that their actions may be audited.

Note: Any warning that you display should first be approved by your organization's legal and human resources representatives.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System:  
LegalNoticeText
```

Remediation:

To establish the recommended configuration via GP, configure the following UI path to a value that is consistent with the security and operational requirements of your organization:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local  
Policies\Security Options\Interactive logon: Message text for users  
attempting to log on
```

Impact:

Users will have to acknowledge a dialog box containing the configured text before they can log on to the computer.

Note: Windows Vista and Windows XP Professional support logon banners that can exceed 512 characters in length and that can also contain carriage-return line-feed sequences. However, Windows 2000-based clients cannot interpret and display these messages. You must use a Windows 2000-based computer to create a logon message policy that applies to Windows 2000-based computers.

Default Value:

No message.

References:

1. CCE-35064-5

CIS Controls:

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

2.3.7.4 (L1) Configure 'Interactive logon: Message title for users attempting to log on' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting specifies the text displayed in the title bar of the window that users see when they log on to the system. Configure this setting in a manner that is consistent with the security and operational requirements of your organization.

Rationale:

Displaying a warning message before logon may help prevent an attack by warning the attacker about the consequences of their misconduct before it happens. It may also help to reinforce corporate policy by notifying employees of the appropriate policy during the logon process.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System:  
LegalNoticeCaption
```

Remediation:

To establish the recommended configuration via GP, configure the following UI path to a value that is consistent with the security and operational requirements of your organization:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local  
Policies\Security Options\Interactive logon: Message title for users  
attempting to log on
```

Impact:

Users will have to acknowledge a dialog box with the configured title before they can log on to the computer.

Default Value:

No message.

References:

1. CCE-35179-1

CIS Controls:

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

2.3.7.5 (L2) Ensure 'Interactive logon: Number of previous logons to cache (in case domain controller is not available)' is set to '4 or fewer logon(s)' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting determines whether a user can log on to a Windows domain using cached account information. Logon information for domain accounts can be cached locally to allow users to log on even if a Domain Controller cannot be contacted. This policy setting determines the number of unique users for whom logon information is cached locally. If this value is set to 0, the logon cache feature is disabled. An attacker who is able to access the file system of the server could locate this cached information and use a brute force attack to determine user passwords.

The recommended state for this setting is: 4 or fewer logon(s).

Rationale:

The number that is assigned to this policy setting indicates the number of users whose logon information the computer will cache locally. If the number is set to 4, then the computer caches logon information for 4 users. When a 5th user logs on to the computer, the server overwrites the oldest cached logon session.

Users who access the computer console will have their logon credentials cached on that computer. An attacker who is able to access the file system of the computer could locate this cached information and use a brute force attack to attempt to determine user passwords. To mitigate this type of attack, Windows encrypts the information and obscures its physical location.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows NT\CurrentVersion\Winlogon:CachedLogonsCount

Remediation:

To establish the recommended configuration via GP, set the following UI path to 4 or fewer logon(s):

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Interactive logon: Number of previous logons to cache (in case domain controller is not available)
```

Impact:

Users will be unable to log on to any computers if there is no Domain Controller available to authenticate them. Organizations may want to configure this value to 2 for end-user computers, especially for mobile users. A configuration value of 2 means that the user's logon information will still be in the cache, even if a member of the IT department has recently logged on to their computer to perform system maintenance. This method allows users to log on to their computers when they are not connected to the organization's network.

Default Value:

10 logons.

References:

1. CCE-34901-9

CIS Controls:

Version 6

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4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

2.3.7.6 (L1) Ensure 'Interactive logon: Prompt user to change password before expiration' is set to 'between 5 and 14 days' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines how far in advance users are warned that their password will expire. It is recommended that you configure this policy setting to at least 5 days but no more than 14 days to sufficiently warn users when their passwords will expire.

The recommended state for this setting is: between 5 and 14 days.

Rationale:

Users will need to be warned that their passwords are going to expire, or they may inadvertently be locked out of the computer when their passwords expire. This condition could lead to confusion for users who access the network locally, or make it impossible for users to access your organization's network through dial-up or virtual private network (VPN) connections.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows  
NT\CurrentVersion\Winlogon:PasswordExpiryWarning
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to a value between 5 and 14 days:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local  
Policies\Security Options\Interactive logon: Prompt user to change password  
before expiration
```

Impact:

Users will see a dialog box prompt to change their password each time that they log on to the domain when their password is configured to expire between 5 and 14 days.

Default Value:

5 days.

References:

1. CCE-35274-0

CIS Controls:

Version 6

16 Account Monitoring and Control
Account Monitoring and Control

Version 7

5.1 Establish Secure Configurations
Maintain documented, standard security configuration standards for all authorized operating systems and software.

16.2 Configure Centralized Point of Authentication
Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

2.3.7.7 (L1) Ensure 'Interactive logon: Smart card removal behavior' is set to 'Lock Workstation' or higher (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines what happens when the smart card for a logged-on user is removed from the smart card reader.

The recommended state for this setting is: `Lock Workstation`. Configuring this setting to `Force Logoff` or `Disconnect if a Remote Desktop Services session` also conforms to the benchmark.

Rationale:

Users sometimes forget to lock their workstations when they are away from them, allowing the possibility for malicious users to access their computers. If smart cards are used for authentication, the computer should automatically lock itself when the card is removed to ensure that only the user with the smart card is accessing resources using those credentials.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed, noting that values of `Force Logoff` or `Disconnect if a Remote Desktop Services session` are also acceptable settings. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows  
NT\CurrentVersion\Winlogon:ScRemoveOption
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Lock Workstation` (or, if applicable for your environment, `Force Logoff` or `Disconnect if a Remote Desktop Services session`):

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local  
Policies\Security Options\Interactive logon: Smart card removal behavior
```

Impact:

If you select `Lock Workstation`, the workstation is locked when the smart card is removed, allowing users to leave the area, take their smart card with them, and still maintain a protected session.

If you select `Force Logoff`, users are automatically logged off when their smart card is removed.

If you select `Disconnect if a Remote Desktop Services session`, removal of the smart card disconnects the session without logging the users off. This allows the user to insert the smart card and resume the session later, or at another smart card reader-equipped computer, without having to log on again. If the session is local, this policy will function identically to `Lock Workstation`.

Enforcing this setting on computers used by people who must log onto multiple computers in order to perform their duties could be frustrating and lower productivity. For example, if network administrators are limited to a single account but need to log into several computers simultaneously in order to effectively manage the network enforcing this setting will limit them to logging onto one computer at a time. For these reasons it is recommended that this setting only be enforced on workstations used for purposes commonly associated with typical users such as document creation and email.

Default Value:

No action.

References:

1. CCE-34988-6

CIS Controls:

Version 6

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.11 Lock Workstation Sessions After Inactivity

Automatically lock workstation sessions after a standard period of inactivity.

2.3.8 Microsoft network client

This section contains recommendations related to configuring the Microsoft network client.

2.3.8.1 (L1) Ensure 'Microsoft network client: Digitally sign communications (always)' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether packet signing is required by the SMB client component.

Note: When Windows Vista-based computers have this policy setting enabled and they connect to file or print shares on remote servers, it is important that the setting is synchronized with its companion setting, **Microsoft network server: Digitally sign communications (always)**, on those servers. For more information about these settings, see the "Microsoft network client and server: Digitally sign communications (four related settings)" section in Chapter 5 of the Threats and Countermeasures guide.

The recommended state for this setting is: `Enabled`.

Rationale:

Session hijacking uses tools that allow attackers who have access to the same network as the client or server to interrupt, end, or steal a session in progress. Attackers can potentially intercept and modify unsigned SMB packets and then modify the traffic and forward it so that the server might perform undesirable actions. Alternatively, the attacker could pose as the server or client after legitimate authentication and gain unauthorized access to data.

SMB is the resource sharing protocol that is supported by many Windows operating systems. It is the basis of NetBIOS and many other protocols. SMB signatures authenticate both users and the servers that host the data. If either side fails the authentication process, data transmission will not take place.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\LanmanWorkstation\Parameters:RequireSecuritySignature
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Microsoft network client: Digitally sign communications (always)
```

Impact:

The Microsoft network client will not communicate with a Microsoft network server unless that server agrees to perform SMB packet signing.

The Windows 2000 Server, Windows 2000 Professional, Windows Server 2003, Windows XP Professional and Windows Vista implementations of the SMB file and print sharing protocol support mutual authentication, which prevents session hijacking attacks and supports message authentication to prevent man-in-the-middle attacks. SMB signing provides this authentication by placing a digital signature into each SMB, which is then verified by both the client and the server.

Implementation of SMB signing may negatively affect performance, because each packet needs to be signed and verified. If these settings are enabled on a server that is performing multiple roles, such as a small business server that is serving as a Domain Controller, file server, print server, and application server performance may be substantially slowed. Additionally, if you configure computers to ignore all unsigned SMB communications, older applications and operating systems will not be able to connect. However, if you completely disable all SMB signing, computers will be vulnerable to session hijacking attacks.

When SMB signing policies are enabled on Domain Controllers running Windows Server 2003 and member computers running Windows Vista SP1 or Windows Server 2008 group policy processing will fail. A hotfix is available from Microsoft that resolves this issue; see Microsoft Knowledge Base article 950876 for more details: [Group Policy settings are not applied on member computers that are running Windows Server 2008 or Windows Vista SP1 when certain SMB signing policies are enabled.](#)

Default Value:

Disabled. (SMB packet signing is negotiated between the client and server.)

References:

1. CCE-35222-9

CIS Controls:

Version 6

13 Data Protection

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5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

2.3.8.2 (L1) Ensure 'Microsoft network client: Digitally sign communications (if server agrees)' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether the SMB client will attempt to negotiate SMB packet signing.

Note: Enabling this policy setting on SMB clients on your network makes them fully effective for packet signing with all clients and servers in your environment.

The recommended state for this setting is: `Enabled`.

Rationale:

Session hijacking uses tools that allow attackers who have access to the same network as the client or server to interrupt, end, or steal a session in progress. Attackers can potentially intercept and modify unsigned SMB packets and then modify the traffic and forward it so that the server might perform undesirable actions. Alternatively, the attacker could pose as the server or client after legitimate authentication and gain unauthorized access to data.

SMB is the resource sharing protocol that is supported by many Windows operating systems. It is the basis of NetBIOS and many other protocols. SMB signatures authenticate both users and the servers that host the data. If either side fails the authentication process, data transmission will not take place.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\LanmanWorkstation\Parameters:EnableSecuritySignature
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Microsoft network client: Digitally sign communications (if server agrees)
```

Impact:

None - this is the default behavior.

The Windows 2000 Server, Windows 2000 Professional, Windows Server 2003, Windows XP Professional and Windows Vista implementations of the SMB file and print sharing protocol support mutual authentication, which prevents session hijacking attacks and supports message authentication to prevent man-in-the-middle attacks. SMB signing provides this authentication by placing a digital signature into each SMB, which is then verified by both the client and the server.

Implementation of SMB signing may negatively affect performance, because each packet needs to be signed and verified. If these settings are enabled on a server that is performing multiple roles, such as a small business server that is serving as a Domain Controller, file server, print server, and application server performance may be substantially slowed. Additionally, if you configure computers to ignore all unsigned SMB communications, older applications and operating systems will not be able to connect. However, if you completely disable all SMB signing, computers will be vulnerable to session hijacking attacks.

When SMB signing policies are enabled on Domain Controllers running Windows Server 2003 and member computers running Windows Vista SP1 or Windows Server 2008 group policy processing will fail. A hotfix is available from Microsoft that resolves this issue; see Microsoft Knowledge Base article 950876 for more details: [Group Policy settings are not applied on member computers that are running Windows Server 2008 or Windows Vista SP1 when certain SMB signing policies are enabled.](#)

Default Value:

Enabled. (The Microsoft network client will ask the server to perform SMB packet signing upon session setup. If packet signing has been enabled on the server, packet signing will be negotiated.)

References:

1. CCE-34908-4

CIS Controls:

Version 6

13 Data Protection

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5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

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2.3.8.3 (L1) Ensure 'Microsoft network client: Send unencrypted password to third-party SMB servers' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether the SMB redirector will send plaintext passwords during authentication to third-party SMB servers that do not support password encryption.

It is recommended that you disable this policy setting unless there is a strong business case to enable it. If this policy setting is enabled, unencrypted passwords will be allowed across the network.

The recommended state for this setting is: Disabled.

Rationale:

If you enable this policy setting, the server can transmit passwords in plaintext across the network to other computers that offer SMB services, which is a significant security risk. These other computers may not use any of the SMB security mechanisms that are included with Windows Server 2003.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\LanmanWorkstation\Parameters:EnablePlainTextPassword
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Microsoft network client: Send unencrypted password to third-party SMB servers
```

Impact:

None - this is the default behavior.

Some very old applications and operating systems such as MS-DOS, Windows for Workgroups 3.11, and Windows 95a may not be able to communicate with the servers in your organization by means of the SMB protocol.

Default Value:

Disabled. (Plaintext passwords will not be sent during authentication to third-party SMB servers that do not support password encryption.)

References:

1. CCE-33717-0

CIS Controls:

Version 6

13 Data Protection
Data Protection

Version 7

5.1 Establish Secure Configurations
Maintain documented, standard security configuration standards for all authorized operating systems and software.

2.3.9 Microsoft network server

This section contains recommendations related to configuring the Microsoft network server.

2.3.9.1 (L1) Ensure 'Microsoft network server: Amount of idle time required before suspending session' is set to '15 or fewer minute(s)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to specify the amount of continuous idle time that must pass in an SMB session before the session is suspended because of inactivity. Administrators can use this policy setting to control when a computer suspends an inactive SMB session. If client activity resumes, the session is automatically reestablished.

The maximum value is 99999, which is over 69 days; in effect, this value disables the setting.

The recommended state for this setting is: 15 or fewer minute(s).

Rationale:

Each SMB session consumes server resources, and numerous null sessions will slow the server or possibly cause it to fail. An attacker could repeatedly establish SMB sessions until the server's SMB services become slow or unresponsive.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\LanManServer\Parameters:  
AutoDisconnect
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to 15 or fewer minute(s):

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Microsoft network server: Amount of idle time required before suspending session
```

Impact:

There will be little impact because SMB sessions will be re-established automatically if the client resumes activity.

Default Value:

15 minutes.

References:

1. CCE-34909-2

CIS Controls:

Version 6

3 Secure Configurations for Hardware and Software on Mobile Devices, Laptops, Workstations, and Servers

Secure Configurations for Hardware and Software on Mobile Devices, Laptops, Workstations, and Servers

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16.11 Lock Workstation Sessions After Inactivity

Automatically lock workstation sessions after a standard period of inactivity.

2.3.9.2 (L1) Ensure 'Microsoft network server: Digitally sign communications (always)' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether packet signing is required by the SMB server component. Enable this policy setting in a mixed environment to prevent downstream clients from using the workstation as a network server.

The recommended state for this setting is: `Enabled`.

Rationale:

Session hijacking uses tools that allow attackers who have access to the same network as the client or server to interrupt, end, or steal a session in progress. Attackers can potentially intercept and modify unsigned SMB packets and then modify the traffic and forward it so that the server might perform undesirable actions. Alternatively, the attacker could pose as the server or client after legitimate authentication and gain unauthorized access to data.

SMB is the resource sharing protocol that is supported by many Windows operating systems. It is the basis of NetBIOS and many other protocols. SMB signatures authenticate both users and the servers that host the data. If either side fails the authentication process, data transmission will not take place.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\LanManServer\Parameters:
RequireSecuritySignature
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local
Policies\Security Options\Microsoft network server: Digitally sign
communications (always)
```

Impact:

The Microsoft network server will not communicate with a Microsoft network client unless that client agrees to perform SMB packet signing.

The Windows 2000 Server, Windows 2000 Professional, Windows Server 2003, Windows XP Professional and Windows Vista implementations of the SMB file and print sharing protocol support mutual authentication, which prevents session hijacking attacks and supports message authentication to prevent man-in-the-middle attacks. SMB signing provides this authentication by placing a digital signature into each SMB, which is then verified by both the client and the server.

Implementation of SMB signing may negatively affect performance, because each packet needs to be signed and verified. If these settings are enabled on a server that is performing multiple roles, such as a small business server that is serving as a Domain Controller, file server, print server, and application server performance may be substantially slowed. Additionally, if you configure computers to ignore all unsigned SMB communications, older applications and operating systems will not be able to connect. However, if you completely disable all SMB signing, computers will be vulnerable to session hijacking attacks.

When SMB signing policies are enabled on Domain Controllers running Windows Server 2003 and member computers running Windows Vista SP1 or Windows Server 2008 group policy processing will fail. A hotfix is available from Microsoft that resolves this issue; see Microsoft Knowledge Base article 950876 for more details: [Group Policy settings are not applied on member computers that are running Windows Server 2008 or Windows Vista SP1 when certain SMB signing policies are enabled.](#)

Default Value:

Disabled. (SMB packet signing is negotiated between the client and server.)

References:

1. CCE-35065-2

CIS Controls:

Version 6

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Data Protection

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

ARCHIVE

2.3.9.3 (L1) Ensure 'Microsoft network server: Digitally sign communications (if client agrees)' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether the SMB server will negotiate SMB packet signing with clients that request it. If no signing request comes from the client, a connection will be allowed without a signature if the **Microsoft network server: Digitally sign communications (always)** setting is not enabled.

Note: Enable this policy setting on SMB clients on your network to make them fully effective for packet signing with all clients and servers in your environment.

The recommended state for this setting is: Enabled.

Rationale:

Session hijacking uses tools that allow attackers who have access to the same network as the client or server to interrupt, end, or steal a session in progress. Attackers can potentially intercept and modify unsigned SMB packets and then modify the traffic and forward it so that the server might perform undesirable actions. Alternatively, the attacker could pose as the server or client after legitimate authentication and gain unauthorized access to data.

SMB is the resource sharing protocol that is supported by many Windows operating systems. It is the basis of NetBIOS and many other protocols. SMB signatures authenticate both users and the servers that host the data. If either side fails the authentication process, data transmission will not take place.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\LanManServer\Parameters:  
EnableSecuritySignature
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Microsoft network server: Digitally sign communications (if client agrees)
```

Impact:

The Microsoft network server will negotiate SMB packet signing as requested by the client. That is, if packet signing has been enabled on the client, packet signing will be negotiated.

The Windows 2000 Server, Windows 2000 Professional, Windows Server 2003, Windows XP Professional and Windows Vista implementations of the SMB file and print sharing protocol support mutual authentication, which prevents session hijacking attacks and supports message authentication to prevent man-in-the-middle attacks. SMB signing provides this authentication by placing a digital signature into each SMB, which is then verified by both the client and the server.

Implementation of SMB signing may negatively affect performance, because each packet needs to be signed and verified. If these settings are enabled on a server that is performing multiple roles, such as a small business server that is serving as a Domain Controller, file server, print server, and application server performance may be substantially slowed. Additionally, if you configure computers to ignore all unsigned SMB communications, older applications and operating systems will not be able to connect. However, if you completely disable all SMB signing, computers will be vulnerable to session hijacking attacks.

When SMB signing policies are enabled on Domain Controllers running Windows Server 2003 and member computers running Windows Vista SP1 or Windows Server 2008 group policy processing will fail. A hotfix is available from Microsoft that resolves this issue; see Microsoft Knowledge Base article 950876 for more details: [Group Policy settings are not applied on member computers that are running Windows Server 2008 or Windows Vista SP1 when certain SMB signing policies are enabled.](#)

Default Value:

Disabled. (The SMB client will never negotiate SMB packet signing.)

References:

1. CCE-35182-5

CIS Controls:

Version 6

13 Data Protection

Data Protection

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

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2.3.9.4 (L1) Ensure 'Microsoft network server: Disconnect clients when logon hours expire' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This security setting determines whether to disconnect users who are connected to the local computer outside their user account's valid logon hours. This setting affects the Server Message Block (SMB) component. If you enable this policy setting you should also enable *Network security: Force logoff when logon hours expire* (Rule 2.3.11.6).

If your organization configures logon hours for users, this policy setting is necessary to ensure they are effective.

The recommended state for this setting is: Enabled.

Rationale:

If your organization configures logon hours for users, then it makes sense to enable this policy setting. Otherwise, users who should not have access to network resources outside of their logon hours may actually be able to continue to use those resources with sessions that were established during allowed hours.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\LanManServer\Parameters:  
enableforcedlogoff
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local  
Policies\Security Options\Microsoft network server: Disconnect clients when  
logon hours expire
```

Impact:

None - this is the default behavior. If logon hours are not used in your organization, this policy setting will have no impact. If logon hours are used, existing user sessions will be forcibly terminated when their logon hours expire.

Default Value:

Enabled. (Client sessions with the SMB service are forcibly disconnected when the client's logon hours expire.)

References:

1. CCE-34911-8

CIS Controls:

Version 6

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16.13 Alert on Account Login Behavior Deviation
Alert when users deviate from normal login behavior, such as time-of-day, workstation location and duration.

2.3.9.5 (L1) Ensure 'Microsoft network server: Server SPN target name validation level' is set to 'Accept if provided by client' or higher (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls the level of validation a computer with shared folders or printers (the server) performs on the service principal name (SPN) that is provided by the client computer when it establishes a session using the server message block (SMB) protocol.

The server message block (SMB) protocol provides the basis for file and print sharing and other networking operations, such as remote Windows administration. The SMB protocol supports validating the SMB server service principal name (SPN) within the authentication blob provided by a SMB client to prevent a class of attacks against SMB servers referred to as SMB relay attacks. This setting will affect both SMB1 and SMB2.

The recommended state for this setting is: `Accept if provided by client`. Configuring this setting to `Required from client` also conforms to the benchmark.

Rationale:

The identity of a computer can be spoofed to gain unauthorized access to network resources.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\LanManServer\Parameters:  
SMBServerNameHardeningLevel
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Accept if provided by client` (configuring to `Required from client` also conforms to the benchmark):

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Microsoft network server: Server SPN target name validation level
```

Impact:

All Windows operating systems support both a client-side SMB component and a server-side SMB component. This setting affects the server SMB behavior, and its implementation should be carefully evaluated and tested to prevent disruptions to file and print serving capabilities.

If configured to `Accept if provided by client`, the SMB server will accept and validate the SPN provided by the SMB client and allow a session to be established if it matches the SMB server's list of SPN's for itself. If the SPN does NOT match, the session request for that SMB client will be denied.

If configured to `Required from client`, the SMB client MUST send a SPN name in session setup, and the SPN name provided MUST match the SMB server that is being requested to establish a connection. If no SPN is provided by client, or the SPN provided does not match, the session is denied.

Default Value:

Off. (The SPN is not required or validated by the SMB server from a SMB client.)

References:

1. CCE-35299-7

CIS Controls:

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5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

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2.3.10 Network access

This section contains recommendations related to network access.

2.3.10.1 (L1) Ensure 'Network access: Allow anonymous SID/Name translation' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether an anonymous user can request security identifier (SID) attributes for another user, or use a SID to obtain its corresponding user name.

The recommended state for this setting is: `Disabled`.

Rationale:

If this policy setting is enabled, a user with local access could use the well-known Administrator's SID to learn the real name of the built-in Administrator account, even if it has been renamed. That person could then use the account name to initiate a password guessing attack.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Network access: Allow anonymous SID/Name translation
```

Impact:

None - this is the default behavior.

Default Value:

Disabled. (An anonymous user cannot request the SID attribute for another user.)

References:

1. CCE-34914-2

CIS Controls:

Version 6

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5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

2.3.10.2 (L1) Ensure 'Network access: Do not allow anonymous enumeration of SAM accounts' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls the ability of anonymous users to enumerate the accounts in the Security Accounts Manager (SAM). If you enable this policy setting, users with anonymous connections will not be able to enumerate domain account user names on the systems in your environment. This policy setting also allows additional restrictions on anonymous connections.

The recommended state for this setting is: Enabled.

Note: This policy has no effect on Domain Controllers.

Rationale:

An unauthorized user could anonymously list account names and use the information to attempt to guess passwords or perform social engineering attacks. (Social engineering attacks try to deceive users in some way to obtain passwords or some form of security information.)

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Lsa:RestrictAnonymousSAM
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Network access: Do not allow anonymous enumeration of SAM accounts
```

Impact:

None - this is the default behavior. It will be impossible to establish trusts with Windows NT 4.0-based domains. Also, client computers that run older versions of the Windows operating system such as Windows NT 3.51 and Windows 95 will experience problems when they try to use resources on the server.

Default Value:

Enabled. (Do not allow anonymous enumeration of SAM accounts. This option replaces Everyone with Authenticated Users in the security permissions for resources.)

References:

1. CCE-34631-2

CIS Controls:

Version 6

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5.1 Establish Secure Configurations
Maintain documented, standard security configuration standards for all authorized operating systems and software.

2.3.10.3 (L1) Ensure 'Network access: Do not allow anonymous enumeration of SAM accounts and shares' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls the ability of anonymous users to enumerate SAM accounts as well as shares. If you enable this policy setting, anonymous users will not be able to enumerate domain account user names and network share names on the systems in your environment.

The recommended state for this setting is: Enabled.

Note: This policy has no effect on Domain Controllers.

Rationale:

An unauthorized user could anonymously list account names and shared resources and use the information to attempt to guess passwords or perform social engineering attacks. (Social engineering attacks try to deceive users in some way to obtain passwords or some form of security information.)

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Lsa:RestrictAnonymous
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Network access: Do not allow anonymous enumeration of SAM accounts and shares
```

Impact:

It will be impossible to establish trusts with Windows NT 4.0-based domains. Also, client computers that run older versions of the Windows operating system such as Windows NT 3.51 and Windows 95 will experience problems when they try to use resources on the server. Users who access file and print servers anonymously will be unable to list the shared network resources on those servers; the users will have to authenticate before they can view the lists of shared folders and printers. However, even with this policy setting enabled, anonymous users will have access to resources with permissions that explicitly include the built-in group, `ANONYMOUS LOGON`.

Default Value:

Disabled. (Allow anonymous enumeration of SAM accounts and shares. No additional permissions can be assigned by the administrator for anonymous connections to the computer. Anonymous connections will rely on default permissions.)

References:

1. CCE-34723-7

CIS Controls:

Version 6

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5.1 Establish Secure Configurations
Maintain documented, standard security configuration standards for all authorized operating systems and software.

2.3.10.4 (L1) Ensure 'Network access: Do not allow storage of passwords and credentials for network authentication' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether Credential Manager (formerly called Stored User Names and Passwords) saves passwords or credentials for later use when it gains domain authentication.

The recommended state for this setting is: `Enabled`.

Note: Changes to this setting will not take effect until Windows is restarted.

Rationale:

Passwords that are cached can be accessed by the user when logged on to the computer. Although this information may sound obvious, a problem can arise if the user unknowingly executes hostile code that reads the passwords and forwards them to another, unauthorized user.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Lsa:disableDomainCreds
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Network access: Do not allow storage of passwords and credentials for network authentication
```

Impact:

Credential Manager will not store passwords and credentials on the computer. Users will be forced to enter passwords whenever they log on to their Passport account or other network resources that aren't accessible to their domain account. Testing has shown that clients running Windows Vista or Windows Server 2008 will be unable to connect to Distributed File System (DFS) shares in untrusted domains. Enabling this setting also makes it impossible to specify alternate credentials for scheduled tasks, this can cause a variety of problems. For example, some third party backup products will no longer work. This policy setting should have no impact on users who access network resources that are configured to allow access with their Active Directory-based domain account.

Default Value:

Disabled. (Credential Manager will store passwords and credentials on the computer for later use for domain authentication.)

References:

1. CCE-33718-8

CIS Controls:

Version 6

16.14 Encrypt/Hash All Authentication Files And Monitor Their Access

Verify that all authentication files are encrypted or hashed and that these files cannot be accessed without root or administrator privileges. Audit all access to password files in the system.

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16.4 Encrypt or Hash all Authentication Credentials

Encrypt or hash with a salt all authentication credentials when stored.

2.3.10.5 (L1) Ensure 'Network access: Let Everyone permissions apply to anonymous users' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines what additional permissions are assigned for anonymous connections to the computer.

The recommended state for this setting is: Disabled.

Rationale:

An unauthorized user could anonymously list account names and shared resources and use the information to attempt to guess passwords, perform social engineering attacks, or launch DoS attacks.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Lsa:EveryoneIncludesAnonymous
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Network access: Let Everyone permissions apply to anonymous users
```

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Anonymous users can only access those resources for which the built-in group ANONYMOUS LOGON has been explicitly given permission.)

References:

1. CCE-35367-2

CIS Controls:

Version 6

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5.1 Establish Secure Configurations

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2.3.10.6 (L1) Ensure 'Network access: Named Pipes that can be accessed anonymously' is set to 'None' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which communication sessions, or pipes, will have attributes and permissions that allow anonymous access.

The recommended state for this setting is: <blank> (i.e. None).

Rationale:

Limiting named pipes that can be accessed anonymously will reduce the attack surface of the system.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\LanManServer\Parameters:  
NullSessionPipes
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to <blank> (i.e. None):

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local  
Policies\Security Options\Network access: Named Pipes that can be accessed  
anonymously
```

Impact:

This configuration will disable null session access over named pipes, and applications that rely on this feature or on unauthenticated access to named pipes will no longer function.

Default Value:

None.

References:

1. CCE-34965-4

CIS Controls:

Version 6

14.1 Implement Network Segmentation Based On Information Class

Segment the network based on the label or classification level of the information stored on the servers. Locate all sensitive information on separated VLANs with firewall filtering to ensure that only authorized individuals are only able to communicate with systems necessary to fulfill their specific responsibilities.

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14.1 Segment the Network Based on Sensitivity

Segment the network based on the label or classification level of the information stored on the servers, locate all sensitive information on separated Virtual Local Area Networks (VLANs).

14.2 Enable Firewall Filtering Between VLANs

Enable firewall filtering between VLANs to ensure that only authorized systems are able to communicate with other systems necessary to fulfill their specific responsibilities.

2.3.10.7 (L1) Ensure 'Network access: Remotely accessible registry paths' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which registry paths will be accessible over the network, regardless of the users or groups listed in the access control list (ACL) of the `winreg` registry key.

Note: This setting does not exist in Windows XP. There was a setting with that name in Windows XP, but it is called "Network access: Remotely accessible registry paths and sub-paths" in Windows Server 2003, Windows Vista, and Windows Server 2008 (non-R2).

Note #2: When you configure this setting you specify a list of one or more objects. The delimiter used when entering the list is a line feed or carriage return, that is, type the first object on the list, press the Enter button, type the next object, press Enter again, etc. The setting value is stored as a comma-delimited list in group policy security templates. It is also rendered as a comma-delimited list in Group Policy Editor's display pane and the Resultant Set of Policy console. It is recorded in the registry as a line-feed delimited list in a `REG_MULTI_SZ` value.

The recommended state for this setting is:

```
System\CurrentControlSet\Control\ProductOptions  
System\CurrentControlSet\Control\Server Applications  
Software\Microsoft\Windows NT\CurrentVersion
```

Rationale:

The registry is a database that contains computer configuration information, and much of the information is sensitive. An attacker could use this information to facilitate unauthorized activities. To reduce the risk of such an attack, suitable ACLs are assigned throughout the registry to help protect it from access by unauthorized users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\SecurePipeServers\Winreg\
AllowedExactPaths:Machine
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to:

```
System\CurrentControlSet\Control\ProductOptions
System\CurrentControlSet\Control\Server Applications
SOFTWARE\Microsoft\Windows NT\CurrentVersion
```

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local
Policies\Security Options\Network access: Remotely accessible registry paths
```

Impact:

None - this is the default behavior. However, if you remove the default registry paths from the list of accessible ones, remote management tools such as the Microsoft Baseline Security Analyzer and Microsoft Systems Management Server could fail, as they require remote access to the registry to properly monitor and manage computers.

Note: If you want to allow remote access, you must also enable the Remote Registry service.

Default Value:

```
System\CurrentControlSet\Control\ProductOptions
System\CurrentControlSet\Control\Server Applications
Software\Microsoft\Windows NT\CurrentVersion
```

References:

1. CCE-33976-2

CIS Controls:

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14.6 Protect Information through Access Control Lists

Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.

14.9 Enforce Detail Logging for Access or Changes to Sensitive Data

Enforce detailed audit logging for access to sensitive data or changes to sensitive data (utilizing tools such as File Integrity Monitoring or Security Information and Event Monitoring).

2.3.10.8 (L1) Ensure 'Network access: Remotely accessible registry paths and sub-paths' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which registry paths and sub-paths will be accessible over the network, regardless of the users or groups listed in the access control list (ACL) of the `winreg` registry key.

Note: In Windows XP this setting is called "Network access: Remotely accessible registry paths," the setting with that same name in Windows Vista, Windows Server 2008 (non-R2), and Windows Server 2003 does not exist in Windows XP.

Note #2: When you configure this setting you specify a list of one or more objects. The delimiter used when entering the list is a line feed or carriage return, that is, type the first object on the list, press the Enter button, type the next object, press Enter again, etc. The setting value is stored as a comma-delimited list in group policy security templates. It is also rendered as a comma-delimited list in Group Policy Editor's display pane and the Resultant Set of Policy console. It is recorded in the registry as a line-feed delimited list in a `REG_MULTI_SZ` value.

The recommended state for this setting is:

```
System\CurrentControlSet\Control\Print\Printers
System\CurrentControlSet\Services\Eventlog
Software\Microsoft\OLAP Server
Software\Microsoft\Windows NT\CurrentVersion\Print
Software\Microsoft\Windows NT\CurrentVersion\Windows
System\CurrentControlSet\Control\ContentIndex
System\CurrentControlSet\Control\Terminal Server
System\CurrentControlSet\Control\Terminal Server\UserConfig
System\CurrentControlSet\Control\Terminal Server\DefaultUserConfiguration
Software\Microsoft\Windows NT\CurrentVersion\Perflib
System\CurrentControlSet\Services\SysmonLog
```


Rationale:

The registry contains sensitive computer configuration information that could be used by an attacker to facilitate unauthorized activities. The fact that the default ACLs assigned throughout the registry are fairly restrictive and help to protect the registry from access by unauthorized users reduces the risk of such an attack.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\SecurePipeServers\Winreg\
AllowedPaths:Machine
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to:

```
System\CurrentControlSet\Control\Print\Printers
System\CurrentControlSet\Services\Eventlog
SOFTWARE\Microsoft\OLAP Server
SOFTWARE\Microsoft\Windows NT\CurrentVersion\Print
SOFTWARE\Microsoft\Windows NT\CurrentVersion\Windows
System\CurrentControlSet\Control\ContentIndex
System\CurrentControlSet\Control\Terminal Server
System\CurrentControlSet\Control\Terminal Server\UserConfig
System\CurrentControlSet\Control\Terminal Server\DefaultUserConfiguration
SOFTWARE\Microsoft\Windows NT\CurrentVersion\Perflib
System\CurrentControlSet\Services\SysmonLog
```

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local
Policies\Security Options\Network access: Remotely accessible registry paths
and sub-paths
```

Impact:

None - this is the default behavior. However, if you remove the default registry paths from the list of accessible ones, remote management tools such as the Microsoft Baseline Security Analyzer and Microsoft Systems Management Server could fail, as they require remote access to the registry to properly monitor and manage computers.

Note: If you want to allow remote access, you must also enable the Remote Registry service.

Default Value:

System\CurrentControlSet\Control\Print\Printers
System\CurrentControlSet\Services\Eventlog
Software\Microsoft\OLAP Server
Software\Microsoft\Windows NT\CurrentVersion\Print
Software\Microsoft\Windows NT\CurrentVersion\Windows
System\CurrentControlSet\Control\ContentIndex
System\CurrentControlSet\Control\Terminal Server
System\CurrentControlSet\Control\Terminal Server\UserConfig
System\CurrentControlSet\Control\Terminal Server\DefaultUserConfiguration
Software\Microsoft\Windows NT\CurrentVersion\Perflib
System\CurrentControlSet\Services\SysmonLog

References:

1. CCE-35300-3

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14.6 Protect Information through Access Control Lists
Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.

14.9 Enforce Detail Logging for Access or Changes to Sensitive Data
Enforce detailed audit logging for access to sensitive data or changes to sensitive data (utilizing tools such as File Integrity Monitoring or Security Information and Event Monitoring).

2.3.10.9 (L1) Ensure 'Network access: Restrict anonymous access to Named Pipes and Shares' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

When enabled, this policy setting restricts anonymous access to only those shares and pipes that are named in the `Network access: Named pipes that can be accessed anonymously` and `Network access: Shares that can be accessed anonymously` settings. This policy setting controls null session access to shares on your computers by adding `RestrictNullSessAccess` with the value 1 in the

`HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\LanManServer\Parameters`

registry key. This registry value toggles null session shares on or off to control whether the server service restricts unauthenticated clients' access to named resources.

The recommended state for this setting is: `Enabled`.

Rationale:

Null sessions are a weakness that can be exploited through shares (including the default shares) on computers in your environment.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\LanManServer\Parameters:
RestrictNullSessAccess
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local
Policies\Security Options\Network access: Restrict anonymous access to Named
Pipes and Shares
```

Impact:

None - this is the default behavior. If you choose to enable this setting and are supporting Windows NT 4.0 domains, you should check if any of the named pipes are required to maintain trust relationships between the domains, and then add the pipe to the **Network access: Named pipes that can be accessed anonymously** list:

- COMNAP: SNA session access
- COMNODE: SNA session access
- SQL\QUERY: SQL instance access
- SPOOLSS: Spooler service
- LLSRPC: License Logging service
- NETLOGON: Net Logon service
- LSARPC: LSA access
- SAMR: Remote access to SAM objects
- BROWSER: Computer Browser service

Previous to the release of Windows Server 2003 with Service Pack 1 (SP1) these named pipes were allowed anonymous access by default, but with the increased hardening in Windows Server 2003 with SP1 these pipes must be explicitly added if needed.

Default Value:

Enabled. (Anonymous access is restricted to shares and pipes listed in the **Network access: Named pipes that can be accessed anonymously** and **Network access: Shares that can be accessed anonymously** settings.)

References:

1. CCE-33563-8

CIS Controls:

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14.6 Protect Information through Access Control Lists

Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.

2.3.10.10 (L1) Ensure 'Network access: Shares that can be accessed anonymously' is set to 'None' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which network shares can be accessed by anonymous users. The default configuration for this policy setting has little effect because all users have to be authenticated before they can access shared resources on the server.

The recommended state for this setting is: <blank> (i.e. None).

Rationale:

It is very dangerous to allow any values in this setting. Any shares that are listed can be accessed by any network user, which could lead to the exposure or corruption of sensitive data.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\LanManServer\Parameters:  
NullSessionShares
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to <blank> (i.e. None):

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local  
Policies\Security Options\Network access: Shares that can be accessed  
anonymously
```

Impact:

None - this is the default behavior.

Default Value:

None. (Only authenticated users will have access to all shared resources on the server.)

References:

1. CCE-34651-0

CIS Controls:

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14.6 Protect Information through Access Control Lists

Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.

2.3.10.11 (L1) Ensure 'Network access: Sharing and security model for local accounts' is set to 'Classic - local users authenticate as themselves' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines how network logons that use local accounts are authenticated. The Classic option allows precise control over access to resources, including the ability to assign different types of access to different users for the same resource. The Guest only option allows you to treat all users equally. In this context, all users authenticate as Guest only to receive the same access level to a given resource.

The recommended state for this setting is: `Classic - local users authenticate as themselves`.

Note: This setting does not affect interactive logons that are performed remotely by using such services as Telnet or Remote Desktop Services (formerly called Terminal Services).

Rationale:

With the Guest only model, any user who can authenticate to your computer over the network does so with guest privileges, which probably means that they will not have write access to shared resources on that computer. Although this restriction does increase security, it makes it more difficult for authorized users to access shared resources on those computers because ACLs on those resources must include access control entries (ACEs) for the Guest account. With the Classic model, local accounts should be password protected. Otherwise, if Guest access is enabled, anyone can use those user accounts to access shared system resources.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Lsa:ForceGuest

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Classic` - local users authenticate as themselves:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Network access: Sharing and security model for local accounts
```

Impact:

None - this is the default configuration for domain-joined computers.

Default Value:

On domain-joined computers: `Classic` - local users authenticate as themselves. (Network logons that use local account credentials authenticate by using those credentials.)

On stand-alone computers: `Guest only` - local users authenticate as `Guest`. (Network logons that use local accounts are automatically mapped to the `Guest` account.)

References:

1. CCE-33719-6

CIS Controls:

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5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

2.3.11 Network security

This section contains recommendations related to network security.

2.3.11.1 (L1) Ensure 'Network security: Allow Local System to use computer identity for NTLM' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether Local System services that use Negotiate when reverting to NTLM authentication can use the computer identity. This policy is supported on at least Windows 7 or Windows Server 2008 R2.

The recommended state for this setting is: `Enabled`.

Rationale:

When connecting to computers running versions of Windows earlier than Windows Vista or Windows Server 2008 (non-R2), services running as Local System and using SPNEGO (Negotiate) that revert to NTLM use the computer identity. In Windows 7, if you are connecting to a computer running Windows Server 2008 or Windows Vista, then a system service uses either the computer identity or a NULL session. When connecting with a NULL session, a system-generated session key is created, which provides no protection but allows applications to sign and encrypt data without errors. When connecting with the computer identity, both signing and encryption is supported in order to provide data protection.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Lsa:UseMachineId

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Network security: Allow Local System to use computer identity for NTLM
```

Impact:

Services running as Local System that use Negotiate when reverting to NTLM authentication will use the computer identity. This might cause some authentication requests between Windows operating systems to fail and log an error.

Default Value:

Disabled. (Services running as Local System that use Negotiate when reverting to NTLM authentication will authenticate anonymously.)

References:

1. CCE-33141-3

CIS Controls:

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5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

2.3.11.2 (L1) Ensure 'Network security: Allow LocalSystem NULL session fallback' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether NTLM is allowed to fall back to a NULL session when used with LocalSystem.

The recommended state for this setting is: Disabled.

Rationale:

NULL sessions are less secure because by definition they are unauthenticated.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Lsa\MSV1_0:AllowNullSessionFallback
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Network security: Allow LocalSystem NULL session fallback
```

Impact:

None - this is the default behavior. Any applications that require NULL sessions for LocalSystem will not work as designed.

Default Value:

Disabled. (NTLM will not be permitted to fall back to a NULL session when used with LocalSystem.)

References:

1. CCE-35410-0

CIS Controls:

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16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

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2.3.11.3 (L1) Ensure 'Network Security: Allow PKU2U authentication requests to this computer to use online identities' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting determines if online identities are able to authenticate to this computer.

The Public Key Cryptography Based User-to-User (PKU2U) protocol introduced in Windows 7 and Windows Server 2008 R2 is implemented as a security support provider (SSP). The SSP enables peer-to-peer authentication, particularly through the Windows 7 media and file sharing feature called HomeGroup, which permits sharing between computers that are not members of a domain.

With PKU2U, a new extension was introduced to the Negotiate authentication package, `Spnego.dll`. In previous versions of Windows, Negotiate decided whether to use Kerberos or NTLM for authentication. The extension SSP for Negotiate, `Negoexts.dll`, which is treated as an authentication protocol by Windows, supports Microsoft SSPs including PKU2U.

When computers are configured to accept authentication requests by using online IDs, `Negoexts.dll` calls the PKU2U SSP on the computer that is used to log on. The PKU2U SSP obtains a local certificate and exchanges the policy between the peer computers. When validated on the peer computer, the certificate within the metadata is sent to the logon peer for validation and associates the user's certificate to a security token and the logon process completes.

The recommended state for this setting is: `Disabled`.

Rationale:

The PKU2U protocol is a peer-to-peer authentication protocol - authentication should be managed centrally in most managed networks.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Lsa\pku2u:AllowOnlineID
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Network Security: Allow PKU2U authentication requests to this computer to use online identities
```

Impact:

None - this is the default configuration for domain-joined computers.

Default Value:

Disabled. (Online identities will not to be allowed to authenticate to a domain-joined machine.)

References:

1. CCE-35411-8

CIS Controls:

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16.9 Configure Account Access Centrally

Configure access for all accounts through a centralized point of authentication, for example Active Directory or LDAP. Configure network and security devices for centralized authentication as well.

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16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

2.3.11.4 (L1) Ensure 'Network security: Configure encryption types allowed for Kerberos' is set to 'AES128_HMAC_SHA1, AES256_HMAC_SHA1, Future encryption types' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to set the encryption types that Kerberos is allowed to use.

The recommended state for this setting is: AES128_HMAC_SHA1, AES256_HMAC_SHA1, Future encryption types.

Rationale:

The strength of each encryption algorithm varies from one to the next, choosing stronger algorithms will reduce the risk of compromise however doing so may cause issues when the computer attempts to authenticate with systems that do not support them.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System\Kerberos\Parameters\SupportedEncryptionTypes
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to AES128_HMAC_SHA1, AES256_HMAC_SHA1, Future encryption types:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Network security: Configure encryption types allowed for Kerberos
```


Impact:

If not selected, the encryption type will not be allowed. This setting may affect compatibility with client computers or services and applications. Multiple selections are permitted.

Note: Some legacy applications and OSes may require RC4_HMAC_MD5 - we recommend you test in your environment and verify whether you can safely remove it.

Note #2: Windows Vista and below allow DES for Kerberos by default, but later OS versions do not.

Default Value:

RC4_HMAC_MD5, AES128_HMAC_SHA1, AES256_HMAC_SHA1, Future encryption types.

References:

1. CCE-35786-3

CIS Controls:

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16.14 Encrypt/Hash All Authentication Files And Monitor Their Access

Verify that all authentication files are encrypted or hashed and that these files cannot be accessed without root or administrator privileges. Audit all access to password files in the system.

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16.4 Encrypt or Hash all Authentication Credentials

Encrypt or hash with a salt all authentication credentials when stored.

16.5 Encrypt Transmittal of Username and Authentication Credentials

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

2.3.11.5 (L1) Ensure 'Network security: Do not store LAN Manager hash value on next password change' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether the LAN Manager (LM) hash value for the new password is stored when the password is changed. The LM hash is relatively weak and prone to attack compared to the cryptographically stronger Microsoft Windows NT hash. Since LM hashes are stored on the local computer in the security database, passwords can then be easily compromised if the database is attacked.

Note: Older operating systems and some third-party applications may fail when this policy setting is enabled. Also, note that the password will need to be changed on all accounts after you enable this setting to gain the proper benefit.

The recommended state for this setting is: `Enabled`.

Rationale:

The SAM file can be targeted by attackers who seek access to username and password hashes. Such attacks use special tools to crack passwords, which can then be used to impersonate users and gain access to resources on your network. These types of attacks will not be prevented if you enable this policy setting, but it will be much more difficult for these types of attacks to succeed.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Lsa:NoLMHash
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Network security: Do not store LAN Manager hash value on next password change
```

Impact:

None - this is the default behavior. Earlier operating systems such as Windows 95, Windows 98, and Windows ME as well as some third-party applications will fail.

Default Value:

Enabled. (LAN Manager hash values are not stored when passwords are changed.)

References:

1. CCE-35225-2

CIS Controls:**Version 6****16.14 Encrypt/Hash All Authentication Files And Monitor Their Access**

Verify that all authentication files are encrypted or hashed and that these files cannot be accessed without root or administrator privileges. Audit all access to password files in the system.

Version 7**16.4 Encrypt or Hash all Authentication Credentials**

Encrypt or hash with a salt all authentication credentials when stored.

16.5 Encrypt Transmittal of Username and Authentication Credentials

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

2.3.11.6 (L1) Ensure 'Network security: Force logoff when logon hours expire' is set to 'Enabled' (Not Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether to disconnect users who are connected to the local computer outside their user account's valid logon hours. This setting affects the Server Message Block (SMB) component. If you enable this policy setting you should also enable *Microsoft network server: Disconnect clients when logon hours expire* (Rule 2.3.9.4).

The recommended state for this setting is: `Enabled`.

Rationale:

If this setting is disabled, a user could remain connected to the computer outside of their allotted logon hours.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`.

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Network security: Force logoff when logon hours expire
```

Impact:

None - this is the default behavior.

Default Value:

Enabled. (When a user's logon time expires, client sessions with the SMB server will be forcibly disconnected. The user will be unable to log on to the computer until their next scheduled access time commences.)

References:

1. CCE-34993-6

CIS Controls:

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16.13 Alert on Account Login Behavior Deviation

Alert when users deviate from normal login behavior, such as time-of-day, workstation location and duration.

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2.3.11.7 (L1) Ensure 'Network security: LAN Manager authentication level' is set to 'Send NTLMv2 response only. Refuse LM & NTLM' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

LAN Manager (LM) was a family of early Microsoft client/server software (predating Windows NT) that allowed users to link personal computers together on a single network. LM network capabilities included transparent file and print sharing, user security features, and network administration tools. In Active Directory domains, the Kerberos protocol is the default authentication protocol. However, if the Kerberos protocol is not negotiated for some reason, Active Directory will use LM, NTLM, or NTLMv2. LAN Manager authentication includes the LM, NTLM, and NTLM version 2 (NTLMv2) variants, and is the protocol that is used to authenticate all Windows clients when they perform the following operations:

- Join a domain
- Authenticate between Active Directory forests
- Authenticate to down-level domains
- Authenticate to computers that do not run Windows 2000, Windows Server 2003, or Windows XP
- Authenticate to computers that are not in the domain

The Network security: LAN Manager authentication level setting determines which challenge/response authentication protocol is used for network logons. This choice affects the level of authentication protocol used by clients, the level of session security negotiated, and the level of authentication accepted by servers.

The recommended state for this setting is: `Send NTLMv2 response only. Refuse LM & NTLM.`

Rationale:

Windows 2000 and Windows XP clients were configured by default to send LM and NTLM authentication responses (Windows 95-based and Windows 98-based clients only send LM). The default settings in OSes predating Windows Vista / Windows Server 2008 (non-R2) allowed all clients to authenticate with servers and use their resources. However, this meant that LM responses - the weakest form of authentication response - were sent over the network, and it was potentially possible for attackers to sniff that traffic to more easily reproduce the user's password.

The Windows 95, Windows 98, and Windows NT operating systems cannot use the Kerberos version 5 protocol for authentication. For this reason, in a Windows Server 2003 domain, these computers authenticate by default with both the LM and NTLM protocols for network authentication. You can enforce a more secure authentication protocol for Windows 95, Windows 98, and Windows NT by using NTLMv2. For the logon process, NTLMv2 uses a secure channel to protect the authentication process. Even if you use NTLMv2 for older clients and servers, Windows-based clients and servers that are members of the domain will use the Kerberos authentication protocol to authenticate with Windows Server 2003 or newer Domain Controllers. For these reasons, it is strongly preferred to restrict the use of LM & NTLM (non-v2) as much as possible.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Lsa:LmCompatibilityLevel
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Send NTLMv2 response only. Refuse LM & NTLM:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Network security: LAN Manager authentication level
```

Impact:

Clients use NTLMv2 authentication only and use NTLMv2 session security if the server supports it; Domain Controllers refuse LM and NTLM (accept only NTLMv2 authentication). Clients that do not support NTLMv2 authentication will not be able to authenticate in the domain and access domain resources by using LM and NTLM.

Default Value:

Send NTLMv2 response only. (Clients use NTLMv2 authentication only and use NTLMv2 session security if the server supports it; Domain Controllers accept LM, NTLM & NTLMv2 authentication.)

References:

1. CCE-35302-9

CIS Controls:

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16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

2.3.11.8 (L1) Ensure 'Network security: LDAP client signing requirements' is set to 'Negotiate signing' or higher (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines the level of data signing that is requested on behalf of clients that issue LDAP BIND requests.

Note: This policy setting does not have any impact on LDAP simple bind (`ldap_simple_bind`) or LDAP simple bind through SSL (`ldap_simple_bind_s`). No Microsoft LDAP clients that are included with Windows XP Professional use `ldap_simple_bind` or `ldap_simple_bind_s` to communicate with a Domain Controller.

The recommended state for this setting is: `Negotiate signing`. Configuring this setting to `Require signing` also conforms to the benchmark.

Rationale:

Unsigned network traffic is susceptible to man-in-the-middle attacks in which an intruder captures the packets between the client and server, modifies them, and then forwards them to the server. For an LDAP server, this susceptibility means that an attacker could cause a server to make decisions that are based on false or altered data from the LDAP queries. To lower this risk in your network, you can implement strong physical security measures to protect the network infrastructure. Also, you can make all types of man-in-the-middle attacks extremely difficult if you require digital signatures on all network packets by means of IPsec authentication headers.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\LDAP\LDAPClientIntegrity
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Negotiate signing` (configuring to `Require signing` also conforms to the benchmark):

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Network security: LDAP client signing requirements
```

Impact:

None - this is the default behavior. However, if you choose instead to configure the server to *require* LDAP signatures then you must also configure the client. If you do not configure the client it will not be able to communicate with the server, which could cause many features to fail, including user authentication, Group Policy, and logon scripts, because the caller will be told that the LDAP BIND command request failed.

Default Value:

Negotiate signing. (If Transport Layer Security/Secure Sockets Layer (TLS/SSL) has not been started, the LDAP BIND request is initiated with the LDAP data signing option set in addition to the caller-specified options. If TLS/SSL has been started, the LDAP BIND request is initiated with the caller-specified options.)

References:

1. CCE-33802-0

CIS Controls:

Version 6

13 Data Protection
Data Protection

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

2.3.11.9 (L1) Ensure 'Network security: Minimum session security for NTLM SSP based (including secure RPC) clients' is set to 'Require NTLMv2 session security, Require 128-bit encryption' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which behaviors are allowed by clients for applications using the NTLM Security Support Provider (SSP). The SSP Interface (SSPI) is used by applications that need authentication services. The setting does not modify how the authentication sequence works but instead require certain behaviors in applications that use the SSPI.

The recommended state for this setting is: Require NTLMv2 session security, Require 128-bit encryption.

Note: These values are dependent on the *Network security: LAN Manager Authentication Level* (Rule 2.3.11.7) security setting value.

Rationale:

You can enable both options for this policy setting to help protect network traffic that uses the NTLM Security Support Provider (NTLM SSP) from being exposed or tampered with by an attacker who has gained access to the same network. In other words, these options help protect against man-in-the-middle attacks.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Lsa\MSV1_0:NTLMMinClientSec
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Require NTLMv2 session security, Require 128-bit encryption:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Network security: Minimum session security for NTLM SSP based (including secure RPC) clients
```

Impact:

NTLM connections will fail if NTLMv2 protocol and strong encryption (128-bit) are not **both** negotiated. Client applications that are enforcing these settings will be unable to communicate with older servers that do not support them. This setting could impact Windows Clustering when applied to servers running Windows Server 2003, see Microsoft Knowledge Base article 890761: [You receive an "Error 0x8007042b" error message when you add or join a node to a cluster if you use NTLM version 2 in Windows Server 2003](#) for more information on possible issues and how to resolve them.

Default Value:

Require 128-bit encryption. (NTLM connections will fail if strong encryption (128-bit) is not negotiated.)

References:

1. CCE-35447-2

CIS Controls:

Version 6

13 Data Protection
Data Protection

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

2.3.11.10 (L1) Ensure 'Network security: Minimum session security for NTLM SSP based (including secure RPC) servers' is set to 'Require NTLMv2 session security, Require 128-bit encryption' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines which behaviors are allowed by servers for applications using the NTLM Security Support Provider (SSP). The SSP Interface (SSPI) is used by applications that need authentication services. The setting does not modify how the authentication sequence works but instead require certain behaviors in applications that use the SSPI.

The recommended state for this setting is: `Require NTLMv2 session security, Require 128-bit encryption`.

Note: These values are dependent on the *Network security: LAN Manager Authentication Level* (Rule 2.3.11.7) security setting value.

Rationale:

You can enable all of the options for this policy setting to help protect network traffic that uses the NTLM Security Support Provider (NTLM SSP) from being exposed or tampered with by an attacker who has gained access to the same network. That is, these options help protect against man-in-the-middle attacks.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Lsa\MSV1_0:NTLMMinServerSec
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Require NTLMv2 session security, Require 128-bit encryption:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\Network security: Minimum session security for NTLM SSP based (including secure RPC) servers
```

Impact:

NTLM connections will fail if NTLMv2 protocol and strong encryption (128-bit) are not **both** negotiated. Server applications that are enforcing these settings will be unable to communicate with older servers that do not support them. This setting could impact Windows Clustering when applied to servers running Windows Server 2003, see Microsoft Knowledge Base article 890761: [You receive an "Error 0x8007042b" error message when you add or join a node to a cluster if you use NTLM version 2 in Windows Server 2003](#) for more information on possible issues and how to resolve them.

Default Value:

Require 128-bit encryption. (NTLM connections will fail if strong encryption (128-bit) is not negotiated.)

References:

1. CCE-35108-0

CIS Controls:

Version 6

13 Data Protection
Data Protection

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

2.3.12 Recovery console

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

2.3.13 Shutdown

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

ARCHIVE

2.3.14 System cryptography

This section contains recommendations related to system cryptography.

2.3.14.1 (L2) Ensure 'System cryptography: Force strong key protection for user keys stored on the computer' is set to 'User is prompted when the key is first used' or higher (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting determines whether users' private keys (such as their S-MIME keys) require a password to be used.

The recommended state for this setting is: User is prompted when the key is first used. Configuring this setting to User must enter a password each time they use a key also conforms to the benchmark.

Rationale:

If a user's account is compromised or their computer is inadvertently left unsecured the malicious user can use the keys stored for the user to access protected resources. You can configure this policy setting so that users must provide a password that is distinct from their domain password every time they use a key. This configuration makes it more difficult for an attacker to access locally stored user keys, even if the attacker takes control of the user's computer and determines their logon password.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Cryptography:ForceKeyProtection
```


Remediation:

To establish the recommended configuration via GP, set the following UI path to `User must enter a password each time they use a key` (configuring to `User must enter a password each time they use a key` also conforms to the benchmark):

Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\System cryptography: Force strong key protection for user keys stored on the computer

Impact:

Users will have to enter their password the first time they access a key that is stored on their computer. For example, if users use an S-MIME certificate to digitally sign their e-mail they will be forced to enter the password for that certificate the first time that they send a signed e-mail message. For even stronger security, the value `User must enter a password each time they use a key` can be set, but the overhead that is involved using this configuration may be too high for some organizations.

Microsoft does not recommend enforcing this setting on servers due to the significant impact on manageability. For example, you may not be able to configure Remote Desktop Services to use SSL certificates. More information is available in the Windows PKI TechNet Blog here: [What is a strong key protection in Windows?](#).

Default Value:

User input is not required when new keys are stored and used.

References:

1. CCE-35007-4

CIS Controls:

Version 6

16.14 Encrypt/Hash All Authentication Files And Monitor Their Access

Verify that all authentication files are encrypted or hashed and that these files cannot be accessed without root or administrator privileges. Audit all access to password files in the system.

Version 7

16.4 Encrypt or Hash all Authentication Credentials

Encrypt or hash with a salt all authentication credentials when stored.

2.3.15 System objects

This section contains recommendations related to system objects.

2.3.15.1 (L1) Ensure 'System objects: Require case insensitivity for non-Windows subsystems' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether case insensitivity is enforced for all subsystems. The Microsoft Win32 subsystem is case insensitive. However, the kernel supports case sensitivity for other subsystems, such as the Portable Operating System Interface for UNIX (POSIX). Because Windows is case insensitive (but the POSIX subsystem will support case sensitivity), failure to enforce this policy setting makes it possible for a user of the POSIX subsystem to create a file with the same name as another file by using mixed case to label it. Such a situation can block access to these files by another user who uses typical Win32 tools, because only one of the files will be available.

The recommended state for this setting is: `Enabled`.

Rationale:

Because Windows is case-insensitive but the POSIX subsystem will support case sensitivity, failure to enable this policy setting would make it possible for a user of that subsystem to create a file with the same name as another file but with a different mix of upper and lower case letters. Such a situation could potentially confuse users when they try to access such files from normal Win32 tools because only one of the files will be available.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Session  
Manager\Kernel:ObCaseInsensitive
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Security Options\System objects: Require case insensitivity for non-Windows subsystems
```

Impact:

None - this is the default behavior.

Default Value:

Enabled. (All subsystems will be forced to observe case insensitivity. This configuration may confuse users who are familiar with any UNIX-based operating systems that is case-sensitive.)

References:

1. CCE-35008-2

CIS Controls:

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

2.3.15.2 (L1) Ensure 'System objects: Strengthen default permissions of internal system objects (e.g. Symbolic Links)' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines the strength of the default discretionary access control list (DACL) for objects. Active Directory maintains a global list of shared system resources, such as DOS device names, mutexes, and semaphores. In this way, objects can be located and shared among processes. Each type of object is created with a default DACL that specifies who can access the objects and what permissions are granted.

The recommended state for this setting is: Enabled.

Rationale:

This setting determines the strength of the default DACL for objects. Windows maintains a global list of shared computer resources so that objects can be located and shared among processes. Each type of object is created with a default DACL that specifies who can access the objects and with what permissions.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Session  
Manager:ProtectionMode
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local  
Policies\Security Options\System objects: Strengthen default permissions of  
internal system objects (e.g. Symbolic Links)
```

Impact:

None - this is the default behavior.

Default Value:

Enabled. (The default DACL is stronger, allowing users who are not administrators to read shared objects but not allowing these users to modify shared objects that they did not create.)

References:

1. CCE-35232-8

CIS Controls:

Version 6

14.4 Protect Information With Access Control Lists

All information stored on systems shall be protected with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.

Version 7

14.6 Protect Information through Access Control Lists

Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.

2.3.16 System settings

This section contains recommendations related to system settings.

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

2.3.16.1 (L1) Ensure 'System settings: Optional subsystems' is set to 'Defined: (blank)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This security setting determines which subsystems can optionally be started up to support your applications. With this security setting, you can specify as many subsystems to support your applications as your environment demands.

The recommended state for this setting is: `Defined: (blank)`

Rationale:

POSIX is included with Windows and enabled by default. If you don't need it, leaving it enabled could introduce an additional attack surface to your environment.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Session  
Manager\SubSystems:Optional
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Defined: (blank)` :

```
Computer Configuration\Security Settings\Local Policies\Security  
Options\System settings: Optional subsystems
```

Impact:

Removes POSIX compatibility.

Default Value:

POSIX.

References:

1. CCE-33721-2

CIS Controls:

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

ARCHIVE

2.3.17 User Account Control

This section contains recommendations related to User Account Control.

2.3.17.1 (L1) Ensure 'User Account Control: Admin Approval Mode for the Built-in Administrator account' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls the behavior of Admin Approval Mode for the built-in Administrator account.

The recommended state for this setting is: Enabled.

Rationale:

One of the risks that the User Account Control feature introduced with Windows Vista is trying to mitigate is that of malicious software running under elevated credentials without the user or administrator being aware of its activity. An attack vector for these programs was to discover the password of the account named "Administrator" because that user account was created for all installations of Windows. To address this risk, in Windows Vista and newer, the built-in Administrator account is now disabled by default. In a default installation of a new computer, accounts with administrative control over the computer are initially set up in one of two ways:

- If the computer is not joined to a domain, the first user account you create has the equivalent permissions as a local administrator.
- If the computer is joined to a domain, no local administrator accounts are created. The Enterprise or Domain Administrator must log on to the computer and create one if a local administrator account is warranted.

Once Windows is installed, the built-in Administrator account may be manually enabled, but we strongly recommend that this account remain disabled.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System:  
FilterAdministratorToken
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local  
Policies\Security Options\User Account Control: Admin Approval Mode for the  
Built-in Administrator account
```

Impact:

The built-in Administrator account uses Admin Approval Mode. Users that log on using the local Administrator account will be prompted for consent whenever a program requests an elevation in privilege, just like any other user would.

Default Value:

Disabled. (The built-in Administrator account runs all applications with full administrative privilege.)

References:

1. CCE-35338-3

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.4 Use Unique Passwords

Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.

2.3.17.2 (L1) Ensure 'User Account Control: Behavior of the elevation prompt for administrators in Admin Approval Mode' is set to 'Prompt for consent on the secure desktop' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls the behavior of the elevation prompt for administrators.

The recommended state for this setting is: `Prompt for consent on the secure desktop`.

Rationale:

One of the risks that the UAC feature introduced with Windows Vista is trying to mitigate is that of malicious software running under elevated credentials without the user or administrator being aware of its activity. This setting raises awareness to the administrator of elevated privilege operations and permits the administrator to prevent a malicious program from elevating its privilege when the program attempts to do so.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System:  
ConsentPromptBehaviorAdmin
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Prompt for consent on the secure desktop`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local  
Policies\Security Options\User Account Control: Behavior of the elevation  
prompt for administrators in Admin Approval Mode
```

Impact:

When an operation (including execution of a Windows binary) requires elevation of privilege, the user is prompted on the secure desktop to select either Permit or Deny. If the user selects Permit, the operation continues with the user's highest available privilege.

Default Value:

Prompt for consent for non-Windows binaries. (When an operation for a non-Microsoft application requires elevation of privilege, the user is prompted on the secure desktop to select either Permit or Deny. If the user selects Permit, the operation continues with the user's highest available privilege.)

References:

1. CCE-33784-0

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

2.3.17.3 (L1) Ensure 'User Account Control: Behavior of the elevation prompt for standard users' is set to 'Automatically deny elevation requests' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls the behavior of the elevation prompt for standard users.

The recommended state for this setting is: Automatically deny elevation requests.

Rationale:

One of the risks that the User Account Control feature introduced with Windows Vista is trying to mitigate is that of malicious programs running under elevated credentials without the user or administrator being aware of their activity. This setting raises awareness to the user that a program requires the use of elevated privilege operations and requires that the user be able to supply administrative credentials in order for the program to run.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System:  
ConsentPromptBehaviorUser
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Automatically deny elevation requests:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local  
Policies\Security Options\User Account Control: Behavior of the elevation  
prompt for standard users
```

Impact:

When an operation requires elevation of privilege, a configurable access denied error message is displayed. An enterprise that is running desktops as standard user may choose this setting to reduce help desk calls.

Note: With this setting configured as recommended, the default error message displayed when a user attempts to perform an operation or run a program requiring privilege elevation (without Administrator rights) is *"This program will not run. This program is blocked by group policy. For more information, contact your system administrator."* Some users who are not used to seeing this message may believe that the operation or program they attempted to run is specifically blocked by group policy, as that is what the message seems to imply. This message may therefore result in user questions as to why that specific operation/program is blocked, when in fact, the problem is that they need to perform the operation or run the program with an Administrative account (or "Run as Administrator" if it is already an Administrator account), and they are not doing that.

Default Value:

Prompt for credentials. (When an operation requires elevation of privilege, the user is prompted to enter an administrative user name and password. If the user enters valid credentials, the operation continues with the applicable privilege.)

References:

1. CCE-33785-7

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

2.3.17.4 (L1) Ensure 'User Account Control: Detect application installations and prompt for elevation' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls the behavior of application installation detection for the computer.

The recommended state for this setting is: `Enabled`.

Rationale:

Some malicious software will attempt to install itself after being given permission to run. For example, malicious software with a trusted application shell. The user may have given permission for the program to run because the program is trusted, but if they are then prompted for installation of an unknown component this provides another way of trapping the software before it can do damage.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System:
EnableInstallerDetection
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local
Policies\Security Options\User Account Control: Detect application
installations and prompt for elevation
```

Impact:

When an application installation package is detected that requires elevation of privilege, the user is prompted to enter an administrative user name and password. If the user enters valid credentials, the operation continues with the applicable privilege.

Default Value:

Disabled. (Default for enterprise. Application installation packages are not detected and prompted for elevation.)

References:

1. CCE-35429-0

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

2.6 Address unapproved software

Ensure that unauthorized software is either removed or the inventory is updated in a timely manner

2.7 Utilize Application Whitelisting

Utilize application whitelisting technology on all assets to ensure that only authorized software executes and all unauthorized software is blocked from executing on assets.

2.3.17.5 (L1) Ensure 'User Account Control: Only elevate UIAccess applications that are installed in secure locations' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls whether applications that request to run with a User Interface Accessibility (UIAccess) integrity level must reside in a secure location in the file system. Secure locations are limited to the following:

- ...\\Program Files\\, including subfolders
- ...\\Windows\\System32\\
- ...\\Program Files (x86)\\, including subfolders (for 64-bit versions of Windows)

Note: Windows enforces a public key infrastructure (PKI) signature check on any interactive application that requests to run with a UIAccess integrity level regardless of the state of this security setting.

The recommended state for this setting is: `Enabled`.

Rationale:

UIAccess Integrity allows an application to bypass User Interface Privilege Isolation (UIPI) restrictions when an application is elevated in privilege from a standard user to an administrator. This is required to support accessibility features such as screen readers that are transmitting user interfaces to alternative forms. A process that is started with UIAccess rights has the following abilities:

- To set the foreground window.
- To drive any application window using `SendInput` function.
- To use read input for all integrity levels using low-level hooks, raw input, `GetKeyState`, `GetAsyncKeyState`, and `GetKeyboardInput`.
- To set journal hooks.
- To use `AttachThreadInput` to attach a thread to a higher integrity input queue.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System:
EnableSecureUIAPaths
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local
Policies\Security Options\User Account Control: Only elevate UIAccess
applications that are installed in secure locations
```

Impact:

None - this is the default behavior.

Default Value:

Enabled. (If an application resides in a secure location in the file system, it runs only with UIAccess integrity.)

References:

1. CCE-35401-9

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

2.3.17.6 (L1) Ensure 'User Account Control: Run all administrators in Admin Approval Mode' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls the behavior of all User Account Control (UAC) policy settings for the computer. If you change this policy setting, you must restart your computer.

The recommended state for this setting is: `Enabled`.

Note: If this policy setting is disabled, the Security Center notifies you that the overall security of the operating system has been reduced.

Rationale:

This is the setting that turns on or off UAC. If this setting is disabled, UAC will not be used and any security benefits and risk mitigations that are dependent on UAC will not be present on the system.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System:
EnableLUA
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local
Policies\Security Options\User Account Control: Run all administrators in
Admin Approval Mode
```

Impact:

None - this is the default behavior. Users and administrators will need to learn to work with UAC prompts and adjust their work habits to use least privilege operations.

Default Value:

Enabled. (Admin Approval Mode is enabled. This policy must be enabled and related UAC policy settings must also be set appropriately to allow the built-in Administrator account and all other users who are members of the Administrators group to run in Admin Approval Mode.)

References:

1. CCE-33788-1

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

2.3.17.7 (L1) Ensure 'User Account Control: Switch to the secure desktop when prompting for elevation' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls whether the elevation request prompt is displayed on the interactive user's desktop or the secure desktop.

The recommended state for this setting is: `Enabled`.

Rationale:

Standard elevation prompt dialog boxes can be spoofed, which may cause users to disclose their passwords to malicious software. The secure desktop presents a very distinct appearance when prompting for elevation, where the user desktop dims, and the elevation prompt UI is more prominent. This increases the likelihood that users who become accustomed to the secure desktop will recognize a spoofed elevation prompt dialog box and not fall for the trick.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System:
PromptOnSecureDesktop
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local
Policies\Security Options\User Account Control: Switch to the secure desktop
when prompting for elevation
```

Impact:

None - this is the default behavior.

Default Value:

Enabled. (All elevation requests go to the secure desktop regardless of prompt behavior policy settings for administrators and standard users.)

References:

1. CCE-33815-2

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

2.3.17.8 (L1) Ensure 'User Account Control: Virtualize file and registry write failures to per-user locations' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls whether application write failures are redirected to defined registry and file system locations. This policy setting mitigates applications that run as administrator and write run-time application data to:

- %ProgramFiles%
- %windir%
- %windir%\System32
- HKEY_LOCAL_MACHINE\SOFTWARE

The recommended state for this setting is: Enabled.

Rationale:

This setting reduces vulnerabilities by ensuring that legacy applications only write data to permitted locations.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System:
EnableVirtualization
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Local
Policies\Security Options\User Account Control: Virtualize file and registry
write failures to per-user locations
```

Impact:

None - this is the default behavior.

Default Value:

Enabled. (Application write failures are redirected at run time to defined user locations for both the file system and registry.)

References:

1. CCE-35459-7

CIS Controls:

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

3 Event Log

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

4 Restricted Groups

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

5 System Services

This section contains recommendations for system services.

5.1 (L2) Ensure 'Bluetooth Support Service (bthserv)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

The Bluetooth service supports discovery and association of remote Bluetooth devices.

The recommended state for this setting is: Disabled.

Rationale:

Bluetooth technology has inherent security risks - especially prior to the v2.1 standard. Wireless Bluetooth traffic is not well encrypted (if at all), so in a high-security environment, it should not be permitted, in spite of the added inconvenience of not being able to use Bluetooth devices.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\bthserv:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Bluetooth Support Service
```


Impact:

Already installed Bluetooth devices may fail to operate properly and new devices may be prevented from being discovered or associated. If Bluetooth devices were installed, then some Windows components, such as Devices and Printers, may fail to operate correctly - including hanging/freezing when opened. The solution, besides re-enabling this service, is to disable or delete the offending Bluetooth device(s) in Device Manager, or disable the device altogether via the system BIOS (if it is an on-board Bluetooth device).

Default Value:

Windows 7: Manual

Windows 8.0 and newer: Manual (Trigger Start)

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

5.2 (L1) Ensure 'Computer Browser (Browser)' is set to 'Disabled' or 'Not Installed' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Maintains an updated list of computers on the network and supplies this list to computers designated as browsers.

The recommended state for this setting is: Disabled or Not Installed.

Note: In Windows 8.1 and Windows 10, this service is bundled with the *SMB 1.0/CIFS File Sharing Support* optional feature. As a result, removing that feature (highly recommended unless backward compatibility is needed to XP/2003 and older Windows OSes - see [Stop using SMB1 | Storage at Microsoft](#)) will also remediate this recommendation. The feature is not installed by default starting with Windows 10 R1709.

Rationale:

This is a legacy service - its sole purpose is to maintain a list of computers and their network shares in the environment (i.e. "Network Neighborhood"). If enabled, it generates a lot of unnecessary traffic, including "elections" to see who gets to be the "master browser". This noisy traffic could also aid malicious attackers in discovering online machines, because the service also allows anyone to "browse" for shared resources without any authentication. This service used to be running by default in older Windows versions (e.g. Windows XP), but today it only remains for backward compatibility for very old software that requires it.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\Browser:Start

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled or ensure the service is not installed.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Computer Browser
```

Impact:

The list of computers and their shares on the network will not be updated or maintained.

Default Value:

Windows 7: Manual

Windows 8 through Windows 10 R1703: Manual (Trigger Start)

Windows 10 R1709 and newer: Not Installed (Manual (Trigger Start) when installed)

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

5.3 (L1) Ensure 'HomeGroup Listener (HomeGroupListener)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Makes local computer changes associated with configuration and maintenance of the HomeGroup-joined computer.

The recommended state for this setting is: Disabled.

Rationale:

While resources on a domain-joined computer cannot be shared with a HomeGroup, information from the domain-joined computer can be leaked to other computers in the HomeGroup.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\HomeGroupListener:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\HomeGroup Listener
```

Impact:

The computer will not work properly in a HomeGroup and the HomeGroup itself might not work properly.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.4 (L1) Ensure 'HomeGroup Provider (HomeGroupProvider)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Performs networking tasks associated with configuration and maintenance of HomeGroups.

The recommended state for this setting is: Disabled.

Rationale:

While resources on a domain-joined computer cannot be shared with a HomeGroup, information from the domain-joined computer can be leaked to other computers in the HomeGroup.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\HomeGroupProvider:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\HomeGroup Provider
```

Impact:

The computer will be unable to detect other HomeGroups and any existing HomeGroup might not work properly.

Default Value:

Windows 7: Manual

Windows 8.0 and newer: Manual (Trigger Start)

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.5 (L1) Ensure 'IIS Admin Service (IISADMIN)' is set to 'Disabled' or 'Not Installed' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Enables the server to administer the IIS metabase. The IIS metabase stores configuration for the SMTP and FTP services.

The recommended state for this setting is: Disabled OR Not Installed.

Note: This service is not installed by default. It is supplied with Windows, but is installed by enabling an optional Windows feature (*Internet Information Services*).

Note #2: An organization may choose to selectively grant exceptions to web developers to allow IIS (or another web server) on their workstation, in order for them to locally test & develop web pages. However, the organization should track those machines and ensure the security controls and mitigations are kept up to date, to reduce risk of compromise.

Rationale:

Hosting a website from a workstation is an increased security risk, as the attack surface of that workstation is then greatly increased. If proper security mitigations are not followed, the chance of successful attack increases significantly.

Note: This security concern applies to *any* web server application installed on a workstation, not just IIS.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\IISADMIN:Start

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled or ensure the service is not installed.

Computer Configuration\Policies\Windows Settings\Security Settings\System Services\IIS Admin Service

Impact:

IIS will not function, including Web, SMTP or FTP services.

Default Value:

Not Installed (Automatic when installed)

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

5.6 (L1) Ensure 'Internet Connection Sharing (ICS) (SharedAccess)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Provides network access translation, addressing, name resolution and/or intrusion prevention services for a home or small office network.

The recommended state for this setting is: Disabled.

Rationale:

Internet Connection Sharing (ICS) is a feature that allows someone to "share" their Internet connection with other machines on the network - it was designed for home or small office environments where only one machine has Internet access - it effectively turns that machine into an Internet router. This feature causes the bridging of networks and likely bypassing other, more secure pathways. It should not be used on any enterprise-managed system.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\SharedAccess:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Internet Connection Sharing (ICS)
```

Impact:

Internet Connection Sharing (ICS) will not be available. Wireless connections using Miracast will also be prevented.

Note: This service is a prerequisite for the Windows Defender Application Guard (WDAG) feature in Windows 10, so an exception should be made to this requirement if intending to use WDAG.

Default Value:

Windows 7 through Windows 8.1: Disabled

Windows 10 R1507 and R1511: Manual

Windows 10 R1607 and newer: Manual (Trigger Start)

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

5.7 (L2) Ensure 'Link-Layer Topology Discovery Mapper (lltdsvc)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

Creates a Network Map, consisting of PC and device topology (connectivity) information, and metadata describing each PC and device.

The recommended state for this setting is: `Disabled`.

Rationale:

The feature that this service enables could potentially be used for unauthorized discovery and connection to network devices. Disabling the service helps to prevent responses to requests for network topology discovery in high security environments.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\lltdsvc:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: `Disabled`.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Link-Layer Topology Discovery Mapper
```

Impact:

The Network Map will not function properly.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.8 (L1) Ensure 'Media Center Extender Service (Mcx2Svc)' is set to 'Disabled' or 'Not Installed' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Allows Media Center Extenders to locate and connect to the computer.

The recommended state for this setting is: Disabled or Not Installed.

Rationale:

Media Center has no place in an enterprise managed environment.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\Mcx2Svc:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled or ensure the service is not installed.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Media Center Extender Service
```

Impact:

The computer will not be available for Media Center Extenders.

Default Value:

Disabled

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.9 (L1) Ensure 'Microsoft FTP Service (FTPSVC)' is set to 'Disabled' or 'Not Installed' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Enables the server to be a File Transfer Protocol (FTP) server.

The recommended state for this setting is: Disabled or Not Installed.

Note: This service is not installed by default. It is supplied with Windows, but is installed by enabling an optional Windows feature (*Internet Information Services - FTP Server*).

Rationale:

Hosting an FTP server (especially a non-secure FTP server) from a workstation is an increased security risk, as the attack surface of that workstation is then greatly increased.

Note: This security concern applies to *any* FTP server application installed on a workstation, not just IIS.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\FTPSVC:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled or ensure the service is not installed.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System Services\Microsoft FTP Service
```

Impact:

The computer will not function as an FTP server.

Default Value:

Not Installed (Automatic when installed)

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVED

5.10 (L2) Ensure 'Microsoft iSCSI Initiator Service (MSiSCSI)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

Manages Internet SCSI (iSCSI) sessions from this computer to remote target devices.

The recommended state for this setting is: Disabled.

Rationale:

This service is critically necessary in order to directly attach to an iSCSI device. However, iSCSI itself uses a very weak authentication protocol (CHAP), which means that the passwords for iSCSI communication are easily exposed, unless all of the traffic is isolated and/or encrypted using another technology like IPsec. This service is generally more appropriate for servers in a controlled environment than on workstations requiring high security.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\MSiSCSI:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Microsoft iSCSI Initiator Service
```

Impact:

The computer will not be able to directly login to or access iSCSI targets.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.11 (L2) Ensure 'Peer Name Resolution Protocol (PNRPsvc)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

Enables serverless peer name resolution over the Internet using the Peer Name Resolution Protocol (PNRP).

The recommended state for this setting is: `Disabled`.

Rationale:

Peer Name Resolution Protocol is a distributed and (mostly) serverless way to handle name resolution of clients with each other. In a high security environment, it is more secure to rely on centralized name resolution methods maintained by authorized staff.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\PNRPsvc:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: `Disabled`.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Peer Name Resolution Protocol
```

Impact:

Some peer-to-peer and collaborative applications, such as Remote Assistance, may not function.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.12 (L2) Ensure 'Peer Networking Grouping (p2psvc)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

Enables multi-party communication using Peer-to-Peer Grouping.

The recommended state for this setting is: Disabled.

Rationale:

Peer Name Resolution Protocol is a distributed and (mostly) serverless way to handle name resolution of clients with each other. In a high security environment, it is more secure to rely on centralized name resolution methods maintained by authorized staff.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\p2psvc:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Peer Networking Grouping
```

Impact:

Some applications, such as HomeGroup, may not function.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.13 (L2) Ensure 'Peer Networking Identity Manager (p2pimsvc)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

Provides identity services for the Peer Name Resolution Protocol (PNRP) and Peer-to-Peer Grouping services.

The recommended state for this setting is: Disabled.

Rationale:

Peer Name Resolution Protocol is a distributed and (mostly) serverless way to handle name resolution of clients with each other. In a high security environment, it is more secure to rely on centralized name resolution methods maintained by authorized staff.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\p2pimsvc:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Peer Networking Identity Manager
```

Impact:

The Peer Name Resolution Protocol (PNRP) and Peer-to-Peer Grouping services may not function, and some applications, such as HomeGroup and Remote Assistance, may not function correctly.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.14 (L2) Ensure 'PNRP Machine Name Publication Service (PNRPAutoReg)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This service publishes a machine name using the Peer Name Resolution Protocol. Configuration is managed via the netsh context 'p2p pnrp peer'.

The recommended state for this setting is: `Disabled`.

Rationale:

Peer Name Resolution Protocol is a distributed and (mostly) serverless way to handle name resolution of clients with each other. In a high security environment, it is more secure to rely on centralized name resolution methods maintained by authorized staff.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\PNRPAutoReg:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: `Disabled`.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System Services\PNRP Machine Name Publication Service
```

Impact:

Some peer-to-peer and collaborative applications, such as Remote Assistance, may not function.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.15 (L2) Ensure 'Problem Reports and Solutions Control Panel Support (wercplsupport)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This service provides support for viewing, sending and deletion of system-level problem reports for the Problem Reports and Solutions control panel.

The recommended state for this setting is: Disabled.

Rationale:

This service is involved in the process of displaying/reporting issues & solutions to/from Microsoft. In a high security environment, preventing this information from being sent can help reduce privacy concerns for sensitive corporate information.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\wercplsupport:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System Services\Problem Reports and Solutions Control Panel Support
```

Impact:

Sending and viewing system-level problem reports and solutions to and from Microsoft may no longer function.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.16 (L2) Ensure 'Remote Access Auto Connection Manager (RasAuto)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

Creates a connection to a remote network whenever a program references a remote DNS or NetBIOS name or address.

The recommended state for this setting is: *Disabled*.

Rationale:

The function of this service is to provide a "demand dial" type of functionality. In a high security environment, it is preferred that any remote "dial" connections (whether they be legacy dial-in POTS or VPN) are initiated by the **user**, *not* automatically by the system.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\RasAuto:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: *Disabled*.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Remote Access Auto Connection Manager
```

Impact:

"Dial on demand" functionality will no longer operate - remote dial-in (POTS) and VPN connections must be initiated manually by the user.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.17 (L2) Ensure 'Remote Desktop Configuration (SessionEnv)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

Remote Desktop Configuration service (RDSCS) is responsible for all Remote Desktop related configuration and session maintenance activities that require SYSTEM context. These include per-session temporary folders, RD themes, and RD certificates.

The recommended state for this setting is: Disabled.

Rationale:

In a high security environment, Remote Desktop access is an increased security risk. For these environments, only local console access should be permitted.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\SessionEnv:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Remote Desktop Configuration
```

Impact:

Users will be unable to use Remote Assistance.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.18 (L2) Ensure 'Remote Desktop Services (TermService)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

Allows users to connect interactively to a remote computer. Remote Desktop and Remote Desktop Session Host Server depend on this service.

The recommended state for this setting is: Disabled.

Rationale:

In a high security environment, Remote Desktop access is an increased security risk. For these environments, only local console access should be permitted.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\TermService:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Remote Desktop Services
```

Impact:

Remote Desktop Services will not be available on the computer.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.19 (L2) Ensure 'Remote Desktop Services UserMode Port Redirector (UmRdpService)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

Allows the redirection of Printers/Drives/Ports for RDP connections.

The recommended state for this setting is: Disabled.

Rationale:

In a security-sensitive environment, it is desirable to reduce the possible attack surface - preventing the redirection of COM, LPT and PnP ports will reduce the number of unexpected avenues for data exfiltration and/or malicious code transfer within an RDP session.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\UmRdpService:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Remote Desktop Services UserMode Port Redirector
```

Impact:

Printers, drives and ports (COM, LPT, PnP, etc.) will not be allowed to be redirected inside RDP sessions.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.20 (L1) Ensure 'Remote Procedure Call (RPC) Locator (RpcLocator)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

In Windows 2003 and older versions of Windows, the Remote Procedure Call (RPC) Locator service manages the RPC name service database. In Windows Vista and newer versions of Windows, this service does not provide any functionality and is present for application compatibility.

The recommended state for this setting is: Disabled.

Rationale:

This is a legacy service that has no value or purpose other than application compatibility for very old software. It should be disabled unless there is a specific old application still in use on the system that requires it.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\RpcLocator:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Remote Procedure Call (RPC) Locator
```

Impact:

No impact, unless an old, legacy application requires it.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.21 (L2) Ensure 'Remote Registry (RemoteRegistry)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

Enables remote users to modify registry settings on this computer.

The recommended state for this setting is: Disabled.

Rationale:

In a high security environment, exposing the registry to remote access is an increased security risk.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\RemoteRegistry:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Remote Registry
```

Impact:

The registry can be modified only by users on the computer.

Note: Many remote administration tools, such as System Center Configuration Manager (SCCM), require the Remote Registry service to be operational for remote management. In addition, many vulnerability scanners use this service to access the registry remotely.

Default Value:

Windows 7: Manual

Windows 8.0 and newer: Disabled

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVED

5.22 (L1) Ensure 'Routing and Remote Access (RemoteAccess)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Offers routing services to businesses in local area and wide area network environments.

The recommended state for this setting is: Disabled.

Rationale:

This service's main purpose is to provide Windows router functionality - this is not an appropriate use of workstations in an enterprise managed environment.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\RemoteAccess:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Routing and Remote Access
```

Impact:

The computer will not be able to be configured as a Windows router between different connections.

Default Value:

Disabled

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.23 (L2) Ensure 'Server (LanmanServer)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

Supports file, print, and named-pipe sharing over the network for this computer. If this service is stopped, these functions will be unavailable.

The recommended state for this setting is: `Disabled`.

Rationale:

In a high security environment, a secure workstation should only be a *client*, not a server. Sharing workstation resources for remote access increases security risk as the attack surface is notably higher.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\LanmanServer:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: `Disabled`.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Server
```

Impact:

File, print and named-pipe sharing functions will be unavailable from this machine over the network.

Note: Many remote administration tools, such as System Center Configuration Manager (SCCM), require the Server service to be operational for remote management. In addition, many vulnerability scanners use this service to scan the file system remotely.

Default Value:

Windows 7 through Windows 10 R1703: Automatic

Windows 10 R1709 and newer: Automatic (Trigger Start)

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

5.24 (L1) Ensure 'Simple TCP/IP Services (simptcp)' is set to 'Disabled' or 'Not Installed' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Supports the following TCP/IP services: Character Generator, Daytime, Discard, Echo, and Quote of the Day.

The recommended state for this setting is: Disabled or Not Installed.

Note: This service is not installed by default. It is supplied with Windows, but is installed by enabling an optional Windows feature (*Simple TCPIP services (i.e. echo, daytime etc)*).

Rationale:

The Simple TCP/IP Services have very little purpose in a modern enterprise environment - allowing them might increase exposure and risk for attack.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\simptcp:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled or ensure the service is not installed.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Simple TCP/IP Services
```

Impact:

The Simple TCP/IP services (Character Generator, Daytime, Discard, Echo and Quote of the Day) will not be available.

Default Value:

Not Installed (Automatic when installed)

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.25 (L2) Ensure 'SNMP Service (SNMP)' is set to 'Disabled' or 'Not Installed' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

Enables Simple Network Management Protocol (SNMP) requests to be processed by this computer.

The recommended state for this setting is: Disabled or Not Installed.

Note: This service is not installed by default. It is supplied with Windows, but is installed by enabling an optional Windows feature (*Simple Network Management Protocol (SNMP)*).

Rationale:

Features that enable inbound network connections increase the attack surface. In a high security environment, management of secure workstations should be handled locally.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\SNMP:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled or ensure the service is not installed.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System Services\SNMP Service
```

Impact:

The computer will be unable to process SNMP requests.

Default Value:

Not Installed (Automatic when installed)

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.26 (L1) Ensure 'SSDP Discovery (SSDPSRV)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Discovers networked devices and services that use the SSDP discovery protocol, such as UPnP devices. Also announces SSDP devices and services running on the local computer.

The recommended state for this setting is: `Disabled`.

Rationale:

Universal Plug n Play (UPnP) is a real security risk - it allows automatic discovery and attachment to network devices. Note that UPnP is different than regular Plug n Play (PnP). Workstations should not be advertising their services (or automatically discovering and connecting to networked services) in a security-conscious enterprise managed environment.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\SSDPSRV:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: `Disabled`.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\SSDP Discovery
```

Impact:

SSDP-based devices will not be discovered.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.27 (L1) Ensure 'Telnet (TlntSvr)' is set to 'Disabled' or 'Not Installed' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Enables a remote user to log on to this computer and run programs, and supports various TCP/IP Telnet clients, including UNIX-based and Windows-based computers.

The recommended state for this setting is: Disabled or Not Installed.

Note: This service is not installed by default. It is supplied with Windows, but is installed by enabling an optional Windows feature (*Telnet Server*).

Rationale:

Hosting a Telnet server (especially a non-secure Telnet server) from a workstation is an increased security risk, as the attack surface of that workstation is then greatly increased.

Note: This security concern applies to *any* Telnet server application installed on a workstation, not just the one supplied with Windows.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\TlntSvr:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled or ensure the service is not installed.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System Services\Telnet
```

Impact:

Remote user Telnet access will not be available.

Default Value:

Not Installed (Disabled when installed)

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVED

5.28 (L1) Ensure 'UPnP Device Host (upnphost)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Allows UPnP devices to be hosted on this computer.

The recommended state for this setting is: Disabled.

Rationale:

Universal Plug n Play (UPnP) is a real security risk - it allows automatic discovery and attachment to network devices. Notes that UPnP is different than regular Plug n Play (PnP). Workstations should not be advertising their services (or automatically discovering and connecting to networked services) in a security-conscious enterprise managed environment.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\upnphost:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System Services\UPnP Device Host
```

Impact:

Any hosted UPnP devices will stop functioning and no additional hosted devices can be added.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.29 (L1) Ensure 'Web Management Service (WMSvc)' is set to 'Disabled' or 'Not Installed' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

The Web Management Service enables remote and delegated management capabilities for administrators to manage for the Web server, sites and applications present on the machine.

The recommended state for this setting is: Disabled or Not Installed.

Note: This service is not installed by default. It is supplied with Windows, but is installed by enabling an optional Windows feature (*Internet Information Services - Web Management Tools - IIS Management Service*).

Rationale:

Remote web administration of IIS on a workstation is an increased security risk, as the attack surface of that workstation is then greatly increased. If proper security mitigations are not followed, the chance of successful attack increases significantly.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\WMSvc:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled or ensure the service is not installed.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System Services\Web Management Service
```

Impact:

Remote web-based management of IIS will not be available.

Default Value:

Not Installed (Manual when installed)

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVED

5.30 (L1) Ensure 'Windows CardSpace (idsvc)' is set to 'Disabled' or 'Not Installed' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Securely enables the creation, management, and disclosure of digital identities.

The recommended state for this setting is: Disabled or Not Installed.

Rationale:

Windows CardSpace was the client software for Microsoft's digital identity metasytem that has been discontinued. There were some security risks in the CardSpace protocol and therefore this service should simply be disabled.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\idsvc:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled or ensure the service is not installed.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System Services\Windows CardSpace
```

Impact:

Windows CardSpace functions will not be available.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.31 (L2) Ensure 'Windows Error Reporting Service (WerSvc)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

Allows errors to be reported when programs stop working or responding and allows existing solutions to be delivered. Also allows logs to be generated for diagnostic and repair services.

The recommended state for this setting is: Disabled.

Rationale:

If a Windows Error occurs in a secure, enterprise managed environment, the error should be reported directly to IT staff for troubleshooting and remediation. There is no benefit to the corporation to report these errors directly to Microsoft, and there is some risk of unknowingly exposing sensitive data as part of the error.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\WerSvc:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Windows Error Reporting Service
```

Impact:

If this service is stopped, error reporting might not work correctly and results of diagnostic services and repairs might not be displayed.

Default Value:

Windows 7: Manual

Windows 8.0 and newer: Manual (Trigger Start)

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

5.32 (L2) Ensure 'Windows Event Collector (Wecsvc)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This service manages persistent subscriptions to events from remote sources that support WS-Management protocol. This includes Windows Vista event logs, hardware and IPMI-enabled event sources. The service stores forwarded events in a local Event Log.

The recommended state for this setting is: Disabled.

Rationale:

In a high security environment, remote connections to secure workstations should be minimized, and management functions should be done locally.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\Wecsvc:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System Services\Windows Event Collector
```

Impact:

If this service is stopped or disabled event subscriptions cannot be created and forwarded events cannot be accepted.

Note: Many remote management tools and third-party security audit tools depend on this service.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVED

5.33 (L1) Ensure 'Windows Media Center Receiver Service (ehRecvr)' is set to 'Disabled' or 'Not Installed' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Windows Media Center Service for TV and FM broadcast reception.

The recommended state for this setting is: Disabled or Not Installed.

Rationale:

Media Center has no place in an enterprise managed environment.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\ehRecvr:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled or ensure the service is not installed.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System Services\Windows Media Center Receiver Service
```

Impact:

Windows Media Center will not be available.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.34 (L1) Ensure 'Windows Media Center Scheduler Service (ehSched)' is set to 'Disabled' or 'Not Installed' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Starts and stops recording of TV programs within Windows Media Center.

The recommended state for this setting is: Disabled or Not Installed.

Rationale:

Media Center has no place in an enterprise managed environment.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\ehSched:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled or ensure the service is not installed.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System  
Services\Windows Media Center Scheduler Service
```

Impact:

Windows Media Center will not schedule recordings of TV programs.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.35 (L1) Ensure 'Windows Media Player Network Sharing Service (WMPNetworkSvc)' is set to 'Disabled' or 'Not Installed' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Shares Windows Media Player libraries to other networked players and media devices using Universal Plug and Play.

The recommended state for this setting is: Disabled or Not Installed.

Rationale:

Network sharing of media from Media Player has no place in an enterprise managed environment.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\WMPNetworkSvc:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled or ensure the service is not installed.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System Services\Windows Media Player Network Sharing Service
```

Impact:

Windows Media Player libraries will not be shared over the network to other devices and systems.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVE

5.36 (L2) Ensure 'Windows Remote Management (WS-Management) (WinRM)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

Windows Remote Management (WinRM) service implements the WS-Management protocol for remote management. WS-Management is a standard web services protocol used for remote software and hardware management. The WinRM service listens on the network for WS-Management requests and processes them.

The recommended state for this setting is: Disabled.

Rationale:

Features that enable inbound network connections increase the attack surface. In a high security environment, management of secure workstations should be handled locally.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\WinRM:Start
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

```
Computer Configuration\Policies\Windows Settings\Security Settings\System Services\Windows Remote Management (WS-Management)
```

Impact:

The ability to remotely manage the system with WinRM will be lost.

Note: Many remote administration tools, such as System Center Configuration Manager (SCCM), may require the WinRM service to be operational for remote management.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

ARCHIVED

5.37 (L1) Ensure 'WinHTTP Web Proxy Auto-Discovery Service (WinHttpAutoProxySvc)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

WinHTTP implements the client HTTP stack and provides developers with a Win32 API and COM Automation component for sending HTTP requests and receiving responses. In addition, WinHTTP provides support for auto-discovering a proxy configuration via its implementation of the Web Proxy Auto-Discovery (WPAD) protocol.

The recommended state for this setting is: `Disabled`.

Note: Microsoft changed the behavior of this service starting with Windows 10 Release 1709. As of that version, this service is *also* required for setting a manual proxy on a workstation. If this service is forcibly disabled via GPO, then users cannot even click OK in the *Local Area Network (LAN) Settings* dialog box in Internet Explorer. For this reason, organizations may need to selectively grant exceptions for workstations that require setting a proxy configuration (or globally if *all* workstations require setting a proxy manually).

Rationale:

This service is primarily needed to support Web Proxy Auto-Discovery (WPAD), which is an auto-proxy discovery mechanism that is poorly designed, as it causes an excessive amount of unnecessary DNS traffic on the network, and exposes the computer to Man-In-The-Middle (MITM) risks. If an organization depends on HTTP proxy configuration, it is recommended that other client configuration mechanisms be used instead, such as Group Policy.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\WinHttpAutoProxySvc:Start
```


Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled.

Computer Configuration\Policies\Windows Settings\Security Settings\System Services\WinHTTP Web Proxy Auto-Discovery Service

Impact:

WPAD will cease to function for automatic HTTP proxy routing, which may prevent Internet connectivity for workstations in organizations that currently use WPAD. In addition, on Windows 10 Release 1709 or higher, users will not be able to click OK in the *Local Area Network (LAN) Settings* dialog box of Internet Explorer. Microsoft also cautions that some software that uses the network stack may have a functional dependency on this service, so it is advised that you test disabling this service on a representation of user workstations and applications before disabling it across the entire organization.

Default Value:

Manual

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

5.38 (L1) Ensure 'World Wide Web Publishing Service (W3SVC)' is set to 'Disabled' or 'Not Installed' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Provides Web connectivity and administration through the Internet Information Services Manager.

The recommended state for this setting is: Disabled OR Not Installed.

Note: This service is not installed by default. It is supplied with Windows, but is installed by enabling an optional Windows feature (*Internet Information Services - World Wide Web Services*).

Note #2: An organization may choose to selectively grant exceptions to web developers to allow IIS (or another web server) on their workstation, in order for them to locally test & develop web pages. However, the organization should track those machines and ensure the security controls and mitigations are kept up to date, to reduce risk of compromise.

Rationale:

Hosting a website from a workstation is an increased security risk, as the attack surface of that workstation is then greatly increased. If proper security mitigations are not followed, the chance of successful attack increases significantly.

Note: This security concern applies to *any* web server application installed on a workstation, not just IIS.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\W3SVC:Start

Remediation:

To establish the recommended configuration via GP, set the following UI path to: Disabled or ensure the service is not installed.

Computer Configuration\Policies\Windows Settings\Security Settings\System Services\World Wide Web Publishing Service

Impact:

IIS Web Services will not function.

Default Value:

Not Installed (Automatic when installed)

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

6 Registry

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

7 File System

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

8 Wired Network (IEEE 802.3) Policies

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

ARCHIVE

9 Windows Firewall with Advanced Security

This section contains recommendations for configuring the Windows Firewall.

9.1 Domain Profile

This section contains recommendations for the Domain Profile of the Windows Firewall.

9.1.1 (L1) Ensure 'Windows Firewall: Domain: Firewall state' is set to 'On (recommended)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Select On (recommended) to have Windows Firewall with Advanced Security use the settings for this profile to filter network traffic. If you select Off, Windows Firewall with Advanced Security will not use any of the firewall rules or connection security rules for this profile.

The recommended state for this setting is: On (recommended).

Rationale:

If the firewall is turned off all traffic will be able to access the system and an attacker may be more easily able to remotely exploit a weakness in a network service.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\DomainProfile:
EnableFirewall
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to On (recommended):

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Domain Profile\Firewall state
```

Impact:

None - this is the default behavior.

Default Value:

On (recommended). (The Windows Firewall with Advanced Security will be active in this profile.)

References:

1. CCE-33160-3

CIS Controls:

Version 6

9.2 Leverage Host-based Firewalls

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

Version 7

9.4 Apply Host-based Firewalls or Port Filtering

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

11.1 Maintain Standard Security Configurations for Network Devices

Maintain standard, documented security configuration standards for all authorized network devices.

11.2 Document Traffic Configuration Rules

All configuration rules that allow traffic to flow through network devices should be documented in a configuration management system with a specific business reason for each rule, a specific individual's name responsible for that business need, and an expected duration of the need.

9.1.2 (L1) Ensure 'Windows Firewall: Domain: Inbound connections' is set to 'Block (default)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting determines the behavior for inbound connections that do not match an inbound firewall rule.

The recommended state for this setting is: `Block (default)`.

Rationale:

If the firewall allows all traffic to access the system then an attacker may be more easily able to remotely exploit a weakness in a network service.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\DomainProfile:DefaultInboundAction
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Block (default)`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Domain Profile\Inbound connections
```

Impact:

None - this is the default behavior.

Default Value:

`Block (default)`. (The Windows Firewall with Advanced Security will block all inbound connections that do not match an inbound firewall rule in this profile.)

References:

1. CCE-33063-9

CIS Controls:

Version 6

9.2 Leverage Host-based Firewalls

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

Version 7

9.4 Apply Host-based Firewalls or Port Filtering

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

11.2 Document Traffic Configuration Rules

All configuration rules that allow traffic to flow through network devices should be documented in a configuration management system with a specific business reason for each rule, a specific individual's name responsible for that business need, and an expected duration of the need.

9.1.3 (L1) Ensure 'Windows Firewall: Domain: Outbound connections' is set to 'Allow (default)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting determines the behavior for outbound connections that do not match an outbound firewall rule.

The recommended state for this setting is: Allow (default).

Rationale:

Some people believe that it is prudent to block all outbound connections except those specifically approved by the user or administrator. Microsoft disagrees with this opinion, blocking outbound connections by default will force users to deal with a large number of dialog boxes prompting them to authorize or block applications such as their web browser or instant messaging software. Additionally, blocking outbound traffic has little value because if an attacker has compromised the system they can reconfigure the firewall anyway.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\DomainProfile:DefaultOutboundAction
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Allow (default):

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Domain Profile\Outbound connections
```

Impact:

None - this is the default behavior.

Default Value:

Allow (default). (The Windows Firewall with Advanced Security will allow all outbound connections in this profile unless there is a firewall rule explicitly blocking it.)

References:

1. CCE-33098-5

CIS Controls:

Version 6

9.2 Leverage Host-based Firewalls

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

Version 7

9.4 Apply Host-based Firewalls or Port Filtering

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

11.2 Document Traffic Configuration Rules

All configuration rules that allow traffic to flow through network devices should be documented in a configuration management system with a specific business reason for each rule, a specific individual's name responsible for that business need, and an expected duration of the need.

9.1.4 (L1) Ensure 'Windows Firewall: Domain: Settings: Display a notification' is set to 'No' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Select this option to have Windows Firewall with Advanced Security display notifications to the user when a program is blocked from receiving inbound connections.

The recommended state for this setting is: No.

Note: When the `Apply local firewall rules` setting is configured to No, it's recommended to also configure the `Display a notification` setting to No. Otherwise, users will continue to receive messages that ask if they want to unblock a restricted inbound connection, but the user's response will be ignored.

Rationale:

Firewall notifications can be complex and may confuse the end users, who would not be able to address the alert.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\DomainProfile:
DisableNotifications
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to No:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows
Firewall with Advanced Security\Windows Firewall with Advanced
Security\Windows Firewall Properties\Domain Profile\Settings
Customize\Display a notification
```

Impact:

Windows Firewall will not display a notification when a program is blocked from receiving inbound connections.

Default Value:

Yes. (Windows Firewall with Advanced Security will display a notification when a program is blocked from receiving inbound connections.)

References:

1. CCE-33062-1

CIS Controls:

Version 7

11.2 Document Traffic Configuration Rules

All configuration rules that allow traffic to flow through network devices should be documented in a configuration management system with a specific business reason for each rule, a specific individual's name responsible for that business need, and an expected duration of the need.

11.3 Use Automated Tools to Verify Standard Device Configurations and Detect Changes

Compare all network device configuration against approved security configurations defined for each network device in use and alert when any deviations are discovered.

9.1.5 (L1) Ensure 'Windows Firewall: Domain: Logging: Name' is set to '%SystemRoot%\System32\logfiles\firewall\domainfw.log' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Use this option to specify the path and name of the file in which Windows Firewall will write its log information.

The recommended state for this setting is:

%SystemRoot%\System32\logfiles\firewall\domainfw.log.

Rationale:

If events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\DomainProfile\Logging\LogFilePath
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to

%SystemRoot%\System32\logfiles\firewall\domainfw.log:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Domain Profile\Logging Customize\Name
```

Impact:

The log file will be stored in the specified file.

Default Value:

%SystemRoot%\System32\logfiles\firewall\pfirewall.log

References:

1. CCE-34176-8

CIS Controls:

Version 6

6.2 Ensure Audit Log Settings Support Appropriate Log Entry Formatting

Validate audit log settings for each hardware device and the software installed on it, ensuring that logs include a date, timestamp, source addresses, destination addresses, and various other useful elements of each packet and/or transaction. Systems should record logs in a standardized format such as syslog entries or those outlined by the Common Event Expression initiative. If systems cannot generate logs in a standardized format, log normalization tools can be deployed to convert logs into such a format.

Version 7

6.2 Activate audit logging

Ensure that local logging has been enabled on all systems and networking devices.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

9.1.6 (L1) Ensure 'Windows Firewall: Domain: Logging: Size limit (KB)' is set to '16,384 KB or greater' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Use this option to specify the size limit of the file in which Windows Firewall will write its log information.

The recommended state for this setting is: 16,384 KB or greater.

Rationale:

If events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\DomainProfile\Logging\LogFileSize
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to 16,384 KB or greater:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Domain Profile\Logging Customize\Size limit (KB)
```

Impact:

The log file size will be limited to the specified size, old events will be overwritten by newer ones when the limit is reached.

Default Value:

4,096 KB.

References:

1. CCE-35083-5

CIS Controls:

Version 6

6.3 Ensure Audit Logging Systems Are Not Subject To Loss (i.e. rotation/archive)

Ensure that all systems that store logs have adequate storage space for the logs generated on a regular basis, so that log files will not fill up between log rotation intervals. The logs must be archived and digitally signed on a periodic basis.

Version 7

6.4 Ensure adequate storage for logs

Ensure that all systems that store logs have adequate storage space for the logs generated.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

9.1.7 (L1) Ensure 'Windows Firewall: Domain: Logging: Log dropped packets' is set to 'Yes' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Use this option to log when Windows Firewall with Advanced Security discards an inbound packet for any reason. The log records why and when the packet was dropped. Look for entries with the word `DROP` in the action column of the log.

The recommended state for this setting is: `Yes`.

Rationale:

If events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\DomainProfile\Logging\LogDroppedPackets
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Yes`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Domain Profile\Logging Customize\Log dropped packets
```

Impact:

Information about dropped packets will be recorded in the firewall log file.

Default Value:

No (default). (Information about dropped packets will not be recorded in the firewall log file.)

References:

1. CCE-35252-6

CIS Controls:

Version 6

6.2 Ensure Audit Log Settings Support Appropriate Log Entry Formatting

Validate audit log settings for each hardware device and the software installed on it, ensuring that logs include a date, timestamp, source addresses, destination addresses, and various other useful elements of each packet and/or transaction. Systems should record logs in a standardized format such as syslog entries or those outlined by the Common Event Expression initiative. If systems cannot generate logs in a standardized format, log normalization tools can be deployed to convert logs into such a format.

Version 7

6.2 Activate audit logging

Ensure that local logging has been enabled on all systems and networking devices.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

9.1.8 (L1) Ensure 'Windows Firewall: Domain: Logging: Log successful connections' is set to 'Yes' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Use this option to log when Windows Firewall with Advanced Security allows an inbound connection. The log records why and when the connection was formed. Look for entries with the word `ALLOW` in the action column of the log.

The recommended state for this setting is: `Yes`.

Rationale:

If events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\DomainProfile\Logging\LogSuccessfulConnections
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Yes`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Domain Profile\Logging Customize\Log successful connections
```

Impact:

Information about successful connections will be recorded in the firewall log file.

Default Value:

No (default). (Information about successful connections will not be recorded in the firewall log file.)

References:

1. CCE-35306-0

CIS Controls:

Version 6

6.2 Ensure Audit Log Settings Support Appropriate Log Entry Formatting

Validate audit log settings for each hardware device and the software installed on it, ensuring that logs include a date, timestamp, source addresses, destination addresses, and various other useful elements of each packet and/or transaction. Systems should record logs in a standardized format such as syslog entries or those outlined by the Common Event Expression initiative. If systems cannot generate logs in a standardized format, log normalization tools can be deployed to convert logs into such a format.

Version 7

6.2 Activate audit logging

Ensure that local logging has been enabled on all systems and networking devices.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

9.2 Private Profile

This section contains recommendations for the Private Profile of the Windows Firewall.

9.2.1 (L1) Ensure 'Windows Firewall: Private: Firewall state' is set to 'On (recommended)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Select On (recommended) to have Windows Firewall with Advanced Security use the settings for this profile to filter network traffic. If you select Off, Windows Firewall with Advanced Security will not use any of the firewall rules or connection security rules for this profile.

The recommended state for this setting is: On (recommended).

Rationale:

If the firewall is turned off all traffic will be able to access the system and an attacker may be more easily able to remotely exploit a weakness in a network service.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PrivateProfile:EnableFirewall
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to On (recommended):

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Private Profile\Firewall state
```

Impact:

None - this is the default behavior.

Default Value:

On (recommended). (The Windows Firewall with Advanced Security will be active in this profile.)

References:

1. CCE-33066-2

CIS Controls:

Version 6

9.2 Leverage Host-based Firewalls

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

Version 7

9.4 Apply Host-based Firewalls or Port Filtering

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

11.2 Document Traffic Configuration Rules

All configuration rules that allow traffic to flow through network devices should be documented in a configuration management system with a specific business reason for each rule, a specific individual's name responsible for that business need, and an expected duration of the need.

9.2.2 (L1) Ensure 'Windows Firewall: Private: Inbound connections' is set to 'Block (default)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting determines the behavior for inbound connections that do not match an inbound firewall rule.

The recommended state for this setting is: `Block (default)`.

Rationale:

If the firewall allows all traffic to access the system then an attacker may be more easily able to remotely exploit a weakness in a network service.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PrivateProfile  
:DefaultInboundAction
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Block (default)`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows  
Firewall with Advanced Security\Windows Firewall with Advanced  
Security\Windows Firewall Properties\Private Profile\Inbound connections
```

Impact:

None - this is the default behavior.

Default Value:

`Block (default)`. (The Windows Firewall with Advanced Security will block all inbound connections that do not match an inbound firewall rule in this profile.)

References:

1. CCE-33161-1

CIS Controls:

Version 6

9.2 Leverage Host-based Firewalls

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

Version 7

9.4 Apply Host-based Firewalls or Port Filtering

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

11.2 Document Traffic Configuration Rules

All configuration rules that allow traffic to flow through network devices should be documented in a configuration management system with a specific business reason for each rule, a specific individual's name responsible for that business need, and an expected duration of the need.

9.2.3 (L1) Ensure 'Windows Firewall: Private: Outbound connections' is set to 'Allow (default)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting determines the behavior for outbound connections that do not match an outbound firewall rule.

The recommended state for this setting is: `Allow (default)`.

Note: If you set Outbound connections to Block and then deploy the firewall policy by using a GPO, computers that receive the GPO settings cannot receive subsequent Group Policy updates unless you create and deploy an outbound rule that enables Group Policy to work. Predefined rules for Core Networking include outbound rules that enable Group Policy to work. Ensure that these outbound rules are active, and thoroughly test firewall profiles before deploying.

Rationale:

Some people believe that it is prudent to block all outbound connections except those specifically approved by the user or administrator. Microsoft disagrees with this opinion, blocking outbound connections by default will force users to deal with a large number of dialog boxes prompting them to authorize or block applications such as their web browser or instant messaging software. Additionally, blocking outbound traffic has little value because if an attacker has compromised the system they can reconfigure the firewall anyway.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PrivateProfile  
:DefaultOutboundAction
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Allow` (default):

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Private Profile\Outbound connections
```

Impact:

None - this is the default behavior.

Default Value:

Allow (default). (The Windows Firewall with Advanced Security will allow all outbound connections in this profile unless there is a firewall rule explicitly blocking it.)

References:

1. CCE-33162-9

CIS Controls:

Version 6

9.2 Leverage Host-based Firewalls

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

Version 7

9.4 Apply Host-based Firewalls or Port Filtering

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

11.2 Document Traffic Configuration Rules

All configuration rules that allow traffic to flow through network devices should be documented in a configuration management system with a specific business reason for each rule, a specific individual's name responsible for that business need, and an expected duration of the need.

9.2.4 (L1) Ensure 'Windows Firewall: Private: Settings: Display a notification' is set to 'No' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Select this option to have Windows Firewall with Advanced Security display notifications to the user when a program is blocked from receiving inbound connections.

The recommended state for this setting is: No.

Note: When the `Apply local firewall rules` setting is configured to No, it's recommended to also configure the `Display a notification` setting to No. Otherwise, users will continue to receive messages that ask if they want to unblock a restricted inbound connection, but the user's response will be ignored.

Rationale:

Firewall notifications can be complex and may confuse the end users, who would not be able to address the alert.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PrivateProfile:DisableNotifications
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to No:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Private Profile\Settings Customize\Display a notification
```

Impact:

Windows Firewall will not display a notification when a program is blocked from receiving inbound connections.

Default Value:

Yes. (Windows Firewall with Advanced Security will display a notification when a program is blocked from receiving inbound connections.)

References:

1. CCE-33065-4

CIS Controls:

Version 7

11.2 Document Traffic Configuration Rules

All configuration rules that allow traffic to flow through network devices should be documented in a configuration management system with a specific business reason for each rule, a specific individual's name responsible for that business need, and an expected duration of the need.

11.3 Use Automated Tools to Verify Standard Device Configurations and Detect Changes

Compare all network device configuration against approved security configurations defined for each network device in use and alert when any deviations are discovered.

9.2.5 (L1) Ensure 'Windows Firewall: Private: Logging: Name' is set to '%SystemRoot%\System32\logfiles\firewall\privatefw.log' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Use this option to specify the path and name of the file in which Windows Firewall will write its log information.

The recommended state for this setting is:

%SystemRoot%\System32\logfiles\firewall\privatefw.log.

Rationale:

If events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PrivateProfile\Logging\LogFilePath
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to

%SystemRoot%\System32\logfiles\firewall\privatefw.log:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Private Profile\Logging Customize\Name
```

Impact:

The log file will be stored in the specified file.

Default Value:

%SystemRoot%\System32\logfiles\firewall\pfirewall.log

References:

1. CCE-33437-5

CIS Controls:

Version 6

6.2 Ensure Audit Log Settings Support Appropriate Log Entry Formatting

Validate audit log settings for each hardware device and the software installed on it, ensuring that logs include a date, timestamp, source addresses, destination addresses, and various other useful elements of each packet and/or transaction. Systems should record logs in a standardized format such as syslog entries or those outlined by the Common Event Expression initiative. If systems cannot generate logs in a standardized format, log normalization tools can be deployed to convert logs into such a format.

Version 7

6.2 Activate audit logging

Ensure that local logging has been enabled on all systems and networking devices.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

9.2.6 (L1) Ensure 'Windows Firewall: Private: Logging: Size limit (KB)' is set to '16,384 KB or greater' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Use this option to specify the size limit of the file in which Windows Firewall will write its log information.

The recommended state for this setting is: 16,384 KB or greater.

Rationale:

If events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PrivateProfile  
\Logging\LogFileSize
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to 16,384 KB or greater:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows  
Firewall with Advanced Security\Windows Firewall with Advanced  
Security\Windows Firewall Properties\Private Profile\Logging Customize\Size  
limit (KB)
```

Impact:

The log file size will be limited to the specified size, old events will be overwritten by newer ones when the limit is reached.

Default Value:

4,096 KB.

References:

1. CCE-34356-6

CIS Controls:

Version 6

6.3 Ensure Audit Logging Systems Are Not Subject To Loss (i.e. rotation/archive)

Ensure that all systems that store logs have adequate storage space for the logs generated on a regular basis, so that log files will not fill up between log rotation intervals. The logs must be archived and digitally signed on a periodic basis.

Version 7

6.4 Ensure adequate storage for logs

Ensure that all systems that store logs have adequate storage space for the logs generated.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

9.2.7 (L1) Ensure 'Windows Firewall: Private: Logging: Log dropped packets' is set to 'Yes' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Use this option to log when Windows Firewall with Advanced Security discards an inbound packet for any reason. The log records why and when the packet was dropped. Look for entries with the word `DROP` in the action column of the log.

The recommended state for this setting is: `Yes`.

Rationale:

If events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PrivateProfile\Logging\LogDroppedPackets
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Yes`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Private Profile\Logging Customize\Log dropped packets
```

Impact:

Information about dropped packets will be recorded in the firewall log file.

Default Value:

No (default). (Information about dropped packets will not be recorded in the firewall log file.)

References:

1. CCE-33436-7

CIS Controls:

Version 6

6.2 Ensure Audit Log Settings Support Appropriate Log Entry Formatting

Validate audit log settings for each hardware device and the software installed on it, ensuring that logs include a date, timestamp, source addresses, destination addresses, and various other useful elements of each packet and/or transaction. Systems should record logs in a standardized format such as syslog entries or those outlined by the Common Event Expression initiative. If systems cannot generate logs in a standardized format, log normalization tools can be deployed to convert logs into such a format.

Version 7

6.2 Activate audit logging

Ensure that local logging has been enabled on all systems and networking devices.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

9.2.8 (L1) Ensure 'Windows Firewall: Private: Logging: Log successful connections' is set to 'Yes' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Use this option to log when Windows Firewall with Advanced Security allows an inbound connection. The log records why and when the connection was formed. Look for entries with the word `ALLOW` in the action column of the log.

The recommended state for this setting is: `Yes`.

Rationale:

If events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PrivateProfile\Logging\LogSuccessfulConnections
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Yes`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Private Profile\Logging Customize\Log successful connections
```

Impact:

Information about successful connections will be recorded in the firewall log file.

Default Value:

No (default). (Information about successful connections will not be recorded in the firewall log file.)

References:

1. CCE-34177-6

CIS Controls:

Version 6

6.2 Ensure Audit Log Settings Support Appropriate Log Entry Formatting

Validate audit log settings for each hardware device and the software installed on it, ensuring that logs include a date, timestamp, source addresses, destination addresses, and various other useful elements of each packet and/or transaction. Systems should record logs in a standardized format such as syslog entries or those outlined by the Common Event Expression initiative. If systems cannot generate logs in a standardized format, log normalization tools can be deployed to convert logs into such a format.

Version 7

6.2 Activate audit logging

Ensure that local logging has been enabled on all systems and networking devices.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

9.3 Public Profile

This section contains recommendations for the Public Profile of the Windows Firewall.

9.3.1 (L1) Ensure 'Windows Firewall: Public: Firewall state' is set to 'On (recommended)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Select On (recommended) to have Windows Firewall with Advanced Security use the settings for this profile to filter network traffic. If you select Off, Windows Firewall with Advanced Security will not use any of the firewall rules or connection security rules for this profile.

The recommended state for this setting is: On (recommended).

Rationale:

If the firewall is turned off all traffic will be able to access the system and an attacker may be more easily able to remotely exploit a weakness in a network service.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PublicProfile:
EnableFirewall
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to On (recommended) :

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows
Firewall with Advanced Security\Windows Firewall with Advanced
Security\Windows Firewall Properties\Public Profile\Firewall state
```

Impact:

None - this is the default behavior.

Default Value:

On (recommended). (The Windows Firewall with Advanced Security will be active in this profile.)

References:

1. CCE-35703-8

CIS Controls:

Version 6

9.2 Leverage Host-based Firewalls

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

Version 7

9.4 Apply Host-based Firewalls or Port Filtering

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

11.2 Document Traffic Configuration Rules

All configuration rules that allow traffic to flow through network devices should be documented in a configuration management system with a specific business reason for each rule, a specific individual's name responsible for that business need, and an expected duration of the need.

9.3.2 (L1) Ensure 'Windows Firewall: Public: Inbound connections' is set to 'Block (default)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting determines the behavior for inbound connections that do not match an inbound firewall rule.

The recommended state for this setting is: `Block (default)`.

Rationale:

If the firewall allows all traffic to access the system then an attacker may be more easily able to remotely exploit a weakness in a network service.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PublicProfile:DefaultInboundAction
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Block (default)`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Public Profile\Inbound connections
```

Impact:

None - this is the default behavior.

Default Value:

`Block (default)`. (The Windows Firewall with Advanced Security will block all inbound connections that do not match an inbound firewall rule in this profile.)

References:

1. CCE-33069-6

CIS Controls:

Version 6

9.2 Leverage Host-based Firewalls

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

Version 7

9.4 Apply Host-based Firewalls or Port Filtering

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

11.2 Document Traffic Configuration Rules

All configuration rules that allow traffic to flow through network devices should be documented in a configuration management system with a specific business reason for each rule, a specific individual's name responsible for that business need, and an expected duration of the need.

9.3.3 (L1) Ensure 'Windows Firewall: Public: Outbound connections' is set to 'Allow (default)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting determines the behavior for outbound connections that do not match an outbound firewall rule.

The recommended state for this setting is: `Allow (default)`.

Note: If you set Outbound connections to Block and then deploy the firewall policy by using a GPO, computers that receive the GPO settings cannot receive subsequent Group Policy updates unless you create and deploy an outbound rule that enables Group Policy to work. Predefined rules for Core Networking include outbound rules that enable Group Policy to work. Ensure that these outbound rules are active, and thoroughly test firewall profiles before deploying.

Rationale:

Some people believe that it is prudent to block all outbound connections except those specifically approved by the user or administrator. Microsoft disagrees with this opinion, blocking outbound connections by default will force users to deal with a large number of dialog boxes prompting them to authorize or block applications such as their web browser or instant messaging software. Additionally, blocking outbound traffic has little value because if an attacker has compromised the system they can reconfigure the firewall anyway.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PublicProfile:
DefaultOutboundAction
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Allow` (default):

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Public Profile\Outbound connections
```

Impact:

None - this is the default behavior.

Default Value:

Allow (default). (The Windows Firewall with Advanced Security will allow all outbound connections in this profile unless there is a firewall rule explicitly blocking it.)

References:

1. CCE-33070-4

CIS Controls:

Version 6

9.2 Leverage Host-based Firewalls

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

Version 7

9.4 Apply Host-based Firewalls or Port Filtering

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

11.2 Document Traffic Configuration Rules

All configuration rules that allow traffic to flow through network devices should be documented in a configuration management system with a specific business reason for each rule, a specific individual's name responsible for that business need, and an expected duration of the need.

9.3.4 (L1) Ensure 'Windows Firewall: Public: Settings: Display a notification' is set to 'No' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Select this option to have Windows Firewall with Advanced Security display notifications to the user when a program is blocked from receiving inbound connections.

The recommended state for this setting is: No.

Rationale:

Some organizations may prefer to avoid alarming users when firewall rules block certain types of network activity. However, notifications can be helpful when troubleshooting network issues involving the firewall.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PublicProfile:
DisableNotifications
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to 'No':

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows
Firewall with Advanced Security\Windows Firewall with Advanced
Security\Windows Firewall Properties\Public Profile\Settings
Customize\Display a notification
```

Impact:

Windows Firewall will not display a notification when a program is blocked from receiving inbound connections.

Default Value:

Yes. (Windows Firewall with Advanced Security will display a notification when a program is blocked from receiving inbound connections.)

References:

1. CCE-33068-8

CIS Controls:

Version 7

11.2 Document Traffic Configuration Rules

All configuration rules that allow traffic to flow through network devices should be documented in a configuration management system with a specific business reason for each rule, a specific individual's name responsible for that business need, and an expected duration of the need.

11.3 Use Automated Tools to Verify Standard Device Configurations and Detect Changes

Compare all network device configuration against approved security configurations defined for each network device in use and alert when any deviations are discovered.

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9.3.5 (L1) Ensure 'Windows Firewall: Public: Settings: Apply local firewall rules' is set to 'No' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting controls whether local administrators are allowed to create local firewall rules that apply together with firewall rules configured by Group Policy.

The recommended state for this setting is: No.

Note: When the `Apply local firewall rules` setting is configured to No, it's recommended to also configure the `Display a notification` setting to No. Otherwise, users will continue to receive messages that ask if they want to unblock a restricted inbound connection, but the user's response will be ignored.

Rationale:

When in the Public profile, there should be no special local firewall exceptions per computer. These settings should be managed by a centralized policy.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PublicProfile:
AllowLocalPolicyMerge
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to No:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows
Firewall with Advanced Security\Windows Firewall with Advanced
Security\Windows Firewall Properties\Public Profile\Settings Customize\Apply
local firewall rules
```

Impact:

Administrators can still create firewall rules, but the rules will not be applied.

Default Value:

Yes (default). (Firewall rules created by administrators will be applied.)

References:

1. CCE-35537-0

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

11.2 Document Traffic Configuration Rules

All configuration rules that allow traffic to flow through network devices should be documented in a configuration management system with a specific business reason for each rule, a specific individual's name responsible for that business need, and an expected duration of the need.

11.3 Use Automated Tools to Verify Standard Device Configurations and Detect Changes

Compare all network device configuration against approved security configurations defined for each network device in use and alert when any deviations are discovered.

9.3.6 (L1) Ensure 'Windows Firewall: Public: Settings: Apply local connection security rules' is set to 'No' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting controls whether local administrators are allowed to create connection security rules that apply together with connection security rules configured by Group Policy.

The recommended state for this setting is: No.

Rationale:

Users with administrative privileges might create firewall rules that expose the system to remote attack.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PublicProfile:
AllowLocalIPsecPolicyMerge
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to No:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows
Firewall with Advanced Security\Windows Firewall with Advanced
Security\Windows Firewall Properties\Public Profile\Settings Customize\Apply
local connection security rules
```

Impact:

Administrators can still create local connection security rules, but the rules will not be applied.

Default Value:

Yes (default). (Local connection security rules created by administrators will be applied.)

References:

1. CCE-33099-3

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

11.2 Document Traffic Configuration Rules

All configuration rules that allow traffic to flow through network devices should be documented in a configuration management system with a specific business reason for each rule, a specific individual's name responsible for that business need, and an expected duration of the need.

11.3 Use Automated Tools to Verify Standard Device Configurations and Detect Changes

Compare all network device configuration against approved security configurations defined for each network device in use and alert when any deviations are discovered.

9.3.7 (L1) Ensure 'Windows Firewall: Public: Logging: Name' is set to '%SystemRoot%\System32\logfiles\firewall\publicfw.log' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Use this option to specify the path and name of the file in which Windows Firewall will write its log information.

The recommended state for this setting is:

%SystemRoot%\System32\logfiles\firewall\publicfw.log.

Rationale:

If events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PublicProfile\Logging\LogFilePath
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to

%SystemRoot%\System32\logfiles\firewall\publicfw.log:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Public Profile\Logging Customize\Name
```

Impact:

The log file will be stored in the specified file.

Default Value:

%SystemRoot%\System32\logfiles\firewall\pfirewall.log

References:

1. CCE-35117-1

CIS Controls:

Version 6

6.2 Ensure Audit Log Settings Support Appropriate Log Entry Formatting

Validate audit log settings for each hardware device and the software installed on it, ensuring that logs include a date, timestamp, source addresses, destination addresses, and various other useful elements of each packet and/or transaction. Systems should record logs in a standardized format such as syslog entries or those outlined by the Common Event Expression initiative. If systems cannot generate logs in a standardized format, log normalization tools can be deployed to convert logs into such a format.

Version 7

6.2 Activate audit logging

Ensure that local logging has been enabled on all systems and networking devices.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

9.3.8 (L1) Ensure 'Windows Firewall: Public: Logging: Size limit (KB)' is set to '16,384 KB or greater' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Use this option to specify the size limit of the file in which Windows Firewall will write its log information.

The recommended state for this setting is: 16,384 KB or greater.

Rationale:

If events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PublicProfile\Logging\LogFileSize
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to 16,384 KB or greater:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Public Profile\Logging Customize\Size limit (KB)
```

Impact:

The log file size will be limited to the specified size, old events will be overwritten by newer ones when the limit is reached.

Default Value:

4,096 KB.

References:

1. CCE-35421-7

CIS Controls:

Version 6

6.3 Ensure Audit Logging Systems Are Not Subject To Loss (i.e. rotation/archive)

Ensure that all systems that store logs have adequate storage space for the logs generated on a regular basis, so that log files will not fill up between log rotation intervals. The logs must be archived and digitally signed on a periodic basis.

Version 7

6.4 Ensure adequate storage for logs

Ensure that all systems that store logs have adequate storage space for the logs generated.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

9.3.9 (L1) Ensure 'Windows Firewall: Public: Logging: Log dropped packets' is set to 'Yes' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Use this option to log when Windows Firewall with Advanced Security discards an inbound packet for any reason. The log records why and when the packet was dropped. Look for entries with the word `DROP` in the action column of the log.

The recommended state for this setting is: `Yes`.

Rationale:

If events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PublicProfile\Logging\LogDroppedPackets
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Yes`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows Firewall with Advanced Security\Windows Firewall with Advanced Security\Windows Firewall Properties\Public Profile\Logging Customize\Log dropped packets
```

Impact:

Information about dropped packets will be recorded in the firewall log file.

Default Value:

No (default). (Information about dropped packets will not be recorded in the firewall log file.)

References:

1. CCE-35116-3

CIS Controls:

Version 6

6.2 Ensure Audit Log Settings Support Appropriate Log Entry Formatting

Validate audit log settings for each hardware device and the software installed on it, ensuring that logs include a date, timestamp, source addresses, destination addresses, and various other useful elements of each packet and/or transaction. Systems should record logs in a standardized format such as syslog entries or those outlined by the Common Event Expression initiative. If systems cannot generate logs in a standardized format, log normalization tools can be deployed to convert logs into such a format.

Version 7

6.2 Activate audit logging

Ensure that local logging has been enabled on all systems and networking devices.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

9.3.10 (L1) Ensure 'Windows Firewall: Public: Logging: Log successful connections' is set to 'Yes' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Use this option to log when Windows Firewall with Advanced Security allows an inbound connection. The log records why and when the connection was formed. Look for entries with the word `ALLOW` in the action column of the log.

The recommended state for this setting is: `Yes`.

Rationale:

If events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\WindowsFirewall\PublicProfile\
Logging\LogSuccessfulConnections
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Yes`.

```
Computer Configuration\Policies\Windows Settings\Security Settings\Windows
Firewall with Advanced Security\Windows Firewall with Advanced
Security\Windows Firewall Properties\Public Profile\Logging Customize\Log
successful connections
```

Impact:

Information about successful connections will be recorded in the firewall log file.

Default Value:

No (default). (Information about successful connections will not be recorded in the firewall log file.)

References:

1. CCE-33734-5

CIS Controls:

Version 6

6.2 Ensure Audit Log Settings Support Appropriate Log Entry Formatting

Validate audit log settings for each hardware device and the software installed on it, ensuring that logs include a date, timestamp, source addresses, destination addresses, and various other useful elements of each packet and/or transaction. Systems should record logs in a standardized format such as syslog entries or those outlined by the Common Event Expression initiative. If systems cannot generate logs in a standardized format, log normalization tools can be deployed to convert logs into such a format.

Version 7

6.2 Activate audit logging

Ensure that local logging has been enabled on all systems and networking devices.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

10 Network List Manager Policies

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

11 Wireless Network (IEEE 802.11) Policies

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

12 Public Key Policies

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

13 Software Restriction Policies

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

14 Network Access Protection NAP Client Configuration

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

15 Application Control Policies

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

16 IP Security Policies

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

17 Advanced Audit Policy Configuration

This section contains recommendations for configuring the Windows audit facilities.

17.1 Account Logon

This section contains recommendations for configuring the Account Logon audit policy.

17.1.1 (L1) Ensure 'Audit Credential Validation' is set to 'Success and Failure' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports the results of validation tests on credentials submitted for a user account logon request. These events occur on the computer that is authoritative for the credentials. For domain accounts, the Domain Controller is authoritative, whereas for local accounts, the local computer is authoritative. In domain environments, most of the Account Logon events occur in the Security log of the Domain Controllers that are authoritative for the domain accounts. However, these events can occur on other computers in the organization when local accounts are used to log on. Events for this subcategory include:

- 4774: An account was mapped for logon.
- 4775: An account could not be mapped for logon.
- 4776: The Domain Controller attempted to validate the credentials for an account.
- 4777: The Domain Controller failed to validate the credentials for an account.

The recommended state for this setting is: `Success and Failure`.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Success** and **Failure**:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Advanced  
Audit Policy Configuration\Audit Policies\Account Logon\Audit Credential  
Validation
```

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

No Auditing.

References:

1. CCE-35494-4

CIS Controls:

Version 6

16.4 Automatically Log Off Users After Standard Period Of Inactivity

Regularly monitor the use of all accounts, automatically logging off users after a standard period of inactivity.

16.8 Log Attempts To Access Deactivated Accounts

Monitor attempts to access deactivated accounts through audit logging.

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

16.12 Monitor Attempts to Access Deactivated Accounts

Monitor attempts to access deactivated accounts through audit logging.

16.13 Alert on Account Login Behavior Deviation

Alert when users deviate from normal login behavior, such as time-of-day, workstation location and duration.

16.9 Disable Dormant Accounts

Automatically disable dormant accounts after a set period of inactivity.

17.2 Account Management

This section contains recommendations for configuring the Account Management audit policy.

17.2.1 (L1) Ensure 'Audit Application Group Management' is set to 'Success and Failure' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to audit events generated by changes to application groups such as the following:

- Application group is created, changed, or deleted.
- Member is added or removed from an application group.

Application groups are utilized by Windows Authorization Manager, which is a flexible framework created by Microsoft for integrating role-based access control (RBAC) into applications. More information on Windows Authorization Manager is available at [MSDN - Windows Authorization Manager](#).

The recommended state for this setting is: `Success and Failure`.

Rationale:

Auditing events in this category may be useful when investigating an incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Success and Failure`:

Computer Configuration\Policies\Windows Settings\Security Settings\Advanced Audit Policy Configuration\Audit Policies\Account Management\Audit Application Group Management

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

No Auditing.

References:

1. CCE-32932-6

CIS Controls:

Version 6

16 Account Monitoring and Control
Account Monitoring and Control

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

16.12 Monitor Attempts to Access Deactivated Accounts

Monitor attempts to access deactivated accounts through audit logging.

16.9 Disable Dormant Accounts

Automatically disable dormant accounts after a set period of inactivity.

17.2.2 (L1) Ensure 'Audit Security Group Management' is set to include 'Success' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports each event of security group management, such as when a security group is created, changed, or deleted or when a member is added to or removed from a security group. If you enable this Audit policy setting, administrators can track events to detect malicious, accidental, and authorized creation of security group accounts. Events for this subcategory include:

- 4727: A security-enabled global group was created.
- 4728: A member was added to a security-enabled global group.
- 4729: A member was removed from a security-enabled global group.
- 4730: A security-enabled global group was deleted.
- 4731: A security-enabled local group was created.
- 4732: A member was added to a security-enabled local group.
- 4733: A member was removed from a security-enabled local group.
- 4734: A security-enabled local group was deleted.
- 4735: A security-enabled local group was changed.
- 4737: A security-enabled global group was changed.
- 4754: A security-enabled universal group was created.
- 4755: A security-enabled universal group was changed.
- 4756: A member was added to a security-enabled universal group.
- 4757: A member was removed from a security-enabled universal group.
- 4758: A security-enabled universal group was deleted.
- 4764: A group's type was changed.

The recommended state for this setting is to include: `Success`.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include Success:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Advanced Audit Policy Configuration\Audit Policies\Account Management\Audit Security Group Management
```

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

Success.

References:

1. CCE-35498-5

CIS Controls:

Version 6

16 Account Monitoring and Control
Account Monitoring and Control

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

16.6 Maintain an Inventory of Accounts

Maintain an inventory of all accounts organized by authentication system.

17.2.3 (L1) Ensure 'Audit User Account Management' is set to 'Success and Failure' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports each event of user account management, such as when a user account is created, changed, or deleted; a user account is renamed, disabled, or enabled; or a password is set or changed. If you enable this Audit policy setting, administrators can track events to detect malicious, accidental, and authorized creation of user accounts.

Events for this subcategory include:

- 4720: A user account was created.
- 4722: A user account was enabled.
- 4723: An attempt was made to change an account's password.
- 4724: An attempt was made to reset an account's password.
- 4725: A user account was disabled.
- 4726: A user account was deleted.
- 4738: A user account was changed.
- 4740: A user account was locked out.
- 4765: SID History was added to an account.
- 4766: An attempt to add SID History to an account failed.
- 4767: A user account was unlocked.
- 4780: The ACL was set on accounts which are members of administrators groups.
- 4781: The name of an account was changed:
- 4794: An attempt was made to set the Directory Services Restore Mode.
- 5376: Credential Manager credentials were backed up.
- 5377: Credential Manager credentials were restored from a backup.

The recommended state for this setting is: `Success and Failure`.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Success** and **Failure**:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Advanced  
Audit Policy Configuration\Audit Policies\Account Management\Audit User  
Account Management
```

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

Success.

References:

1. CCE-35499-3

CIS Controls:

Version 6

16.4 Automatically Log Off Users After Standard Period Of Inactivity

Regularly monitor the use of all accounts, automatically logging off users after a standard period of inactivity.

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

16.6 Maintain an Inventory of Accounts

Maintain an inventory of all accounts organized by authentication system.

17.3 Detailed Tracking

This section contains recommendations for configuring the Detailed Tracking audit policy.

17.3.1 (L1) Ensure 'Audit Process Creation' is set to include 'Success' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports the creation of a process and the name of the program or user that created it. Events for this subcategory include:

- 4688: A new process has been created.
- 4696: A primary token was assigned to process.

Refer to Microsoft Knowledge Base article 947226: [Description of security events in Windows Vista and in Windows Server 2008](#) for the most recent information about this setting.

The recommended state for this setting is to include: `Success`.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include `Success`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Advanced  
Audit Policy Configuration\Audit Policies\Detailed Tracking\Audit Process  
Creation
```

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

No Auditing.

References:

1. CCE-33040-7

CIS Controls:

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

17.4 DS Access

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

17.5 Logon/Logoff

This section contains recommendations for configuring the Logon/Logoff audit policy.

17.5.1 (L1) Ensure 'Audit Account Lockout' is set to include 'Failure' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports when a user's account is locked out as a result of too many failed logon attempts. Events for this subcategory include:

- 4625: An account failed to log on.

The recommended state for this setting is to include: `Failure`.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include `Failure`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Advanced  
Audit Policy Configuration\Audit Policies\Logon/Logoff\Audit Account Lockout
```

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

Success.

References:

1. CCE-35504-0

CIS Controls:

Version 6

16.7 Configure Account Lockouts

Use and configure account lockouts such that after a set number of failed login attempts the account is locked for a standard period of time.

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

16.6 Maintain an Inventory of Accounts

Maintain an inventory of all accounts organized by authentication system.

17.5.2 (L1) Ensure 'Audit Logoff' is set to include 'Success' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports when a user logs off from the system. These events occur on the accessed computer. For interactive logons, the generation of these events occurs on the computer that is logged on to. If a network logon takes place to access a share, these events generate on the computer that hosts the accessed resource. If you configure this setting to No auditing, it is difficult or impossible to determine which user has accessed or attempted to access organization computers. Events for this subcategory include:

- 4634: An account was logged off.
- 4647: User initiated logoff.

The recommended state for this setting is to include: Success.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include Success:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Advanced  
Audit Policy Configuration\Audit Policies\Logon/Logoff\Audit Logoff
```

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

Success.

References:

1. CCE-35507-3

CIS Controls:

Version 6

16.1 Perform Regular Account Reviews

Review all system accounts and disable any account that cannot be associated with a business process and owner.

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

16.13 Alert on Account Login Behavior Deviation

Alert when users deviate from normal login behavior, such as time-of-day, workstation location and duration.

16.6 Maintain an Inventory of Accounts

Maintain an inventory of all accounts organized by authentication system.

17.5.3 (L1) Ensure 'Audit Logon' is set to 'Success and Failure' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports when a user attempts to log on to the system. These events occur on the accessed computer. For interactive logons, the generation of these events occurs on the computer that is logged on to. If a network logon takes place to access a share, these events generate on the computer that hosts the accessed resource. If you configure this setting to No auditing, it is difficult or impossible to determine which user has accessed or attempted to access organization computers. Events for this subcategory include:

- 4624: An account was successfully logged on.
- 4625: An account failed to log on.
- 4648: A logon was attempted using explicit credentials.
- 4675: SIDs were filtered.

The recommended state for this setting is: Success and Failure.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Success and Failure:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Advanced  
Audit Policy Configuration\Audit Policies\Logon/Logoff\Audit Logon
```

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

Success.

References:

1. CCE-35508-1

CIS Controls:

Version 6

16.1 Perform Regular Account Reviews

Review all system accounts and disable any account that cannot be associated with a business process and owner.

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

16.13 Alert on Account Login Behavior Deviation

Alert when users deviate from normal login behavior, such as time-of-day, workstation location and duration.

16.6 Maintain an Inventory of Accounts

Maintain an inventory of all accounts organized by authentication system.

17.5.4 (L1) Ensure 'Audit Other Logon/Logoff Events' is set to 'Success and Failure' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports other logon/logoff-related events, such as Remote Desktop Services session disconnects and reconnects, using RunAs to run processes under a different account, and locking and unlocking a workstation. Events for this subcategory include:

- 4649: A replay attack was detected.
- 4778: A session was reconnected to a Window Station.
- 4779: A session was disconnected from a Window Station.
- 4800: The workstation was locked.
- 4801: The workstation was unlocked.
- 4802: The screen saver was invoked.
- 4803: The screen saver was dismissed.
- 5378: The requested credentials delegation was disallowed by policy.
- 5632: A request was made to authenticate to a wireless network.
- 5633: A request was made to authenticate to a wired network.

The recommended state for this setting is: `Success and Failure`.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Success and Failure`:

Computer Configuration\Policies\Windows Settings\Security Settings\Advanced Audit Policy Configuration\Audit Policies\Logon/Logoff\Audit Other Logon/Logoff Events

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

No Auditing.

References:

1. CCE-35510-7

CIS Controls:

Version 6

16.1 Perform Regular Account Reviews

Review all system accounts and disable any account that cannot be associated with a business process and owner.

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

16.13 Alert on Account Login Behavior Deviation

Alert when users deviate from normal login behavior, such as time-of-day, workstation location and duration.

16.6 Maintain an Inventory of Accounts

Maintain an inventory of all accounts organized by authentication system.

17.5.5 (L1) Ensure 'Audit Special Logon' is set to include 'Success' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports when a special logon is used. A special logon is a logon that has administrator-equivalent privileges and can be used to elevate a process to a higher level. Events for this subcategory include:

- 4964 : Special groups have been assigned to a new logon.

The recommended state for this setting is to include: Success.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include Success:

Computer Configuration\Policies\Windows Settings\Security Settings\Advanced Audit Policy Configuration\Audit Policies\Logon/Logoff\Audit Special Logon

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

Success.

References:

1. CCE-35511-5

CIS Controls:**Version 6****5.8 Administrators Should Not Directly Log In To A System (i.e. use RunAs/sudo)**

Administrators should be required to access a system using a fully logged and non-administrative account. Then, once logged on to the machine without administrative privileges, the administrator should transition to administrative privileges using tools such as Sudo on Linux/UNIX, RunAs on Windows, and other similar facilities for other types of systems.

Version 7**6.3 Enable Detailed Logging**

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

16.6 Maintain an Inventory of Accounts

Maintain an inventory of all accounts organized by authentication system.

17.6 Object Access

This section contains recommendations for configuring the Object Access audit policy.

17.6.1 (L1) Ensure 'Audit Detailed File Share' is set to include 'Failure' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory allows you to audit attempts to access files and folders on a shared folder. Events for this subcategory include:

- 5145: network share object was checked to see whether client can be granted desired access.

The recommended state for this setting is to include: `Failure`

Rationale:

Auditing the Failures will log which unauthorized users attempted (and failed) to get access to a file or folder on a network share on this computer, which could possibly be an indication of malicious intent.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include `Failure`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Advanced  
Audit Policy Configuration\Audit Policies\Object Access\Audit Detailed File  
Share
```

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

No Auditing.

References:

1. CCE-35471-2

CIS Controls:

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

14.6 Protect Information through Access Control Lists

Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.

17.6.2 (L1) Ensure 'Audit File Share' is set to 'Success and Failure' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to audit attempts to access a shared folder.

The recommended state for this setting is: `Success and Failure`.

Note: There are no system access control lists (SACLs) for shared folders. If this policy setting is enabled, access to all shared folders on the system is audited.

Rationale:

In an enterprise managed environment, workstations should have limited file sharing activity, as file servers would normally handle the overall burden of file sharing activities. Any unusual file sharing activity on workstations may therefore be useful in an investigation of potentially malicious activity.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Success and Failure`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Advanced  
Audit Policy Configuration\Audit Policies\Object Access\Audit File Share
```

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

No Auditing.

References:

1. CCE-35399-5

CIS Controls:

Version 6

14.4 Protect Information With Access Control Lists

All information stored on systems shall be protected with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

14.6 Protect Information through Access Control Lists

Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.

17.6.3 (L1) Ensure 'Audit Other Object Access Events' is set to 'Success and Failure' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to audit events generated by the management of task scheduler jobs or COM+ objects.

For scheduler jobs, the following are audited:

- Job created.
- Job deleted.
- Job enabled.
- Job disabled.
- Job updated.

For COM+ objects, the following are audited:

- Catalog object added.
- Catalog object updated.
- Catalog object deleted.

The recommended state for this setting is: `Success and Failure`.

Rationale:

The unexpected creation of scheduled tasks and COM+ objects could potentially be an indication of malicious activity. Since these types of actions are generally low volume, it may be useful to capture them in the audit logs for use during an investigation.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Success** and **Failure**:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Advanced  
Audit Policy Configuration\Audit Policies\Object Access\Audit Other Object  
Access Events
```

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

No Auditing.

References:

1. CCE-35518-0

CIS Controls:

Version 6

6.2 Ensure Audit Log Settings Support Appropriate Log Entry Formatting

Validate audit log settings for each hardware device and the software installed on it, ensuring that logs include a date, timestamp, source addresses, destination addresses, and various other useful elements of each packet and/or transaction. Systems should record logs in a standardized format such as syslog entries or those outlined by the Common Event Expression initiative. If systems cannot generate logs in a standardized format, log normalization tools can be deployed to convert logs into such a format.

Version 7

6.2 Activate audit logging

Ensure that local logging has been enabled on all systems and networking devices.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

17.7 Policy Change

This section contains recommendations for configuring the Policy Change audit policy.

17.7.1 (L1) Ensure 'Audit Audit Policy Change' is set to include 'Success' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports changes in audit policy including SACL changes. Events for this subcategory include:

- 4715: The audit policy (SACL) on an object was changed.
- 4719: System audit policy was changed.
- 4902: The Per-user audit policy table was created.
- 4904: An attempt was made to register a security event source.
- 4905: An attempt was made to unregister a security event source.
- 4906: The CrashOnAuditFail value has changed.
- 4907: Auditing settings on object were changed.
- 4908: Special Groups Logon table modified.
- 4912: Per User Audit Policy was changed.

The recommended state for this setting is to include: `Success`.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include `Success`:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Advanced  
Audit Policy Configuration\Audit Policies\Policy Change\Audit Audit Policy  
Change
```

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

Success.

References:

1. CCE-35521-4

ARCHIVE

CIS Controls:

Version 6

3.5 Use File Integrity Tools For Critical System Files

Use file integrity checking tools to ensure that critical system files (including sensitive system and application executables, libraries, and configurations) have not been altered. The reporting system should: have the ability to account for routine and expected changes; highlight and alert on unusual or unexpected alterations; show the history of configuration changes over time and identify who made the change (including the original logged-in account in the event of a user ID switch, such as with the su or sudo command). These integrity checks should identify suspicious system alterations such as: owner and permissions changes to files or directories; the use of alternate data streams which could be used to hide malicious activities; and the introduction of extra files into key system areas (which could indicate malicious payloads left by attackers or additional files inappropriately added during batch distribution processes).

Version 7

5.5 Implement Automated Configuration Monitoring Systems

Utilize a Security Content Automation Protocol (SCAP) compliant configuration monitoring system to verify all security configuration elements, catalog approved exceptions, and alert when unauthorized changes occur.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

17.7.2 (L1) Ensure 'Audit Authentication Policy Change' is set to include 'Success' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports changes in authentication policy. Events for this subcategory include:

- 4706: A new trust was created to a domain.
- 4707: A trust to a domain was removed.
- 4713: Kerberos policy was changed.
- 4716: Trusted domain information was modified.
- 4717: System security access was granted to an account.
- 4718: System security access was removed from an account.
- 4739: Domain Policy was changed.
- 4864: A namespace collision was detected.
- 4865: A trusted forest information entry was added.
- 4866: A trusted forest information entry was removed.
- 4867: A trusted forest information entry was modified.

The recommended state for this setting is to include: *Success*.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include *Success*:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Advanced  
Audit Policy Configuration\Audit Policies\Policy Change\Audit Authentication  
Policy Change
```

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

Success.

References:

1. CCE-33091-0

ARCHIVE

CIS Controls:

Version 6

3.5 Use File Integrity Tools For Critical System Files

Use file integrity checking tools to ensure that critical system files (including sensitive system and application executables, libraries, and configurations) have not been altered. The reporting system should: have the ability to account for routine and expected changes; highlight and alert on unusual or unexpected alterations; show the history of configuration changes over time and identify who made the change (including the original logged-in account in the event of a user ID switch, such as with the su or sudo command). These integrity checks should identify suspicious system alterations such as: owner and permissions changes to files or directories; the use of alternate data streams which could be used to hide malicious activities; and the introduction of extra files into key system areas (which could indicate malicious payloads left by attackers or additional files inappropriately added during batch distribution processes).

Version 7

5.5 Implement Automated Configuration Monitoring Systems

Utilize a Security Content Automation Protocol (SCAP) compliant configuration monitoring system to verify all security configuration elements, catalog approved exceptions, and alert when unauthorized changes occur.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

17.7.3 (L1) Ensure 'Audit Authorization Policy Change' is set to include 'Success' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports changes in authorization policy. Events for this subcategory include:

- 4704: A user right was assigned.
- 4705: A user right was removed.
- 4706: A new trust was created to a domain.
- 4707: A trust to a domain was removed.
- 4714: Encrypted data recovery policy was changed.

The recommended state for this setting is to include: Success.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include Success:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Advanced  
Audit Policy Configuration\Audit Policies\Policy Change\Audit Authorization  
Policy Change
```

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

Success.

References:

1. CCE-33042-3

ARCHIVE

CIS Controls:

Version 6

3.5 Use File Integrity Tools For Critical System Files

Use file integrity checking tools to ensure that critical system files (including sensitive system and application executables, libraries, and configurations) have not been altered. The reporting system should: have the ability to account for routine and expected changes; highlight and alert on unusual or unexpected alterations; show the history of configuration changes over time and identify who made the change (including the original logged-in account in the event of a user ID switch, such as with the su or sudo command). These integrity checks should identify suspicious system alterations such as: owner and permissions changes to files or directories; the use of alternate data streams which could be used to hide malicious activities; and the introduction of extra files into key system areas (which could indicate malicious payloads left by attackers or additional files inappropriately added during batch distribution processes).

Version 7

5.5 Implement Automated Configuration Monitoring Systems

Utilize a Security Content Automation Protocol (SCAP) compliant configuration monitoring system to verify all security configuration elements, catalog approved exceptions, and alert when unauthorized changes occur.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

14.9 Enforce Detail Logging for Access or Changes to Sensitive Data

Enforce detailed audit logging for access to sensitive data or changes to sensitive data (utilizing tools such as File Integrity Monitoring or Security Information and Event Monitoring).

17.7.4 (L1) Ensure 'Audit MPSSVC Rule-Level Policy Change' is set to 'Success and Failure' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory determines whether the operating system generates audit events when changes are made to policy rules for the Microsoft Protection Service (MPSSVC.exe). Events for this subcategory include:

- 4944: The following policy was active when the Windows Firewall started.
- 4945: A rule was listed when the Windows Firewall started.
- 4946: A change has been made to Windows Firewall exception list. A rule was added.
- 4947: A change has been made to Windows Firewall exception list. A rule was modified.
- 4948: A change has been made to Windows Firewall exception list. A rule was deleted.
- 4949: Windows Firewall settings were restored to the default values.
- 4950: A Windows Firewall setting has changed.
- 4951: A rule has been ignored because its major version number was not recognized by Windows Firewall.
- 4952: Parts of a rule have been ignored because its minor version number was not recognized by Windows Firewall. The other parts of the rule will be enforced.
- 4953: A rule has been ignored by Windows Firewall because it could not parse the rule.
- 4954: Windows Firewall Group Policy settings have changed. The new settings have been applied.
- 4956: Windows Firewall has changed the active profile.
- 4957: Windows Firewall did not apply the following rule.
- 4958: Windows Firewall did not apply the following rule because the rule referred to items not configured on this computer.

The recommended state for this setting is : Success and Failure

Rationale:

Changes to firewall rules are important for understanding the security state of the computer and how well it is protected against network attacks.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Success** and **Failure**:

Computer Configuration\Policies\Windows Settings\Security Settings\Advanced Audit Policy Configuration\Audit Policies\Policy Change\Audit MPSSVC Rule-Level Policy Change

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

No Auditing.

References:

1. CCE-35532-1

CIS Controls:

Version 7

5.5 Implement Automated Configuration Monitoring Systems

Utilize a Security Content Automation Protocol (SCAP) compliant configuration monitoring system to verify all security configuration elements, catalog approved exceptions, and alert when unauthorized changes occur.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

17.7.5 (L1) Ensure 'Audit Other Policy Change Events' is set to include 'Failure' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory contains events about EFS Data Recovery Agent policy changes, changes in Windows Filtering Platform filter, status on Security policy settings updates for local Group Policy settings, Central Access Policy changes, and detailed troubleshooting events for Cryptographic Next Generation (CNG) operations.

- 5063: A cryptographic provider operation was attempted.
- 5064: A cryptographic context operation was attempted.
- 5065: A cryptographic context modification was attempted.
- 5066: A cryptographic function operation was attempted.
- 5067: A cryptographic function modification was attempted.
- 5068: A cryptographic function provider operation was attempted.
- 5069: A cryptographic function property operation was attempted.
- 5070: A cryptographic function property modification was attempted.
- 6145: One or more errors occurred while processing security policy in the group policy objects.

The recommended state for this setting is to include: `Failure`.

Rationale:

This setting can help detect errors in applied Security settings which came from Group Policy, and failure events related to Cryptographic Next Generation (CNG) functions.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include Failure:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Advanced Audit Policy Configuration\Audit Policies\Policy Change\Audit Other Policy Change Events
```

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

No Auditing.

References:

1. CCE-35698-0

CIS Controls:

Version 7

5.5 Implement Automated Configuration Monitoring Systems

Utilize a Security Content Automation Protocol (SCAP) compliant configuration monitoring system to verify all security configuration elements, catalog approved exceptions, and alert when unauthorized changes occur.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

14.9 Enforce Detail Logging for Access or Changes to Sensitive Data

Enforce detailed audit logging for access to sensitive data or changes to sensitive data (utilizing tools such as File Integrity Monitoring or Security Information and Event Monitoring).

17.8 Privilege Use

This section contains recommendations for configuring the Privilege Use audit policy.

17.8.1 (L1) Ensure 'Audit Sensitive Privilege Use' is set to 'Success and Failure' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports when a user account or service uses a sensitive privilege. A sensitive privilege includes the following user rights:

- Act as part of the operating system
- Back up files and directories
- Create a token object
- Debug programs
- Enable computer and user accounts to be trusted for delegation
- Generate security audits
- Impersonate a client after authentication
- Load and unload device drivers
- Manage auditing and security log
- Modify firmware environment values
- Replace a process-level token
- Restore files and directories
- Take ownership of files or other objects

Auditing this subcategory will create a high volume of events. Events for this subcategory include:

- 4672: Special privileges assigned to new logon.
- 4673: A privileged service was called.
- 4674: An operation was attempted on a privileged object.

The recommended state for this setting is: `Success and Failure`.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Success** and **Failure**:

Computer Configuration\Policies\Windows Settings\Security Settings\Advanced Audit Policy Configuration\Audit Policies\Privilege Use\Audit Sensitive Privilege Use

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

No Auditing.

References:

1. CCE-35524-8

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

17.9 System

This section contains recommendations for configuring the System audit policy.

17.9.1 (L1) Ensure 'Audit IPsec Driver' is set to 'Success and Failure' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports on the activities of the Internet Protocol security (IPsec) driver. Events for this subcategory include:

- 4960: IPsec dropped an inbound packet that failed an integrity check. If this problem persists, it could indicate a network issue or that packets are being modified in transit to this computer. Verify that the packets sent from the remote computer are the same as those received by this computer. This error might also indicate interoperability problems with other IPsec implementations.
- 4961: IPsec dropped an inbound packet that failed a replay check. If this problem persists, it could indicate a replay attack against this computer.
- 4962: IPsec dropped an inbound packet that failed a replay check. The inbound packet had too low a sequence number to ensure it was not a replay.
- 4963: IPsec dropped an inbound clear text packet that should have been secured. This is usually due to the remote computer changing its IPsec policy without informing this computer. This could also be a spoofing attack attempt.
- 4965: IPsec received a packet from a remote computer with an incorrect Security Parameter Index (SPI). This is usually caused by malfunctioning hardware that is corrupting packets. If these errors persist, verify that the packets sent from the remote computer are the same as those received by this computer. This error may also indicate interoperability problems with other IPsec implementations. In that case, if connectivity is not impeded, then these events can be ignored.
- 5478: IPsec Services has started successfully.
- 5479: IPsec Services has been shut down successfully. The shutdown of IPsec Services can put the computer at greater risk of network attack or expose the computer to potential security risks.
- 5480: IPsec Services failed to get the complete list of network interfaces on the computer. This poses a potential security risk because some of the network interfaces may not get the protection provided by the applied IPsec filters. Use the IP Security Monitor snap-in to diagnose the problem.
- 5483: IPsec Services failed to initialize RPC server. IPsec Services could not be started.

- 5484: IPsec Services has experienced a critical failure and has been shut down. The shutdown of IPsec Services can put the computer at greater risk of network attack or expose the computer to potential security risks.
- 5485: IPsec Services failed to process some IPsec filters on a plug-and-play event for network interfaces. This poses a potential security risk because some of the network interfaces may not get the protection provided by the applied IPsec filters. Use the IP Security Monitor snap-in to diagnose the problem.

The recommended state for this setting is: Success and Failure.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to Success and Failure:

Computer Configuration\Policies\Windows Settings\Security Settings\Advanced Audit Policy Configuration\Audit Policies\System\Audit IPsec Driver

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

No Auditing.

References:

1. CCE-35525-5

CIS Controls:

Version 6

13 Data Protection

Data Protection

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.4 Ensure adequate storage for logs

Ensure that all systems that store logs have adequate storage space for the logs generated.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

17.9.2 (L1) Ensure 'Audit Other System Events' is set to 'Success and Failure' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports on other system events. Events for this subcategory include:

- 5024 : The Windows Firewall Service has started successfully.
- 5025 : The Windows Firewall Service has been stopped.
- 5027 : The Windows Firewall Service was unable to retrieve the security policy from the local storage. The service will continue enforcing the current policy.
- 5028 : The Windows Firewall Service was unable to parse the new security policy. The service will continue with currently enforced policy.
- 5029: The Windows Firewall Service failed to initialize the driver. The service will continue to enforce the current policy.
- 5030: The Windows Firewall Service failed to start.
- 5032: Windows Firewall was unable to notify the user that it blocked an application from accepting incoming connections on the network.
- 5033 : The Windows Firewall Driver has started successfully.
- 5034 : The Windows Firewall Driver has been stopped.
- 5035 : The Windows Firewall Driver failed to start.
- 5037 : The Windows Firewall Driver detected critical runtime error. Terminating.
- 5058: Key file operation.
- 5059: Key migration operation.

The recommended state for this setting is: `Success and Failure`.

Rationale:

Capturing these audit events may be useful for identifying when the Windows Firewall is not performing as expected.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Success** and **Failure**:

Computer Configuration\Policies\Windows Settings\Security Settings\Advanced Audit Policy Configuration\Audit Policies\System\Audit Other System Events

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

Success and Failure.

References:

1. CCE-32936-7

CIS Controls:

Version 6

9.2 Leverage Host-based Firewalls

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.4 Ensure adequate storage for logs

Ensure that all systems that store logs have adequate storage space for the logs generated.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

9.4 Apply Host-based Firewalls or Port Filtering

Apply host-based firewalls or port filtering tools on end systems, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.

17.9.3 (L1) Ensure 'Audit Security State Change' is set to include 'Success' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports changes in security state of the system, such as when the security subsystem starts and stops. Events for this subcategory include:

- 4608: Windows is starting up.
- 4609: Windows is shutting down.
- 4616: The system time was changed.
- 4621: Administrator recovered system from CrashOnAuditFail. Users who are not administrators will now be allowed to log on. Some audit-able activity might not have been recorded.

The recommended state for this setting is to include: Success.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include Success:

Computer Configuration\Policies\Windows Settings\Security Settings\Advanced Audit Policy Configuration\Audit Policies\System\Audit Security State Change

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

Success.

References:

1. CCE-33043-1

CIS Controls:

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.4 Ensure adequate storage for logs

Ensure that all systems that store logs have adequate storage space for the logs generated.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

17.9.4 (L1) Ensure 'Audit Security System Extension' is set to include 'Success' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports the loading of extension code such as authentication packages by the security subsystem. Events for this subcategory include:

- 4610: An authentication package has been loaded by the Local Security Authority.
- 4611: A trusted logon process has been registered with the Local Security Authority.
- 4614: A notification package has been loaded by the Security Account Manager.
- 4622: A security package has been loaded by the Local Security Authority.
- 4697: A service was installed in the system.

The recommended state for this setting is to include: Success.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to include Success:

```
Computer Configuration\Policies\Windows Settings\Security Settings\Advanced  
Audit Policy Configuration\Audit Policies\System\Audit Security System  
Extension
```

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

No Auditing.

References:

1. CCE-35526-3

CIS Controls:

Version 6

6 Maintenance, Monitoring, and Analysis of Audit Logs
Maintenance, Monitoring, and Analysis of Audit Logs

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.4 Ensure adequate storage for logs

Ensure that all systems that store logs have adequate storage space for the logs generated.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

17.9.5 (L1) Ensure 'Audit System Integrity' is set to 'Success and Failure' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This subcategory reports on violations of integrity of the security subsystem. Events for this subcategory include:

- 4612 : Internal resources allocated for the queuing of audit messages have been exhausted, leading to the loss of some audits.
- 4615 : Invalid use of LPC port.
- 4618 : A monitored security event pattern has occurred.
- 4816 : RPC detected an integrity violation while decrypting an incoming message.
- 5038 : Code integrity determined that the image hash of a file is not valid. The file could be corrupt due to unauthorized modification or the invalid hash could indicate a potential disk device error.
- 5056: A cryptographic self test was performed.
- 5057: A cryptographic primitive operation failed.
- 5060: Verification operation failed.
- 5061: Cryptographic operation.
- 5062: A kernel-mode cryptographic self test was performed.

The recommended state for this setting is: `Success and Failure`.

Rationale:

Auditing these events may be useful when investigating a security incident.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Success and Failure`:

Computer Configuration\Policies\Windows Settings\Security Settings\Advanced Audit Policy Configuration\Audit Policies\System\Audit System Integrity

Impact:

If no audit settings are configured, or if audit settings are too lax on the computers in your organization, security incidents might not be detected or not enough evidence will be available for network forensic analysis after security incidents occur. However, if audit settings are too severe, critically important entries in the Security log may be obscured by all of the meaningless entries and computer performance and the available amount of data storage may be seriously affected. Companies that operate in certain regulated industries may have legal obligations to log certain events or activities.

Default Value:

Success and Failure.

References:

1. CCE-35527-1

CIS Controls:

Version 6

6 Maintenance, Monitoring, and Analysis of Audit Logs
Maintenance, Monitoring, and Analysis of Audit Logs

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.4 Ensure adequate storage for logs

Ensure that all systems that store logs have adequate storage space for the logs generated.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

18 Administrative Templates (Computer)

This section contains computer-based recommendations from Group Policy Administrative Templates (ADMX).

18.1 Control Panel

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.1.1 Personalization

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `ControlPanelDisplay.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.1.2 Regional and Language Options

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Globalization.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.1.2.1 Handwriting personalization

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Globalization.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.2 LAPS

This section contains recommendations for configuring Microsoft Local Administrator Password Solution (LAPS).

This Group Policy section is provided by the Group Policy template `AdmPwd.admx/adml` that is included with LAPS.

18.2.1 (L1) Ensure LAPS AdmPwd GPO Extension / CSE is installed (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

In May 2015, Microsoft released the Local Administrator Password Solution (LAPS) tool, which is free and supported software that allows an organization to automatically set randomized and unique local Administrator account passwords on domain-attached workstations and Member Servers. The passwords are stored in a confidential attribute of the domain computer account and can be retrieved from Active Directory by approved Sysadmins when needed.

The LAPS tool requires a small Active Directory Schema update in order to implement, as well as installation of a Group Policy Client Side Extension (CSE) on targeted computers. Please see the LAPS documentation for details.

LAPS supports Windows Vista or newer workstation OSes, and Server 2003 or newer server OSes. LAPS does not support standalone computers - they must be joined to a domain.

Note: Organizations that utilize 3rd-party commercial software to manage unique & complex local Administrator passwords on domain members may opt to disregard these LAPS recommendations.

Note #2: LAPS is only designed to manage *local* Administrator passwords, and is therefore not recommended (or supported) for use directly on Domain Controllers, which do not have a traditional local Administrator account. We strongly encourage you to only deploy the LAPS CSE and LAPS GPO settings to member servers and workstations.

Rationale:

Due to the difficulty in managing local Administrator passwords, many organizations choose to use the same password on all workstations and/or Member Servers when deploying them. This creates a serious attack surface security risk because if an attacker manages to compromise one system and learn the password to its local Administrator account, then they can leverage that account to instantly gain access to all other computers that also use that password for their local Administrator account.

Audit:

The LAPS AdmPwd GPO Extension / CSE can be verified to be installed by the presence of the following registry value:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows  
NT\CurrentVersion\Winlogon\GPExtensions\{D76B9641-3288-4f75-942D-  
087DE603E3EA}:DllName
```

Remediation:

In order to utilize LAPS, a minor Active Directory Schema update is required, and a Group Policy Client Side Extension (CSE) must be installed on each managed computer. When LAPS is installed, the file `AdmPwd.dll` must be present in the following location and registered in Windows (the LAPS AdmPwd GPO Extension / CSE installation does this for you):

```
C:\Program Files\LAPS\CSE\AdmPwd.dll
```

Impact:

No impact. When installed and registered properly, `AdmPwd.dll` takes no action unless given appropriate GPO commands during Group Policy refresh. It is not a memory-resident agent or service.

In a disaster recovery scenario where Active Directory is not available, the local Administrator password will not be retrievable and a local password reset using a tool (such as Microsoft's Disaster and Recovery Toolset (DaRT) Recovery Image) may be necessary.

Default Value:

Not Installed.

CIS Controls:

Version 6

16.9 Configure Account Access Centrally

Configure access for all accounts through a centralized point of authentication, for example Active Directory or LDAP. Configure network and security devices for centralized authentication as well.

Version 7

4.4 Use Unique Passwords

Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

ARCHIVE

18.2.2 (L1) Ensure 'Do not allow password expiration time longer than required by policy' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

In May 2015, Microsoft released the Local Administrator Password Solution (LAPS) tool, which is free and supported software that allows an organization to automatically set randomized and unique local Administrator account passwords on domain-attached workstations and Member Servers. The passwords are stored in a confidential attribute of the domain computer account and can be retrieved from Active Directory by approved Sysadmins when needed.

The LAPS tool requires a small Active Directory Schema update in order to implement, as well as installation of a Group Policy Client Side Extension (CSE) on targeted computers. Please see the LAPS documentation for details.

LAPS supports Windows Vista or newer workstation OSes, and Server 2003 or newer server OSes. LAPS does not support standalone computers - they must be joined to a domain.

The recommended state for this setting is: `Enabled`.

Note: Organizations that utilize 3rd-party commercial software to manage unique & complex local Administrator passwords on domain members may opt to disregard these LAPS recommendations.

Note #2: LAPS is only designed to manage *local* Administrator passwords, and is therefore not recommended (or supported) for use directly on Domain Controllers, which do not have a traditional local Administrator account. We strongly encourage you to only deploy the LAPS CSE and LAPS GPO settings to member servers and workstations.

Rationale:

Due to the difficulty in managing local Administrator passwords, many organizations choose to use the same password on all workstations and/or Member Servers when deploying them. This creates a serious attack surface security risk because if an attacker manages to compromise one system and learn the password to its local Administrator account, then they can leverage that account to instantly gain access to all other computers that also use that password for their local Administrator account.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft  
Services\AdmPwd:PwdExpirationProtectionEnabled
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\LAPS\Do not allow  
password expiration time longer than required by policy
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (`AdmPwd.admx/adml`) is required - it is included with Microsoft Local Administrator Password Solution (LAPS).

Impact:

Planned password expiration longer than password age dictated by "Password Settings" policy is NOT allowed.

Default Value:

Disabled. (Password expiration time may be longer than required by the "Password Settings" policy.)

CIS Controls:

Version 6

16.2 All Accounts Have A Monitored Expiration Date

Ensure that all accounts have an expiration date that is monitored and enforced.

Version 7

16.10 Ensure All Accounts Have An Expiration Date

Ensure that all accounts have an expiration date that is monitored and enforced.

18.2.3 (L1) Ensure 'Enable Local Admin Password Management' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

In May 2015, Microsoft released the Local Administrator Password Solution (LAPS) tool, which is free and supported software that allows an organization to automatically set randomized and unique local Administrator account passwords on domain-attached workstations and Member Servers. The passwords are stored in a confidential attribute of the domain computer account and can be retrieved from Active Directory by approved Sysadmins when needed.

The LAPS tool requires a small Active Directory Schema update in order to implement, as well as installation of a Group Policy Client Side Extension (CSE) on targeted computers. Please see the LAPS documentation for details.

LAPS supports Windows Vista or newer workstation OSes, and Server 2003 or newer server OSes. LAPS does not support standalone computers - they must be joined to a domain.

The recommended state for this setting is: `Enabled`.

Note: Organizations that utilize 3rd-party commercial software to manage unique & complex local Administrator passwords on domain members may opt to disregard these LAPS recommendations.

Note #2: LAPS is only designed to manage *local* Administrator passwords, and is therefore not recommended (or supported) for use directly on Domain Controllers, which do not have a traditional local Administrator account. We strongly encourage you to only deploy the LAPS CSE and LAPS GPO settings to member servers and workstations.

Rationale:

Due to the difficulty in managing local Administrator passwords, many organizations choose to use the same password on all workstations and/or Member Servers when deploying them. This creates a serious attack surface security risk because if an attacker manages to compromise one system and learn the password to its local Administrator account, then they can leverage that account to instantly gain access to all other computers that also use that password for their local Administrator account.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Services\AdmPwd:AdmPwdEnabled
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\LAPS\Enable Local Admin Password Management
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (`AdmPwd.admx/adml`) is required - it is included with Microsoft Local Administrator Password Solution (LAPS).

Impact:

The local administrator password is managed (provided that the LAPS AdmPwd GPO Extension / CSE is installed on the target computer (see Rule 18.2.1), the Active Directory domain schema and account permissions have been properly configured on the domain).

In a disaster recovery scenario where Active Directory is not available, the local Administrator password will not be retrievable and a local password reset using a tool (such as Microsoft's Disaster and Recovery Toolset (DaRT) Recovery Image) may be necessary.

Default Value:

Disabled. (Local Administrator password is NOT managed.)

CIS Controls:

Version 6

16.9 Configure Account Access Centrally

Configure access for all accounts through a centralized point of authentication, for example Active Directory or LDAP. Configure network and security devices for centralized authentication as well.

Version 7

4.4 Use Unique Passwords

Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

ARCHIVE

18.2.4 (L1) Ensure 'Password Settings: Password Complexity' is set to 'Enabled: Large letters + small letters + numbers + special characters' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

In May 2015, Microsoft released the Local Administrator Password Solution (LAPS) tool, which is free and supported software that allows an organization to automatically set randomized and unique local Administrator account passwords on domain-attached workstations and Member Servers. The passwords are stored in a confidential attribute of the domain computer account and can be retrieved from Active Directory by approved Sysadmins when needed.

The LAPS tool requires a small Active Directory Schema update in order to implement, as well as installation of a Group Policy Client Side Extension (CSE) on targeted computers. Please see the LAPS documentation for details.

LAPS supports Windows Vista or newer workstation OSes, and Server 2003 or newer server OSes. LAPS does not support standalone computers - they must be joined to a domain.

The recommended state for this setting is: `Enabled: Large letters + small letters + numbers + special characters.`

Note: Organizations that utilize 3rd-party commercial software to manage unique & complex local Administrator passwords on domain members may opt to disregard these LAPS recommendations.

Note #2: LAPS is only designed to manage *local* Administrator passwords, and is therefore not recommended (or supported) for use directly on Domain Controllers, which do not have a traditional local Administrator account. We strongly encourage you to only deploy the LAPS CSE and LAPS GPO settings to member servers and workstations.

Rationale:

Due to the difficulty in managing local Administrator passwords, many organizations choose to use the same password on all workstations and/or Member Servers when deploying them. This creates a serious attack surface security risk because if an attacker manages to compromise one system and learn the password to its local Administrator account, then they can leverage that account to instantly gain access to all other computers that also use that password for their local Administrator account.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft  
Services\AdmPwd>PasswordComplexity
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`, and configure the `Password Complexity` option to `Large letters + small letters + numbers + special characters`:

```
Computer Configuration\Policies\Administrative Templates\LAPS>Password  
Settings
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (`AdmPwd.admx/adml`) is required - it is included with Microsoft Local Administrator Password Solution (LAPS).

Impact:

LAPS-generated passwords will be required to contain large letters + small letters + numbers + special characters.

Default Value:

Large letters + small letters + numbers + special characters.

CIS Controls:

Version 6

5.7 User Accounts Shall Use Long Passwords

Where multi-factor authentication is not supported, user accounts shall be required to use long passwords on the system (longer than 14 characters).

Version 7

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

ARCHIVE

18.2.5 (L1) Ensure 'Password Settings: Password Length' is set to 'Enabled: 15 or more' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

In May 2015, Microsoft released the Local Administrator Password Solution (LAPS) tool, which is free and supported software that allows an organization to automatically set randomized and unique local Administrator account passwords on domain-attached workstations and Member Servers. The passwords are stored in a confidential attribute of the domain computer account and can be retrieved from Active Directory by approved Sysadmins when needed.

The LAPS tool requires a small Active Directory Schema update in order to implement, as well as installation of a Group Policy Client Side Extension (CSE) on targeted computers. Please see the LAPS documentation for details.

LAPS supports Windows Vista or newer workstation OSes, and Server 2003 or newer server OSes. LAPS does not support standalone computers - they must be joined to a domain.

The recommended state for this setting is: `Enabled: 15 or more`.

Note: Organizations that utilize 3rd-party commercial software to manage unique & complex local Administrator passwords on domain members may opt to disregard these LAPS recommendations.

Note #2: LAPS is only designed to manage *local* Administrator passwords, and is therefore not recommended (or supported) for use directly on Domain Controllers, which do not have a traditional local Administrator account. We strongly encourage you to only deploy the LAPS CSE and LAPS GPO settings to member servers and workstations.

Rationale:

Due to the difficulty in managing local Administrator passwords, many organizations choose to use the same password on all workstations and/or Member Servers when deploying them. This creates a serious attack surface security risk because if an attacker manages to compromise one system and learn the password to its local Administrator account, then they can leverage that account to instantly gain access to all other computers that also use that password for their local Administrator account.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft Services\AdmPwd>PasswordLength
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`, and configure the `Password Length` option to `15` or more:

```
Computer Configuration\Policies\Administrative Templates\LAPS>Password Settings
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (`AdmPwd.admx/adml`) is required - it is included with Microsoft Local Administrator Password Solution (LAPS).

Impact:

LAPS-generated passwords will be required to have a length of 15 characters (or more, if selected).

Default Value:

14 characters.

CIS Controls:

Version 6

5.7 User Accounts Shall Use Long Passwords

Where multi-factor authentication is not supported, user accounts shall be required to use long passwords on the system (longer than 14 characters).

Version 7

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

18.2.6 (L1) Ensure 'Password Settings: Password Age (Days)' is set to 'Enabled: 30 or fewer' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

In May 2015, Microsoft released the Local Administrator Password Solution (LAPS) tool, which is free and supported software that allows an organization to automatically set randomized and unique local Administrator account passwords on domain-attached workstations and Member Servers. The passwords are stored in a confidential attribute of the domain computer account and can be retrieved from Active Directory by approved Sysadmins when needed.

The LAPS tool requires a small Active Directory Schema update in order to implement, as well as installation of a Group Policy Client Side Extension (CSE) on targeted computers. Please see the LAPS documentation for details.

LAPS supports Windows Vista or newer workstation OSes, and Server 2003 or newer server OSes. LAPS does not support standalone computers - they must be joined to a domain.

The recommended state for this setting is: `Enabled: 30 or fewer`.

Note: Organizations that utilize 3rd-party commercial software to manage unique & complex local Administrator passwords on domain members may opt to disregard these LAPS recommendations.

Note #2: LAPS is only designed to manage *local* Administrator passwords, and is therefore not recommended (or supported) for use directly on Domain Controllers, which do not have a traditional local Administrator account. We strongly encourage you to only deploy the LAPS CSE and LAPS GPO settings to member servers and workstations.

Rationale:

Due to the difficulty in managing local Administrator passwords, many organizations choose to use the same password on all workstations and/or Member Servers when deploying them. This creates a serious attack surface security risk because if an attacker manages to compromise one system and learn the password to its local Administrator account, then they can leverage that account to instantly gain access to all other computers that also use that password for their local Administrator account.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft  
Services\AdmPwd:PasswordAgeDays
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`, and configure the `Password Age (Days)` option to `30` or fewer:

```
Computer Configuration\Policies\Administrative Templates\LAPS>Password  
Settings
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (`AdmPwd.admx/adml`) is required - it is included with Microsoft Local Administrator Password Solution (LAPS).

Impact:

LAPS-generated passwords will be required to have a maximum age of 30 days (or fewer, if selected).

Default Value:

30 days.

CIS Controls:

Version 6

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.2 Configure Centralized Point of Authentication

Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.

18.3 MS Security Guide

This section contains settings for configuring additional settings from the MS Security Guide.

This Group Policy section is provided by the Group Policy template `SecGuide.admx/adml` that is available from Microsoft at [this link](#).

18.3.1 (L1) Ensure 'Apply UAC restrictions to local accounts on network logons' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting controls whether local accounts can be used for remote administration via network logon (e.g., NET USE, connecting to C\$, etc.). Local accounts are at high risk for credential theft when the same account and password is configured on multiple systems. Enabling this policy significantly reduces that risk.

Enabled: Applies UAC token-filtering to local accounts on network logons. Membership in powerful group such as Administrators is disabled and powerful privileges are removed from the resulting access token. This configures the `LocalAccountTokenFilterPolicy` registry value to 0. This is the default behavior for Windows.

Disabled: Allows local accounts to have full administrative rights when authenticating via network logon, by configuring the `LocalAccountTokenFilterPolicy` registry value to 1.

For more information about local accounts and credential theft, review the "[Mitigating Pass-the-Hash \(PtH\) Attacks and Other Credential Theft Techniques](#)" documents.

For more information about `LocalAccountTokenFilterPolicy`, see Microsoft Knowledge Base article 951016: [Description of User Account Control and remote restrictions in Windows Vista](#).

The recommended state for this setting is: `Enabled`.

Rationale:

Local accounts are at high risk for credential theft when the same account and password is configured on multiple systems. Ensuring this policy is Enabled significantly reduces that risk.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System:
LocalAccountTokenFilterPolicy
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Administrative Templates\MS Security
Guide\Apply UAC restrictions to local accounts on network logons
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (SecGuide.admx/adml) is required - it is available from Microsoft at [this link](#).

Impact:

None - this is the default behavior.

Default Value:

Enabled. (UAC token-filtering is applied to local accounts on network logons. Membership in powerful groups such as Administrators and disabled and powerful privileges are removed from the resulting access token.)

References:

1. CCE-35486-0

CIS Controls:

Version 6

5.8 Administrators Should Not Directly Log In To A System (i.e. use RunAs/sudo)

Administrators should be required to access a system using a fully logged and non-administrative account. Then, once logged on to the machine without administrative privileges, the administrator should transition to administrative privileges using tools such as Sudo on Linux/UNIX, RunAs on Windows, and other similar facilities for other types of systems.

Version 7

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

ARCHIVED

18.3.2 (L1) Ensure 'Configure SMB v1 client' is set to 'Enabled: Bowser, MRxSmb20, NSI' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting configures the dependencies for the Lanman Workstation service - since disabling the Server Message Block version 1 (SMBv1) protocol is recommended, therefore the MRxSmb10 dependency, which is used only by SMBv1, should no longer be configured.

The recommended state for this setting is: Enabled: Bowser, MRxSmb20, NSI.

Note: Do not, under any circumstances, configure this setting as Disabled, as doing so will delete the underlying registry entry altogether, which will cause serious problems.

Rationale:

Since September 2016, Microsoft has strongly encouraged that SMBv1 be disabled and no longer used on modern networks, as it is a 30 year old design that is much more vulnerable to attacks than much newer designs such as SMBv2 and SMBv3.

More information on this can be found at the following links:

[Stop using SMB1 | Storage at Microsoft](#)

[Disable SMB v1 in Managed Environments with Group Policy – "Stay Safe" Cyber Security Blog](#)

[Disabling SMBv1 through Group Policy – Microsoft Security Guidance blog](#)

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\LanmanWorkstation:DependOnService
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**:

Bowser, MRxSmb20, NSI:

```
Computer Configuration\Policies\Administrative Templates\MS Security  
Guide\Configure SMB v1 client
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (SecGuide.admx/adml) is required - it is available from Microsoft at [this link](#).

Impact:

Some legacy OSES (e.g. Windows XP, Server 2003 or older), applications and appliances may no longer be able to communicate with the system once SMBv1 is disabled. We recommend careful testing be performed to determine the impact prior to configuring this as a widespread control, where possible, remediate any incompatibilities found. Microsoft is also maintaining a thorough (although not comprehensive) list of known SMBv1 incompatibilities at this link: [SMB1 Product Clearinghouse | Storage at Microsoft](#)

Default Value:

Windows 8.0 and older: Enabled: Bowser, MRxSmb10, MRxSmb20, NSI.

Windows 8.1 and newer: Enabled: Bowser, MRxSmb20, NSI.

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.3.3 (L1) Ensure 'Configure SMB v1 client driver' is set to 'Enabled: Disable driver (recommended)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting configures the start type for the Server Message Block version 1 (SMBv1) client driver service (MRxSmb10), which is recommended to be disabled.

The recommended state for this setting is: Enabled: Disable driver (recommended).

Note: Do not, *under any circumstances*, configure this overall setting as Disabled, as doing so will delete the underlying registry entry altogether, which will cause serious problems.

Rationale:

Since September 2016, Microsoft has strongly encouraged that SMBv1 be disabled and no longer used on modern networks, as it is a 30 year old design that is much more vulnerable to attacks than much newer designs such as SMBv2 and SMBv3.

More information on this can be found at the following links:

[Stop using SMB1 | Storage at Microsoft](#)

[Disable SMB v1 in Managed Environments with Group Policy – "Stay Safe" Cyber Security Blog](#)

[Disabling SMBv1 through Group Policy – Microsoft Security Guidance blog](#)

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\mrxsmb10:Start

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

Disable driver (recommended):

```
Computer Configuration\Policies\Administrative Templates\MS Security  
Guide\Configure SMB v1 client driver
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (SecGuide.admx/adml) is required - it is available from Microsoft at [this link](#).

Impact:

Some legacy OSes (e.g. Windows XP, Server 2003 or older), applications and appliances may no longer be able to communicate with the system once SMBv1 is disabled. We recommend careful testing be performed to determine the impact prior to configuring this as a widespread control, and where possible, remediate any incompatibilities found with the vendor of the incompatible system. Microsoft is also maintaining a thorough (although not comprehensive) list of known SMBv1 incompatibilities at this link: [SMB1 Product Clearinghouse | Storage at Microsoft](#)

Default Value:

Windows 7 and Windows 8.0: Enabled: Manual start.

Windows 8.1 and Windows 10 (up to R1703): Enabled: Automatic start.

Windows 10 R1709 and newer: Enabled: Disable driver.

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

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18.3.4 (L1) Ensure 'Configure SMB v1 server' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting configures the server-side processing of the Server Message Block version 1 (SMBv1) protocol.

The recommended state for this setting is: `Disabled`.

Rationale:

Since September 2016, Microsoft has strongly encouraged that SMBv1 be disabled and no longer used on modern networks, as it is a 30 year old design that is much more vulnerable to attacks than much newer designs such as SMBv2 and SMBv3.

More information on this can be found at the following links:

[Stop using SMB1 | Storage at Microsoft](#)

[Disable SMB v1 in Managed Environments with Group Policy – "Stay Safe" Cyber Security Blog](#)

[Disabling SMBv1 through Group Policy – Microsoft Security Guidance blog](#)

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\LanmanServer\Parameters:  
SMB1
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Administrative Templates\MS Security  
Guide\Configure SMB v1 server
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (`SecGuide.admx/adml`) is required - it is available from Microsoft at [this link](#).

Impact:

Some legacy OSes (e.g. Windows XP, Server 2003 or older), applications and appliances may no longer be able to communicate with the system once SMBv1 is disabled. We recommend careful testing be performed to determine the impact prior to configuring this as a widespread control, and where possible, remediate any incompatibilities found with the vendor of the incompatible system. Microsoft is also maintaining a thorough (although not comprehensive) list of known SMBv1 incompatibilities at this link: [SMB1 Product Clearinghouse | Storage at Microsoft](#)

Default Value:

Windows 10 R1703 and older: Enabled.

Windows 10 R1709 and newer: Disabled.

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.3.5 (L1) Ensure 'Enable Structured Exception Handling Overwrite Protection (SEHOP)' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Windows includes support for Structured Exception Handling Overwrite Protection (SEHOP). We recommend enabling this feature to improve the security profile of the computer.

The recommended state for this setting is: `Enabled`.

Rationale:

This feature is designed to block exploits that use the Structured Exception Handler (SEH) overwrite technique. This protection mechanism is provided at run-time. Therefore, it helps protect applications regardless of whether they have been compiled with the latest improvements, such as the `/SAFESEH` option.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Session  
Manager\kernel:DisableExceptionChainValidation
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\MS Security  
Guide\Enable Structured Exception Handling Overwrite Protection (SEHOP)
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (`SecGuide.admx/adml`) is required - it is available from Microsoft at [this link](#). More information is available at [MSKB 956607: How to enable Structured Exception Handling Overwrite Protection \(SEHOP\) in Windows operating systems](#)

Impact:

After you enable SEHOP, existing versions of Cygwin, Skype, and Armadillo-protected applications may not work correctly.

Default Value:

Disabled for 32-bit processes.

CIS Controls:

Version 6

8.4 Enable Anti-exploitation Features (i.e. DEP, ASLR, EMET)

Enable anti-exploitation features such as Data Execution Prevention (DEP), Address Space Layout Randomization (ASLR), virtualization/containerization, etc. For increased protection, deploy capabilities such as Enhanced Mitigation Experience Toolkit (EMET) that can be configured to apply these protections to a broader set of applications and executables.

Version 7

8.3 Enable Operating System Anti-Exploitation Features/ Deploy Anti-Exploit Technologies

Enable anti-exploitation features such as Data Execution Prevention (DEP) or Address Space Layout Randomization (ASLR) that are available in an operating system or deploy appropriate toolkits that can be configured to apply protection to a broader set of applications and executables.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.3.6 (L1) Ensure 'NetBT NodeType configuration' is set to 'Enabled: P-node (recommended)' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting determines which method NetBIOS over TCP/IP (NetBT) uses to register and resolve names. The available methods are:

- The B-node (broadcast) method only uses broadcasts.
- The P-node (point-to-point) method only uses name queries to a name server (WINS).
- The M-node (mixed) method broadcasts first, then queries a name server (WINS) if broadcast failed.
- The H-node (hybrid) method queries a name server (WINS) first, then broadcasts if the query failed.

The recommended state for this setting is: `Enabled: P-node (recommended)` (point-to-point).

Note: Resolution through LMHOSTS or DNS follows these methods. If the `NodeType` registry value is present, it overrides any `DhcpNodeType` registry value. If neither `NodeType` nor `DhcpNodeType` is present, the computer uses B-node (broadcast) if there are no WINS servers configured for the network, or H-node (hybrid) if there is at least one WINS server configured.

Rationale:

In order to help mitigate the risk of NetBIOS Name Service (NBT-NS) poisoning attacks, setting the node type to P-node (point-to-point) will prevent the system from sending out NetBIOS broadcasts.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\NetBT\Parameters:NodeType

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**:

P-node (recommended):

```
Computer Configuration\Policies\Administrative Templates\MS Security  
Guide\NetBT NodeType configuration
```

Note: This change does not take effect until the computer has been restarted.

Note #2: This Group Policy path does not exist by default. An additional Group Policy template (SecGuide.admx/adml) is required - it is available from Microsoft at [this link](#).

Please note that this setting is **only** available in the *Security baseline (FINAL) for Windows 10 v1903 and Windows Server v1903* (or newer) release of SecGuide.admx/adml, so if you previously downloaded this template, you may need to update it from a newer Microsoft baseline to get this new *NetBT NodeType configuration* setting.

Impact:

NetBIOS name resolution queries will require a defined and available WINS server for external NetBIOS name resolution. If a WINS server is not defined or not reachable, and the desired hostname is not defined in the local cache, local LMHOSTS or HOSTS files, NetBIOS name resolution will fail.

Default Value:

B-node (broadcast only) if a WINS server is not configured in NIC properties.

H-node (hybrid - point-to-point first, then broadcast) if a WINS server is configured in NIC properties.

CIS Controls:

Version 6

9 Limitation and Control of Network Ports, Protocols, and Services

Limitation and Control of Network Ports, Protocols, and Services

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

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18.3.7 (L1) Ensure 'WDigest Authentication' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

When WDigest authentication is enabled, Lsass.exe retains a copy of the user's plaintext password in memory, where it can be at risk of theft. If this setting is not configured, WDigest authentication is disabled in Windows 8.1 and in Windows Server 2012 R2; it is enabled by default in earlier versions of Windows and Windows Server.

For more information about local accounts and credential theft, review the "[Mitigating Pass-the-Hash \(PtH\) Attacks and Other Credential Theft Techniques](#)" documents.

For more information about UseLogonCredential, see Microsoft Knowledge Base article 2871997: [Microsoft Security Advisory Update to improve credentials protection and management May 13, 2014](#).

The recommended state for this setting is: Disabled.

Rationale:

Preventing the plaintext storage of credentials in memory may reduce opportunity for credential theft.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\SecurityProviders\WDigest:UseLogonCredential
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\MS Security Guide\WDigest Authentication (disabling may require KB2871997)
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (SecGuide.admx/adml) is required - it is available from Microsoft at [this link](#).

Impact:

None - this is also the default configuration for Windows 8.1 and newer.

Default Value:

On Windows 8.0 and older: Enabled. (Lsass.exe retains a copy of the user's plaintext password in memory, where it is at risk of theft.)

On Windows 8.1 and newer: Disabled. (Lsass.exe does not retain a copy of the user's plaintext password in memory.)

References:

1. CCE-35815-0

CIS Controls:

Version 6

16.14 Encrypt/Hash All Authentication Files And Monitor Their Access

Verify that all authentication files are encrypted or hashed and that these files cannot be accessed without root or administrator privileges. Audit all access to password files in the system.

Version 7

16.4 Encrypt or Hash all Authentication Credentials

Encrypt or hash with a salt all authentication credentials when stored.

18.4 MSS (Legacy)

This section contains recommendations for the Microsoft Solutions for Security (MSS) settings.

This Group Policy section is provided by the Group Policy template `MSS-legacy.admx/adml` that is available from this TechNet blog post: [The MSS settings – Microsoft Security Guidance blog](#)

18.4.1 (L1) Ensure 'MSS: (AutoAdminLogon) Enable Automatic Logon (not recommended)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting is separate from the Welcome screen feature in Windows XP and Windows Vista; if that feature is disabled, this setting is not disabled. If you configure a computer for automatic logon, anyone who can physically gain access to the computer can also gain access to everything that is on the computer, including any network or networks to which the computer is connected. Also, if you enable automatic logon, the password is stored in the registry in plaintext, and the specific registry key that stores this value is remotely readable by the Authenticated Users group.

For additional information, see Microsoft Knowledge Base article 324737: [How to turn on automatic logon in Windows](#).

The recommended state for this setting is: `Disabled`.

Rationale:

If you configure a computer for automatic logon, anyone who can physically gain access to the computer can also gain access to everything that is on the computer, including any network or networks that the computer is connected to. Also, if you enable automatic logon, the password is stored in the registry in plaintext. The specific registry key that stores this setting is remotely readable by the Authenticated Users group. As a result, this entry is appropriate only if the computer is physically secured and if you ensure that untrusted users cannot remotely see the registry.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows  
NT\CurrentVersion\Winlogon:AutoAdminLogon
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\MSS (Legacy)\MSS:  
(AutoAdminLogon) Enable Automatic Logon (not recommended)
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (MSS-legacy.admx/adml) is required - it is available from this TechNet blog post: [The MSS settings – Microsoft Security Guidance blog](#)

Impact:

None - this is the default behavior.

Default Value:

Disabled.

References:

1. CCE-35438-1

CIS Controls:

Version 6

16 Account Monitoring and Control
Account Monitoring and Control

Version 7

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

18.4.2 (L1) Ensure 'MSS: (DisableIPSourceRouting IPv6) IP source routing protection level (protects against packet spoofing)' is set to 'Enabled: Highest protection, source routing is completely disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

IP source routing is a mechanism that allows the sender to determine the IP route that a datagram should follow through the network.

The recommended state for this setting is: Enabled: Highest protection, source routing is completely disabled.

Rationale:

An attacker could use source routed packets to obscure their identity and location. Source routing allows a computer that sends a packet to specify the route that the packet takes.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\Tcpip6\Parameters:DisableIPSourceRouting
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled: Highest protection, source routing is completely disabled:

```
Computer Configuration\Policies\Administrative Templates\MSS (Legacy)\MSS: (DisableIPSourceRouting IPv6) IP source routing protection level (protects against packet spoofing)
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (MSS-legacy.admx/adml) is required - it is available from this TechNet blog post: [The MSS settings – Microsoft Security Guidance blog](#)

Impact:

All incoming source routed packets will be dropped.

Default Value:

No additional protection, source routed packets are allowed.

References:

1. CCE-33790-7

CIS Controls:

Version 6

9 Limitation and Control of Network Ports, Protocols, and Services

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9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.4.3 (L1) Ensure 'MSS: (DisableIPSourceRouting) IP source routing protection level (protects against packet spoofing)' is set to 'Enabled: Highest protection, source routing is completely disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

IP source routing is a mechanism that allows the sender to determine the IP route that a datagram should take through the network. It is recommended to configure this setting to Not Defined for enterprise environments and to Highest Protection for high security environments to completely disable source routing.

The recommended state for this setting is: Enabled: Highest protection, source routing is completely disabled.

Rationale:

An attacker could use source routed packets to obscure their identity and location. Source routing allows a computer that sends a packet to specify the route that the packet takes.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\Tcpip\Parameters:DisableIPSourceRouting
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled: Highest protection, source routing is completely disabled:

```
Computer Configuration\Policies\Administrative Templates\MSS (Legacy)\MSS: (DisableIPSourceRouting) IP source routing protection level (protects against packet spoofing)
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (MSS-legacy.admx/adml) is required - it is available from this TechNet blog post: [The MSS settings – Microsoft Security Guidance blog](#)

Impact:

All incoming source routed packets will be dropped.

Default Value:

Medium, source routed packets ignored when IP forwarding is enabled.

References:

1. CCE-33816-0

CIS Controls:

Version 6

9 Limitation and Control of Network Ports, Protocols, and Services

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Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.4.4 (L2) Ensure 'MSS: (DisableSavePassword) Prevent the dial-up password from being saved' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

When you dial a phonebook or VPN entry in Dial-Up Networking, you can use the "Save Password" option so that your Dial-Up Networking password is cached and you will not need to enter it on successive dial attempts. For security, administrators may want to prevent users from caching passwords.

The recommended state for this setting is: `Enabled`.

Rationale:

An attacker who steals a mobile user's computer could automatically connect to the organization's network if the **Save This Password** check box is selected for the dial-up or VPN networking entry used to connect to your organization's network.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\RasMan\Parameters:DisableSavePassword
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\MSS (Legacy)\MSS: (DisableSavePassword) Prevent the dial-up password from being saved
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (MSS-legacy.admx/adml) is required - it is available from this TechNet blog post: [The MSS settings – Microsoft Security Guidance blog](#)

Impact:

Users will not be able to automatically store their logon credentials for dial-up and VPN connections.

Default Value:

Disabled. (Saving of dial-up and VPN passwords is allowed.)

CIS Controls:

Version 6

9 Limitation and Control of Network Ports, Protocols, and Services

Limitation and Control of Network Ports, Protocols, and Services

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.4.5 (L1) Ensure 'MSS: (EnableICMPRedirect) Allow ICMP redirects to override OSPF generated routes' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Internet Control Message Protocol (ICMP) redirects cause the IPv4 stack to plumb host routes. These routes override the Open Shortest Path First (OSPF) generated routes.

The recommended state for this setting is: `Disabled`.

Rationale:

This behavior is expected. The problem is that the 10 minute time-out period for the ICMP redirect-plumbed routes temporarily creates a network situation in which traffic will no longer be routed properly for the affected host. Ignoring such ICMP redirects will limit the system's exposure to attacks that will impact its ability to participate on the network.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed for your organization. This group policy object is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\Tcpip\Parameters:EnableICMPRedirect
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Administrative Templates\MSS (Legacy)\MSS: (EnableICMPRedirect) Allow ICMP redirects to override OSPF generated routes
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (`MSS-legacy.admx/adml`) is required - it is available from this TechNet blog post: [The MSS settings – Microsoft Security Guidance blog](#)

Impact:

When Routing and Remote Access Service (RRAS) is configured as an autonomous system boundary router (ASBR), it does not correctly import connected interface subnet routes. Instead, this router injects host routes into the OSPF routes. However, the OSPF router cannot be used as an ASBR router, and when connected interface subnet routes are imported into OSPF the result is confusing routing tables with strange routing paths.

Default Value:

Enabled. (ICMP redirects can override OSPF-generated routes.)

References:

1. CCE-34597-5

CIS Controls:

Version 6

9 Limitation and Control of Network Ports, Protocols, and Services

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Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.4.6 (L2) Ensure 'MSS: (KeepAliveTime) How often keep-alive packets are sent in milliseconds' is set to 'Enabled: 300,000 or 5 minutes (recommended)' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This value controls how often TCP attempts to verify that an idle connection is still intact by sending a keep-alive packet. If the remote computer is still reachable, it acknowledges the keep-alive packet.

The recommended state for this setting is: Enabled: 300,000 or 5 minutes (recommended).

Rationale:

An attacker who is able to connect to network applications could establish numerous connections to cause a DoS condition.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\Tcpip\Parameters:KeepAliveTime
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled: 300,000 or 5 minutes (recommended):

```
Computer Configuration\Policies\Administrative Templates\MSS (Legacy)\MSS: (KeepAliveTime) How often keep-alive packets are sent in milliseconds
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (MSS-legacy.admx/adml) is required - it is available from this TechNet blog post: [The MSS settings – Microsoft Security Guidance blog](#)

Impact:

Keep-alive packets are not sent by default by Windows. However, some applications may configure the TCP stack flag that requests keep-alive packets. For such configurations, you can lower this value from the default setting of two hours to five minutes to disconnect inactive sessions more quickly.

Default Value:

7,200,000 milliseconds or 120 minutes.

References:

1. CCE-35469-6

CIS Controls:

Version 6

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9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.4.7 (L1) Ensure 'MSS: (NoNameReleaseOnDemand) Allow the computer to ignore NetBIOS name release requests except from WINS servers' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

NetBIOS over TCP/IP is a network protocol that among other things provides a way to easily resolve NetBIOS names that are registered on Windows-based systems to the IP addresses that are configured on those systems. This setting determines whether the computer releases its NetBIOS name when it receives a name-release request.

The recommended state for this setting is: `Enabled`.

Rationale:

The NetBT protocol is designed not to use authentication, and is therefore vulnerable to spoofing. Spoofing makes a transmission appear to come from a user other than the user who performed the action. A malicious user could exploit the unauthenticated nature of the protocol to send a name-conflict datagram to a target computer, which would cause the computer to relinquish its name and not respond to queries.

An attacker could send a request over the network and query a computer to release its NetBIOS name. As with any change that could affect applications, it is recommended that you test this change in a non-production environment before you change the production environment.

The result of such an attack could be to cause intermittent connectivity issues on the target computer, or even to prevent the use of Network Neighborhood, domain logons, the NET SEND command, or additional NetBIOS name resolution.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\NetBT\Parameters:NoNameReleaseOnDemand
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

Computer Configuration\Policies\Administrative Templates\MSS (Legacy)\MSS:
(NoNameReleaseOnDemand) Allow the computer to ignore NetBIOS name release
requests except from WINS servers

Note: This Group Policy path does not exist by default. An additional Group Policy template (MSS-legacy.admx/adml) is required - it is available from this TechNet blog post: [The MSS settings – Microsoft Security Guidance blog](#)

Impact:

None - this is the default behavior.

Default Value:

Enabled.

References:

1. CCE-35405-0

CIS Controls:

Version 6

9 Limitation and Control of Network Ports, Protocols, and Services

Limitation and Control of Network Ports, Protocols, and Services

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.4.8 (L2) Ensure 'MSS: (PerformRouterDiscovery) Allow IRDP to detect and configure Default Gateway addresses (could lead to DoS)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This setting is used to enable or disable the Internet Router Discovery Protocol (IRDP), which allows the system to detect and configure default gateway addresses automatically as described in RFC 1256 on a per-interface basis.

The recommended state for this setting is: Disabled.

Rationale:

An attacker who has gained control of a computer on the same network segment could configure a computer on the network to impersonate a router. Other computers with IRDP enabled would then attempt to route their traffic through the already compromised computer.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\Tcpip\Parameters:PerformRouterDiscovery
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\MSS (Legacy)\MSS: (PerformRouterDiscovery) Allow IRDP to detect and configure Default Gateway addresses (could lead to DoS)
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (MSS-legacy.admx/adml) is required - it is available from this TechNet blog post: [The MSS settings – Microsoft Security Guidance blog](#)

Impact:

Windows will not automatically detect and configure default gateway addresses on the computer.

Default Value:

Enable only if DHCP sends the Perform Router Discovery option.

References:

1. CCE-34614-8

CIS Controls:

Version 6

9 Limitation and Control of Network Ports, Protocols, and Services

Limitation and Control of Network Ports, Protocols, and Services

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.4.9 (L1) Ensure 'MSS: (SafeDllSearchMode) Enable Safe DLL search mode (recommended)' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

The DLL search order can be configured to search for DLLs that are requested by running processes in one of two ways:

- Search folders specified in the system path first, and then search the current working folder.
- Search current working folder first, and then search the folders specified in the system path.

When enabled, the registry value is set to 1. With a setting of 1, the system first searches the folders that are specified in the system path and then searches the current working folder. When disabled the registry value is set to 0 and the system first searches the current working folder and then searches the folders that are specified in the system path.

Applications will be forced to search for DLLs in the system path first. For applications that require unique versions of these DLLs that are included with the application, this entry could cause performance or stability problems.

The recommended state for this setting is: `Enabled`.

Note: More information on how Safe DLL search mode works is available at this link: [Dynamic-Link Library Search Order - Windows applications | Microsoft Docs](#)

Rationale:

If a user unknowingly executes hostile code that was packaged with additional files that include modified versions of system DLLs, the hostile code could load its own versions of those DLLs and potentially increase the type and degree of damage the code can render.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

<code>HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\Session Manager:SafeDllSearchMode</code>

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

Computer Configuration\Policies\Administrative Templates\MSS (Legacy)\MSS: (SafeDllSearchMode) Enable Safe DLL search mode (recommended)

Note: This Group Policy path does not exist by default. An additional Group Policy template (MSS-legacy.admx/adml) is required - it is available from this TechNet blog post: [The MSS settings – Microsoft Security Guidance blog](#)

Impact:

None - this is the default behavior.

Default Value:

Enabled.

References:

1. CCE-34022-4

CIS Controls:

Version 6

8 Malware Defenses
Malware Defenses

Version 7

8.1 Utilize Centrally Managed Anti-malware Software

Utilize centrally managed anti-malware software to continuously monitor and defend each of the organization's workstations and servers.

8.2 Ensure Anti-Malware Software and Signatures are Updated

Ensure that the organization's anti-malware software updates its scanning engine and signature database on a regular basis.

18.4.10 (L1) Ensure 'MSS: (ScreenSaverGracePeriod) The time in seconds before the screen saver grace period expires (0 recommended)' is set to 'Enabled: 5 or fewer seconds' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Windows includes a grace period between when the screen saver is launched and when the console is actually locked automatically when screen saver locking is enabled.

The recommended state for this setting is: Enabled: 5 or fewer seconds.

Rationale:

The default grace period that is allowed for user movement before the screen saver lock takes effect is five seconds. If you leave the default grace period configuration, your computer is vulnerable to a potential attack from someone who could approach the console and attempt to log on to the computer before the lock takes effect. An entry to the registry can be made to adjust the length of the grace period.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows  
NT\CurrentVersion\Winlogon:ScreenSaverGracePeriod
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled: 5 or fewer seconds:

```
Computer Configuration\Policies\Administrative Templates\MSS (Legacy)\MSS:  
(ScreenSaverGracePeriod) The time in seconds before the screen saver grace  
period expires (0 recommended)
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (MSS-legacy.admx/adml) is required - it is available from this TechNet blog post: [The MSS settings – Microsoft Security Guidance blog](#)

Impact:

Users will have to enter their passwords to resume their console sessions as soon as the grace period ends after screen saver activation.

Default Value:

5 seconds.

References:

1. CCE-34619-7

CIS Controls:

Version 6

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.11 Lock Workstation Sessions After Inactivity

Automatically lock workstation sessions after a standard period of inactivity.

18.4.11 (L2) Ensure 'MSS: (TcpMaxDataRetransmissions IPv6) How many times unacknowledged data is retransmitted' is set to 'Enabled: 3' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This setting controls the number of times that TCP retransmits an individual data segment (non-connect segment) before the connection is aborted. The retransmission time-out is doubled with each successive retransmission on a connection. It is reset when responses resume. The base time-out value is dynamically determined by the measured round-trip time on the connection.

The recommended state for this setting is: Enabled: 3.

Rationale:

A malicious user could exhaust a target computer's resources if it never sent any acknowledgment messages for data that was transmitted by the target computer.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\TCPIP6\Parameters:TcpMaxDataRetransmissions
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled: 3:

```
Computer Configuration\Policies\Administrative Templates\MSS (Legacy)\MSS: (TcpMaxDataRetransmissions IPv6) How many times unacknowledged data is retransmitted
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (MSS-legacy.admx/adml) is required - it is available from this TechNet blog post: [The MSS settings – Microsoft Security Guidance blog](#)

Impact:

TCP starts a retransmission timer when each outbound segment is passed to the IP. If no acknowledgment is received for the data in a given segment before the timer expires, then the segment is retransmitted up to three times.

Default Value:

5 times.

References:

1. CCE-34622-1

CIS Controls:

Version 6

9 Limitation and Control of Network Ports, Protocols, and Services

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Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.4.12 (L2) Ensure 'MSS: (TcpMaxDataRetransmissions) How many times unacknowledged data is retransmitted' is set to 'Enabled: 3' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This setting controls the number of times that TCP retransmits an individual data segment (non-connect segment) before the connection is aborted. The retransmission time-out is doubled with each successive retransmission on a connection. It is reset when responses resume. The base time-out value is dynamically determined by the measured round-trip time on the connection.

The recommended state for this setting is: Enabled: 3.

Rationale:

A malicious user could exhaust a target computer's resources if it never sent any acknowledgment messages for data that was transmitted by the target computer.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\Tcpip\Parameters:TcpMaxDataRetransmissions
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled: 3:

```
Computer Configuration\Policies\Administrative Templates\MSS (Legacy)\MSS: (TcpMaxDataRetransmissions) How many times unacknowledged data is retransmitted
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (MSS-legacy.admx/adml) is required - it is available from this TechNet blog post: [The MSS settings – Microsoft Security Guidance blog](#)

Impact:

TCP starts a retransmission timer when each outbound segment is passed to the IP. If no acknowledgment is received for the data in a given segment before the timer expires, then the segment is retransmitted up to three times.

Default Value:

5 times.

References:

1. CCE-34623-9

CIS Controls:

Version 6

9 Limitation and Control of Network Ports, Protocols, and Services

Limitation and Control of Network Ports, Protocols, and Services

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.4.13 (L1) Ensure 'MSS: (WarningLevel) Percentage threshold for the security event log at which the system will generate a warning' is set to 'Enabled: 90% or less' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting can generate a security audit in the Security event log when the log reaches a user-defined threshold.

The recommended state for this setting is: Enabled: 90% or less.

Note: If log settings are configured to Overwrite events as needed or Overwrite events older than x days, this event will not be generated.

Rationale:

If the Security log reaches 90 percent of its capacity and the computer has not been configured to overwrite events as needed, more recent events will not be written to the log. If the log reaches its capacity and the computer has been configured to shut down when it can no longer record events to the Security log, the computer will shut down and will no longer be available to provide network services.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\Eventlog\Security:WarningLevel
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:
90% or less:

```
Computer Configuration\Policies\Administrative Templates\MSS (Legacy)\MSS:  
(WarningLevel) Percentage threshold for the security event log at which the  
system will generate a warning
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (MSS-legacy.admx/adml) is required - it is available from this TechNet blog post: [The MSS settings – Microsoft Security Guidance blog](#)

Impact:

An audit event will be generated when the Security log reaches the 90% percent full threshold (or whatever lower value may be set) unless the log is configured to overwrite events as needed.

Default Value:

0%. (No warning event is generated.)

References:

1. CCE-35406-8

CIS Controls:

Version 6

6.3 Ensure Audit Logging Systems Are Not Subject To Loss (i.e. rotation/archive)

Ensure that all systems that store logs have adequate storage space for the logs generated on a regular basis, so that log files will not fill up between log rotation intervals. The logs must be archived and digitally signed on a periodic basis.

Version 7

6.3 Enable Detailed Logging

Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.

6.4 Ensure adequate storage for logs

Ensure that all systems that store logs have adequate storage space for the logs generated.

6.5 Central Log Management

Ensure that appropriate logs are being aggregated to a central log management system for analysis and review.

18.5 Network

This section contains recommendations for network settings.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.5.1 Background Intelligent Transfer Service (BITS)

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Bits.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.5.2 BranchCache

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `PeerToPeerCaching.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.5.3 DirectAccess Client Experience Settings

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `nca.admx/adml` that is included with the Microsoft 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.5.4 DNS Client

This section contains recommendations related to DNS Client.

This Group Policy section is provided by the Group Policy template `DnsClient.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.5.4.1 (L1) Ensure 'Turn off multicast name resolution' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

LLMNR is a secondary name resolution protocol. With LLMNR, queries are sent using multicast over a local network link on a single subnet from a client computer to another client computer on the same subnet that also has LLMNR enabled. LLMNR does not require a DNS server or DNS client configuration, and provides name resolution in scenarios in which conventional DNS name resolution is not possible.

The recommended state for this setting is: `Enabled`.

Rationale:

An attacker can listen on a network for these LLMNR (UDP/5355) or NBT-NS (UDP/137) broadcasts and respond to them, tricking the host into thinking that it knows the location of the requested system.

Note: To completely mitigate local name resolution poisoning, in addition to this setting, the properties of each installed NIC should also be set to `Disable NetBIOS over TCP/IP` (on the WINS tab in the NIC properties). Unfortunately, there is no global setting to achieve this that automatically applies to all NICs - it is a per-NIC setting that varies with different NIC hardware installations.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows  
NT\DnsClient:EnableMulticast
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

Computer Configuration\Policies\Administrative Templates\Network\DNS Client\Turn off multicast name resolution

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `DnsClient.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

In the event DNS is unavailable a system will be unable to request it from other systems on the same subnet.

Default Value:

Disabled. (LLMNR will be enabled on all available network adapters.)

References:

1. CCE-34055-4

CIS Controls:

Version 6

9 Limitation and Control of Network Ports, Protocols, and Services

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Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.5.5 Fonts

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `GroupPolicy.admx/adml` that is included with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates (or newer).

18.5.6 Hotspot Authentication

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `hotspotauth.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.5.7 Lanman Server

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `LanmanServer.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.5.8 Lanman Workstation

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `LanmanWorkstation.admx/adml` that is included with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates (or newer).

18.5.9 Link-Layer Topology Discovery

This section contains recommendations for Link-Layer Topology Discovery settings.

This Group Policy section is provided by the Group Policy template

`LinkLayerTopologyDiscovery.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.5.9.1 (L2) Ensure 'Turn on Mapper I/O (LLTDIO) driver' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting changes the operational behavior of the Mapper I/O network protocol driver.

LLTDIO allows a computer to discover the topology of a network it's connected to. It also allows a computer to initiate Quality-of-Service requests such as bandwidth estimation and network health analysis.

The recommended state for this setting is: `Disabled`.

Rationale:

To help protect from potentially discovering and connecting to unauthorized devices, this setting should be disabled to prevent responding to network traffic for network topology discovery.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry locations:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\LLTD:AllowLLTDIOOnDomain
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\LLTD:AllowLLTDIOOnPublicNet
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\LLTD:EnableLLTDIO
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\LLTD:ProhibitLLTDIOOnPrivateNet
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

Computer Configuration\Policies\Administrative Templates\Network\Link-Layer Topology Discovery\Turn on Mapper I/O (LLTDIO) driver

Note: This Group Policy path is provided by the Group Policy template `LinkLayerTopologyDiscovery.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (The Mapper I/O (LLTDIO) network protocol driver is turned off.)

References:

1. CCE-34262-6

CIS Controls:

Version 6

9 Limitation and Control of Network Ports, Protocols, and Services
Limitation and Control of Network Ports, Protocols, and Services

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.5.9.2 (L2) Ensure 'Turn on Responder (RSPNDR) driver' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting changes the operational behavior of the Responder network protocol driver.

The Responder allows a computer to participate in Link Layer Topology Discovery requests so that it can be discovered and located on the network. It also allows a computer to participate in Quality-of-Service activities such as bandwidth estimation and network health analysis.

The recommended state for this setting is: Disabled.

Rationale:

To help protect from potentially discovering and connecting to unauthorized devices, this setting should be disabled to prevent responding to network traffic for network topology discovery.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry locations:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\LLTD:AllowRspndrOnDomain
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\LLTD:AllowRspndrOnPublicNet
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\LLTD:EnableRspndr
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\LLTD:ProhibitRspndrOnPrivateNet
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

Computer Configuration\Policies\Administrative Templates\Network\Link-Layer Topology Discovery\Turn on Responder (RSPNDR) driver

Note: This Group Policy path is provided by the Group Policy template `LinkLayerTopologyDiscovery.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (The Responder (RSPNDR) network protocol driver is turned off.)

References:

1. CCE-34073-7

CIS Controls:

Version 6

9 Limitation and Control of Network Ports, Protocols, and Services
Limitation and Control of Network Ports, Protocols, and Services

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.5.10 Microsoft Peer-to-Peer Networking Services

This section contains recommendations for Microsoft Peer-to-Peer Networking Services settings.

This Group Policy section is provided by the Group Policy template `P2P-pnrp.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.5.10.1 Peer Name Resolution Protocol

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `P2P-pnrp.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

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18.5.10.2 (L2) Ensure 'Turn off Microsoft Peer-to-Peer Networking Services' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

The Peer Name Resolution Protocol (PNRP) allows for distributed resolution of a name to an IPv6 address and port number. The protocol operates in the context of *clouds*. A cloud is a set of peer computers that can communicate with each other by using the same IPv6 scope.

Peer-to-Peer protocols allow for applications in the areas of RTC, collaboration, content distribution and distributed processing.

The recommended state for this setting is: `Enabled`.

Rationale:

This setting enhances the security of the environment and reduces the overall risk exposure related to peer-to-peer networking.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Peernet:Disabled
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Network\Microsoft  
Peer-to-Peer Networking Services\Turn off Microsoft Peer-to-Peer Networking  
Services
```

Note: This Group Policy path is provided by the Group Policy template `P2P-pnprp.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Microsoft Peer-to-Peer Networking Services are turned off in their entirety, and all applications dependent on them will stop working.

Default Value:

Disabled. (Peer-to-peer protocols are turned on.)

References:

1. CCE-33208-0

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

18.5.11 Network Connections

This section contains recommendations for Network Connections settings.

This Group Policy section is provided by the Group Policy template

`NetworkConnections.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.5.11.1 Windows Defender Firewall (formerly Windows Firewall)

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template

`WindowsFirewall.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note: This section was initially named *Windows Firewall* but was renamed by Microsoft to *Windows Defender Firewall* starting with the Microsoft Windows 10 Release 1709 Administrative Templates.

18.5.11.2 (L1) Ensure 'Prohibit installation and configuration of Network Bridge on your DNS domain network' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

You can use this procedure to controls user's ability to install and configure a Network Bridge.

The recommended state for this setting is: `Enabled`.

Rationale:

The Network Bridge setting, if enabled, allows users to create a Layer 2 Media Access Control (MAC) bridge, enabling them to connect two or more physical network segments together. A Network Bridge thus allows a computer that has connections to two different networks to share data between those networks.

In an enterprise managed environment, where there is a need to control network traffic to only authorized paths, allowing users to create a Network Bridge increases the risk and attack surface from the bridged network.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\Network  
Connections\NC_AllowNetBridge_NLA
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Network\Network  
Connections\Prohibit installation and configuration of Network Bridge on your  
DNS domain network
```

Note: This Group Policy path is provided by the Group Policy template `NetworkConnections.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Users cannot create or configure a Network Bridge.

Default Value:

Disabled. (Users are able create and modify the configuration of Network Bridges. Membership in the local Administrators group, or equivalent, is the minimum required to complete this procedure.)

References:

1. CCE-33107-4

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

18.5.11.3 (L1) Ensure 'Require domain users to elevate when setting a network's location' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether to require domain users to elevate when setting a network's location.

The recommended state for this setting is: `Enabled`.

Rationale:

Allowing regular users to set a network location increases the risk and attack surface.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\Network  
Connections\NC_StdDomainUserSetLocation
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Network\Network  
Connections\Require domain users to elevate when setting a network's location
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `NetworkConnections.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

Domain users must elevate when setting a network's location.

Default Value:

Disabled. (Users can set a network's location without elevating.)

References:

1. CCE-35554-5

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

18.5.12 Network Connectivity Status Indicator

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `NCSI.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.5.13 Network Isolation

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `NetworkIsolation.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.5.14 Network Provider

This section contains recommendations for Network Provider settings.

This Group Policy section is provided by the Group Policy template

`NetworkProvider.admx/adml` that is included with the [MS15-011](#) / [MSKB 3000483](#) security update and the Microsoft Windows 10 RTM (Release 1507) Administrative Templates (or newer).

18.5.14.1 (L1) Ensure 'Hardened UNC Paths' is set to 'Enabled, with "Require Mutual Authentication" and "Require Integrity" set for all NETLOGON and SYSVOL shares' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting configures secure access to UNC paths.

The recommended state for this setting is: Enabled, with "Require Mutual Authentication" and "Require Integrity" set for all NETLOGON and SYSVOL shares.

Note: If the environment exclusively contains Windows 8.0 / Server 2012 (non-R2) or newer systems, then the "Privacy" setting may (optionally) also be set to enable SMB encryption. However, using SMB encryption will render the targeted share paths completely inaccessible by older OSes, so only use this additional option with caution and thorough testing.

Rationale:

In February 2015, Microsoft released a new control mechanism to mitigate a security risk in Group Policy as part of the [MS15-011](#) / [MSKB 3000483](#) security update. This mechanism requires both the installation of the new security update and also the deployment of specific group policy settings to all computers on the domain from Windows Vista / Server 2008 (non-R2) or newer (the associated security patch to enable this feature was not released for Server 2003). A new group policy template (`NetworkProvider.admx/adml`) was also provided with the security update.

Once the new GPO template is in place, the following are the minimum requirements to remediate the Group Policy security risk:

```
\\*\NETLOGON RequireMutualAuthentication=1, RequireIntegrity=1
```

```
\\*\SYSVOL RequireMutualAuthentication=1, RequireIntegrity=1
```

Note: A reboot may be required after the setting is applied to a client machine to access the above paths.

Additional guidance on the deployment of this security setting is available from the Microsoft Premier Field Engineering (PFE) Platforms TechNet Blog here: [Guidance on Deployment of MS15-011 and MS15-014](#).

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry locations:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\NetworkProvider\Harden
edPaths:\\*\NETLOGON
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\NetworkProvider\Harden
edPaths:\\*\SYSVOL
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled` with the following paths configured, at a minimum:

```
\\*\NETLOGON RequireMutualAuthentication=1, RequireIntegrity=1  
\\*\SYSVOL RequireMutualAuthentication=1, RequireIntegrity=1
```

Computer Configuration\Policies\Administrative Templates\Network\Network Provider\Hardened UNC Paths

Note: This Group Policy path does not exist by default. An additional Group Policy template (NetworkProvider.admx/adml) is required - it is included with the [MS15-011](#) / [MSKB 3000483](#) security update or with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates (or newer).

Impact:

Windows only allows access to the specified UNC paths after fulfilling additional security requirements.

Default Value:

Disabled. (No UNC paths are hardened.)

CIS Controls:

Version 6

3 Secure Configurations for Hardware and Software on Mobile Devices, Laptops, Workstations, and Servers

Secure Configurations for Hardware and Software on Mobile Devices, Laptops, Workstations, and Servers

Version 7

3.1 Run Automated Vulnerability Scanning Tools

Utilize an up-to-date SCAP-compliant vulnerability scanning tool to automatically scan all systems on the network on a weekly or more frequent basis to identify all potential vulnerabilities on the organization's systems.

18.5.15 Offline Files

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `OfflineFiles.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.5.16 QoS Packet Scheduler

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `QoS.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.5.17 SNMP

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Snmp.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.5.18 SSL Configuration Settings

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `CipherSuiteOrder.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.5.19 TCPIP Settings

This section contains TCP/IP configuration settings.

This Group Policy section is provided by the Group Policy template `tcipip.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.5.19.1 IPv6 Transition Technologies

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `tcipip.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

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18.5.19.2 Parameters

This section contains TCP/IP parameter configuration settings.

This Group Policy section is provided by the Group Policy template `tcipip.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.5.19.2.1 (L2) Disable IPv6 (Ensure TCPIP6 Parameter 'DisabledComponents' is set to '0xff (255)') (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

Internet Protocol version 6 (IPv6) is a set of protocols that computers use to exchange information over the Internet and over home and business networks. IPv6 allows for many more IP addresses to be assigned than IPv4 did. Older networking, hosts and operating systems may not support IPv6 natively.

The recommended state for this setting is: `DisabledComponents - 0xff (255)`

Rationale:

Since the vast majority of private enterprise managed networks have no need to utilize IPv6 (because they have access to private IPv4 addressing), disabling IPv6 components removes a possible attack surface that is also harder to monitor the traffic on. As a result, we recommend configuring IPv6 to a Disabled state when it is not needed.

Audit:

Navigate to the Registry path articulated in the Remediation section and confirm it is set as prescribed.

Remediation:

To establish the recommended configuration, set the following Registry value to 0xff (255) (DWORD):

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\TCPIP6\Parameters\DisabledComponents
```

Note: This change does not take effect until the computer has been restarted.

Note #2: Although Microsoft does not provide an ADMX template to configure this registry value, a custom .ADM template (Disable-IPv6-Components-KB929852.adm) is provided in the CIS Benchmark Remediation Kit to facilitate its configuration. Be aware though that simply turning off the group policy setting in the .ADM template will not "undo" the change once applied. Instead, the opposite setting must be applied to change the registry value to the opposite state.

Impact:

Connectivity to other systems using IPv6 will no longer operate, and software that depends on IPv6 will cease to function. Examples of Microsoft applications that may use IPv6 include: Remote Assistance, HomeGroup, DirectAccess, Windows Mail.

This registry change is documented in Microsoft Knowledge Base article 929852: [How to disable IPv6 or its components in Windows](#).

Note: This registry change does not take effect until the next reboot.

Default Value:

All IPv6 components are enabled and Windows prefers IPv6 over IPv4.

CIS Controls:

Version 6

9 Limitation and Control of Network Ports, Protocols, and Services

Limitation and Control of Network Ports, Protocols, and Services

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

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18.5.20 Windows Connect Now

This section contains recommendations for Windows Connect Now settings.

This Group Policy section is provided by the Group Policy template

WindowsConnectNow.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

18.5.20.1 (L2) Ensure 'Configuration of wireless settings using Windows Connect Now' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting allows the configuration of wireless settings using Windows Connect Now (WCN). The WCN Registrar enables the discovery and configuration of devices over Ethernet (UPnP) over in-band 802.11 Wi-Fi through the Windows Portable Device API (WPD) and via USB Flash drives. Additional options are available to allow discovery and configuration over a specific medium.

The recommended state for this setting is: Disabled.

Rationale:

This setting enhances the security of the environment and reduces the overall risk exposure related to user configuration of wireless settings.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry locations:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WCN\Registrars:EnableR  
egistrars  
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WCN\Registrars:Disable  
UPnPRegistrar  
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WCN\Registrars:Disable  
InBand802DOT11Registrar  
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WCN\Registrars:Disable  
FlashConfigRegistrar  
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WCN\Registrars:Disable  
WPDRegistrar
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

Computer Configuration\Policies\Administrative Templates\Network\Windows Connect Now\Configuration of wireless settings using Windows Connect Now

Note: This Group Policy path is provided by the Group Policy template `WindowsConnectNow.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

WCN operations are disabled over all media.

Default Value:

WCN operations are enabled and allowed over all media.

References:

1. CCE-34326-9

CIS Controls:

Version 6

15.4 Configure Only Authorized Wireless Access On Client Machines

Where a specific business need for wireless access has been identified, configure wireless access on client machines to allow access only to authorized wireless networks. For devices that do not have an essential wireless business purpose, disable wireless access in the hardware configuration (basic input/output system or extensible firmware interface).

Version 7

15.4 Disable Wireless Access on Devices if Not Required

Disable wireless access on devices that do not have a business purpose for wireless access.

15.5 Limit Wireless Access on Client Devices

Configure wireless access on client machines that do have an essential wireless business purpose, to allow access only to authorized wireless networks and to restrict access to other wireless networks.

18.5.20.2 (L2) Ensure 'Prohibit access of the Windows Connect Now wizards' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting prohibits access to Windows Connect Now (WCN) wizards.

The recommended state for this setting is: `Enabled`.

Rationale:

Allowing standard users to access the Windows Connect Now wizard increases the risk and attack surface.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WCN\UI:DisableWcnUi
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Network\Windows  
Connect Now\Prohibit access of the Windows Connect Now wizards
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `WindowsConnectNow.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

The WCN wizards are turned off and users have no access to any of the wizard tasks. All the configuration related tasks including "Set up a wireless router or access point" and "Add a wireless device" are disabled.

Default Value:

Disabled. (Users can access all WCN wizard tasks.)

References:

1. CCE-35606-3

CIS Controls:

Version 6

15.4 Configure Only Authorized Wireless Access On Client Machines

Where a specific business need for wireless access has been identified, configure wireless access on client machines to allow access only to authorized wireless networks. For devices that do not have an essential wireless business purpose, disable wireless access in the hardware configuration (basic input/output system or extensible firmware interface).

Version 7

15.4 Disable Wireless Access on Devices if Not Required

Disable wireless access on devices that do not have a business purpose for wireless access.

15.5 Limit Wireless Access on Client Devices

Configure wireless access on client machines that do have an essential wireless business purpose, to allow access only to authorized wireless networks and to restrict access to other wireless networks.

18.5.21 Windows Connection Manager

This section contains recommendations for Windows Connection Manager settings.

This Group Policy section is provided by the Group Policy template `WCM.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.5.22 Wireless Display

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `wlansvc.admx/adml` that is included with the Microsoft Windows 10 Release 1511 Administrative Templates (or newer).

18.5.23 WLAN Service

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `wlansvc.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.6 Printers

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.7 Start Menu and Taskbar

This section contains recommendations for Start Menu and Taskbar.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

18.7.1 Notifications

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WPN.admx/adml` that is included with the Microsoft 10 Release 1803 Administrative Templates (or newer).

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18.8 System

This section contains recommendations for System settings.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.1 Access-Denied Assistance

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `srm-fci.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.8.2 App-V

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `appv.admx/adml` that is included with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates (or newer).

18.8.3 Audit Process Creation

This section contains settings related to auditing of process creation events.

This Group Policy section is provided by the Group Policy template

`AuditSettings.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

18.8.3.1 (L1) Ensure 'Include command line in process creation events' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1
- Level 1 + BitLocker

Description:

This policy setting determines what information is logged in security audit events when a new process has been created.

The recommended state for this setting is: `Disabled`.

Rationale:

When this policy setting is enabled, any user who has read access to the security events can read the command-line arguments for any successfully created process. Command-line arguments may contain sensitive or private information such as passwords or user data.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

<code>HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System\Audit:ProcessCreationIncludeCmdLine_Enabled</code>

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

Computer Configuration\Policies\Administrative Templates\System\Audit Process Creation\Include command line in process creation events

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `AuditSettings.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

Disabled. (The process's command line information will not be included in Audit Process Creation events.)

References:

1. CCE-35802-8

CIS Controls:

16.14 Encrypt/Hash All Authentication Files And Monitor Their Access

Verify that all authentication files are encrypted or hashed and that these files cannot be accessed without root or administrator privileges. Audit all access to password files in the system.

18.8.4 Credentials Delegation

This section contains settings related to Credential Delegation.

This Group Policy section is provided by the Group Policy template `CredSsp.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.4.1 (L1) Ensure 'Encryption Oracle Remediation' is set to 'Enabled: Force Updated Clients' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Some versions of the CredSSP protocol that is used by some applications (such as Remote Desktop Connection) are vulnerable to an encryption oracle attack against the client. This policy controls compatibility with vulnerable clients and servers and allows you to set the level of protection desired for the encryption oracle vulnerability.

The recommended state for this setting is: `Enabled: Force Updated Clients`.

Rationale:

This setting is important to mitigate the CredSSP encryption oracle vulnerability, for which information was published by Microsoft on 03/13/2018 in [CVE-2018-0886 | CredSSP Remote Code Execution Vulnerability](#). All versions of Windows from Windows Vista onwards are affected by this vulnerability, and will be compatible with this recommendation provided that they have been patched at least through May 2018 (or later).

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System\CredSSP\Parameters:AllowEncryptionOracle
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**:

Force Updated Clients:

Computer Configuration\Policies\Administrative Templates\System\Credentials
Delegation\Encryption Oracle Remediation

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `CredSsp.admx/adml` that is included with the Microsoft Windows 10 Release 1803 Administrative Templates (or newer).

Impact:

Client applications which use CredSSP will not be able to fall back to the insecure versions and services using CredSSP will not accept unpatched clients. This setting should not be deployed until all remote hosts support the newest version, which is achieved by ensuring that all Microsoft security updates at least through May 2018 are installed.

Default Value:

Without the May 2018 security update: **Enabled: Vulnerable** (Client applications which use CredSSP will expose the remote servers to attacks by supporting fall back to the insecure versions and services using CredSSP will accept unpatched clients.)

With the May 2018 security update: **Enabled: Mitigated** (Client applications which use CredSSP will not be able to fall back to the insecure version but services using CredSSP will accept unpatched clients.)

CIS Controls:

Version 7

16.4 Encrypt or Hash all Authentication Credentials

Encrypt or hash with a salt all authentication credentials when stored.

18.8.5 Device Guard

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DeviceGuard.admx/adml` that is included with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates (or newer).

18.8.6 Device Health Attestation Service

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `TPM.admx/adml` that is included with the Microsoft Windows 10 Release 1709 Administrative Templates (or newer).

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18.8.7 Device Installation

This section contains recommendations related to device installation.

This Group Policy section is provided by the Group Policy template

`DeviceInstallation.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.7.1 Device Installation Restrictions

This section contains recommendations related to device installation restrictions.

This Group Policy section is provided by the Group Policy template

`DeviceInstallation.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.7.1.1 (BL) Ensure 'Prevent installation of devices using drivers that match these device setup classes' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to specify a list of device setup class globally unique identifiers (GUIDs) for device drivers that Windows is prevented from installing. This policy setting takes precedence over any other policy setting that allows Windows to install a device.

If you enable this policy setting, Windows is prevented from installing or updating device drivers whose device setup class GUIDs appear in the list you create. If you enable this policy setting on a remote desktop server, the policy setting affects redirection of the specified devices from a remote desktop client to the remote desktop server.

If you disable or do not configure this policy setting, Windows can install and update devices as allowed or prevented by other policy settings.

The recommended state for this setting is: `Enabled`.

Rationale:

A BitLocker-protected computer may be vulnerable to Direct Memory Access (DMA) attacks when the computer is turned on or is in the Standby power state - this includes when the workstation is locked.

BitLocker with TPM-only authentication lets a computer enter the power-on state without any pre-boot authentication. Therefore, an attacker may be able to perform DMA attacks.

This issue is documented in Microsoft Knowledge Base article 2516445: [Blocking the SBP-2 driver and Thunderbolt controllers to reduce 1394 DMA and Thunderbolt DMA threats to BitLocker](#).

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\DeviceInstall\Restrictions:DenyDeviceClasses
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Administrative Templates\System\Device Installation\Device Installation Restrictions\Prevent installation of devices using drivers that match these device setup classes
```

Note: This Group Policy path is provided by the Group Policy template DeviceInstallation.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Devices matching the specified device setup classes will be prevented from installation.

Default Value:

Disabled. (Devices can be installed and updated as allowed or prevented by other policy settings.)

References:

1. CCE-34254-3

CIS Controls:

Version 6

13.5 Disable Write Capabilities To USB Devices

If there is no business need for supporting such devices, configure systems so that they will not write data to USB tokens or USB hard drives. If such devices are required, enterprise software should be used that can configure systems to allow only specific USB devices (based on serial number or other unique property) to be accessed, and that can automatically encrypt all data placed on such devices. An inventory of all authorized devices must be maintained.

Version 7

13.7 Manage USB Devices

If USB storage devices are required, enterprise software should be used that can configure systems to allow the use of specific devices. An inventory of such devices should be maintained.

13.8 Manage System's External Removable Media's Read/write Configurations

Configure systems not to write data to external removable media, if there is no business need for supporting such devices.

13.9 Encrypt Data on USB Storage Devices

If USB storage devices are required, all data stored on such devices must be encrypted while at rest.

18.8.7.1.2 (BL) Ensure 'Prevent installation of devices using drivers that match these device setup classes: Prevent installation of devices using drivers for these device setup' is set to 'IEEE 1394 device setup classes' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to specify a list of device setup class globally unique identifiers (GUIDs) for device drivers that Windows is prevented from installing. This policy setting takes precedence over any other policy setting that allows Windows to install a device.

If you enable this policy setting, Windows is prevented from installing or updating device drivers whose device setup class GUIDs appear in the list you create. If you enable this policy setting on a remote desktop server, the policy setting affects redirection of the specified devices from a remote desktop client to the remote desktop server.

If you disable or do not configure this policy setting, Windows can install and update devices as allowed or prevented by other policy settings.

Here are the four entries we recommend and what they translate to:

- {d48179be-ec20-11d1-b6b8-00c04fa372a7} - IEEE 1394 devices that support the SBP2 Protocol Class
- {7ebefbc0-3200-11d2-b4c2-00a0c9697d07} - IEEE 1394 devices that support the IEC-61883 Protocol Class
- {c06ff265-ae09-48f0-812c-16753d7cba83} - IEEE 1394 devices that support the AVC Protocol Class
- {6bdd1fc1-810f-11d0-bec7-08002be2092f} - IEEE 1394 Host Bus Controller Class

The full list of system-defined device setup classes available in Windows is here: [System-Defined Device Setup Classes Available to Vendors | Microsoft Docs](#)

The recommended state for this setting is: {d48179be-ec20-11d1-b6b8-00c04fa372a7}, {7ebefbc0-3200-11d2-b4c2-00a0c9697d07}, {c06ff265-ae09-48f0-812c-16753d7cba83}, and {6bdd1fc1-810f-11d0-bec7-08002be2092f}

Note: IEEE 1394 has also been known/branded as *FireWire* (by Apple), *i.LINK* (by Sony) and *Lynx* (by Texas Instruments).

Rationale:

A BitLocker-protected computer may be vulnerable to Direct Memory Access (DMA) attacks when the computer is turned on or is in the Standby power state - this includes when the workstation is locked.

BitLocker with TPM-only authentication lets a computer enter the power-on state without any pre-boot authentication. Therefore, an attacker may be able to perform DMA attacks.

This issue is documented in Microsoft Knowledge Base article 2516445: [Blocking the SBP-2 driver and Thunderbolt controllers to reduce 1394 DMA and Thunderbolt DMA threats to BitLocker](#).

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\DeviceInstall\Restrictions\DenyDeviceClasses:<numeric value>
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**, and add {d48179be-ec20-11d1-b6b8-00c04fa372a7}, {7ebefbc0-3200-11d2-b4c2-00a0c9697d07}, {c06ff265-ae09-48f0-812c-16753d7cba83}, and {6bdd1fc1-810f-11d0-bec7-08002be2092f} to the device setup classes list:

```
Computer Configuration\Policies\Administrative Templates\System\Device Installation\Device Installation Restrictions\Prevent installation of devices using drivers that match these device setup classes
```

Note: This Group Policy path is provided by the Group Policy template DeviceInstallation.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

IEEE 1394 drives & devices will be prevented from being installed in Windows.

Default Value:

None. (No device setup classes are prevented from installation.)

References:

1. CCE-34254-3

Notes:

Documented in [MSKB 2516445](#).

CIS Controls:

Version 6

13.5 Disable Write Capabilities To USB Devices

If there is no business need for supporting such devices, configure systems so that they will not write data to USB tokens or USB hard drives. If such devices are required, enterprise software should be used that can configure systems to allow only specific USB devices (based on serial number or other unique property) to be accessed, and that can automatically encrypt all data placed on such devices. An inventory of all authorized devices must be maintained.

Version 7

13.7 Manage USB Devices

If USB storage devices are required, enterprise software should be used that can configure systems to allow the use of specific devices. An inventory of such devices should be maintained.

13.8 Manage System's External Removable Media's Read/write Configurations

Configure systems not to write data to external removable media, if there is no business need for supporting such devices.

13.9 Encrypt Data on USB Storage Devices

If USB storage devices are required, all data stored on such devices must be encrypted while at rest.

18.8.7.1.3 (BL) Ensure 'Prevent installation of devices using drivers that match these device setup classes: Also apply to matching devices that are already installed.' is set to 'True' (checked) (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to specify a list of device setup class globally unique identifiers (GUIDs) for device drivers that Windows is prevented from installing. This policy setting takes precedence over any other policy setting that allows Windows to install a device.

If you enable this policy setting, Windows is prevented from installing or updating device drivers whose device setup class GUIDs appear in the list you create. If you enable this policy setting on a remote desktop server, the policy setting affects redirection of the specified devices from a remote desktop client to the remote desktop server.

If you disable or do not configure this policy setting, Windows can install and update devices as allowed or prevented by other policy settings.

The recommended state for this setting is: `True` (checked).

Rationale:

A BitLocker-protected computer may be vulnerable to Direct Memory Access (DMA) attacks when the computer is turned on or is in the Standby power state - this includes when the workstation is locked.

BitLocker with TPM-only authentication lets a computer enter the power-on state without any pre-boot authentication. Therefore, an attacker may be able to perform DMA attacks.

This issue is documented in Microsoft Knowledge Base article 2516445: [Blocking the SBP-2 driver and Thunderbolt controllers to reduce 1394 DMA and Thunderbolt DMA threats to BitLocker.](#)

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\DeviceInstall\Restrictions:DenyDeviceClassesRetroactive
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`, and check the `Also apply to matching devices that are already installed` checkbox:

```
Computer Configuration\Policies\Administrative Templates\System\Device Installation\Device Installation Restrictions\Prevent installation of devices using drivers that match these device setup classes
```

Note: This Group Policy path is provided by the Group Policy template `DeviceInstallation.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Existing devices (that match the device setup classes specified) that were previously installed prior to the hardening will be disabled or removed.

Default Value:

False (unchecked). (Pre-existing devices matching the device setup classes will not be disabled or removed.)

References:

1. CCE-34254-3

CIS Controls:

Version 6

13.5 Disable Write Capabilities To USB Devices

If there is no business need for supporting such devices, configure systems so that they will not write data to USB tokens or USB hard drives. If such devices are required, enterprise software should be used that can configure systems to allow only specific USB devices (based on serial number or other unique property) to be accessed, and that can automatically encrypt all data placed on such devices. An inventory of all authorized devices must be maintained.

Version 7

13.7 Manage USB Devices

If USB storage devices are required, enterprise software should be used that can configure systems to allow the use of specific devices. An inventory of such devices should be maintained.

13.8 Manage System's External Removable Media's Read/write Configurations

Configure systems not to write data to external removable media, if there is no business need for supporting such devices.

13.9 Encrypt Data on USB Storage Devices

If USB storage devices are required, all data stored on such devices must be encrypted while at rest.

18.8.7.2 (L1) Ensure 'Allow remote access to the Plug and Play interface' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to allow or deny remote access to the Plug and Play interface.

The recommended state for this setting is: `Disabled`.

Rationale:

Allowing remote access to the Plug and Play interface could give hackers another attack vector to a system.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\DeviceInstall\Settings:AllowRemoteRPC
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Administrative Templates\System\Device Installation\Allow remote access to the Plug and Play interface
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `DeviceInstallation.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

`Disabled`. (Remote connections to the Plug and Play interface are not allowed.)

References:

1. CCE-33972-1

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

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18.8.8 Device Redirection

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DeviceRedirection.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.8.9 Disk NV Cache

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DiskNVCache.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.10 Disk Quotas

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DiskQuota.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.11 Display

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Display.admx/adml` that is included with the Microsoft Windows 10 Release 1703 Administrative Templates (or newer).

18.8.12 Distributed COM

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DCOM.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.13 Driver Installation

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DeviceInstallation.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.14 Early Launch Antimalware

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `EarlyLaunchAM.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.8.15 Enhanced Storage Access

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `EnhancedStorage.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.8.16 File Classification Infrastructure

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `srm-fci.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.8.17 File Share Shadow Copy Agent

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `FileServerVSSAgent.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.8.18 File Share Shadow Copy Provider

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy templates `FileServerVSSProvider.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.8.19 Filesystem (formerly NTFS Filesystem)

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `FileSys.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note: This section was initially named *NTFS Filesystem* but was renamed by Microsoft to *Filesystem* starting with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates.

18.8.20 Folder Redirection

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `FolderRedirection.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.21 Group Policy

This section contains recommendations for configuring group policy-related settings.

This Group Policy section is provided by the Group Policy template

`GroupPolicy.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.21.1 Logging and tracing

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template

`GroupPolicyPreferences.admx/adml` that is included with the Microsoft Windows Server 2008 (non-R2) Administrative Templates (or newer).

18.8.21.2 (L1) Ensure 'Configure registry policy processing: Do not apply during periodic background processing' is set to 'Enabled: FALSE' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

The "Do not apply during periodic background processing" option prevents the system from updating affected policies in the background while the computer is in use. When background updates are disabled, policy changes will not take effect until the next user logon or system restart.

The recommended state for this setting is: `Enabled: FALSE` (unchecked).

Rationale:

Setting this option to false (unchecked) will ensure that domain policy changes take effect more quickly, as compared to waiting until the next user logon or system restart.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\Group  
Policy\{35378EAC-683F-11D2-A89A-00C04FBBCFA2}\NoBackgroundPolicy
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`, then set the `Do not apply during periodic background processing` option to `FALSE` (unchecked):

```
Computer Configuration\Policies\Administrative Templates\System\Group  
Policy\Configure registry policy processing
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `GroupPolicy.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

Group Policies will be reapplied every time they are refreshed, which could have a slight impact on performance.

Default Value:

Disabled. (Group policies are not reapplied until the next logon or restart.)

References:

1. CCE-35384-7

CIS Controls:

Version 6

3.7 Deploy System Configuration Management Tools (i.e. Remediation Tools)

Deploy system configuration management tools, such as Active Directory Group Policy Objects for Microsoft Windows systems or Puppet for UNIX systems that will automatically enforce and redeploy configuration settings to systems at regularly scheduled intervals. They should be capable of triggering redeployment of configuration settings on a scheduled, manual, or event-driven basis.

Version 7

5.4 Deploy System Configuration Management Tools

Deploy system configuration management tools that will automatically enforce and redeploy configuration settings to systems at regularly scheduled intervals.

5.5 Implement Automated Configuration Monitoring Systems

Utilize a Security Content Automation Protocol (SCAP) compliant configuration monitoring system to verify all security configuration elements, catalog approved exceptions, and alert when unauthorized changes occur.

18.8.21.3 (L1) Ensure 'Configure registry policy processing: Process even if the Group Policy objects have not changed' is set to 'Enabled: TRUE' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

The "Process even if the Group Policy objects have not changed" option updates and reapplies policies even if the policies have not changed.

The recommended state for this setting is: Enabled: TRUE (checked).

Rationale:

Setting this option to true (checked) will ensure unauthorized changes that might have been configured locally are forced to match the domain-based Group Policy settings again.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\Group  
Policy\{35378EAC-683F-11D2-A89A-00C04FBBCFA2}\NoGPListChanges
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled, then set the Process even if the Group Policy objects have not changed option to TRUE (checked):

```
Computer Configuration\Policies\Administrative Templates\System\Group  
Policy\Configure registry policy processing
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template GroupPolicy.admx/adml that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

Group Policies will be reapplied even if they have not been changed, which could have a slight impact on performance.

Default Value:

Disabled. (Group policies are not reapplied if they have not been changed.)

References:

1. CCE-35384-7

CIS Controls:

Version 6

3.7 Deploy System Configuration Management Tools (i.e. Remediation Tools)

Deploy system configuration management tools, such as Active Directory Group Policy Objects for Microsoft Windows systems or Puppet for UNIX systems that will automatically enforce and redeploy configuration settings to systems at regularly scheduled intervals. They should be capable of triggering redeployment of configuration settings on a scheduled, manual, or event-driven basis.

Version 7

5.4 Deploy System Configuration Management Tools

Deploy system configuration management tools that will automatically enforce and redeploy configuration settings to systems at regularly scheduled intervals.

5.5 Implement Automated Configuration Monitoring Systems

Utilize a Security Content Automation Protocol (SCAP) compliant configuration monitoring system to verify all security configuration elements, catalog approved exceptions, and alert when unauthorized changes occur.

18.8.21.4 (L1) Ensure 'Turn off background refresh of Group Policy' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting prevents Group Policy from being updated while the computer is in use. This policy setting applies to Group Policy for computers, users and Domain Controllers.

The recommended state for this setting is: Disabled.

Rationale:

This setting ensures that group policy changes take effect more quickly, as compared to waiting until the next user logon or system restart.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is in effect when the following registry location does not exist:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System:
DisableBkGndGroupPolicy
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\System\Group
Policy\Turn off background refresh of Group Policy
```

Note: This Group Policy path is provided by the Group Policy template GroupPolicy.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Updates can be applied while users are working.)

References:

1. CCE-35776-4

CIS Controls:

Version 6

3.7 Deploy System Configuration Management Tools (i.e. Remediation Tools)

Deploy system configuration management tools, such as Active Directory Group Policy Objects for Microsoft Windows systems or Puppet for UNIX systems that will automatically enforce and redeploy configuration settings to systems at regularly scheduled intervals. They should be capable of triggering redeployment of configuration settings on a scheduled, manual, or event-driven basis.

Version 7

5.4 Deploy System Configuration Management Tools

Deploy system configuration management tools that will automatically enforce and redeploy configuration settings to systems at regularly scheduled intervals.

5.5 Implement Automated Configuration Monitoring Systems

Utilize a Security Content Automation Protocol (SCAP) compliant configuration monitoring system to verify all security configuration elements, catalog approved exceptions, and alert when unauthorized changes occur.

18.8.22 Internet Communication Management

This section contains recommendations related to Internet Communication Management.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.22.1 Internet Communication settings

This section contains recommendations related to Internet Communication settings.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.22.1.1 (L1) Ensure 'Turn off downloading of print drivers over HTTP' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls whether the computer can download print driver packages over HTTP. To set up HTTP printing, printer drivers that are not available in the standard operating system installation might need to be downloaded over HTTP.

The recommended state for this setting is: `Enabled`.

Rationale:

Users might download drivers that include malicious code.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows  
NT\Printers:DisableWebPnPDownload
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\System\Internet  
Communication Management\Internet Communication settings\Turn off downloading  
of print drivers over HTTP
```

Note: This Group Policy path is provided by the Group Policy template `ICM.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Print drivers cannot be downloaded over HTTP.

Note: This policy setting does not prevent the client computer from printing to printers on the intranet or the Internet over HTTP. It only prohibits downloading drivers that are not already installed locally.

Default Value:

Disabled. (Users can download print drivers over HTTP.)

References:

1. CCE-35781-4

CIS Controls:

Version 6

- 2 Inventory of Authorized and Unauthorized Software
Inventory of Authorized and Unauthorized Software

Version 7

- 2.6 Address unapproved software

Ensure that unauthorized software is either removed or the inventory is updated in a timely manner

- 2.7 Utilize Application Whitelisting

Utilize application whitelisting technology on all assets to ensure that only authorized software executes and all unauthorized software is blocked from executing on assets.

18.8.22.1.2 (L2) Ensure 'Turn off handwriting personalization data sharing' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This setting turns off data sharing from the handwriting recognition personalization tool.

The handwriting recognition personalization tool enables Tablet PC users to adapt handwriting recognition to their own writing style by providing writing samples. The tool can optionally share user writing samples with Microsoft to improve handwriting recognition in future versions of Windows. The tool generates reports and transmits them to Microsoft over a secure connection.

The recommended state for this setting is: Enabled.

Rationale:

A person's handwriting is Personally Identifiable Information (PII), especially when it comes to your signature. As such, it is unacceptable in many environments to automatically upload PII to a website without explicit approval by the user.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\TabletPC:PreventHandwritingDataSharing
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Administrative Templates\System\Internet Communication Management\Internet Communication settings\Turn off handwriting personalization data sharing
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `ShapeCollector.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

Tablet PC users cannot choose to share writing samples from the handwriting recognition personalization tool with Microsoft.

Default Value:

Tablet PC users can choose whether or not they want to share their writing samples from the handwriting recognition personalization tool with Microsoft.

References:

1. CCE-32945-8

CIS Controls:

Version 6

13 Data Protection
Data Protection

Version 7

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

18.8.22.1.3 (L2) Ensure 'Turn off handwriting recognition error reporting' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

Turns off the handwriting recognition error reporting tool.

The handwriting recognition error reporting tool enables users to report errors encountered in Tablet PC Input Panel. The tool generates error reports and transmits them to Microsoft over a secure connection. Microsoft uses these error reports to improve handwriting recognition in future versions of Windows.

The recommended state for this setting is: Enabled.

Rationale:

A person's handwriting is Personally Identifiable Information (PII), especially when it comes to your signature. As such, it is unacceptable in many environments to automatically upload PII to a website without explicit approval by the user.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\HandwritingErrorReports:PreventHandwritingErrorReports
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Administrative Templates\System\Internet Communication Management\Internet Communication settings\Turn off handwriting recognition error reporting
```

Note: This Group Policy path is provided by the Group Policy template

InkWatson.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Users cannot start the handwriting recognition error reporting tool or send error reports to Microsoft.

Default Value:

Disabled. (Tablet PC users can report handwriting recognition errors to Microsoft.)

References:

1. CCE-35784-8

CIS Controls:

Version 6

13 Data Protection

Data Protection

Version 7

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

18.8.22.1.4 (L2) Ensure 'Turn off Internet Connection Wizard if URL connection is referring to Microsoft.com' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting specifies whether the Internet Connection Wizard can connect to Microsoft to download a list of Internet Service Providers (ISPs).

The recommended state for this setting is: `Enabled`.

Rationale:

In an enterprise managed environment we want to lower the risk of a user unknowingly exposing sensitive data.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\Internet Connection Wizard:ExitOnMSICW
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\System\Internet Communication Management\Internet Communication settings\Turn off Internet Connection Wizard if URL connection is referring to Microsoft.com
```

Note: This Group Policy path is provided by the Group Policy template `ICM.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

The "Choose a list of Internet Service Providers" path in the Internet Connection Wizard causes the wizard to exit. This prevents users from retrieving the list of ISPs, which resides on Microsoft servers.

Default Value:

Disabled. (Users can connect to Microsoft to download a list of ISPs for their area.)

References:

1. CCE-33153-8

CIS Controls:

Version 6

13 Data Protection

Data Protection

Version 7

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

18.8.22.1.5 (L1) Ensure 'Turn off Internet download for Web publishing and online ordering wizards' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls whether Windows will download a list of providers for the Web publishing and online ordering wizards.

The recommended state for this setting is: `Enabled`.

Rationale:

Although the risk is minimal, enabling this setting will reduce the possibility of a user unknowingly downloading malicious content through this feature.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\Explorer:NoWebServices
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\System\Internet Communication Management\Internet Communication settings\Turn off Internet download for Web publishing and online ordering wizards
```

Note: This Group Policy path is provided by the Group Policy template `ICM.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Windows is prevented from downloading providers; only the service providers cached in the local registry are displayed.

Default Value:

Disabled. (A list of providers is downloaded when the user uses the web publishing or online ordering wizards.)

References:

1. CCE-33143-9

CIS Controls:

Version 6

7 Email and Web Browser Protections

Email and Web Browser Protections

Version 7

7.4 Maintain and Enforce Network-Based URL Filters

Enforce network-based URL filters that limit a system's ability to connect to websites not approved by the organization. This filtering shall be enforced for each of the organization's systems, whether they are physically at an organization's facilities or not.

18.8.22.1.6 (L2) Ensure 'Turn off Internet File Association service' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting specifies whether to use the Microsoft Web service for finding an application to open a file with an unhandled file association. When a user opens a file that has an extension that is not associated with any applications on the computer, the user is given the choice to select a local application or use the Web service to find an application.

The recommended state for this setting is: Enabled.

Rationale:

This service's purpose is to help find an appropriate application for a file whose type is unrecognized by the computer. That could pose a security risk if the unrecognized file is malicious code, even if the application to be discovered is itself innocent. Enterprise environments tend to be very managed, where the IT staff decides which applications should and should not be installed.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\Explorer:NoInternetOpenWith
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Administrative Templates\System\Internet Communication Management\Internet Communication settings\Turn off Internet File Association service
```

Note: This Group Policy path is provided by the Group Policy template ICM.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

The link and the dialog for using the Web service to open an unhandled file association are removed.

Default Value:

Disabled. (The user is allowed to use the Web service.)

References:

1. CCE-33204-9

CIS Controls:

Version 6

7 Email and Web Browser Protections

Email and Web Browser Protections

Version 7

7.4 Maintain and Enforce Network-Based URL Filters

Enforce network-based URL filters that limit a system's ability to connect to websites not approved by the organization. This filtering shall be enforced for each of the organization's systems, whether they are physically at an organization's facilities or not.

18.8.22.1.7 (L2) Ensure 'Turn off printing over HTTP' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting allows you to disable the client computer's ability to print over HTTP, which allows the computer to print to printers on the intranet as well as the Internet.

The recommended state for this setting is: `Enabled`.

Note: This control affects printing over **both** HTTP and HTTPS.

Rationale:

Information that is transmitted over HTTP through this capability is not protected and can be intercepted by malicious users. For this reason, it is not often used in enterprise managed environments.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows  
NT\Printers:DisableHTTTPrinting
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\System\Internet  
Communication Management\Internet Communication settings\Turn off printing  
over HTTP
```

Note: This Group Policy path is provided by the Group Policy template `ICM.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

The client computer will not be able to print to Internet printers over HTTP or HTTPS.

Note: This policy setting affects the client side of Internet printing only. Regardless of how it is configured, a computer could act as an Internet Printing server and make its shared printers available through HTTP.

Default Value:

Disabled. (Users can choose to print to Internet printers over HTTP.)

References:

1. CCE-33783-2

CIS Controls:

Version 6

13.1 Assess Data To Identify Sensitive Information

Perform an assessment of data to identify sensitive information that requires the application of encryption and integrity controls.

Version 7

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

18.8.22.1.8 (L2) Ensure 'Turn off Registration if URL connection is referring to Microsoft.com' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting specifies whether the Windows Registration Wizard connects to Microsoft.com for online registration.

The recommended state for this setting is: `Enabled`.

Rationale:

Users in an enterprise managed environment should not be registering their own copies of Windows, providing their own PII in the process.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\Registration Wizard  
Control\NoRegistration
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\System\Internet  
Communication Management\Internet Communication settings\Turn off  
Registration if URL connection is referring to Microsoft.com
```

Note: This Group Policy path is provided by the Group Policy template `ICM.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Users are blocked from connecting to Microsoft.com for online registration and they cannot register their copy of Windows online.

Default Value:

Disabled. (Users can connect to Microsoft.com to complete the online Windows Registration.)

References:

1. CCE-33216-3

CIS Controls:

Version 7

7.4 Maintain and Enforce Network-Based URL Filters

Enforce network-based URL filters that limit a system's ability to connect to websites not approved by the organization. This filtering shall be enforced for each of the organization's systems, whether they are physically at an organization's facilities or not.

18.8.22.1.9 (L2) Ensure 'Turn off Search Companion content file updates' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting specifies whether Search Companion should automatically download content updates during local and Internet searches.

The recommended state for this setting is: `Enabled`.

Rationale:

There is a small risk that users will unknowingly reveal sensitive information because of the topics they are searching for. This risk is very low because even if this setting is enabled users still must submit search queries to the desired search engine in order to perform searches.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\SearchCompanion:DisableContentFileUpdates
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\System\Internet Communication Management\Internet Communication settings\Turn off Search Companion content file updates
```

Note: This Group Policy path is provided by the Group Policy template `ICM.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Search Companion does not download content updates during searches.

Note: Internet searches will still send the search text and information about the search to Microsoft and the chosen search provider. If you select Classic Search, the Search Companion feature will be unavailable. You can select Classic Search by clicking Start, Search, Change Preferences, and then Change Internet Search Behavior.

Default Value:

Disabled. (Search Companion downloads content updates unless the user is using Classic Search.)

References:

1. CCE-33817-8

CIS Controls:

Version 6

13 Data Protection
Data Protection

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

18.8.22.1.10 (L2) Ensure 'Turn off the "Order Prints" picture task' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting specifies whether the "Order Prints Online" task is available from Picture Tasks in Windows folders.

The Order Prints Online Wizard is used to download a list of providers and allow users to order prints online.

The recommended state for this setting is: Enabled.

Rationale:

In an enterprise managed environment we want to lower the risk of a user unknowingly exposing sensitive data.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\Explorer:NoOnlinePrintsWizard
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Administrative Templates\System\Internet Communication Management\Internet Communication settings\Turn off the "Order Prints" picture task
```

Note: This Group Policy path is provided by the Group Policy template ICM.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

The task "Order Prints Online" is removed from Picture Tasks in File Explorer folders.

Default Value:

Disabled. (The "Order Prints Online" task is displayed in Picture Tasks in File Explorer folders.)

References:

1. CCE-34061-2

CIS Controls:

Version 6

13 Data Protection

Data Protection

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

18.8.22.1.11 (L2) Ensure 'Turn off the "Publish to Web" task for files and folders' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting specifies whether the tasks Publish this file to the Web, Publish this folder to the Web, and Publish the selected items to the Web are available from File and Folder Tasks in Windows folders. The Web Publishing wizard is used to download a list of providers and allow users to publish content to the Web.

The recommended state for this setting is: Enabled.

Rationale:

Users may publish confidential or sensitive information to a public service outside of the control of the organization.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\Explorer:NoPublishingWizard
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Administrative Templates\System\Internet Communication Management\Internet Communication settings\Turn off the "Publish to Web" task for files and folders
```

Note: This Group Policy path is provided by the Group Policy template ICM.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

The "Publish to Web" task is removed from File and Folder tasks in Windows folders.

Default Value:

Disabled. (The "Publish to Web" task is shown in File and Folder tasks in Windows folders.)

References:

1. CCE-33246-0

CIS Controls:

Version 6

13 Data Protection

Data Protection

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

18.8.22.1.12 (L2) Ensure 'Turn off the Windows Messenger Customer Experience Improvement Program' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting specifies whether Windows Messenger can collect anonymous information about how the Windows Messenger software and service is used. Microsoft uses information collected through the Customer Experience Improvement Program to detect software flaws so that they can be corrected more quickly, enabling this setting will reduce the amount of data Microsoft is able to gather for this purpose.

The recommended state for this setting is: Enabled.

Rationale:

Large enterprise managed environments may not want to have information collected by Microsoft from managed client computers.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Messenger\Client:CEIP
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Administrative Templates\System\Internet  
Communication Management\Internet Communication settings\Turn off the Windows  
Messenger Customer Experience Improvement Program
```

Note: This Group Policy path is provided by the Group Policy template ICM.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Windows Messenger will not collect usage information, and the user settings to enable the collection of usage information will not be shown.

Default Value:

Users have the choice to opt-in and allow information to be collected.

References:

1. CCE-33957-2

CIS Controls:

Version 6

13 Data Protection

Data Protection

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

18.8.22.1.13 (L2) Ensure 'Turn off Windows Customer Experience Improvement Program' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting specifies whether the Windows Customer Experience Improvement Program can collect anonymous information about how Windows is used.

Microsoft uses information collected through the Windows Customer Experience Improvement Program to improve features that are most used and to detect flaws so that they can be corrected more quickly. Enabling this setting will reduce the amount of data Microsoft is able to gather for this purpose. The recommended state for this setting is:

Enabled.

Rationale:

Large enterprise managed environments may not want to have information collected by Microsoft from managed client computers.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\SQMClient\Windows:CEIPEnable
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Administrative Templates\System\Internet  
Communication Management\Internet Communication settings\Turn off Windows  
Customer Experience Improvement Program
```

Note: This Group Policy path is provided by the Group Policy template `ICM.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

All users are opted out of the Windows Customer Experience Improvement Program.

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Default Value:

The Administrator can use the Problem Reports and Solutions component in Control Panel to enable Windows Customer Experience Improvement Program for all users.

References:

1. CCE-33255-1

CIS Controls:

Version 6

13 Data Protection

Data Protection

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

18.8.22.1.14 (L2) Ensure 'Turn off Windows Error Reporting' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting controls whether or not errors are reported to Microsoft.

Error Reporting is used to report information about a system or application that has failed or has stopped responding and is used to improve the quality of the product.

The recommended state for this setting is: Enabled.

Rationale:

If a Windows Error occurs in a secure, enterprise managed environment, the error should be reported directly to IT staff for troubleshooting and remediation. There is no benefit to the corporation to report these errors directly to Microsoft, and there is some risk of unknowingly exposing sensitive data as part of the error.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\Windows Error  
Reporting:Disabled  
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\PCHealth\ErrorReporting:DoRepo  
rt
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Administrative Templates\System\Internet  
Communication Management\Internet Communication settings\Turn off Windows  
Error Reporting
```

Note: This Group Policy path is provided by the Group Policy template ICM.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Users are not given the option to report errors to Microsoft.

Default Value:

Disabled. (Errors may be reported to Microsoft via the Internet or to a corporate file share.)

References:

1. CCE-34260-0

CIS Controls:

Version 6

13 Data Protection

Data Protection

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

18.8.23 iSCSI

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `iSCSI.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.24 KDC

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `KDC.admx/adml` that is included with the Microsoft Windows Server 2008 (non-R2) Administrative Templates (or newer).

18.8.25 Kerberos

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Kerberos.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.26 Kernel DMA Protection

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DmaGuard.admx/adml` that is included with the Microsoft Windows 10 Release 1809 and Server 2019 Administrative Templates (or newer).

18.8.27 Locale Services

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Globalization.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.28 Logon

This section contains recommendations related to the logon process and lock screen.

This Group Policy section is provided by the Group Policy template `Logon.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.28.1 (L1) Ensure 'Always use classic logon' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting forces the user to log on to the computer using the classic logon screen. By default, a workgroup is set to use the simple logon screen. This setting only works when the computer is not on a domain.

The recommended state for this setting is: `Enabled`.

Rationale:

Explicitly requiring a user to enter their username and password is ideal and a requirement when utilizing the classic logon method. This setting is primarily important because it does not permit the use of a simple logon screen with user accounts presented.

Note: Systems joined to a domain typically are not impacted by this recommendation as username, password, and domain are required for system access. However, this setting is important for standalone systems.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System:  
LogonType
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

Computer Configuration\Administrative Templates\System\Logon\Always use classic logon

Note: This Group Policy path is provided by the Group Policy template `Logon.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

The classic logon screen is always presented to the user at logon, rather than the simple logon screen.

Default Value:

Disabled. (The simple logon screen is presented when in workgroup mode.)

References:

1. CCE-33513-3

CIS Controls:

Version 6

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.11 Lock Workstation Sessions After Inactivity

Automatically lock workstation sessions after a standard period of inactivity.

18.8.29 Mitigation Options

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `GroupPolicy.admx/adml` that is included with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates (or newer).

18.8.30 Net Logon

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Netlogon.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.31 OS Policies

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `OSPolicy.admx/adml` that is included with the Microsoft Windows 10 Release 1709 Administrative Templates (or newer).

18.8.32 Performance Control Panel

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `PerfCenterCPL.admx/adml` that is only included with the Microsoft Windows Vista through the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

18.8.33 PIN Complexity

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Passport.admx/adml` that is included with the Microsoft Windows 10 Release 1703 Administrative Templates (or newer).

18.8.34 Power Management

This section contains recommendations for Power Management settings.

This Group Policy section is provided by the Group Policy template `Power.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.34.1 Button Settings

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Power.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.34.2 Energy Saver Settings

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Power.admx/adml` that is included with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates (or newer).

18.8.34.3 Hard Disk Settings

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Power.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.34.4 Notification Settings

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Power.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.34.5 Power Throttling Settings

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Power.admx/adml` that is included with the Microsoft Windows 10 Release 1709 Administrative Templates (or newer).

18.8.34.6 Sleep Settings

This section contains recommendations related to Power Management Sleep mode.

This Group Policy section is provided by the Group Policy template `Power.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.34.6.1 (BL) Ensure 'Allow standby states (S1-S3) when sleeping (on battery)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

Dictates whether or not Windows is allowed to use standby states when sleeping the computer.

The recommended state for this setting is: `Disabled`.

Rationale:

System sleep states (S1-S3) keep power to the RAM which may contain secrets, such as the BitLocker volume encryption key. An attacker finding a computer in sleep states (S1-S3) could directly attack the memory of the computer and gain access to the secrets through techniques such as RAM reminiscence and direct memory access (DMA).

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Power\PowerSettings\abfc2519-3608-4c2a-94ea-171b0ed546ab:DCSettingIndex
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\System\Power Management\Sleep Settings\Allow standby states (S1-S3) when sleeping (on battery)
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `Power.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Users will not be able to use Sleep (S3) while on battery, which resumes faster than Hibernation (S4).)

References:

1. CCE-33169-4

CIS Controls:

Version 6

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.8.34.6.2 (BL) Ensure 'Allow standby states (S1-S3) when sleeping (plugged in)' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

Dictates whether or not Windows is allowed to use standby states when sleeping the computer.

The recommended state for this setting is: `Disabled`.

Rationale:

System sleep states (S1-S3) keep power to the RAM which may contain secrets, such as the BitLocker volume encryption key. An attacker finding a computer in sleep states (S1-S3) could directly attack the memory of the computer and gain access to the secrets through techniques such as RAM reminisce and direct memory access (DMA).

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Power\PowerSettings\abfc2519-3608-4c2a-94ea-171b0ed546ab:ACSettingIndex
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Administrative Templates\System\Power Management\Sleep Settings\Allow standby states (S1-S3) when sleeping (plugged in)
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `Power.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Users will not be able to use Sleep (S3) while plugged in, which resumes faster than Hibernation (S4).)

References:

1. CCE-34130-5

CIS Controls:

Version 6

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.8.34.6.3 (L1) Ensure 'Require a password when a computer wakes (on battery)' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Specifies whether or not the user is prompted for a password when the system resumes from sleep.

The recommended state for this setting is: `Enabled`.

Rationale:

Enabling this setting ensures that anyone who wakes an unattended computer from sleep state will have to provide logon credentials before they can access the system.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Power\PowerSettings\0e796bdb-100d-47d6-a2d5-f7d2daa51f51:DCSettingIndex
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\System\Power Management\Sleep Settings\Require a password when a computer wakes (on battery)
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `Power.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

Enabled. (The user is prompted for a password when the system resumes from sleep while on battery.)

References:

1. CCE-33782-4

CIS Controls:

Version 6

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.11 Lock Workstation Sessions After Inactivity

Automatically lock workstation sessions after a standard period of inactivity.

18.8.34.6.4 (L1) Ensure 'Require a password when a computer wakes (plugged in)' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Specifies whether or not the user is prompted for a password when the system resumes from sleep.

The recommended state for this setting is: `Enabled`.

Rationale:

Enabling this setting ensures that anyone who wakes an unattended computer from sleep state will have to provide logon credentials before they can access the system.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Power\PowerSettings\0e796bdb-100d-47d6-a2d5-f7d2daa51f51:ACSettingIndex
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\System\Power Management\Sleep Settings\Require a password when a computer wakes (plugged in)
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `Power.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

Enabled. (The user is prompted for a password when the system resumes from sleep while plugged in.)

References:

1. CCE-35462-1

CIS Controls:

Version 6

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.11 Lock Workstation Sessions After Inactivity

Automatically lock workstation sessions after a standard period of inactivity.

18.8.35 Recovery

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `ReAgent.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.8.36 Remote Assistance

This section contains recommendations related to Remote Assistance.

This Group Policy section is provided by the Group Policy template `RemoteAssistance.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.36.1 (L1) Ensure 'Configure Offer Remote Assistance' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to turn on or turn off Offer (Unsolicited) Remote Assistance on this computer.

Help desk and support personnel will not be able to proactively offer assistance, although they can still respond to user assistance requests.

The recommended state for this setting is: `Disabled`.

Rationale:

A user might be tricked and accept an unsolicited Remote Assistance offer from a malicious user.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal  
Services:fAllowUnsolicited
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

Computer Configuration\Policies\Administrative Templates\System\Remote Assistance\Configure Offer Remote Assistance

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `RemoteAssistance.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Users on this computer cannot get help from their corporate technical support staff using Offer (Unsolicited) Remote Assistance.)

References:

1. CCE-33801-2

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

18.8.36.2 (L1) Ensure 'Configure Solicited Remote Assistance' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to turn on or turn off Solicited (Ask for) Remote Assistance on this computer.

The recommended state for this setting is: `Disabled`.

Rationale:

There is slight risk that a rogue administrator will gain access to another user's desktop session, however, they cannot connect to a user's computer unannounced or control it without permission from the user. When an expert tries to connect, the user can still choose to deny the connection or give the expert view-only privileges. The user must explicitly click the Yes button to allow the expert to remotely control the workstation.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal  
Services:fAllowToGetHelp
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Administrative Templates\System\Remote  
Assistance\Configure Solicited Remote Assistance
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `RemoteAssistance.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

Users on this computer cannot use e-mail or file transfer to ask someone for help. Also, users cannot use instant messaging programs to allow connections to this computer.

Default Value:

Users can turn on or turn off Solicited (Ask for) Remote Assistance themselves in System Properties in Control Panel. Users can also configure Remote Assistance settings.

References:

1. CCE-35331-8

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

18.8.37 Remote Procedure Call

This section contains recommendations related to Remote Procedure Call.

This Group Policy section is provided by the Group Policy template `RPC.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.37.1 (L1) Ensure 'Enable RPC Endpoint Mapper Client Authentication' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls whether RPC clients authenticate with the Endpoint Mapper Service when the call they are making contains authentication information. The Endpoint Mapper Service on computers running Windows NT4 (all service packs) cannot process authentication information supplied in this manner. This policy setting can cause a specific issue with 1-way forest trusts if it is applied to the *trusting* domain DCs (see Microsoft [KB3073942](#)), so we do not recommend applying it to Domain Controllers.

Note: This policy will not in effect until the system is rebooted.

The recommended state for this setting is: `Enabled`.

Rationale:

Anonymous access to RPC services could result in accidental disclosure of information to unauthenticated users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows  
NT\Rpc:EnableAuthEpResolution
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

Computer Configuration\Policies\Administrative Templates\System\Remote Procedure Call\Enable RPC Endpoint Mapper Client Authentication

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `RPC.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

RPC clients will authenticate to the Endpoint Mapper Service for calls that contain authentication information. Clients making such calls will not be able to communicate with the Windows NT4 Server Endpoint Mapper Service.

Default Value:

Disabled. (RPC clients will not authenticate to the Endpoint Mapper Service, but they will be able to communicate with the Windows NT4 Server Endpoint Mapper Service.)

References:

1. CCE-35392-0

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

18.8.37.2 (L1) Ensure 'Restrict Unauthenticated RPC clients' is set to 'Enabled: Authenticated' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls how the RPC server runtime handles unauthenticated RPC clients connecting to RPC servers.

This policy setting impacts all RPC applications. In a domain environment this policy setting should be used with caution as it can impact a wide range of functionality including group policy processing itself. Reverting a change to this policy setting can require manual intervention on each affected machine. **This policy setting should never be applied to a Domain Controller.**

A client will be considered an authenticated client if it uses a named pipe to communicate with the server or if it uses RPC Security. RPC Interfaces that have specifically requested to be accessible by unauthenticated clients may be exempt from this restriction, depending on the selected value for this policy setting.

-- "**None**" allows all RPC clients to connect to RPC Servers running on the machine on which the policy setting is applied.

-- "**Authenticated**" allows only authenticated RPC Clients (per the definition above) to connect to RPC Servers running on the machine on which the policy setting is applied. Exemptions are granted to interfaces that have requested them.

-- "**Authenticated without exceptions**" allows only authenticated RPC Clients (per the definition above) to connect to RPC Servers running on the machine on which the policy setting is applied. No exceptions are allowed. **This value has the potential to cause serious problems and is not recommended.**

Note: This policy setting will not be applied until the system is rebooted.

The recommended state for this setting is: `Enabled: Authenticated`.

Rationale:

Unauthenticated RPC communication can create a security vulnerability.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows  
NT\Rpc:RestrictRemoteClients
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled: Authenticated`:

```
Computer Configuration\Policies\Administrative Templates\System\Remote  
Procedure Call\Restrict Unauthenticated RPC clients
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `RPC.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

Enabled: Authenticated. (Only authenticated RPC clients are allowed to connect to RPC servers running on the machine. Exemptions are granted to interfaces that have requested them.)

References:

1. CCE-35391-2

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

18.8.38 Removable Storage Access

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template

`RemovableStorage.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.39 Scripts

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Scripts.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.40 Server Manager

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template

`ServerManager.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.41 Service Control Manager Settings

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `ServiceControlManager.admx/adml` that is included with the Microsoft Windows 10 Release 1903 Administrative Templates (or newer).

18.8.42 Shutdown

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WinInit.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.8.43 Shutdown Options

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Winsrv.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.44 Storage Health

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `StorageHealth.admx/adml` that is included with the Microsoft Windows 10 Release 1709 Administrative Templates (or newer).

18.8.45 Storage Sense

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `StorageSense.admx/adml` that is included with the Microsoft Windows 10 Release 1903 Administrative Templates (or newer).

18.8.46 System Restore

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `SystemRestore.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

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18.8.47 Troubleshooting and Diagnostics

This section contains recommendations related to Troubleshooting and Diagnostics.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.47.1 Application Compatibility Diagnostics

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `pca.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.47.2 Corrupted File Recovery

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `FileRecovery.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.47.3 Disk Diagnostic

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DiskDiagnostic.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.47.4 Fault Tolerant Heap

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `fthsvc.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.8.47.5 Microsoft Support Diagnostic Tool

This section contains recommendations related to the Microsoft Support Diagnostic Tool.

This Group Policy section is provided by the Group Policy template `MSDT.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.47.5.1 (L2) Ensure 'Microsoft Support Diagnostic Tool: Turn on MSDT interactive communication with support provider' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting configures Microsoft Support Diagnostic Tool (MSDT) interactive communication with the support provider. MSDT gathers diagnostic data for analysis by support professionals.

The recommended state for this setting is: `Disabled`.

Rationale:

Due to privacy concerns, data should never be sent to any 3rd party since this data could contain sensitive information.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\ScriptedDiagnosticsProvider\Policy:DisableQueryRemoteServer
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative  
Templates\System\Troubleshooting and Diagnostics\Microsoft Support Diagnostic  
Tool\Microsoft Support Diagnostic Tool: Turn on MSDT interactive  
communication with support provider
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template MSDT.admx/adml that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

MSDT cannot run in support mode, and no data can be collected or sent to the support provider.

Default Value:

Enabled. (Users can use MSDT to collect and send diagnostic data to a support professional to resolve a problem. By default, the support provider is set to Microsoft Corporation.)

References:

1. CCE-34972-0

CIS Controls:

Version 6

13 Data Protection

Data Protection

Version 7

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

18.8.47.6 MSI Corrupted File Recovery

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Msi-FileRecovery.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.8.47.7 Scheduled Maintenance

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `sdiagschd.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.8.47.8 Scripted Diagnostics

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `sdiageng.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.8.47.9 Windows Boot Performance Diagnostics

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `PerformanceDiagnostics.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.47.10 Windows Memory Leak Diagnosis

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `LeakDiagnostic.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

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18.8.47.11 Windows Performance PerfTrack

This section contains recommendations related to Windows Performance PerfTrack.

This Group Policy section is provided by the Group Policy template

PerformancePerftrack.admx/adml that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.8.47.11.1 (L2) Ensure 'Enable/Disable PerfTrack' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting specifies whether to enable or disable tracking of responsiveness events.

The recommended state for this setting is: Disabled.

Rationale:

When enabled the aggregated data of a given event will be transmitted to Microsoft. The option exists to restrict this feature for a specific user, set the consent level, and designate specific programs for which error reports could be sent. However, centrally restricting the ability to execute PerfTrack to limit the potential for unauthorized or undesired usage, data leakage, or unintentional communications is highly recommended.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WDI\{9c5a40da-b965-4fc3-8781-88dd50a6299d}:ScenarioExecutionEnabled
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative  
Templates\System\Troubleshooting and Diagnostics\Windows Performance  
PerfTrack\Enable/Disable PerfTrack
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template PerformancePerftrack.admx/adml that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

Responsiveness events are not processed.

Default Value:

Enabled. (Responsiveness events are processed and aggregated. The aggregated data will be transmitted to Microsoft through SQM.)

References:

1. CCE-33662-8

CIS Controls:

Version 6

13 Data Protection
Data Protection

Version 7

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

18.8.48 Trusted Platform Module Services

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `TPM.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.49 User Profiles

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `UserProfiles.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.50 Windows File Protection

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsFileProtection.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.51 Windows HotStart

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `HotStart.admx/adml` that is only included with the Microsoft Windows Vista through the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

18.8.52 Windows Time Service

This section contains recommendations related to the Windows Time Service.

This Group Policy section is provided by the Group Policy template `W32Time.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.52.1 Time Providers

This section contains recommendations related to Time Providers.

This Group Policy section is provided by the Group Policy template `W32Time.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.8.52.1.1 (L2) Ensure 'Enable Windows NTP Client' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting specifies whether the Windows NTP Client is enabled. Enabling the Windows NTP Client allows your computer to synchronize its computer clock with other NTP servers. You might want to disable this service if you decide to use a third-party time provider.

The recommended state for this setting is: `Enabled`.

Rationale:

A reliable and accurate account of time is important for a number of services and security requirements, including but not limited to distributed applications, authentication services, multi-user databases and logging services. The use of an NTP client (with secure operation) establishes functional accuracy and is a focal point when reviewing security relevant events.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\W32Time\TimeProviders\NtpClient:Enabled
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\System\Windows Time Service\Time Providers\Enable Windows NTP Client
```

Note: This Group Policy path is provided by the Group Policy template `W32Time.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

You can set the local computer clock to synchronize time with NTP servers.

Default Value:

Disabled. (The local computer clock does not synchronize time with NTP servers.)

References:

1. CCE-33661-0

CIS Controls:

Version 6

6.1 Use At Least Two Synchronized Time Sources For All Servers And Network Equipment

Include at least two synchronized time sources from which all servers and network equipment retrieve time information on a regular basis so that timestamps in logs are consistent.

Version 7

6.1 Utilize Three Synchronized Time Sources

Use at least three synchronized time sources from which all servers and network devices retrieve time information on a regular basis so that timestamps in logs are consistent.

18.8.52.1.2 (L2) Ensure 'Enable Windows NTP Server' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting allows you to specify whether the Windows NTP Server is enabled.

The recommended state for this setting is: Disabled.

Rationale:

The configuration of proper time synchronization is critically important in an enterprise managed environment both due to the sensitivity of Kerberos authentication timestamps and also to ensure accurate security logging.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\W32Time\TimeProviders\NtpServer:Enabled
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\System\Windows Time Service\Time Providers\Enable Windows NTP Server
```

Note: This Group Policy path is provided by the Group Policy template `W32Time.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (The computer cannot service NTP requests from other computers.)

References:

1. CCE-35135-3

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

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18.9 Windows Components

This section contains recommendations for Windows Component settings.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.1 Active Directory Federation Services

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `adfs.admx/adml` that is only included with the Microsoft Windows Vista through the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

18.9.2 ActiveX Installer Service

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `ActiveXInstallService.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.3 Add features to Windows 8 / 8.1 / 10 (formerly Windows Anytime Upgrade)

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsAnytimeUpgrade.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Note: This section was initially named *Windows Anytime Upgrade* but was renamed by Microsoft to *Add features to Windows x* starting with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

18.9.4 App Package Deployment

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `AppxPackageManager.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.9.5 App Privacy

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `AppPrivacy.admx/adml` that is included with the Microsoft Windows 10 Release 1511 Administrative Templates (or newer).

18.9.6 App runtime

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `AppXRuntime.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.9.7 Application Compatibility

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `AppCompat.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.8 AutoPlay Policies

This section contains recommendations for AutoPlay policies.

This Group Policy section is provided by the Group Policy template `AutoPlay.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.8.1 (L1) Ensure 'Disallow Autoplay for non-volume devices' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting disallows AutoPlay for MTP devices like cameras or phones.

The recommended state for this setting is: `Enabled`.

Rationale:

An attacker could use this feature to launch a program to damage a client computer or data on the computer.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\Explorer:NoAutoplayfor  
nonVolume
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\AutoPlay Policies\Disallow Autoplay for non-volume devices
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `AutoPlay.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

AutoPlay will not be allowed for MTP devices like cameras or phones.

Default Value:

Disabled. (AutoPlay is enabled for non-volume devices.)

References:

1. CCE-35289-8

CIS Controls:

Version 6

8.3 Limit Use Of External Devices (i.e. USB)

Limit use of external devices to those with an approved, documented business need. Monitor for use and attempted use of external devices. Configure laptops, workstations, and servers so that they will not auto-run content from removable media, like USB tokens (i.e., "thumb drives"), USB hard drives, CDs/DVDs, FireWire devices, external serial advanced technology attachment devices, and mounted network shares. Configure systems so that they automatically conduct an anti-malware scan of removable media when inserted.

Version 7

8.4 Configure Anti-Malware Scanning of Removable Devices

Configure devices so that they automatically conduct an anti-malware scan of removable media when inserted or connected.

8.5 Configure Devices Not To Auto-run Content

Configure devices to not auto-run content from removable media.

18.9.8.2 (L1) Ensure 'Set the default behavior for AutoRun' is set to 'Enabled: Do not execute any autorun commands' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting sets the default behavior for Autorun commands. Autorun commands are generally stored in `autorun.inf` files. They often launch the installation program or other routines.

The recommended state for this setting is: `Enabled: Do not execute any autorun commands`.

Rationale:

Prior to Windows Vista, when media containing an autorun command is inserted, the system will automatically execute the program without user intervention. This creates a major security concern as code may be executed without user's knowledge. The default behavior starting with Windows Vista is to prompt the user whether autorun command is to be run. The autorun command is represented as a handler in the Autoplay dialog.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\Explorer:NoAutorun
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled: Do not execute any autorun commands`:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\AutoPlay Policies\Set the default behavior for AutoRun
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `AutoPlay.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

AutoRun commands will be completely disabled.

Default Value:

Disabled. (Windows will prompt the user whether autorun command is to be run.)

References:

1. CCE-34771-6

CIS Controls:

Version 6

8.3 Limit Use Of External Devices (i.e. USB)

Limit use of external devices to those with an approved, documented business need. Monitor for use and attempted use of external devices. Configure laptops, workstations, and servers so that they will not auto-run content from removable media, like USB tokens (i.e., "thumb drives"), USB hard drives, CDs/DVDs, FireWire devices, external serial advanced technology attachment devices, and mounted network shares. Configure systems so that they automatically conduct an anti-malware scan of removable media when inserted.

Version 7

8.4 Configure Anti-Malware Scanning of Removable Devices

Configure devices so that they automatically conduct an anti-malware scan of removable media when inserted or connected.

8.5 Configure Devices Not To Auto-run Content

Configure devices to not auto-run content from removable media.

18.9.8.3 (L1) Ensure 'Turn off Autoplay' is set to 'Enabled: All drives' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Autoplay starts to read from a drive as soon as you insert media in the drive, which causes the setup file for programs or audio media to start immediately. An attacker could use this feature to launch a program to damage the computer or data on the computer. Autoplay is disabled by default on some removable drive types, such as floppy disk and network drives, but not on CD-ROM drives.

Note: You cannot use this policy setting to enable Autoplay on computer drives in which it is disabled by default, such as floppy disk and network drives.

The recommended state for this setting is: Enabled: All drives.

Rationale:

An attacker could use this feature to launch a program to damage a client computer or data on the computer.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\Explorer:NoDriveTypeAutoRun
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled: All drives:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\AutoPlay Policies\Turn off Autoplay
```

Note: This Group Policy path is provided by the Group Policy template `AutoPlay.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Autoplay will be disabled - users will have to manually launch setup or installation programs that are provided on removable media.

Default Value:

Disabled. (Autoplay is enabled.)

References:

1. CCE-33791-5

CIS Controls:

Version 6

8.3 Limit Use Of External Devices (i.e. USB)

Limit use of external devices to those with an approved, documented business need. Monitor for use and attempted use of external devices. Configure laptops, workstations, and servers so that they will not auto-run content from removable media, like USB tokens (i.e., "thumb drives"), USB hard drives, CDs/DVDs, FireWire devices, external serial advanced technology attachment devices, and mounted network shares. Configure systems so that they automatically conduct an anti-malware scan of removable media when inserted.

Version 7

8.4 Configure Anti-Malware Scanning of Removable Devices

Configure devices so that they automatically conduct an anti-malware scan of removable media when inserted or connected.

8.5 Configure Devices Not To Auto-run Content

Configure devices to not auto-run content from removable media.

18.9.9 Backup

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `UserDataBackup.admx/adml` that is only included with the Microsoft Windows Vista through the Windows 10 Release 1511 Administrative Templates (except for the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates).

18.9.10 Biometrics

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Biometrics.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

ARCHIVE

18.9.11 BitLocker Drive Encryption

This section contains recommendations for configuring BitLocker.

This Group Policy section is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.11.1 Fixed Data Drives

This section contains recommendations for configuring Fixed Data Drives in BitLocker.

This Group Policy section is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.9.11.1.1 (BL) Ensure 'Allow access to BitLocker-protected fixed data drives from earlier versions of Windows' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting configures whether or not fixed data drives formatted with the FAT file system can be unlocked and viewed on computers running Windows Server 2008 (non-R2), Windows Vista, Windows XP with Service Pack 3 (SP3), or Windows XP with Service Pack 2 (SP2) operating systems.

Note: This policy setting does not apply to drives that are formatted with the NTFS file system.

The recommended state for this setting is: `Disabled`.

Rationale:

By default BitLocker virtualizes FAT formatted drives to permit access via the BitLocker To Go Reader on previous versions of Windows. Additionally the BitLocker To Go Reader application is applied to the unencrypted portion of the drive.

The BitLocker To Go Reader application, like any other application, is subject to spoofing and could be a mechanism to propagate malware.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:FDVDDiscoveryVolumeType
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Fixed Data Drives\Allow access to  
BitLocker-protected fixed data drives from earlier versions of Windows
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

Fixed data drives formatted with the FAT file system that are BitLocker-protected cannot be unlocked on computers running Windows Server 2008 (non-R2), Windows Vista, Windows XP with SP3 or Windows XP with SP2. `BitLockerToGo.exe` will not be installed.

Default Value:

Enabled. (Fixed data drives formatted with the FAT file system can be unlocked on computers running Windows Server 2008 (non-R2), Windows Vista, Windows XP with SP3 or Windows XP with SP2, and their content can be viewed. These operating systems will only have read-only access to BitLocker-protected drives.)

References:

1. CCE-34253-5

CIS Controls:

Version 6

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

ARCHIVE

18.9.11.1.2 (BL) Ensure 'Choose how BitLocker-protected fixed drives can be recovered' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected fixed data drives are recovered in the absence of the required credentials. This policy setting is applied when you turn on BitLocker.

The "Allow data recovery agent" check box is used to specify whether a Data Recovery Agent can be used with BitLocker-protected fixed data drives. Before a Data Recovery Agent can be used it must be added from the Public Key Policies item in either the Group Policy Management Console or the Local Group Policy Editor. Consult the BitLocker Drive Encryption Deployment Guide on Microsoft TechNet for more information about adding Data Recovery Agents.

In "Configure user storage of BitLocker recovery information" select whether users are allowed, required, or not allowed to generate a 48-digit recovery password or a 256-bit recovery key.

Select "Omit recovery options from the BitLocker setup wizard" to prevent users from specifying recovery options when they enable BitLocker on a drive. This means that you will not be able to specify which recovery option to use when you enable BitLocker, instead BitLocker recovery options for the drive are determined by the policy setting.

In "Save BitLocker recovery information to Active Directory Domain Services" choose which BitLocker recovery information to store in AD DS for fixed data drives. If you select "Backup recovery password and key package", both the BitLocker recovery password and key package are stored in AD DS. Storing the key package supports recovering data from a drive that has been physically corrupted. If you select "Backup recovery password only", only the recovery password is stored in AD DS.

Select the "Do not enable BitLocker until recovery information is stored in AD DS for fixed data drives" check box if you want to prevent users from enabling BitLocker unless the

computer is connected to the domain and the backup of BitLocker recovery information to AD DS succeeds.

Note: If the "Do not enable BitLocker until recovery information is stored in AD DS for fixed data drives" check box is selected, a recovery password is automatically generated.

The recommended state for this setting is: `Enabled`.

Rationale:

Administrators should always have a safe, secure way to access encrypted data in the event users cannot access their data.

Additionally, as with any authentication method, a drive can be compromised by guessing or finding the authentication information used to access the drive.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:FDVRecovery
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Fixed Data Drives\Choose how BitLocker-  
protected fixed drives can be recovered
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

To use BitLocker, a Data Recovery Agent will need to be configured for fixed drives. To recover a drive will require highly-controlled access to the Data Recovery Agent private key.

Default Value:

Disabled. (The default recovery options are supported for BitLocker recovery - a DRA is allowed, and the recovery options can be specified by the user including the recovery password and recovery key, and recovery information is not backed up to AD DS.)

References:

1. CCE-33072-0

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.1.3 (BL) Ensure 'Choose how BitLocker-protected fixed drives can be recovered: Allow data recovery agent' is set to 'Enabled: True' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected fixed data drives are recovered in the absence of the required credentials. This policy setting is applied when you turn on BitLocker.

The "Allow data recovery agent" check box is used to specify whether a Data Recovery Agent can be used with BitLocker-protected fixed data drives. Before a Data Recovery Agent can be used it must be added from the Public Key Policies item in either the Group Policy Management Console or the Local Group Policy Editor. Consult the BitLocker Drive Encryption Deployment Guide on Microsoft TechNet for more information about adding Data Recovery Agents.

The recommended state for this setting is: `Enabled: True` (checked).

Rationale:

Administrators should always have a safe, secure way to access encrypted data in the event users cannot access their data.

Additionally, as with any authentication method, a drive can be compromised by guessing or finding the authentication information used to access the drive.

To use BitLocker, a Data Recovery Agent will need to be configured for fixed drives. To recover a drive will require highly-controlled access to the Data Recovery Agent private key.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:FDVManageDRA
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled: True (checked)`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Fixed Data Drives\Choose how BitLocker-  
protected fixed drives can be recovered: Allow data recovery agent
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

Enabled: True. (A DRA is allowed.)

References:

1. CCE-33072-0

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.1.4 (BL) Ensure 'Choose how BitLocker-protected fixed drives can be recovered: Recovery Password' is set to 'Enabled: Allow 48-digit recovery password' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected fixed data drives are recovered in the absence of the required credentials. This policy setting is applied when you turn on BitLocker.

In "Configure user storage of BitLocker recovery information" select whether users are allowed, required, or not allowed to generate a 48-digit recovery password or a 256-bit recovery key.

The recommended state for this setting is: `Enabled: Allow 48-digit recovery password`.

Rationale:

Administrators should always have a safe, secure way to access encrypted data in the event users cannot access their data.

Additionally, as with any authentication method, a drive can be compromised by guessing or finding the authentication information used to access the drive.

To use BitLocker, a Data Recovery Agent will need to be configured for fixed drives. To recover a drive will require highly-controlled access to the Data Recovery Agent private key.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:FDVRecoveryPassword

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

Allow 48-digit recovery password:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Fixed Data Drives\Choose how BitLocker-  
protected fixed drives can be recovered: Recovery Password
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

A 48-digit recovery password will be permitted for fixed drives.

Default Value:

Recovery options are specified by the user.

References:

1. CCE-33072-0

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.1.5 (BL) Ensure 'Choose how BitLocker-protected fixed drives can be recovered: Recovery Key' is set to 'Enabled: Allow 256-bit recovery key' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected fixed data drives are recovered in the absence of the required credentials. This policy setting is applied when you turn on BitLocker.

In "Configure user storage of BitLocker recovery information" select whether users are allowed, required, or not allowed to generate a 48-digit recovery password or a 256-bit recovery key.

The recommended state for this setting is: `Enabled: Allow 256-bit recovery key`.

Rationale:

Administrators should always have a safe, secure way to access encrypted data in the event users cannot access their data.

Additionally, as with any authentication method, a drive can be compromised by guessing or finding the authentication information used to access the drive.

To use BitLocker, a Data Recovery Agent will need to be configured for fixed drives. To recover a drive will require highly-controlled access to the Data Recovery Agent private key.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:FDVRecoveryKey

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

Allow 256-bit recovery key:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Fixed Data Drives\Choose how BitLocker-  
protected fixed drives can be recovered: Recovery Key
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

A 256-bit recovery key will be permitted for fixed drives.

Default Value:

Recovery options are specified by the user.

References:

1. CCE-33072-0

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.1.6 (BL) Ensure 'Choose how BitLocker-protected fixed drives can be recovered: Omit recovery options from the BitLocker setup wizard' is set to 'Enabled: True' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected fixed data drives are recovered in the absence of the required credentials. This policy setting is applied when you turn on BitLocker.

Select "Omit recovery options from the BitLocker setup wizard" to prevent users from specifying recovery options when they enable BitLocker on a drive. This means that you will not be able to specify which recovery option to use when you enable BitLocker, instead BitLocker recovery options for the drive are determined by the policy setting.

The recommended state for this setting is: `Enabled: True` (checked).

Rationale:

Administrators should always have a safe, secure way to access encrypted data in the event users cannot access their data.

Additionally, as with any authentication method, a drive can be compromised by guessing or finding the authentication information used to access the drive.

To use BitLocker, a Data Recovery Agent will need to be configured for fixed drives. To recover a drive will require highly-controlled access to the Data Recovery Agent private key.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:FDVHideRecoveryPage
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled: True` (checked):

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Fixed Data Drives\Choose how BitLocker-  
protected fixed drives can be recovered: Omit recovery options from the  
BitLocker setup wizard
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

The ability to manually select recovery options for fixed drives will not be presented to the user in the BitLocker setup wizard.

Default Value:

Recovery options for fixed drives are selectable by the user in the BitLocker setup wizard.

References:

1. CCE-33072-0

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.1.7 (BL) Ensure 'Choose how BitLocker-protected fixed drives can be recovered: Save BitLocker recovery information to AD DS for fixed data drives' is set to 'Enabled: False' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected fixed data drives are recovered in the absence of the required credentials. This policy setting is applied when you turn on BitLocker.

In "Save BitLocker recovery information to Active Directory Domain Services" choose which BitLocker recovery information to store in AD DS for fixed data drives. If you select "Backup recovery password and key package", both the BitLocker recovery password and key package are stored in AD DS. Storing the key package supports recovering data from a drive that has been physically corrupted. If you select "Backup recovery password only", only the recovery password is stored in AD DS.

The recommended state for this setting is: `Enabled: False` (unchecked).

Rationale:

Administrators should always have a safe, secure way to access encrypted data in the event users cannot access their data.

Additionally, as with any authentication method, a drive can be compromised by guessing or finding the authentication information used to access the drive.

To use BitLocker, a Data Recovery Agent will need to be configured for fixed drives. To recover a drive will require highly-controlled access to the Data Recovery Agent private key.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:FDVActiveDirectoryBackup
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled: False` (unchecked):

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Fixed Data Drives\Choose how BitLocker-  
protected fixed drives can be recovered: Save BitLocker recovery information  
to AD DS for fixed data drives
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

BitLocker recovery information for fixed drives is not backed up to AD DS.

References:

1. CCE-33072-0

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.1.8 (BL) Ensure 'Choose how BitLocker-protected fixed drives can be recovered: Configure storage of BitLocker recovery information to AD DS' is set to 'Enabled: Backup recovery passwords and key packages' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected fixed data drives are recovered in the absence of the required credentials. This policy setting is applied when you turn on BitLocker.

In "Save BitLocker recovery information to Active Directory Domain Services" choose which BitLocker recovery information to store in AD DS for fixed data drives. If you select "Backup recovery password and key package", both the BitLocker recovery password and key package are stored in AD DS. Storing the key package supports recovering data from a drive that has been physically corrupted. If you select "Backup recovery password only", only the recovery password is stored in AD DS.

The recommended state for this setting is: `Enabled: Backup recovery passwords and key packages`.

Rationale:

Administrators should always have a safe, secure way to access encrypted data in the event users cannot access their data.

Additionally, as with any authentication method, a drive can be compromised by guessing or finding the authentication information used to access the drive.

To use BitLocker, a Data Recovery Agent will need to be configured for fixed drives. To recover a drive will require highly-controlled access to the Data Recovery Agent private key.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:FDVActiveDirectoryInfoToStore
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:
Backup recovery passwords and key packages:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\BitLocker Drive Encryption\Fixed Data Drives\Choose how BitLocker-protected fixed drives can be recovered: Configure storage of BitLocker recovery information to AD DS:
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

None - this value is ignored when the checkbox above it (*Save BitLocker recovery information to AD DS for fixed data drives*) is False (unchecked), as is required in Rule 18.9.11.1.7. If that checkbox **is** set to True (checked), both recovery passwords and key packages for fixed drives will be saved to AD DS.

Default Value:

BitLocker recovery information for fixed drives is not backed up to AD DS.

References:

1. CCE-33072-0

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.1.9 (BL) Ensure 'Choose how BitLocker-protected fixed drives can be recovered: Do not enable BitLocker until recovery information is stored to AD DS for fixed data drives' is set to 'Enabled: False' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected fixed data drives are recovered in the absence of the required credentials. This policy setting is applied when you turn on BitLocker.

Select the "Do not enable BitLocker until recovery information is stored in AD DS for fixed data drives" check box if you want to prevent users from enabling BitLocker unless the computer is connected to the domain and the backup of BitLocker recovery information to AD DS succeeds.

Note: If the "Do not enable BitLocker until recovery information is stored in AD DS for fixed data drives" check box is selected, a recovery password is automatically generated.

The recommended state for this setting is: `Enabled: False` (unchecked).

Rationale:

Administrators should always have a safe, secure way to access encrypted data in the event users cannot access their data.

Additionally, as with any authentication method, a drive can be compromised by guessing or finding the authentication information used to access the drive.

To use BitLocker, a Data Recovery Agent will need to be configured for fixed drives. To recover a drive will require highly-controlled access to the Data Recovery Agent private key.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:FDVRequireActiveDirectoryBackup
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled: False (unchecked)**:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\BitLocker Drive Encryption\Fixed Data Drives\Choose how BitLocker-protected fixed drives can be recovered: Do not enable BitLocker until recovery information is stored to AD DS for fixed data drives
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

BitLocker can be enabled on fixed drives without the requirement of storing recovery information to Active Directory first.

References:

1. CCE-33072-0

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.1.10 (BL) Ensure 'Configure use of passwords for fixed data drives' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting specifies whether a password is required to unlock BitLocker-protected fixed data drives.

Note: This setting is enforced when turning on BitLocker, not when unlocking a volume. BitLocker will allow unlocking a drive with any of the protectors available on the drive.

The recommended state for this setting is: `Disabled`.

Rationale:

Using a dictionary-style attack, passwords can be guessed or discovered by repeatedly attempting to unlock a drive. Since this type of BitLocker password does include anti-dictionary attack protections provided by a TPM, for example, there is no mechanism to slow down rapid brute-force attacks against them.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:FDVPassphrase

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Fixed Data Drives\Configure use of  
passwords for fixed data drives
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

The password option will not be available when configuring BitLocker for fixed drives.

Default Value:

Passwords are supported, without complexity requirements and with an 8 character minimum.

References:

1. CCE-33165-2

CIS Controls:

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

18.9.11.1.11 (BL) Ensure 'Configure use of smart cards on fixed data drives' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to specify whether smart cards can be used to authenticate user access to the BitLocker-protected fixed data drives on a computer.

Smart cards can be used to authenticate user access to the drive. You can require smart card authentication by selecting the "Require use of smart cards on fixed data drives" check box.

Note: This setting is enforced when turning on BitLocker, not when unlocking a drive. BitLocker will allow unlocking a drive with any of the protectors available on the drive.

The recommended state for this setting is: `Enabled`.

Rationale:

A drive can be compromised by guessing or finding the authentication information used to access the drive. For example, a password could be guessed, or a drive set to automatically unlock could be lost or stolen with the computer it automatically unlocks with.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:FDVAllowUserCert

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Fixed Data Drives\Configure use of  
smart cards on fixed data drives
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

Enabled. (Users are allowed to use smart cards to authenticate their access to BitLocker-protected fixed data drives.)

References:

1. CCE-33102-5

CIS Controls:

Version 6

16.11 Use Multi-factor Authentication For Accounts Accessing Sensitive Data Or Systems

Require multi-factor authentication for all user accounts that have access to sensitive data or systems. Multi-factor authentication can be achieved using smart cards, certificates, One Time Password (OTP) tokens, or biometrics.

Version 7

16.3 Require Multi-factor Authentication

Require multi-factor authentication for all user accounts, on all systems, whether managed onsite or by a third-party provider.

18.9.11.1.12 (BL) Ensure 'Configure use of smart cards on fixed data drives: Require use of smart cards on fixed data drives' is set to 'Enabled: True' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to specify whether smart cards *must* be used to authenticate user access to the BitLocker-protected fixed data drives on a computer.

Smart cards can be used to authenticate user access to the drive. You can require a smart card authentication by selecting the "Require use of smart cards on fixed data drives" check box.

Note: This setting is enforced when turning on BitLocker, not when unlocking a drive. BitLocker will allow unlocking a drive with any of the protectors available on the drive.

The recommended state for this setting is: `Enabled: True` (checked).

Rationale:

A drive can be compromised by guessing or finding the authentication information used to access the drive. For example, a password could be guessed, or a drive set to automatically unlock could be lost or stolen with the computer it automatically unlocks with.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:FDVEnforceUserCert

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled: True (checked)**:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Fixed Data Drives\Configure use of  
smart cards on fixed data drives: Require use of smart cards on fixed data  
drives
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

Smart cards will be required to authenticate user access to fixed data drives. Use of smart cards requires PKI infrastructure. Users will need to authenticate with the smart card to unlock the fixed data drive every time they restart the computer.

Default Value:

Enabled: False (unchecked). (Users are allowed to use smart cards to authenticate their access to BitLocker-protected fixed data drives, but it is not required.)

References:

1. CCE-33102-5

CIS Controls:

Version 6

16.11 Use Multi-factor Authentication For Accounts Accessing Sensitive Data Or Systems

Require multi-factor authentication for all user accounts that have access to sensitive data or systems. Multi-factor authentication can be achieved using smart cards, certificates, One Time Password (OTP) tokens, or biometrics.

Version 7

16.3 Require Multi-factor Authentication

Require multi-factor authentication for all user accounts, on all systems, whether managed onsite or by a third-party provider.

18.9.11.2 Operating System Drives

This section contains recommendations for configuring Operating System Drives in BitLocker.

This Group Policy section is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.9.11.2.1 (BL) Ensure 'Allow enhanced PINs for startup' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to configure whether or not enhanced startup PINs are used with BitLocker.

Enhanced startup PINs permit the use of characters including uppercase and lowercase letters, symbols, numbers, and spaces. This policy setting is applied when you turn on BitLocker.

The recommended state for this setting is: `Enabled`.

Rationale:

A numeric-only PIN provides less entropy than a PIN that is alpha-numeric. When not using enhanced PIN for startup, BitLocker requires the use of the function keys [F1-F10] for PIN entry since the PIN is entered in the pre-OS environment before localization support is available. This limits each PIN digit to one of ten possibilities. The TPM has an anti-hammering feature that includes a mechanism to exponentially increase the delay for PIN retry attempts; however, an attacker is able to more effectively mount a brute force attack using a domain of 10 digits of the function keys.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:UseEnhancedPin
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Operating System Drives\Allow enhanced  
PINs for startup
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

All new BitLocker startup PINs set will be enhanced PINs.

Note: Not all computers enable full keyboard support in the Pre-OS environment. Some keys may not be available. It is recommended this functionality be tested using the computers in your environment prior to it being deployed.

Default Value:

Disabled. (Enhanced PINs will not be used.)

References:

1. CCE-33100-9

CIS Controls:

Version 6

16.11 Use Multi-factor Authentication For Accounts Accessing Sensitive Data Or Systems

Require multi-factor authentication for all user accounts that have access to sensitive data or systems. Multi-factor authentication can be achieved using smart cards, certificates, One Time Password (OTP) tokens, or biometrics.

Version 7

16.3 Require Multi-factor Authentication

Require multi-factor authentication for all user accounts, on all systems, whether managed onsite or by a third-party provider.

ARCHIVE

18.9.11.2.2 (BL) Ensure 'Choose how BitLocker-protected operating system drives can be recovered' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected operating system drives are recovered in the absence of the required startup key information. This policy setting is applied when you turn on BitLocker.

The "Allow certificate-based data recovery agent" check box is used to specify whether a Data Recovery Agent can be used with BitLocker-protected operating system drives. Before a Data Recovery Agent can be used it must be added from the Public Key Policies item in either the Group Policy Management Console or the Local Group Policy Editor. Consult the BitLocker Drive Encryption Deployment Guide on Microsoft TechNet for more information about adding Data Recovery Agents.

In "Configure user storage of BitLocker recovery information" select whether users are allowed, required, or not allowed to generate a 48-digit recovery password or a 256-bit recovery key.

Select "Omit recovery options from the BitLocker setup wizard" to prevent users from specifying recovery options when they enable BitLocker on a drive. This means that you will not be able to specify which recovery option to use when you enable BitLocker, instead BitLocker recovery options for the drive are determined by the policy setting.

In "Save BitLocker recovery information to Active Directory Domain Services", choose which BitLocker recovery information to store in AD DS for operating system drives. If you select "Backup recovery password and key package", both the BitLocker recovery password and key package are stored in AD DS. Storing the key package supports recovering data from a drive that has been physically corrupted. If you select "Backup recovery password only", only the recovery password is stored in AD DS.

Select the "Do not enable BitLocker until recovery information is stored in AD DS for operating system drives" check box if you want to prevent users from enabling BitLocker

unless the computer is connected to the domain and the backup of BitLocker recovery information to AD DS succeeds.

Note: If the "Do not enable BitLocker until recovery information is stored in AD DS for operating system drives" check box is selected, a recovery password is automatically generated.

The recommended state for this setting is: `Enabled`.

Rationale:

Should a user lose their primary means for accessing an encrypted OS volume, or should the system not pass its boot time integrity checks, the system will go into recovery mode. If the recovery key has not been backed up to Active Directory, the user would need to have saved the recovery key to another location such as a USB flash drive, or have printed the recovery password, and now have access to one of those in order to recovery the system. If the user is unable to produce the recovery key, then the user will be denied access to the encrypted volume and subsequently any data that is stored there.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:OSRecovery
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Operating System Drives\Choose how  
BitLocker-protected operating system drives can be recovered
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

Users will need to be domain connected to turn on BitLocker. This policy is not FIPS complaint.

Default Value:

Disabled. (The default recovery options are supported for BitLocker recovery - a DRA is allowed, and the recovery options can be specified by the user including the recovery password and recovery key, and recovery information is not backed up to AD DS.)

References:

1. CCE-33101-7

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.2.3 (BL) Ensure 'Choose how BitLocker-protected operating system drives can be recovered: Allow data recovery agent' is set to 'Enabled: False' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected operating system drives are recovered in the absence of the required startup key information. This policy setting is applied when you turn on BitLocker.

The "Allow certificate-based data recovery agent" check box is used to specify whether a Data Recovery Agent can be used with BitLocker-protected operating system drives. Before a Data Recovery Agent can be used it must be added from the Public Key Policies item in either the Group Policy Management Console or the Local Group Policy Editor. Consult the BitLocker Drive Encryption Deployment Guide on Microsoft TechNet for more information about adding Data Recovery Agents.

The recommended state for this setting is: `Enabled: False` (unchecked).

Rationale:

Should a user lose their primary means for accessing an encrypted OS volume, or should the system not pass its boot time integrity checks, the system will go into recovery mode. If the recovery key has not been backed up to Active Directory, the user would need to have saved the recovery key to another location such as a USB flash drive, or have printed the recovery password, and now have access to one of those in order to recovery the system. If the user is unable to produce the recovery key, then the user will be denied access to the encrypted volume and subsequently any data that is stored there.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:OSManagedDRA

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled: False (unchecked)`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Operating System Drives\Choose how  
BitLocker-protected operating system drives can be recovered: Allow data  
recovery agent
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

A Data Recovery Agent will not be permitted for the operating system drive. Users will need to be domain connected to turn on BitLocker. This policy is not FIPS compliant.

Default Value:

Enabled: True. (A DRA is allowed.)

References:

1. CCE-33101-7

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.2.4 (BL) Ensure 'Choose how BitLocker-protected operating system drives can be recovered: Recovery Password' is set to 'Enabled: Require 48-digit recovery password' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected operating system drives are recovered in the absence of the required startup key information. This policy setting is applied when you turn on BitLocker.

In "Configure user storage of BitLocker recovery information" select whether users are allowed, required, or not allowed to generate a 48-digit recovery password or a 256-bit recovery key.

The recommended state for this setting is: Enabled: Require 48-digit recovery password.

Rationale:

Should a user lose their primary means for accessing an encrypted OS volume, or should the system not pass its boot time integrity checks, the system will go into recovery mode. If the recovery key has not been backed up to Active Directory, the user would need to have saved the recovery key to another location such as a USB flash drive, or have printed the recovery password, and now have access to one of those in order to recovery the system. If the user is unable to produce the recovery key, then the user will be denied access to the encrypted volume and subsequently any data that is stored there.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:OSRecoveryPassword

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**:

Require 48-digit recovery password:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Operating System Drives\Choose how  
BitLocker-protected operating system drives can be recovered: Recovery  
Password
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

A 48-digit recovery password will be required for the operating system drive. Users will need to be domain connected to turn on BitLocker. This policy is not FIPS compliant.

Default Value:

Recovery options are specified by the user.

References:

1. CCE-33101-7

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.2.5 (BL) Ensure 'Choose how BitLocker-protected operating system drives can be recovered: Recovery Key' is set to 'Enabled: Do not allow 256-bit recovery key' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected operating system drives are recovered in the absence of the required startup key information. This policy setting is applied when you turn on BitLocker.

In "Configure user storage of BitLocker recovery information" select whether users are allowed, required, or not allowed to generate a 48-digit recovery password or a 256-bit recovery key.

The recommended state for this setting is: `Enabled: Do not allow 256-bit recovery key`.

Rationale:

Should a user lose their primary means for accessing an encrypted OS volume, or should the system not pass its boot time integrity checks, the system will go into recovery mode. If the recovery key has not been backed up to Active Directory, the user would need to have saved the recovery key to another location such as a USB flash drive, or have printed the recovery password, and now have access to one of those in order to recovery the system. If the user is unable to produce the recovery key, then the user will be denied access to the encrypted volume and subsequently any data that is stored there.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:OSRecoveryKey

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**:

Do not allow 256-bit recovery key:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Operating System Drives\Choose how  
BitLocker-protected operating system drives can be recovered: Recovery Key
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

A 256-bit recovery key will not be permitted for the operating system drive. Users will need to be domain connected to turn on BitLocker. This policy is not FIPS complaint.

Default Value:

Recovery options are specified by the user.

References:

1. CCE-33101-7

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.2.6 (BL) Ensure 'Choose how BitLocker-protected operating system drives can be recovered: Omit recovery options from the BitLocker setup wizard' is set to 'Enabled: True' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected operating system drives are recovered in the absence of the required startup key information. This policy setting is applied when you turn on BitLocker.

Select "Omit recovery options from the BitLocker setup wizard" to prevent users from specifying recovery options when they enable BitLocker on a drive. This means that you will not be able to specify which recovery option to use when you enable BitLocker, instead BitLocker recovery options for the drive are determined by the policy setting.

The recommended state for this setting is: `Enabled: True` (checked).

Rationale:

Should a user lose their primary means for accessing an encrypted OS volume, or should the system not pass its boot time integrity checks, the system will go into recovery mode. If the recovery key has not been backed up to Active Directory, the user would need to have saved the recovery key to another location such as a USB flash drive, or have printed the recovery password, and now have access to one of those in order to recovery the system. If the user is unable to produce the recovery key, then the user will be denied access to the encrypted volume and subsequently any data that is stored there.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:OSHideRecoveryPage

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled: True (checked)`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Operating System Drives\Choose how  
BitLocker-protected operating system drives can be recovered: Omit recovery  
options from the BitLocker setup wizard
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

The ability to manually select recovery options for the operating drive will not be presented to the user in the BitLocker setup wizard.

Default Value:

Recovery options for the operating system drive are selectable by the user in the BitLocker setup wizard.

References:

1. CCE-33101-7

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.2.7 (BL) Ensure 'Choose how BitLocker-protected operating system drives can be recovered: Save BitLocker recovery information to AD DS for operating system drives' is set to 'Enabled: True' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected operating system drives are recovered in the absence of the required startup key information. This policy setting is applied when you turn on BitLocker.

In "Save BitLocker recovery information to Active Directory Domain Services", choose which BitLocker recovery information to store in AD DS for operating system drives. If you select "Backup recovery password and key package", both the BitLocker recovery password and key package are stored in AD DS. Storing the key package supports recovering data from a drive that has been physically corrupted. If you select "Backup recovery password only", only the recovery password is stored in AD DS.

The recommended state for this setting is: `Enabled: True` (checked).

Rationale:

Should a user lose their primary means for accessing an encrypted OS volume, or should the system not pass its boot time integrity checks, the system will go into recovery mode. If the recovery key has not been backed up to Active Directory, the user would need to have saved the recovery key to another location such as a USB flash drive, or have printed the recovery password, and now have access to one of those in order to recovery the system. If the user is unable to produce the recovery key, then the user will be denied access to the encrypted volume and subsequently any data that is stored there.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:OSActiveDirectoryBackup

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled: True (checked)`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Operating System Drives\Choose how  
BitLocker-protected operating system drives can be recovered: Save BitLocker  
recovery information to AD DS for operating system drives
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

BitLocker recovery information for the operating system drive will be backed up to AD DS. Users will need to be domain connected to turn on BitLocker. This policy is not FIPS complaint.

Default Value:

BitLocker recovery information for the operating system drive is not backed up to AD DS.

References:

1. CCE-33101-7

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.2.8 (BL) Ensure 'Choose how BitLocker-protected operating system drives can be recovered: Configure storage of BitLocker recovery information to AD DS:' is set to 'Enabled: Store recovery passwords and key packages' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected operating system drives are recovered in the absence of the required startup key information. This policy setting is applied when you turn on BitLocker.

In "Save BitLocker recovery information to Active Directory Domain Services", choose which BitLocker recovery information to store in AD DS for operating system drives. If you select "Backup recovery password and key package", both the BitLocker recovery password and key package are stored in AD DS. Storing the key package supports recovering data from a drive that has been physically corrupted. If you select "Backup recovery password only", only the recovery password is stored in AD DS.

The recommended state for this setting is: `Enabled: Store recovery passwords and key packages`.

Rationale:

Should a user lose their primary means for accessing an encrypted OS volume, or should the system not pass its boot time integrity checks, the system will go into recovery mode. If the recovery key has not been backed up to Active Directory, the user would need to have saved the recovery key to another location such as a USB flash drive, or have printed the recovery password, and now have access to one of those in order to recovery the system. If the user is unable to produce the recovery key, then the user will be denied access to the encrypted volume and subsequently any data that is stored there.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:OSActiveDirectoryInfoToStore
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**:
Store recovery passwords and key packages:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Operating System Drives\Choose how  
BitLocker-protected operating system drives can be recovered: Configure  
storage of BitLocker recovery information to AD DS:
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

Both the recovery password and the key package for the operating system drive will be saved to AD DS. Users will need to be domain connected to turn on BitLocker. This policy is not FIPS complaint.

Default Value:

BitLocker recovery information for the operating system drive is not backed up to AD DS.

References:

1. CCE-33101-7

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.2.9 (BL) Ensure 'Choose how BitLocker-protected operating system drives can be recovered: Do not enable BitLocker until recovery information is stored to AD DS for operating system drives' is set to 'Enabled: True' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected operating system drives are recovered in the absence of the required startup key information. This policy setting is applied when you turn on BitLocker.

Select the "Do not enable BitLocker until recovery information is stored in AD DS for operating system drives" check box if you want to prevent users from enabling BitLocker unless the computer is connected to the domain and the backup of BitLocker recovery information to AD DS succeeds.

Note: If the "Do not enable BitLocker until recovery information is stored in AD DS for operating system drives" check box is selected, a recovery password is automatically generated.

The recommended state for this setting is: `Enabled: True` (checked).

Rationale:

Should a user lose their primary means for accessing an encrypted OS volume, or should the system not pass its boot time integrity checks, the system will go into recovery mode. If the recovery key has not been backed up to Active Directory, the user would need to have saved the recovery key to another location such as a USB flash drive, or have printed the recovery password, and now have access to one of those in order to recovery the system. If the user is unable to produce the recovery key, then the user will be denied access to the encrypted volume and subsequently any data that is stored there.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:OSRequireActiveDirectoryBackup
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled: True (checked)`:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\BitLocker Drive Encryption\Operating System Drives\Choose how BitLocker-protected operating system drives can be recovered: Do not enable BitLocker until recovery information is stored to AD DS for operating system drives
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

Users will need to be domain connected and the back up of BitLocker recovery information for the operating system drive must succeed in order to turn on BitLocker. This policy is not FIPS complaint.

Default Value:

BitLocker can be enabled on the operating system drive without the requirement of storing recovery information to Active Directory first.

References:

1. CCE-33101-7

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.2.10 (BL) Ensure 'Configure minimum PIN length for startup' is set to 'Enabled: 7 or more characters' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to configure a minimum length for a Trusted Platform Module (TPM) startup PIN. This policy setting is applied when you turn on BitLocker. The startup PIN must have a minimum length of 4 digits and can have a maximum length of 20 digits.

The recommended state for this setting is: `Enabled: 7 or more characters`.

Rationale:

BitLocker requires the use of the function keys [F1-F10] for PIN entry since the PIN is entered in the pre-OS environment before localization support is available. This limits each PIN digit to one of ten possibilities. The TPM has an anti-hammering feature that includes a mechanism to exponentially increase the delay for PIN retry attempts; however, using a PIN that is short in length improves an attacker's chances of guessing the correct PIN.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:MinimumPIN

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**:
7 or more characters:

Computer Configuration\Policies\Administrative Templates\Windows Components\BitLocker Drive Encryption\Operating System Drives\Configure minimum PIN length for startup

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

The minimum length of the startup PIN will be 7 or more digits (up to a maximum of 20 digits), as specified.

Default Value:

Disabled. (Users can configure a startup PIN of any length between 4 and 20 digits.)

References:

1. CCE-33073-8

CIS Controls:

Version 6

2 Inventory of Authorized and Unauthorized Software
Inventory of Authorized and Unauthorized Software

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

18.9.11.2.11 (BL) Ensure 'Require additional authentication at startup' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to configure whether BitLocker requires additional authentication each time the computer starts and whether you are using BitLocker with or without a Trusted Platform Module (TPM). This policy setting is applied when you turn on BitLocker.

Note: Only one of the additional authentication options can be required at startup, otherwise a policy error occurs.

If you want to use BitLocker on a computer without a TPM, select the "Allow BitLocker without a compatible TPM" check box. In this mode a USB drive is required for start-up and the key information used to encrypt the drive is stored on the USB drive, creating a USB key. When the USB key is inserted the access to the drive is authenticated and the drive is accessible. If the USB key is lost or unavailable you will need to use one of the BitLocker recovery options to access the drive.

On a computer with a compatible TPM, four types of authentication methods can be used at startup to provide added protection for encrypted data. When the computer starts, it can use only the TPM for authentication, or it can also require insertion of a USB flash drive containing a startup key, the entry of a 4-digit to 20-digit personal identification number (PIN), or both.

Users can configure advanced startup options in the BitLocker setup wizard.

Note #2: If you want to require the use of a startup PIN and a USB flash drive, you must configure BitLocker settings using the command-line tool `manage-bde` instead of the BitLocker Drive Encryption setup wizard.

The recommended state for this setting is: `Enabled`.

Rationale:

TPM without use of a PIN will only validate early boot components and does not require a user to enter any additional authentication information. If a computer is lost or stolen in this configuration, BitLocker will not provide any additional measure of protection beyond what is provided by native Windows authentication unless the early boot components are tampered with or the encrypted drive is removed from the machine.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:UseAdvancedStartup
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Operating System Drives\Require  
additional authentication at startup
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

A PIN requires physical presence to restart the computer. This functionality is not compatible with Wake on LAN solutions.

Default Value:

Disabled. (Users can configure only basic options on computers with a TPM.)

References:

1. CCE-33103-3

CIS Controls:

Version 6

16.1 Perform Regular Account Reviews

Review all system accounts and disable any account that cannot be associated with a business process and owner.

Version 7

16.3 Require Multi-factor Authentication

Require multi-factor authentication for all user accounts, on all systems, whether managed onsite or by a third-party provider.

ARCHIVE

18.9.11.2.12 (BL) Ensure 'Require additional authentication at startup: Allow BitLocker without a compatible TPM' is set to 'Enabled: False' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to configure whether you can use BitLocker without a Trusted Platform Module (TPM), instead using a password or startup key on a USB flash drive. This policy setting is applied when you turn on BitLocker.

The recommended state for this setting is: `Enabled: False` (unchecked).

Rationale:

TPM without use of a PIN will only validate early boot components and does not require a user to enter any additional authentication information. If a computer is lost or stolen in this configuration, BitLocker will not provide any additional measure of protection beyond what is provided by native Windows authentication unless the early boot components are tampered with or the encrypted drive is removed from the machine.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:EnableBDEWithNoTPM

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled: False (unchecked)**:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Operating System Drives\Require  
additional authentication at startup: Allow BitLocker without a compatible  
TPM
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

A compatible TPM will be required in order to use BitLocker.

Default Value:

True (checked). (Users can use BitLocker without a compatible TPM by using a password or startup key on a USB flash drive.)

References:

1. CCE-33103-3

CIS Controls:

Version 6

16.11 Use Multi-factor Authentication For Accounts Accessing Sensitive Data Or Systems

Require multi-factor authentication for all user accounts that have access to sensitive data or systems. Multi-factor authentication can be achieved using smart cards, certificates, One Time Password (OTP) tokens, or biometrics.

Version 7

16.3 Require Multi-factor Authentication

Require multi-factor authentication for all user accounts, on all systems, whether managed onsite or by a third-party provider.

18.9.11.2.13 (BL) Ensure 'Require additional authentication at startup: Configure TPM startup:' is set to 'Enabled: Do not allow TPM' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to configure whether BitLocker requires additional authentication each time the computer starts. This policy setting is applied when you turn on BitLocker.

Note: Only one of the additional authentication options can be *required* at startup, otherwise a policy error occurs.

On a computer with a compatible TPM, four types of authentication methods can be used at startup to provide added protection for encrypted data. When the computer starts, it can use only the TPM for authentication, or it can also require insertion of a USB flash drive containing a startup key, the entry of a 4-digit to 20-digit personal identification number (PIN), or both.

The recommended state for this setting is: `Enabled: Do not allow TPM.`

Rationale:

TPM without use of a PIN will only validate early boot components and does not require a user to enter any additional authentication information. If a computer is lost or stolen in this configuration, BitLocker will not provide any additional measure of protection beyond what is provided by native Windows authentication unless the early boot components are tampered with or the encrypted drive is removed from the machine.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:UseTPM

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**:

Do not allow TPM:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Operating System Drives\Require  
additional authentication at startup: Configure TPM startup:
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

A TPM alone will be insufficient authentication for use with BitLocker.

Default Value:

Allow TPM. (A TPM can be used without also requiring a startup PIN or key.)

References:

1. CCE-33103-3

CIS Controls:

Version 6

16.11 Use Multi-factor Authentication For Accounts Accessing Sensitive Data Or Systems

Require multi-factor authentication for all user accounts that have access to sensitive data or systems. Multi-factor authentication can be achieved using smart cards, certificates, One Time Password (OTP) tokens, or biometrics.

Version 7

16.3 Require Multi-factor Authentication

Require multi-factor authentication for all user accounts, on all systems, whether managed onsite or by a third-party provider.

18.9.11.2.14 (BL) Ensure 'Require additional authentication at startup: Configure TPM startup PIN:' is set to 'Enabled: Require startup PIN with TPM' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to configure whether BitLocker requires additional authentication each time the computer starts. This policy setting is applied when you turn on BitLocker.

Note: Only one of the additional authentication options can be *required* at startup, otherwise a policy error occurs.

On a computer with a compatible TPM, four types of authentication methods can be used at startup to provide added protection for encrypted data. When the computer starts, it can use only the TPM for authentication, or it can also require insertion of a USB flash drive containing a startup key, the entry of a 4-digit to 20-digit personal identification number (PIN), or both.

The recommended state for this setting is: `Enabled: Require startup PIN with TPM.`

Rationale:

TPM without use of a PIN will only validate early boot components and does not require a user to enter any additional authentication information. If a computer is lost or stolen in this configuration, BitLocker will not provide any additional measure of protection beyond what is provided by native Windows authentication unless the early boot components are tampered with or the encrypted drive is removed from the machine.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:UseTPMPIN
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**:

Require startup PIN with TPM:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Operating System Drives\Require  
additional authentication at startup: Configure TPM startup PIN:
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

A startup PIN will be required in addition to a TPM for BitLocker authentication. A PIN requires physical presence to restart the computer. This functionality is not compatible with Wake on LAN solutions.

Default Value:

Allow (but not require) a startup PIN with TPM.

References:

1. CCE-33103-3

CIS Controls:

Version 6

16.11 Use Multi-factor Authentication For Accounts Accessing Sensitive Data Or Systems

Require multi-factor authentication for all user accounts that have access to sensitive data or systems. Multi-factor authentication can be achieved using smart cards, certificates, One Time Password (OTP) tokens, or biometrics.

Version 7

16.3 Require Multi-factor Authentication

Require multi-factor authentication for all user accounts, on all systems, whether managed onsite or by a third-party provider.

18.9.11.2.15 (BL) Ensure 'Require additional authentication at startup: Configure TPM startup key:' is set to 'Enabled: Do not allow startup key with TPM' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to configure whether BitLocker requires additional authentication each time the computer starts. This policy setting is applied when you turn on BitLocker.

Note: Only one of the additional authentication options can be *required* at startup, otherwise a policy error occurs.

On a computer with a compatible TPM, four types of authentication methods can be used at startup to provide added protection for encrypted data. When the computer starts, it can use only the TPM for authentication, or it can also require insertion of a USB flash drive containing a startup key, the entry of a 4-digit to 20-digit personal identification number (PIN), or both.

Note #2: If you want to require the use of a startup PIN and a USB flash drive, you must configure BitLocker settings using the command-line tool `manage-bde` instead of the BitLocker Drive Encryption setup wizard.

The recommended state for this setting is: `Enabled: Do not allow startup key with TPM.`

Rationale:

TPM without use of a PIN will only validate early boot components and does not require a user to enter any additional authentication information. If a computer is lost or stolen in this configuration, BitLocker will not provide any additional measure of protection beyond what is provided by native Windows authentication unless the early boot components are tampered with or the encrypted drive is removed from the machine.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:UseTPMKey
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:
Do not allow startup key with TPM:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Operating System Drives\Require  
additional authentication at startup: Configure TPM startup key:
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

A TPM and a startup key will not be a permitted combination for BitLocker authentication.

Default Value:

Allow startup key with TPM. (A TPM can be used in conjunction with a startup key.)

References:

1. CCE-33103-3

CIS Controls:

Version 6

16.11 Use Multi-factor Authentication For Accounts Accessing Sensitive Data Or Systems

Require multi-factor authentication for all user accounts that have access to sensitive data or systems. Multi-factor authentication can be achieved using smart cards, certificates, One Time Password (OTP) tokens, or biometrics.

Version 7

16.3 Require Multi-factor Authentication

Require multi-factor authentication for all user accounts, on all systems, whether managed onsite or by a third-party provider.

18.9.11.2.16 (BL) Ensure 'Require additional authentication at startup: Configure TPM startup key and PIN:' is set to 'Enabled: Do not allow startup key and PIN with TPM' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to configure whether BitLocker requires additional authentication each time the computer starts. This policy setting is applied when you turn on BitLocker.

Note: Only one of the additional authentication options can be *required* at startup, otherwise a policy error occurs.

On a computer with a compatible TPM, four types of authentication methods can be used at startup to provide added protection for encrypted data. When the computer starts, it can use only the TPM for authentication, or it can also require insertion of a USB flash drive containing a startup key, the entry of a 4-digit to 20-digit personal identification number (PIN), or both.

Note #2: If you want to require the use of a startup PIN and a USB flash drive, you must configure BitLocker settings using the command-line tool `manage-bde` instead of the BitLocker Drive Encryption setup wizard.

The recommended state for this setting is: `Enabled: Do not allow startup key and PIN with TPM.`

Rationale:

TPM without use of a PIN will only validate early boot components and does not require a user to enter any additional authentication information. If a computer is lost or stolen in this configuration, BitLocker will not provide any additional measure of protection beyond what is provided by native Windows authentication unless the early boot components are tampered with or the encrypted drive is removed from the machine.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:UseTPMKeyPIN
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:
Do not allow startup key and PIN with TPM:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Operating System Drives\Require  
additional authentication at startup: Configure TPM startup key and PIN:
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

A TPM, PIN *and* startup key will not be a permitted combination for BitLocker authentication. A PIN requires physical presence to restart the computer. This functionality is not compatible with Wake on LAN solutions.

Default Value:

Allow startup key and PIN with TPM. (A TPM can be used in conjunction with both a PIN *and* startup key.)

References:

1. CCE-33103-3

CIS Controls:

Version 6

16.11 Use Multi-factor Authentication For Accounts Accessing Sensitive Data Or Systems

Require multi-factor authentication for all user accounts that have access to sensitive data or systems. Multi-factor authentication can be achieved using smart cards, certificates, One Time Password (OTP) tokens, or biometrics.

Version 7

16.3 Require Multi-factor Authentication

Require multi-factor authentication for all user accounts, on all systems, whether managed onsite or by a third-party provider.

ARCHIVE

18.9.11.3 Removable Data Drives

This section contains recommendations for configuring Removable Data Drives in BitLocker.

This Group Policy section is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.9.11.3.1 (BL) Ensure 'Allow access to BitLocker-protected removable data drives from earlier versions of Windows' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting configures whether or not removable data drives formatted with the FAT file system can be unlocked and viewed on computers running Windows Server 2008 (non-R2), Windows Vista, Windows XP with Service Pack 3 (SP3), or Windows XP with Service Pack 2 (SP2) operating systems.

Note: This policy setting does not apply to drives that are formatted with the NTFS file system.

The recommended state for this setting is: `Disabled`.

Rationale:

By default BitLocker virtualizes FAT formatted drives to permit access via the BitLocker To Go Reader on previous versions of Windows. Additionally the BitLocker To Go Reader application is applied to the unencrypted portion of the drive.

The BitLocker To Go Reader application, like any other application, is subject to spoofing and could be a mechanism to propagate malware.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:RDVDiscoveryVolumeType
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Removable Data Drives\Allow access to  
BitLocker-protected removable data drives from earlier versions of Windows
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

Removable data drives formatted with the FAT file system that are BitLocker-protected cannot be unlocked on computers running Windows Server 2008 (non-R2), Windows Vista, Windows XP with SP3 or Windows XP with SP2. `BitLockerToGo.exe` will not be installed.

Default Value:

Enabled. (Removable data drives formatted with the FAT file system can be unlocked on computers running Windows Server 2008 (non-R2), Windows Vista, Windows XP with SP3 or Windows XP with SP2, and their content can be viewed. These operating systems will only have read-only access to BitLocker-protected drives.)

References:

1. CCE-33071-2

CIS Controls:

Version 6

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

ARCHIVED

18.9.11.3.2 (BL) Ensure 'Choose how BitLocker-protected removable drives can be recovered' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected removable data drives are recovered in the absence of the required credentials. This policy setting is applied when you turn on BitLocker.

The "Allow data recovery agent" check box is used to specify whether a Data Recovery Agent can be used with BitLocker-protected removable data drives. Before a Data Recovery Agent can be used it must be added from the Public Key Policies item in either the Group Policy Management Console or the Local Group Policy Editor. Consult the BitLocker Drive Encryption Deployment Guide on Microsoft TechNet for more information about adding Data Recovery Agents.

In "Configure user storage of BitLocker recovery information" select whether users are allowed, required, or not allowed to generate a 48-digit recovery password or a 256-bit recovery key.

Select "Omit recovery options from the BitLocker setup wizard" to prevent users from specifying recovery options when they enable BitLocker on a drive. This means that you will not be able to specify which recovery option to use when you enable BitLocker, instead BitLocker recovery options for the drive are determined by the policy setting.

In "Save BitLocker recovery information to Active Directory Domain Services" choose which BitLocker recovery information to store in AD DS for removable data drives. If you select "Backup recovery password and key package", both the BitLocker recovery password and key package are stored in AD DS. If you select "Backup recovery password only", only the recovery password is stored in AD DS.

Select the "Do not enable BitLocker until recovery information is stored in AD DS for removable data drives" check box if you want to prevent users from enabling BitLocker unless the computer is connected to the domain and the backup of BitLocker recovery information to AD DS succeeds.

Note: If the "Do not enable BitLocker until recovery information is stored in AD DS for removable data drives" check box is selected, a recovery password is automatically generated.

The recommended state for this setting is: `Enabled`.

Rationale:

Administrators should always have a safe, secure way to access encrypted data in the event users cannot access their data.

Additionally, as with any authentication method, a drive can be compromised by guessing or finding the authentication information used to access the drive.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:RDVRecovery
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Removable Data Drives\Choose how  
BitLocker-protected removable drives can be recovered
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

To use BitLocker a Data Recovery Agent will need to be configured for removable drives. To recover a drive will require highly-controlled access to the Data Recovery Agent private key.

Default Value:

Disabled. (The default recovery options are supported for BitLocker recovery - a DRA is allowed, and the recovery options can be specified by the user including the recovery password and recovery key, and recovery information is not backed up to AD DS.)

References:

1. CCE-35704-6

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.3.3 (BL) Ensure 'Choose how BitLocker-protected removable drives can be recovered: Allow data recovery agent' is set to 'Enabled: True' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected removable data drives are recovered in the absence of the required credentials. This policy setting is applied when you turn on BitLocker.

The "Allow data recovery agent" check box is used to specify whether a Data Recovery Agent can be used with BitLocker-protected removable data drives. Before a Data Recovery Agent can be used it must be added from the Public Key Policies item in either the Group Policy Management Console or the Local Group Policy Editor. Consult the BitLocker Drive Encryption Deployment Guide on Microsoft TechNet for more information about adding Data Recovery Agents.

The recommended state for this setting is: `Enabled: True` (checked).

Rationale:

Administrators should always have a safe, secure way to access encrypted data in the event users cannot access their data.

Additionally, as with any authentication method, a drive can be compromised by guessing or finding the authentication information used to access the drive.

To use BitLocker a Data Recovery Agent will need to be configured for removable drives. To recover a drive will require highly-controlled access to the Data Recovery Agent private key.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:RDVManageDRA
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled: True (checked)`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Removable Data Drives\Choose how  
BitLocker-protected removable drives can be recovered: Allow data recovery  
agent
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

Enabled: True. (A DRA is allowed.)

References:

1. CCE-35704-6

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.3.4 (BL) Ensure 'Choose how BitLocker-protected removable drives can be recovered: Recovery Password' is set to 'Enabled: Do not allow 48-digit recovery password' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected removable data drives are recovered in the absence of the required credentials. This policy setting is applied when you turn on BitLocker.

In "Configure user storage of BitLocker recovery information" select whether users are allowed, required, or not allowed to generate a 48-digit recovery password or a 256-bit recovery key.

The recommended state for this setting is: `Enabled: Do not allow 48-digit recovery password`.

Rationale:

Administrators should always have a safe, secure way to access encrypted data in the event users cannot access their data.

Additionally, as with any authentication method, a drive can be compromised by guessing or finding the authentication information used to access the drive.

To use BitLocker a Data Recovery Agent will need to be configured for removable drives. To recover a drive will require highly-controlled access to the Data Recovery Agent private key.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:RDVRecoveryPassword

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**:

Do not allow 48-digit recovery password:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Removable Data Drives\Choose how  
BitLocker-protected removable drives can be recovered: Recovery Password
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

A 48-digit recovery password will not be permitted for removable drives.

Default Value:

Recovery options are specified by the user.

References:

1. CCE-35704-6

CIS Controls:

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.3.5 (BL) Ensure 'Choose how BitLocker-protected removable drives can be recovered: Recovery Key' is set to 'Enabled: Do not allow 256-bit recovery key' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected removable data drives are recovered in the absence of the required credentials. This policy setting is applied when you turn on BitLocker.

In "Configure user storage of BitLocker recovery information" select whether users are allowed, required, or not allowed to generate a 48-digit recovery password or a 256-bit recovery key.

The recommended state for this setting is: `Enabled: Do not allow 256-bit recovery key`.

Rationale:

Administrators should always have a safe, secure way to access encrypted data in the event users cannot access their data.

Additionally, as with any authentication method, a drive can be compromised by guessing or finding the authentication information used to access the drive.

To use BitLocker a Data Recovery Agent will need to be configured for removable drives. To recover a drive will require highly-controlled access to the Data Recovery Agent private key.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:RDVRecoveryKey

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

Do not allow 256-bit recovery key:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Removable Data Drives\Choose how  
BitLocker-protected removable drives can be recovered: Recovery Key
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

A 256-bit recovery key will not be permitted for removable drives.

Default Value:

Recovery options are specified by the user.

References:

1. CCE-35704-6

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.3.6 (BL) Ensure 'Choose how BitLocker-protected removable drives can be recovered: Omit recovery options from the BitLocker setup wizard' is set to 'Enabled: True' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected removable data drives are recovered in the absence of the required credentials. This policy setting is applied when you turn on BitLocker.

Select "Omit recovery options from the BitLocker setup wizard" to prevent users from specifying recovery options when they enable BitLocker on a drive. This means that you will not be able to specify which recovery option to use when you enable BitLocker, instead BitLocker recovery options for the drive are determined by the policy setting.

The recommended state for this setting is: `Enabled: True` (checked).

Rationale:

Administrators should always have a safe, secure way to access encrypted data in the event users cannot access their data.

Additionally, as with any authentication method, a drive can be compromised by guessing or finding the authentication information used to access the drive.

To use BitLocker a Data Recovery Agent will need to be configured for removable drives. To recover a drive will require highly-controlled access to the Data Recovery Agent private key.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:RDVHideRecoveryPage

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled: True (checked)`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Removable Data Drives\Choose how  
BitLocker-protected removable drives can be recovered: Omit recovery options  
from the BitLocker setup wizard
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

The ability to manually select recovery options for removable drives will not be presented to the user in the BitLocker setup wizard.

Default Value:

Recovery options for removable drives are selectable by the user in the BitLocker setup wizard.

References:

1. CCE-35704-6

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.3.7 (BL) Ensure 'Choose how BitLocker-protected removable drives can be recovered: Save BitLocker recovery information to AD DS for removable data drives' is set to 'Enabled: False' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected removable data drives are recovered in the absence of the required credentials. This policy setting is applied when you turn on BitLocker.

In "Save BitLocker recovery information to Active Directory Domain Services" choose which BitLocker recovery information to store in AD DS for removable data drives. If you select "Backup recovery password and key package", both the BitLocker recovery password and key package are stored in AD DS. If you select "Backup recovery password only", only the recovery password is stored in AD DS.

The recommended state for this setting is: `Enabled: False` (unchecked).

Rationale:

Administrators should always have a safe, secure way to access encrypted data in the event users cannot access their data.

Additionally, as with any authentication method, a drive can be compromised by guessing or finding the authentication information used to access the drive.

To use BitLocker a Data Recovery Agent will need to be configured for removable drives. To recover a drive will require highly-controlled access to the Data Recovery Agent private key.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:RDVActiveDirectoryBackup
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled: False (unchecked)`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Removable Data Drives\Choose how  
BitLocker-protected removable drives can be recovered: Save BitLocker  
recovery information to AD DS for removable data drives
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

BitLocker recovery information for removable drives is not backed up to AD DS.

References:

1. CCE-35704-6

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.3.8 (BL) Ensure 'Choose how BitLocker-protected removable drives can be recovered: Configure storage of BitLocker recovery information to AD DS:' is set to 'Enabled: Backup recovery passwords and key packages' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected removable data drives are recovered in the absence of the required credentials. This policy setting is applied when you turn on BitLocker.

In "Save BitLocker recovery information to Active Directory Domain Services" choose which BitLocker recovery information to store in AD DS for removable data drives. If you select "Backup recovery password and key package", both the BitLocker recovery password and key package are stored in AD DS. If you select "Backup recovery password only", only the recovery password is stored in AD DS.

The recommended state for this setting is: `Enabled: Backup recovery passwords and key packages`.

Rationale:

Administrators should always have a safe, secure way to access encrypted data in the event users cannot access their data.

Additionally, as with any authentication method, a drive can be compromised by guessing or finding the authentication information used to access the drive.

To use BitLocker a Data Recovery Agent will need to be configured for removable drives. To recover a drive will require highly-controlled access to the Data Recovery Agent private key.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:RDVActiveDirectoryInfoToStore
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:
Backup recovery passwords and key packages:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\BitLocker Drive Encryption\Removable Data Drives\Choose how BitLocker-protected removable drives can be recovered: Configure storage of BitLocker recovery information to AD DS:
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

None - this value is ignored when the checkbox above it (Save BitLocker recovery information to AD DS for removable data drives) is False (unchecked), as is required in Rule 18.9.11.3.7. If that checkbox **is** set to True (checked), both recovery passwords and key packages for removable drives will be saved to AD DS.

Default Value:

BitLocker recovery information for removable drives is not backed up to AD DS.

References:

1. CCE-35704-6

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.3.9 (BL) Ensure 'Choose how BitLocker-protected removable drives can be recovered: Do not enable BitLocker until recovery information is stored to AD DS for removable data drives' is set to 'Enabled: False' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to control how BitLocker-protected removable data drives are recovered in the absence of the required credentials. This policy setting is applied when you turn on BitLocker.

Select the "Do not enable BitLocker until recovery information is stored in AD DS for removable data drives" check box if you want to prevent users from enabling BitLocker unless the computer is connected to the domain and the backup of BitLocker recovery information to AD DS succeeds.

Note: If the "Do not enable BitLocker until recovery information is stored in AD DS for removable data drives" check box is selected, a recovery password is automatically generated.

The recommended state for this setting is: `Enabled: False` (unchecked).

Rationale:

Administrators should always have a safe, secure way to access encrypted data in the event users cannot access their data.

Additionally, as with any authentication method, a drive can be compromised by guessing or finding the authentication information used to access the drive.

To use BitLocker a Data Recovery Agent will need to be configured for removable drives. To recover a drive will require highly-controlled access to the Data Recovery Agent private key.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:RDVRequireActiveDirectoryBackup
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled: False (unchecked)**:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\BitLocker Drive Encryption\Removable Data Drives\Choose how BitLocker-protected removable drives can be recovered: Do not enable BitLocker until recovery information is stored to AD DS for removable data drives
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

BitLocker can be enabled on removable drives without the requirement of storing recovery information to Active Directory first.

References:

1. CCE-35704-6

CIS Controls:

Version 6

10.3 Properly Protect Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

10.4 Ensure Protection of Backups

Ensure that backups are properly protected via physical security or encryption when they are stored, as well as when they are moved across the network. This includes remote backups and cloud services.

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

18.9.11.3.10 (BL) Ensure 'Configure use of passwords for removable data drives' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting allows you to specify whether a password is required to unlock BitLocker-protected removable data drives.

Note: This setting is enforced when turning on BitLocker, not when unlocking a drive. BitLocker will allow unlocking a drive with any of the protectors available on the drive.

The recommended state for this setting is: `Disabled`.

Rationale:

Using a dictionary-style attack, passwords can be guessed or discovered by repeatedly attempting to unlock a drive. Since this type of BitLocker password does not include anti-dictionary attack protections provided by a TPM, for example, there is no mechanism to slow down use of rapid brute-force attacks against them.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:RDVPassphrase

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Removable Data Drives\Configure use of  
passwords for removable data drives
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template VolumeEncryption.admx/adml that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

The password option will not be available when configuring BitLocker for removable drives.

Default Value:

Passwords are supported, without complexity requirements and with an 8 character minimum.

References:

1. CCE-33075-3

CIS Controls:

Version 6

13.2 Deploy Hard Drive Encryption Software

Deploy approved hard drive encryption software to mobile devices and systems that hold sensitive data.

Version 7

16.3 Require Multi-factor Authentication

Require multi-factor authentication for all user accounts, on all systems, whether managed onsite or by a third-party provider.

18.9.11.3.11 (BL) Ensure 'Configure use of smart cards on removable data drives' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting specifies whether smart cards can be used to authenticate user access to BitLocker-protected removable data drives on a computer.

Smart cards can be used to authenticate user access to the drive. You can require smart card authentication by selecting the "Require use of smart cards on removable data drives" check box.

Note: This setting is enforced when turning on BitLocker, not when unlocking a volume. BitLocker will allow unlocking a drive with any of the protectors available on the drive.

The recommended state for this setting is: `Enabled`.

Rationale:

A drive can be compromised by guessing or finding the authentication information used to access the drive. For example, a password could be guessed, or a drive set to automatically unlock could be lost or stolen with the computer it automatically unlocks with.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:RDVAllowUserCert

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

Computer Configuration\Policies\Administrative Templates\Windows Components\BitLocker Drive Encryption\Removable Data Drives\Configure use of smart cards on removable data drives

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

Enabled. (Users are allowed to use smart cards to authenticate their access to BitLocker-protected removable data drives.)

References:

1. CCE-35705-3

CIS Controls:

Version 6

16.11 Use Multi-factor Authentication For Accounts Accessing Sensitive Data Or Systems

Require multi-factor authentication for all user accounts that have access to sensitive data or systems. Multi-factor authentication can be achieved using smart cards, certificates, One Time Password (OTP) tokens, or biometrics.

Version 7

16.3 Require Multi-factor Authentication

Require multi-factor authentication for all user accounts, on all systems, whether managed onsite or by a third-party provider.

18.9.11.3.12 (BL) Ensure 'Configure use of smart cards on removable data drives: Require use of smart cards on removable data drives' is set to 'Enabled: True' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting specifies whether smart cards *must* be used to authenticate user access to BitLocker-protected removable data drives on a computer.

Smart cards can be used to authenticate user access to the drive. You can require smart card authentication by selecting the "Require use of smart cards on removable data drives" check box.

Note: This setting is enforced when turning on BitLocker, not when unlocking a volume. BitLocker will allow unlocking a drive with any of the protectors available on the drive.

The recommended state for this setting is: `Enabled: True` (checked).

Rationale:

A drive can be compromised by guessing or finding the authentication information used to access the drive. For example, a password could be guessed, or a drive set to automatically unlock could be lost or stolen with the computer it automatically unlocks with.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:RDVEnforceUserCert

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled: True (checked)**:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\BitLocker Drive Encryption\Removable Data Drives\Configure use of  
smart cards on removable data drives: Require use of smart cards on removable  
data drives
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

Smart cards will be required to authenticate user access to removable data drives. Use of smart cards requires PKI infrastructure. Users will need to authenticate with the smart card to unlock the removable data drive every time they restart the computer.

Default Value:

Enabled: False (unchecked). (Users are allowed to use smart cards to authenticate their access to BitLocker-protected removable data drives, but it is not required.)

References:

1. CCE-35705-3

CIS Controls:

Version 6

16.11 Use Multi-factor Authentication For Accounts Accessing Sensitive Data Or Systems

Require multi-factor authentication for all user accounts that have access to sensitive data or systems. Multi-factor authentication can be achieved using smart cards, certificates, One Time Password (OTP) tokens, or biometrics.

Version 7

16.3 Require Multi-factor Authentication

Require multi-factor authentication for all user accounts, on all systems, whether managed onsite or by a third-party provider.

18.9.11.3.13 (BL) Ensure 'Deny write access to removable drives not protected by BitLocker' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting configures whether BitLocker protection is required for a computer to be able to write data to a removable data drive.

All removable data drives that are not BitLocker-protected will be mounted as read-only. If the drive is protected by BitLocker, it will be mounted with read and write access.

The recommended state for this setting is: `Enabled`.

Rationale:

Users may not voluntarily encrypt removable drives prior to saving important data to the drive.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Policies\Microsoft\FVE:RDVDenyWriteAccess
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\BitLocker Drive Encryption\Removable Data Drives\Deny write access to removable drives not protected by BitLocker
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

All removable data drives that are not BitLocker-protected will be mounted as read-only. If the drive is protected by BitLocker, it will be mounted with read and write access.

Default Value:

Disabled. (All removable data drives on the computer will be mounted with read and write access.)

References:

1. CCE-33077-9

CIS Controls:

Version 6

13.5 Disable Write Capabilities To USB Devices

If there is no business need for supporting such devices, configure systems so that they will not write data to USB tokens or USB hard drives. If such devices are required, enterprise software should be used that can configure systems to allow only specific USB devices (based on serial number or other unique property) to be accessed, and that can automatically encrypt all data placed on such devices. An inventory of all authorized devices must be maintained.

Version 7

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

13.8 Manage System's External Removable Media's Read/write Configurations

Configure systems not to write data to external removable media, if there is no business need for supporting such devices.

18.9.11.3.14 (BL) Ensure 'Deny write access to removable drives not protected by BitLocker: Do not allow write access to devices configured in another organization' is set to 'Enabled: False' (Scored)

Profile Applicability:

- Level 1 (L1) + BitLocker (BL)
- Level 2 (L2) + BitLocker (BL)
- BitLocker (BL) - optional add-on for when BitLocker is deployed

Description:

This policy setting configures whether the computer will be able to write data to BitLocker-protected removable drives that were configured in another organization.

The recommended state for this setting is: Enabled: False (unchecked).

Rationale:

Restricting write access to BitLocker-protected removable drives that were configured in another organization can hinder legitimate business operations where encrypted data sharing is necessary.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\FVE:RDVDenyCrossOrg
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled: False (unchecked):

```
Computer Configuration\Policies\Administrative Templates\Windows Components\BitLocker Drive Encryption\Removable Data Drives\Deny write access to removable drives not protected by BitLocker: Do not allow write access to devices configured in another organization
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `VolumeEncryption.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

Enabled: False (unchecked). (Write access will be permitted to BitLocker-protected removable drives that were configured in another organization.)

References:

1. CCE-33077-9

CIS Controls:

Version 6

13.5 Disable Write Capabilities To USB Devices

If there is no business need for supporting such devices, configure systems so that they will not write data to USB tokens or USB hard drives. If such devices are required, enterprise software should be used that can configure systems to allow only specific USB devices (based on serial number or other unique property) to be accessed, and that can automatically encrypt all data placed on such devices. An inventory of all authorized devices must be maintained.

Version 7

13.6 Encrypt the Hard Drive of All Mobile Devices.

Utilize approved whole disk encryption software to encrypt the hard drive of all mobile devices.

13.8 Manage System's External Removable Media's Read/write Configurations

Configure systems not to write data to external removable media, if there is no business need for supporting such devices.

18.9.12 Camera

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Camera.admx/adml` that is included with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates (or newer).

18.9.13 Cloud Content

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `CloudContent.admx/adml` that is included with the Microsoft Windows 10 Release 1511 Administrative Templates (or newer).

18.9.14 Connect

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WirelessDisplay.admx/adml` that is included with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates (or newer).

18.9.15 Credential User Interface

This section contains recommendations related to the Credential User Interface.

This Group Policy section is provided by the Group Policy template `CredUI.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.15.1 (L1) Ensure 'Do not display the password reveal button' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1
- Level 1 + BitLocker

Description:

This policy setting allows you to configure the display of the password reveal button in password entry user experiences.

The recommended state for this setting is: `Enabled`.

Rationale:

This is a useful feature when entering a long and complex password, especially when using a touchscreen. The potential risk is that someone else may see your password while surreptitiously observing your screen.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\CredUI:DisablePassword Reveal

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Credential User Interface\Do not display the password reveal  
button
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `CredUI.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

The password reveal button will not be displayed after a user types a password in the password entry text box.

Default Value:

Disabled. (The password reveal button is displayed after a user types a password in the password entry text box. If the user clicks on the button, the typed password is displayed on-screen in plain text.)

References:

1. CCE-32965-6

CIS Controls:

Version 6

16 Account Monitoring and Control
Account Monitoring and Control

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

18.9.15.2 (L1) Ensure 'Enumerate administrator accounts on elevation' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls whether administrator accounts are displayed when a user attempts to elevate a running application.

The recommended state for this setting is: `Disabled`.

Rationale:

Users could see the list of administrator accounts, making it slightly easier for a malicious user who has logged onto a console session to try to crack the passwords of those accounts.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\CredUI:EnumerateAdministrators
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\Credential User Interface\Enumerate administrator accounts on elevation
```

Note: This Group Policy path is provided by the Group Policy template `CredUI.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Users will be required to always type in a username and password to elevate.)

References:

1. CCE-35194-0

CIS Controls:

Version 6

16 Account Monitoring and Control

Account Monitoring and Control

Version 7

4.5 Use Multifactor Authentication For All Administrative Access

Use multi-factor authentication and encrypted channels for all administrative account access.

18.9.16 Data Collection and Preview Builds

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates (or newer).

18.9.17 Delivery Optimization

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DeliveryOptimization.admx/adml` that is included with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates (or newer).

18.9.18 Desktop Gadgets

This section contains recommendations related to Desktop Gadgets.

This Group Policy section is provided by the Group Policy template `Sidebar.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.9.18.1 (L1) Ensure 'Turn off desktop gadgets' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to turn off desktop gadgets. Gadgets are small applets that display information or utilities on the desktop.

The recommended state for this setting is: `Enabled`.

Rationale:

Allowing gadgets could allow users to install custom gadgets that could be malicious.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\Windows\
\Sidebar:TurnOffSidebar
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows
Components\Desktop Gadgets\Turn off desktop gadgets
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `Sidebar.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

Desktop gadgets will be turned off.

Default Value:

Disabled. (Desktop gadgets are turned on.)

References:

1. CCE-33140-5

CIS Controls:

Version 6

2 Inventory of Authorized and Unauthorized Software

Inventory of Authorized and Unauthorized Software

Version 7

2.3 Utilize Software Inventory Tools

Utilize software inventory tools throughout the organization to automate the documentation of all software on business systems.

2.4 Track Software Inventory Information

The software inventory system should track the name, version, publisher, and install date for all software, including operating systems authorized by the organization.

18.9.18.2 (L1) Ensure 'Turn Off user-installed desktop gadgets' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to turn off desktop gadgets that have been installed by the user.

The recommended state for this setting is: `Enabled`.

Rationale:

Allowing gadgets could allow users to install custom gadgets that could be malicious.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\Windows\
\Sidebar:TurnOffUserInstalledGadgets
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows
Components\Desktop Gadgets\Turn Off user-installed desktop gadgets
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `Sidebar.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

Windows will not run any user-installed gadgets.

Default Value:

Disabled. (User-installed desktop gadgets are turned on.)

References:

1. CCE-33254-4

CIS Controls:

Version 6

- 2 Inventory of Authorized and Unauthorized Software
Inventory of Authorized and Unauthorized Software

Version 7

- 2.3 Utilize Software Inventory Tools

Utilize software inventory tools throughout the organization to automate the documentation of all software on business systems.

- 2.4 Track Software Inventory Information

The software inventory system should track the name, version, publisher, and install date for all software, including operating systems authorized by the organization.

18.9.19 Desktop Window Manager

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DWM.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.20 Device and Driver Compatibility

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DeviceCompat.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.9.21 Device Registration (formerly Workplace Join)

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WorkplaceJoin.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

Note: This section was initially named *Workplace Join* but was renamed by Microsoft to *Device Registration* starting with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates.

18.9.22 Digital Locker

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DigitalLocker.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.23 Edge UI

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `EdgeUI.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

18.9.24 EMET

This section contains recommendations for configuring Microsoft Enhanced Mitigation Experience Toolkit (EMET).

This Group Policy section is provided by the Group Policy template `EMET.admx/adml` that is included with Microsoft EMET.

EMET is free and supported security software developed by Microsoft that allows an enterprise to apply exploit mitigations to applications that run on Windows. Many of these mitigations were later coded directly into Windows 10 and Server 2016.

Note: Although EMET is quite effective at enhancing exploit protection on Windows workstation OSes prior to Windows 10, it is highly recommended that compatibility testing is done on typical workstation configurations (including all CIS-recommended EMET settings) before widespread deployment to your environment.

Note #2: EMET has been reported to be very problematic on 32-bit OSes - we only recommend using it with 64-bit OSes.

Note #3: Microsoft has announced that EMET will be End-Of-Life (EOL) on July 31, 2018. This does not mean the software will stop working, only that Microsoft will not update it any further past that date, nor troubleshoot new problems with it. They are instead recommending that workstations be upgraded to Windows 10.

18.9.24.1 (L1) Ensure 'EMET 5.52' or higher is installed (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

The Enhanced Mitigation Experience Toolkit (EMET) is free and supported security software developed by Microsoft that allows an enterprise to apply exploit mitigations to applications that run on Windows. Many of these mitigations were later coded directly into Windows 10 and Server 2016.

More information on EMET, including download and User Guide, can be obtained here:

[Enhanced Mitigation Experience Toolkit - EMET - TechNet Security](#)

Note: Although EMET is quite effective at enhancing exploit protection on Windows workstation OSes prior to Windows 10, it is highly recommended that compatibility testing is done on typical workstation configurations (including all CIS-recommended EMET settings) before widespread deployment to your environment.

Note #2: EMET has been reported to be very problematic on 32-bit OSes - we only recommend using it with 64-bit OSes.

Note #3: Microsoft has announced that EMET will be End-Of-Life (EOL) on July 31, 2018. This does not mean the software will stop working, only that Microsoft will not update it any further past that date, nor troubleshoot new problems with it. They are instead recommending that workstations be upgraded to Windows 10.

Rationale:

EMET mitigations help reduce the reliability of exploits that target vulnerable software running on Windows.

Audit:

Navigate to Control Panel\Program\Programs and Features and confirm "EMET 5.52" or higher is listed in the Name column.

Remediation:

Install EMET 5.52 or higher.

CIS Controls:

Version 6

8.4 Enable Anti-exploitation Features (i.e. DEP, ASLR, EMET)

Enable anti-exploitation features such as Data Execution Prevention (DEP), Address Space Layout Randomization (ASLR), virtualization/containerization, etc. For increased protection, deploy capabilities such as Enhanced Mitigation Experience Toolkit (EMET) that can be configured to apply these protections to a broader set of applications and executables.

Version 7

8.3 Enable Operating System Anti-Exploitation Features/ Deploy Anti-Exploit Technologies

Enable anti-exploitation features such as Data Execution Prevention (DEP) or Address Space Layout Randomization (ASLR) that are available in an operating system or deploy appropriate toolkits that can be configured to apply protection to a broader set of applications and executables.

18.9.24.2 (L1) Ensure 'Default Action and Mitigation Settings' is set to 'Enabled' (plus subsettings) (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting configures the default action after detection and advanced ROP mitigation.

The recommended state for this setting is:

- Default Action and Mitigation Settings - Enabled
- Deep Hooks - Enabled
- Anti Detours - Enabled
- Banned Functions - Enabled
- Exploit Action -User Configured

Rationale:

These advanced mitigations for ROP mitigations apply to all configured software in EMET:

- **Deep Hooks** protects critical APIs and the subsequent lower level APIs used by the top level critical API.
- **Anti Detours** renders ineffective exploits that evade hooks by executing a copy of the hooked function prologue and then jump to the function past the prologue.
- **Banned Functions** will block calls to `ntdll!LdrHotPatchRoutine` to mitigate potential exploits abusing the API.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\EMET\SysSettings:AntiDetours
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\EMET\SysSettings:BannedFunctions
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\EMET\SysSettings:DeepHooks
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\EMET\SysSettings:ExploitAction
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

Computer Configuration\Policies\Administrative Templates\Windows Components\EMET\Default Action and Mitigation Settings

Note: This Group Policy path does not exist by default. An additional Group Policy template (EMET.admx/adml) is required - it is included with Microsoft Enhanced Mitigation Experience Toolkit (EMET).

Impact:

The advanced mitigations available in EMET will be enabled and actively applied to all software they are configured for.

Default Value:

User configured.

References:

1. CCE-35473-8

CIS Controls:

Version 6

8.4 Enable Anti-exploitation Features (i.e. DEP, ASLR, EMET)

Enable anti-exploitation features such as Data Execution Prevention (DEP), Address Space Layout Randomization (ASLR), virtualization/containerization, etc. For increased protection, deploy capabilities such as Enhanced Mitigation Experience Toolkit (EMET) that can be configured to apply these protections to a broader set of applications and executables.

Version 7

8.3 Enable Operating System Anti-Exploitation Features/ Deploy Anti-Exploit Technologies

Enable anti-exploitation features such as Data Execution Prevention (DEP) or Address Space Layout Randomization (ASLR) that are available in an operating system or deploy appropriate toolkits that can be configured to apply protection to a broader set of applications and executables.

18.9.24.3 (L1) Ensure 'Default Protections for Internet Explorer' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting determines if recommended EMET mitigations are applied to Internet Explorer.

The recommended state for this setting is: `Enabled`.

Rationale:

Applying EMET mitigations to Internet Explorer will help reduce the reliability of exploits that target it.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\EMET\Defaults\IE
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\EMET\Default Protections for Internet Explorer
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (`EMET.admx/adml`) is required - it is included with Microsoft Enhanced Mitigation Experience Toolkit (EMET).

Impact:

EMET mitigations will be applied to Internet Explorer.

Default Value:

User configured.

References:

1. CCE-35474-6

CIS Controls:

Version 6

8.4 Enable Anti-exploitation Features (i.e. DEP, ASLR, EMET)

Enable anti-exploitation features such as Data Execution Prevention (DEP), Address Space Layout Randomization (ASLR), virtualization/containerization, etc. For increased protection, deploy capabilities such as Enhanced Mitigation Experience Toolkit (EMET) that can be configured to apply these protections to a broader set of applications and executables.

Version 7

8.3 Enable Operating System Anti-Exploitation Features/ Deploy Anti-Exploit Technologies

Enable anti-exploitation features such as Data Execution Prevention (DEP) or Address Space Layout Randomization (ASLR) that are available in an operating system or deploy appropriate toolkits that can be configured to apply protection to a broader set of applications and executables.

18.9.24.4 (L1) Ensure 'Default Protections for Popular Software' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting determines if recommended EMET mitigations are applied to the following popular software:

- 7-Zip
- Adobe Photoshop
- Foxit Reader
- Google Chrome
- Google Talk
- iTunes
- Microsoft Live Writer
- Microsoft Lync Communicator
- Microsoft Photo Gallery
- Microsoft SkyDrive
- mIRC
- Mozilla Firefox
- Mozilla Thunderbird
- Opera
- Pidgin
- QuickTime Player
- RealPlayer
- Safari
- Skype
- VideoLAN VLC
- Winamp
- Windows Live Mail
- Windows Media Player
- WinRAR
- WinZip

The recommended state for this setting is: `Enabled`.

Rationale:

Applying EMET mitigations to popular software packages will help reduce the reliability of exploits that target them.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by many registry values (for the various popular software that EMET supports) under the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\EMET\Defaults
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\EMET\Default Protections for Popular Software
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (`EMET.admx/adml`) is required - it is included with Microsoft Enhanced Mitigation Experience Toolkit (EMET).

Impact:

EMET mitigations will be applied to the listed popular software that is installed on the computer.

Default Value:

User configured.

References:

1. CCE-35476-1

CIS Controls:

Version 6

8.4 Enable Anti-exploitation Features (i.e. DEP, ASLR, EMET)

Enable anti-exploitation features such as Data Execution Prevention (DEP), Address Space Layout Randomization (ASLR), virtualization/containerization, etc. For increased protection, deploy capabilities such as Enhanced Mitigation Experience Toolkit (EMET) that can be configured to apply these protections to a broader set of applications and executables.

Version 7

8.3 Enable Operating System Anti-Exploitation Features/ Deploy Anti-Exploit Technologies

Enable anti-exploitation features such as Data Execution Prevention (DEP) or Address Space Layout Randomization (ASLR) that are available in an operating system or deploy appropriate toolkits that can be configured to apply protection to a broader set of applications and executables.

18.9.24.5 (L1) Ensure 'Default Protections for Recommended Software' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting determines if recommended EMET mitigations are applied to the following software:

- Adobe Acrobat
- Adobe Acrobat Reader
- Microsoft Office suite applications
- Oracle Java
- WordPad

The recommended state for this setting is: Enabled.

Rationale:

Applying EMET mitigations to recommended software will help reduce the reliability of exploits that target them.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by many registry values (for the various recommended software that EMET supports) under the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\EMET\Defaults
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\EMET\Default Protections for Recommended Software
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (EMET.admx/adml) is required - it is included with Microsoft Enhanced Mitigation Experience Toolkit (EMET).

Impact:

EMET mitigations will be applied to the listed recommended software that is installed on the computer.

Default Value:

User configured.

References:

1. CCE-35479-5

CIS Controls:

Version 6

8.4 Enable Anti-exploitation Features (i.e. DEP, ASLR, EMET)

Enable anti-exploitation features such as Data Execution Prevention (DEP), Address Space Layout Randomization (ASLR), virtualization/containerization, etc. For increased protection, deploy capabilities such as Enhanced Mitigation Experience Toolkit (EMET) that can be configured to apply these protections to a broader set of applications and executables.

Version 7

8.3 Enable Operating System Anti-Exploitation Features/ Deploy Anti-Exploit Technologies

Enable anti-exploitation features such as Data Execution Prevention (DEP) or Address Space Layout Randomization (ASLR) that are available in an operating system or deploy appropriate toolkits that can be configured to apply protection to a broader set of applications and executables.

18.9.24.6 (L1) Ensure 'System ASLR' is set to 'Enabled: Application Opt-In' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting determines how applications become enrolled in Address Space Layout Randomization (ASLR).

The recommended state for this setting is: `Enabled: Application Opt-In`.

Rationale:

ASLR reduces the predictability of process memory, which in-turn helps reduce the reliability of exploits targeting memory corruption vulnerabilities.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\EMET\SysSettings:ASLR
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled: Application Opt-In`:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\EMET\System ASLR
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (`EMET.admx/adml`) is required - it is included with Microsoft Enhanced Mitigation Experience Toolkit (EMET).

Impact:

ASLR protections will be enabled on applications that have been configured for it in EMET.

Default Value:

User configured.

References:

1. CCE-35483-7

CIS Controls:

Version 6

8.4 Enable Anti-exploitation Features (i.e. DEP, ASLR, EMET)

Enable anti-exploitation features such as Data Execution Prevention (DEP), Address Space Layout Randomization (ASLR), virtualization/containerization, etc. For increased protection, deploy capabilities such as Enhanced Mitigation Experience Toolkit (EMET) that can be configured to apply these protections to a broader set of applications and executables.

Version 7

8.3 Enable Operating System Anti-Exploitation Features/ Deploy Anti-Exploit Technologies

Enable anti-exploitation features such as Data Execution Prevention (DEP) or Address Space Layout Randomization (ASLR) that are available in an operating system or deploy appropriate toolkits that can be configured to apply protection to a broader set of applications and executables.

18.9.24.7 (L1) Ensure 'System DEP' is set to 'Enabled: Application Opt-Out' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting determines how applications become enrolled in Data Execution Protection (DEP).

The recommended state for this setting is: `Enabled: Application Opt-Out`.

Rationale:

DEP marks pages of application memory as non-executable, which reduces a given exploit's ability to run attacker-controlled code.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\EMET\SysSettings:DEP
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled: Application Opt-Out`:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\EMET\System DEP
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (`EMET.admx/adml`) is required - it is included with Microsoft Enhanced Mitigation Experience Toolkit (EMET).

Impact:

DEP protections will be enabled on *all* applications unless EMET has been specifically configured to opt-out of DEP for that application.

Default Value:

User configured.

References:

1. CCE-35484-5

CIS Controls:

Version 6

8.4 Enable Anti-exploitation Features (i.e. DEP, ASLR, EMET)

Enable anti-exploitation features such as Data Execution Prevention (DEP), Address Space Layout Randomization (ASLR), virtualization/containerization, etc. For increased protection, deploy capabilities such as Enhanced Mitigation Experience Toolkit (EMET) that can be configured to apply these protections to a broader set of applications and executables.

Version 7

8.3 Enable Operating System Anti-Exploitation Features/ Deploy Anti-Exploit Technologies

Enable anti-exploitation features such as Data Execution Prevention (DEP) or Address Space Layout Randomization (ASLR) that are available in an operating system or deploy appropriate toolkits that can be configured to apply protection to a broader set of applications and executables.

18.9.24.8 (L1) Ensure 'System SEHOP' is set to 'Enabled: Application Opt-Out' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting determines how applications become enrolled in Structured Exception Handler Overwrite Protection (SEHOP).

The recommended state for this setting is: `Enabled: Application Opt-Out`.

Rationale:

When a software component suffers from a memory corruption vulnerability, an exploit may be able to overwrite memory that contains data structures that control how the software handles exceptions. By corrupting these structures in a controlled manner, an exploit may be able to execute arbitrary code. SEHOP verifies the integrity of those structures before they are used to handle exceptions, which reduces the reliability of exploits that leverage structured exception handler overwrites.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\EMET\SysSettings:SEHOP
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled: Application Opt-Out`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\EMET\System SEHOP
```

Note: This Group Policy path does not exist by default. An additional Group Policy template (`EMET.admx/adml`) is required - it is included with Microsoft Enhanced Mitigation Experience Toolkit (EMET).

Impact:

SEHOP protections will be enabled on *all* applications unless EMET has been specifically configured to opt-out of SEHOP for that application.

Default Value:

User configured.

References:

1. CCE-35485-2

CIS Controls:

Version 6

8.4 Enable Anti-exploitation Features (i.e. DEP, ASLR, EMET)

Enable anti-exploitation features such as Data Execution Prevention (DEP), Address Space Layout Randomization (ASLR), virtualization/containerization, etc. For increased protection, deploy capabilities such as Enhanced Mitigation Experience Toolkit (EMET) that can be configured to apply these protections to a broader set of applications and executables.

Version 7

8.3 Enable Operating System Anti-Exploitation Features/ Deploy Anti-Exploit Technologies

Enable anti-exploitation features such as Data Execution Prevention (DEP) or Address Space Layout Randomization (ASLR) that are available in an operating system or deploy appropriate toolkits that can be configured to apply protection to a broader set of applications and executables.

18.9.25 Event Forwarding

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `EventForwarding.admx/adml` that is included with the Microsoft Windows Server 2008 (non-R2) Administrative Templates (or newer).

ARCHIVE

18.9.26 Event Log Service

This section contains recommendations for configuring the Event Log Service.

This Group Policy section is provided by the Group Policy template `EventLog.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.26.1 Application

This section contains recommendations for configuring the Application Event Log.

This Group Policy section is provided by the Group Policy template `EventLog.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.26.1.1 (L1) Ensure 'Application: Control Event Log behavior when the log file reaches its maximum size' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls Event Log behavior when the log file reaches its maximum size.

The recommended state for this setting is: `Disabled`.

Note: Old events may or may not be retained according to the *Backup log automatically when full* policy setting.

Rationale:

If new events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\EventLog\Application:Retention
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Event Log Service\Application\Control Event Log behavior when the  
log file reaches its maximum size
```

Note: This Group Policy path is provided by the Group Policy template EventLog.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was initially named *Retain old events*, but it was renamed starting with the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (When a log file reaches its maximum size, new events overwrite old events.)

References:

1. CCE-34169-3

CIS Controls:

Version 6

6.3 Ensure Audit Logging Systems Are Not Subject To Loss (i.e. rotation/archive)

Ensure that all systems that store logs have adequate storage space for the logs generated on a regular basis, so that log files will not fill up between log rotation intervals. The logs must be archived and digitally signed on a periodic basis.

Version 7

6.4 Ensure adequate storage for logs

Ensure that all systems that store logs have adequate storage space for the logs generated.

18.9.26.1.2 (L1) Ensure 'Application: Specify the maximum log file size (KB)' is set to 'Enabled: 32,768 or greater' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting specifies the maximum size of the log file in kilobytes. The maximum log file size can be configured between 1 megabyte (1,024 kilobytes) and 4 terabytes (4,194,240 kilobytes) in kilobyte increments.

The recommended state for this setting is: Enabled: 32,768 or greater.

Rationale:

If events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\EventLog\Application:MaxSize
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled: 32,768 or greater:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\Event Log Service\Application\Specify the maximum log file size (KB)
```

Note: This Group Policy path is provided by the Group Policy template EventLog.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was initially named *Maximum Log Size (KB)*, but it was renamed starting with the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

Impact:

When event logs fill to capacity, they will stop recording information unless the retention method for each is set so that the computer will overwrite the oldest entries with the most recent ones. To mitigate the risk of loss of recent data, you can configure the retention method so that older events are overwritten as needed.

The consequence of this configuration is that older events will be removed from the logs. Attackers can take advantage of such a configuration, because they can generate a large number of extraneous events to overwrite any evidence of their attack. These risks can be somewhat reduced if you automate the archival and backup of event log data.

Ideally, all specifically monitored events should be sent to a server that uses Microsoft System Center Operations Manager (SCOM) or some other automated monitoring tool. Such a configuration is particularly important because an attacker who successfully compromises a server could clear the Security log. If all events are sent to a monitoring server, then you will be able to gather forensic information about the attacker's activities.

Default Value:

Disabled. (The default log size is 20,480 KB - this value can be changed by the local administrator using the Log Properties dialog.)

References:

1. CCE-33975-4

CIS Controls:**Version 6****6.3 Ensure Audit Logging Systems Are Not Subject To Loss (i.e. rotation/archive)**

Ensure that all systems that store logs have adequate storage space for the logs generated on a regular basis, so that log files will not fill up between log rotation intervals. The logs must be archived and digitally signed on a periodic basis.

Version 7**6.4 Ensure adequate storage for logs**

Ensure that all systems that store logs have adequate storage space for the logs generated.

18.9.26.2 Security

This section contains recommendations for configuring the Security Event Log.

This Group Policy section is provided by the Group Policy template `EventLog.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.26.2.1 (L1) Ensure 'Security: Control Event Log behavior when the log file reaches its maximum size' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls Event Log behavior when the log file reaches its maximum size.

The recommended state for this setting is: `Disabled`.

Note: Old events may or may not be retained according to the *Backup log automatically when full* policy setting.

Rationale:

If new events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\EventLog\Security:Retention
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Event Log Service\Security\Control Event Log behavior when the log  
file reaches its maximum size
```

Note: This Group Policy path is provided by the Group Policy template EventLog.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was initially named *Retain old events*, but it was renamed starting with the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (When a log file reaches its maximum size, new events overwrite old events.)

References:

1. CCE-35090-0

CIS Controls:

Version 6

6.3 Ensure Audit Logging Systems Are Not Subject To Loss (i.e. rotation/archive)

Ensure that all systems that store logs have adequate storage space for the logs generated on a regular basis, so that log files will not fill up between log rotation intervals. The logs must be archived and digitally signed on a periodic basis.

Version 7

6.4 Ensure adequate storage for logs

Ensure that all systems that store logs have adequate storage space for the logs generated.

18.9.26.2.2 (L1) Ensure 'Security: Specify the maximum log file size (KB)' is set to 'Enabled: 196,608 or greater' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting specifies the maximum size of the log file in kilobytes. The maximum log file size can be configured between 1 megabyte (1,024 kilobytes) and 4 terabytes (4,194,240 kilobytes) in kilobyte increments.

The recommended state for this setting is: Enabled: 196,608 or greater.

Rationale:

If events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\EventLog\Security:MaxSize
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled: 196,608 or greater:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\Event Log Service\Security\Specify the maximum log file size (KB)
```

Note: This Group Policy path is provided by the Group Policy template EventLog.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was initially named *Maximum Log Size (KB)*, but it was renamed starting with the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

Impact:

When event logs fill to capacity, they will stop recording information unless the retention method for each is set so that the computer will overwrite the oldest entries with the most recent ones. To mitigate the risk of loss of recent data, you can configure the retention method so that older events are overwritten as needed.

The consequence of this configuration is that older events will be removed from the logs. Attackers can take advantage of such a configuration, because they can generate a large number of extraneous events to overwrite any evidence of their attack. These risks can be somewhat reduced if you automate the archival and backup of event log data.

Ideally, all specifically monitored events should be sent to a server that uses Microsoft System Center Operations Manager (SCOM) or some other automated monitoring tool. Such a configuration is particularly important because an attacker who successfully compromises a server could clear the Security log. If all events are sent to a monitoring server, then you will be able to gather forensic information about the attacker's activities.

Default Value:

Disabled. (The default log size is 20,480 KB - this value can be changed by the local administrator using the Log Properties dialog.)

References:

1. CCE-33428-4

CIS Controls:**Version 6****6.3 Ensure Audit Logging Systems Are Not Subject To Loss (i.e. rotation/archive)**

Ensure that all systems that store logs have adequate storage space for the logs generated on a regular basis, so that log files will not fill up between log rotation intervals. The logs must be archived and digitally signed on a periodic basis.

Version 7**6.4 Ensure adequate storage for logs**

Ensure that all systems that store logs have adequate storage space for the logs generated.

18.9.26.3 Setup

This section contains recommendations for configuring the Setup Event Log.

This Group Policy section is provided by the Group Policy template `EventLog.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.26.3.1 (L1) Ensure 'Setup: Control Event Log behavior when the log file reaches its maximum size' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls Event Log behavior when the log file reaches its maximum size.

The recommended state for this setting is: `Disabled`.

Note: Old events may or may not be retained according to the *Backup log automatically when full* policy setting.

Rationale:

If new events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\EventLog\Setup:Retention
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Event Log Service\Setup\Control Event Log behavior when the log  
file reaches its maximum size
```

Note: This Group Policy path is provided by the Group Policy template EventLog.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was initially named *Retain old events*, but it was renamed starting with the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (When a log file reaches its maximum size, new events overwrite old events.)

References:

1. CCE-34170-1

CIS Controls:

Version 6

6.3 Ensure Audit Logging Systems Are Not Subject To Loss (i.e. rotation/archive)

Ensure that all systems that store logs have adequate storage space for the logs generated on a regular basis, so that log files will not fill up between log rotation intervals. The logs must be archived and digitally signed on a periodic basis.

Version 7

6.4 Ensure adequate storage for logs

Ensure that all systems that store logs have adequate storage space for the logs generated.

18.9.26.3.2 (L1) Ensure 'Setup: Specify the maximum log file size (KB)' is set to 'Enabled: 32,768 or greater' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting specifies the maximum size of the log file in kilobytes. The maximum log file size can be configured between 1 megabyte (1,024 kilobytes) and 4 terabytes (4,194,240 kilobytes) in kilobyte increments.

The recommended state for this setting is: Enabled: 32,768 or greater.

Rationale:

If events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\EventLog\Setup:MaxSize
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled: 32,768 or greater:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Event Log Service\Setup\Specify the maximum log file size (KB)
```

Note: This Group Policy path is provided by the Group Policy template EventLog.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was initially named *Maximum Log Size (KB)*, but it was renamed starting with the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

Impact:

When event logs fill to capacity, they will stop recording information unless the retention method for each is set so that the computer will overwrite the oldest entries with the most recent ones. To mitigate the risk of loss of recent data, you can configure the retention method so that older events are overwritten as needed.

The consequence of this configuration is that older events will be removed from the logs. Attackers can take advantage of such a configuration, because they can generate a large number of extraneous events to overwrite any evidence of their attack. These risks can be somewhat reduced if you automate the archival and backup of event log data.

Ideally, all specifically monitored events should be sent to a server that uses Microsoft System Center Operations Manager (SCOM) or some other automated monitoring tool. Such a configuration is particularly important because an attacker who successfully compromises a server could clear the Security log. If all events are sent to a monitoring server, then you will be able to gather forensic information about the attacker's activities.

Default Value:

Disabled. (The default log size is 20,480 KB - this value can be changed by the local administrator using the Log Properties dialog.)

References:

1. CCE-35091-8

CIS Controls:**Version 6****6.3 Ensure Audit Logging Systems Are Not Subject To Loss (i.e. rotation/archive)**

Ensure that all systems that store logs have adequate storage space for the logs generated on a regular basis, so that log files will not fill up between log rotation intervals. The logs must be archived and digitally signed on a periodic basis.

Version 7**6.4 Ensure adequate storage for logs**

Ensure that all systems that store logs have adequate storage space for the logs generated.

18.9.26.4 System

This section contains recommendations for configuring the System Event Log.

This Group Policy section is provided by the Group Policy template `EventLog.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.26.4.1 (L1) Ensure 'System: Control Event Log behavior when the log file reaches its maximum size' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls Event Log behavior when the log file reaches its maximum size.

The recommended state for this setting is: `Disabled`.

Note: Old events may or may not be retained according to the *Backup log automatically when full* policy setting.

Rationale:

If new events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\EventLog\System:Retention
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Event Log Service\System\Control Event Log behavior when the log  
file reaches its maximum size
```

Note: This Group Policy path is provided by the Group Policy template EventLog.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was initially named *Retain old events*, but it was renamed starting with the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (When a log file reaches its maximum size, new events overwrite old events.)

References:

1. CCE-33729-5

CIS Controls:

Version 6

6.3 Ensure Audit Logging Systems Are Not Subject To Loss (i.e. rotation/archive)

Ensure that all systems that store logs have adequate storage space for the logs generated on a regular basis, so that log files will not fill up between log rotation intervals. The logs must be archived and digitally signed on a periodic basis.

Version 7

6.4 Ensure adequate storage for logs

Ensure that all systems that store logs have adequate storage space for the logs generated.

18.9.26.4.2 (L1) Ensure 'System: Specify the maximum log file size (KB)' is set to 'Enabled: 32,768 or greater' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting specifies the maximum size of the log file in kilobytes. The maximum log file size can be configured between 1 megabyte (1,024 kilobytes) and 4 terabytes (4,194,240 kilobytes) in kilobyte increments.

The recommended state for this setting is: Enabled: 32,768 or greater.

Rationale:

If events are not recorded it may be difficult or impossible to determine the root cause of system problems or the unauthorized activities of malicious users

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\EventLog\System:MaxSize
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled: 32,768 or greater:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Event Log Service\System\Specify the maximum log file size (KB)
```

Note: This Group Policy path is provided by the Group Policy template EventLog.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was initially named *Maximum Log Size (KB)*, but it was renamed starting with the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

Impact:

When event logs fill to capacity, they will stop recording information unless the retention method for each is set so that the computer will overwrite the oldest entries with the most recent ones. To mitigate the risk of loss of recent data, you can configure the retention method so that older events are overwritten as needed.

The consequence of this configuration is that older events will be removed from the logs. Attackers can take advantage of such a configuration, because they can generate a large number of extraneous events to overwrite any evidence of their attack. These risks can be somewhat reduced if you automate the archival and backup of event log data.

Ideally, all specifically monitored events should be sent to a server that uses Microsoft System Center Operations Manager (SCOM) or some other automated monitoring tool. Such a configuration is particularly important because an attacker who successfully compromises a server could clear the Security log. If all events are sent to a monitoring server, then you will be able to gather forensic information about the attacker's activities.

Default Value:

Disabled. (The default log size is 20,480 KB - this value can be changed by the local administrator using the Log Properties dialog.)

References:

1. CCE-35288-0

CIS Controls:

Version 6

6.3 Ensure Audit Logging Systems Are Not Subject To Loss (i.e. rotation/archive)

Ensure that all systems that store logs have adequate storage space for the logs generated on a regular basis, so that log files will not fill up between log rotation intervals. The logs must be archived and digitally signed on a periodic basis.

Version 7

6.4 Ensure adequate storage for logs

Ensure that all systems that store logs have adequate storage space for the logs generated.

18.9.27 Event Logging

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `EventLogging.admx/adml` that is included with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates (or newer).

18.9.28 Event Viewer

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `EventViewer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.29 Family Safety (formerly Parental Controls)

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `ParentalControls.admx/adml` that is only included with the Microsoft Windows Vista through the Windows 10 RTM (Release 1507) Administrative Templates.

Note: This section was initially named *Parental Controls* but was renamed by Microsoft to *Family Safety* starting with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

18.9.30 File Explorer (formerly Windows Explorer)

This section contains recommendations to control the availability of options such as menu items and tabs in dialog boxes.

This Group Policy section is provided by the Group Policy template `WindowsExplorer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note: This section was initially named *Windows Explorer* but was renamed by Microsoft to *File Explorer* starting with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

18.9.30.1 Previous Versions

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `PreviousVersions.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

ARCHIVE

18.9.30.2 (L1) Ensure 'Turn off Data Execution Prevention for Explorer' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Disabling Data Execution Prevention can allow certain legacy plug-in applications to function without terminating Explorer.

The recommended state for this setting is: `Disabled`.

Note: Some legacy plug-in applications and other software may not function with Data Execution Prevention and will require an exception to be defined for that specific plug-in/software.

Rationale:

Data Execution Prevention is an important security feature supported by Explorer that helps to limit the impact of certain types of malware.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\Explorer:NoDataExecutionPrevention
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\File Explorer\Turn off Data Execution Prevention for Explorer
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `Explorer.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Data Execution Prevention will block certain types of malware from exploiting Explorer.)

References:

1. CCE-33608-1

CIS Controls:

Version 6

8.4 Enable Anti-exploitation Features (i.e. DEP, ASLR, EMET)

Enable anti-exploitation features such as Data Execution Prevention (DEP), Address Space Layout Randomization (ASLR), virtualization/containerization, etc. For increased protection, deploy capabilities such as Enhanced Mitigation Experience Toolkit (EMET) that can be configured to apply these protections to a broader set of applications and executables.

Version 7

8.3 Enable Operating System Anti-Exploitation Features/ Deploy Anti-Exploit Technologies

Enable anti-exploitation features such as Data Execution Prevention (DEP) or Address Space Layout Randomization (ASLR) that are available in an operating system or deploy appropriate toolkits that can be configured to apply protection to a broader set of applications and executables.

18.9.30.3 (L1) Ensure 'Turn off heap termination on corruption' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

Without heap termination on corruption, legacy plug-in applications may continue to function when a File Explorer session has become corrupt. Ensuring that heap termination on corruption is active will prevent this.

The recommended state for this setting is: Disabled.

Rationale:

Allowing an application to function after its session has become corrupt increases the risk posture to the system.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\Explorer:NoHeapTerminationOnCorruption
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\File Explorer\Turn off heap termination on corruption
```

Note: This Group Policy path is provided by the Group Policy template Explorer.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Heap termination on corruption is enabled.)

References:

1. CCE-33745-1

CIS Controls:

Version 6

8.4 Enable Anti-exploitation Features (i.e. DEP, ASLR, EMET)

Enable anti-exploitation features such as Data Execution Prevention (DEP), Address Space Layout Randomization (ASLR), virtualization/containerization, etc. For increased protection, deploy capabilities such as Enhanced Mitigation Experience Toolkit (EMET) that can be configured to apply these protections to a broader set of applications and executables.

Version 7

8.3 Enable Operating System Anti-Exploitation Features/ Deploy Anti-Exploit Technologies

Enable anti-exploitation features such as Data Execution Prevention (DEP) or Address Space Layout Randomization (ASLR) that are available in an operating system or deploy appropriate toolkits that can be configured to apply protection to a broader set of applications and executables.

18.9.30.4 (L1) Ensure 'Turn off shell protocol protected mode' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to configure the amount of functionality that the shell protocol can have. When using the full functionality of this protocol, applications can open folders and launch files. The protected mode reduces the functionality of this protocol allowing applications to only open a limited set of folders. Applications are not able to open files with this protocol when it is in the protected mode. It is recommended to leave this protocol in the protected mode to increase the security of Windows.

The recommended state for this setting is: Disabled.

Rationale:

Limiting the opening of files and folders to a limited set reduces the attack surface of the system.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\Explorer:PreXPSP2ShellProtocolBehavior
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\File Explorer\Turn off shell protocol protected mode
```

Note: This Group Policy path is provided by the Group Policy template

WindowsExplorer.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (The protocol is in the protected mode, allowing applications to only open a limited set of folders.)

References:

1. CCE-33764-2

CIS Controls:

Version 6

8.4 Enable Anti-exploitation Features (i.e. DEP, ASLR, EMET)

Enable anti-exploitation features such as Data Execution Prevention (DEP), Address Space Layout Randomization (ASLR), virtualization/containerization, etc. For increased protection, deploy capabilities such as Enhanced Mitigation Experience Toolkit (EMET) that can be configured to apply these protections to a broader set of applications and executables.

Version 7

8.3 Enable Operating System Anti-Exploitation Features/ Deploy Anti-Exploit Technologies

Enable anti-exploitation features such as Data Execution Prevention (DEP) or Address Space Layout Randomization (ASLR) that are available in an operating system or deploy appropriate toolkits that can be configured to apply protection to a broader set of applications and executables.

18.9.31 File History

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `FileHistory.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.9.32 Find My Device

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `FindMy.admx/adml` that is included with the Microsoft Windows 10 Release 1703 Administrative Templates (or newer).

18.9.33 Game Explorer

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `GameExplorer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.34 Handwriting

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Handwriting.admx/adml` that is included with the Microsoft Windows 10 Release 1709 Administrative Templates (or newer).

18.9.35 HomeGroup

This section contains recommendations related to the HomeGroup feature, which is available in all workstations of Windows from Windows 7 through Windows 10 Release 1709. Microsoft removed it from Windows starting with Windows 10 Release 1803.

This Group Policy section is provided by the Group Policy template `Sharing.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.9.35.1 (L1) Ensure 'Prevent the computer from joining a homegroup' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

By default, users can add their computer to a HomeGroup on a home network.

The recommended state for this setting is: `Enabled`.

Note: The HomeGroup feature is available in all workstation releases of Windows from Windows 7 through Windows 10 Release 1709. Microsoft removed the feature completely starting with Windows 10 Release 1803. However, if your environment still contains **any** Windows 10 Release 1709 (or older) workstations, then this setting remains important to disable HomeGroup on those systems.

Rationale:

While resources on a domain-joined computer cannot be shared with a HomeGroup, information from the domain-joined computer can be leaked to other computers in the HomeGroup.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\HomeGroup\DisableHomeGroup
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

Computer Configuration\Policies\Administrative Templates\Windows Components\HomeGroup\Prevent the computer from joining a homegroup

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `Sharing.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

A user on this computer will not be able to add this computer to a HomeGroup. This setting does not affect other network sharing features. Mobile users who access printers and other shared devices on their home networks will not be able to leverage the ease of use provided by HomeGroup functionality.

Default Value:

Disabled. (A user can add their computer to a HomeGroup. However, data on a domain-joined computer is not shared with the HomeGroup.)

References:

1. CCE-34776-5

CIS Controls:

Version 6

14.1 Implement Network Segmentation Based On Information Class

Segment the network based on the label or classification level of the information stored on the servers. Locate all sensitive information on separated VLANs with firewall filtering to ensure that only authorized individuals are only able to communicate with systems necessary to fulfill their specific responsibilities.

Version 7

14.1 Segment the Network Based on Sensitivity

Segment the network based on the label or classification level of the information stored on the servers, locate all sensitive information on separated Virtual Local Area Networks (VLANs).

14.2 Enable Firewall Filtering Between VLANs

Enable firewall filtering between VLANs to ensure that only authorized systems are able to communicate with other systems necessary to fulfill their specific responsibilities.

18.9.36 Import Video

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `CaptureWizard.admx/adml` that is only included with the Microsoft Windows Vista and Windows Server 2008 (non-R2) Administrative Templates.

18.9.37 Internet Explorer

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `InetRes.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.38 Internet Information Services

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `IIS.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.39 Location and Sensors

This section contains settings for Locations and Sensors.

This Group Policy section is provided by the Group Policy template `Sensors.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.9.39.1 Windows Location Provider

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `LocationProviderAdm.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

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18.9.39.2 (L2) Ensure 'Turn off location' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting turns off the location feature for the computer.

The recommended state for this setting is: `Enabled`.

Rationale:

This setting affects the location feature (e.g. GPS or other location tracking). From a security perspective, it's not a good idea to reveal your location to software in most cases, but there are legitimate uses, such as mapping software. However, they should not be used in high security environments.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\LocationAndSensors:DisableLocation
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\Location and Sensors\Turn off location
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `Sensors.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

The location feature is turned off, and all programs on the computer are prevented from using location information from the location feature.

Default Value:

Disabled. (Programs on the computer are permitted to use location information from the location feature.)

References:

1. CCE-33743-6

CIS Controls:

Version 6

13 Data Protection

Data Protection

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

18.9.40 Maintenance Scheduler

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `msched.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.9.41 Maps

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WinMaps.admx/adml` that is included with the Microsoft Windows 10 Release 1511 Administrative Templates (or newer).

18.9.42 MDM

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `MDM.admx/adml` that is included with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates (or newer).

18.9.43 Messaging

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Messaging.admx/adml` that is included with the Microsoft Windows 10 Release 1709 Administrative Templates (or newer).

18.9.44 Microsoft account

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `MSAPolicy.admx/adml` that is included with the Microsoft Windows 10 Release 1703 Administrative Templates (or newer).

18.9.45 Microsoft Edge

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `MicrosoftEdge.admx/adml` that is included with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates (or newer).

18.9.46 Microsoft FIDO Authentication

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `FidoAuth.admx/adml` that is included with the Microsoft Windows 10 Release 1709 Administrative Templates (or newer).

18.9.47 Microsoft Secondary Authentication Factor

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DeviceCredential.admx/adml` that is included with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates (or newer).

18.9.48 Microsoft User Experience Virtualization

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `UserExperienceVirtualization.admx/adml` that is included with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates (or newer).

18.9.49 NetMeeting

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Conf.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.50 Network Access Protection

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `NAPXPQec.admx/adml` that is only included with the Microsoft Windows Server 2008 (non-R2) through the Windows 8.1 Update & Server 2012 R2 Update Administrative Templates.

18.9.51 Network Projector

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `NetworkProjection.admx/adml` that is only included with the Microsoft Windows Vista through the Windows 8.1 Update & Server 2012 R2 Update Administrative Templates.

18.9.52 OneDrive (formerly SkyDrive)

This section contains recommendations related to OneDrive.

The Group Policy settings contained within this section are provided by the Group Policy template `SkyDrive.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

Note: This section was initially named *SkyDrive* but was renamed by Microsoft to *OneDrive* starting with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates.

18.9.52.1 (L1) Ensure 'Prevent the usage of OneDrive for file storage' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting lets you prevent apps and features from working with files on OneDrive using the Next Generation Sync Client.

The recommended state for this setting is: `Enabled`.

Rationale:

Enabling this setting prevents users from accidentally (or intentionally) uploading confidential or sensitive corporate information to the OneDrive cloud service using the Next Generation Sync Client.

Note: This security concern applies to *any* cloud-based file storage application installed on a workstation, not just the one supplied with Windows.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\OneDrive:DisableFileSyncNGSC
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

Computer Configuration\Policies\Administrative Templates\Windows Components\OneDrive\Prevent the usage of OneDrive for file storage

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `SkyDrive.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer). However, we strongly recommend you only use the version included with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates (or newer). Older versions of the templates had conflicting settings in different template files for both OneDrive & SkyDrive, until it was cleaned up properly in the above version.

Note #2: In older Microsoft Windows Administrative Templates, this setting was named *Prevent the usage of SkyDrive for file storage*, but it was renamed starting with the Windows 10 RTM (Release 1507) Administrative Templates.

Impact:

Users can't access OneDrive from the OneDrive app and file picker. Windows Store apps can't access OneDrive using the `WinRT` API. OneDrive doesn't appear in the navigation pane in File Explorer. OneDrive files aren't kept in sync with the cloud. Users can't automatically upload photos and videos from the camera roll folder.

Note: If your organization uses Office 365, be aware that this setting will prevent users from saving files to OneDrive/SkyDrive.

Note #2: If your organization has decided to implement **OneDrive for Business** and therefore needs to except itself from this recommendation, we highly suggest that you also obtain and utilize the `OneDrive.admx/adml` template that is bundled with the latest OneDrive client, as noted [at this link](#) (this template is not included with the Windows Administrative Templates). Two alternative OneDrive settings in particular from that template are worth your consideration:

- *Allow syncing OneDrive accounts for only specific organizations* - a computer-based setting that restricts OneDrive client connections to only **approved** tenant IDs.
- *Prevent users from synchronizing personal OneDrive accounts* - a user-based setting that prevents use of consumer OneDrive (i.e. non-business).

Default Value:

Disabled. (Apps and features can work with OneDrive file storage using the Next Generation Sync Client.)

References:

1. CCE-33826-9

CIS Controls:

Version 6

13 Data Protection

Data Protection

Version 7

13.4 Only Allow Access to Authorized Cloud Storage or Email Providers

Only allow access to authorized cloud storage or email providers.

18.9.52.2 (L1) Ensure 'Prevent the usage of OneDrive for file storage on Windows 8.1' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting lets you prevent apps and features from working with files on OneDrive using the legacy OneDrive/SkyDrive client.

The recommended state for this setting is: `Enabled`.

Note: Despite the name of this setting, it is applicable to the legacy OneDrive client on any Windows OS.

Rationale:

Enabling this setting prevents users from accidentally (or intentionally) uploading confidential or sensitive corporate information to the OneDrive cloud service using the legacy OneDrive/SkyDrive client.

Note: This security concern applies to *any* cloud-based file storage application installed on a workstation, not just the one supplied with Windows.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\OneDrive:DisableFileSync
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

Computer Configuration\Policies\Administrative Templates\Windows Components\OneDrive\Prevent the usage of OneDrive for file storage on Windows 8.1

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `SkyDrive.admx/adml` that is included with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates (or newer). We strongly recommend you only use either that version of the template or a newer one. Older versions of the templates had conflicting settings in different template files for both OneDrive & SkyDrive, until it was cleaned up properly in the above version.

Impact:

Users can't access OneDrive from the OneDrive app and file picker. Windows Store apps can't access OneDrive using the `WinRT` API. OneDrive doesn't appear in the navigation pane in File Explorer. OneDrive files aren't kept in sync with the cloud. Users can't automatically upload photos and videos from the camera roll folder.

Note: If your organization uses Office 365, be aware that this setting will prevent users from saving files to OneDrive/SkyDrive.

Default Value:

Disabled. (Apps and features can work with OneDrive file storage using the legacy OneDrive/SkyDrive client.)

References:

1. CCE-33826-9

CIS Controls:

Version 6

13 Data Protection
Data Protection

Version 7

13.4 Only Allow Access to Authorized Cloud Storage or Email Providers
Only allow access to authorized cloud storage or email providers.

18.9.53 Online Assistance

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `HelpAndSupport.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.54 OOBE

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `OOBE.admx/adml` that is included with the Microsoft Windows 10 Release 1809 and Server 2019 Administrative Templates (or newer).

18.9.55 Password Synchronization

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `PswdSync.admx/adml` that is only included with the Microsoft Windows Vista through the Windows 8.1 Update & Server 2012 R2 Update Administrative Templates.

18.9.56 Portable Operating System

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `ExternalBoot.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.9.57 Presentation Settings

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `MobilePCPresentationSettings.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.58 Push To Install

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `PushToInstall.admx/adml` that is included with the Microsoft Windows 10 Release 1709 Administrative Templates (or newer).

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18.9.59 Remote Desktop Services (formerly Terminal Services)

This section contains recommendations related to Remote Desktop Services.

This Group Policy section is provided by the Group Policy template

`TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note: This section was initially named *Terminal Services* but was renamed by Microsoft to *Remote Desktop Services* starting with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates.

18.9.59.1 RD Licensing (formerly TS Licensing)

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template

`TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note: This section was initially named *TS Licensing* but was renamed by Microsoft to *RD Licensing* starting with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates.

18.9.59.2 Remote Desktop Connection Client

This section contains recommendations for the Remote Desktop Connection Client.

This Group Policy section is provided by the Group Policy template

`TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.59.2.1 RemoteFX USB Device Redirection

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template

`TerminalServer.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

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18.9.59.2.2 (L1) Ensure 'Do not allow passwords to be saved' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting helps prevent Remote Desktop clients from saving passwords on a computer.

The recommended state for this setting is: `Enabled`.

Note: If this policy setting was previously configured as Disabled or Not configured, any previously saved passwords will be deleted the first time a Remote Desktop client disconnects from any server.

Rationale:

An attacker with physical access to the computer may be able to break the protection guarding saved passwords. An attacker who compromises a user's account and connects to their computer could use saved passwords to gain access to additional hosts.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal  
Services:DisablePasswordSaving
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Remote Desktop Services\Remote Desktop Connection Client\Do not  
allow passwords to be saved
```

Note: This Group Policy path is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

The password saving checkbox will be disabled for Remote Desktop clients and users will not be able to save passwords.

Default Value:

Disabled. (Users will be able to save passwords using Remote Desktop Connection.)

References:

1. CCE-34506-6

CIS Controls:

Version 6

16.4 Automatically Log Off Users After Standard Period Of Inactivity

Regularly monitor the use of all accounts, automatically logging off users after a standard period of inactivity.

Version 7

16.11 Lock Workstation Sessions After Inactivity

Automatically lock workstation sessions after a standard period of inactivity.

18.9.59.3 Remote Desktop Session Host (formerly Terminal Server)

This section contains recommendations for the Remote Desktop Session Host.

This Group Policy section is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note: This section was initially named *Terminal Server* but was renamed by Microsoft to *Remote Desktop Session Host* starting with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates.

18.9.59.3.1 Application Compatibility

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `TerminalServer-Server.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.9.59.3.2 Connections

This section contains recommendations for Connections to the Remote Desktop Session Host.

This Group Policy section is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.59.3.2.1 (L2) Ensure 'Allow users to connect remotely by using Remote Desktop Services' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting allows you to configure remote access to computers by using Remote Desktop Services.

The recommended state for this setting is: Disabled.

Rationale:

Any account with the *Allow log on through Remote Desktop Services* user right can log on to the remote console of the computer. If you do not restrict access to legitimate users who need to log on to the console of the computer, unauthorized users could download and execute malicious code to elevate their privileges.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal Services:fDenyTSConnections

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Remote Desktop Services\Remote Desktop Session  
Host\Connections\Allow users to connect remotely by using Remote Desktop  
Services
```

Note: This Group Policy path is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was initially named *Allow users to connect remotely using Terminal Services*, but it was renamed to *Allow users to connect remotely using Remote Desktop Services* in the Windows 7 & Server 2008 R2 Administrative Templates. It was finally renamed (again) to *Allow users to connect remotely by using Remote Desktop Services* starting with the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

Impact:

None - this is the default configuration, unless Remote Desktop Services has been manually enabled on the Remote tab in the System Properties sheet.

Default Value:

Disabled. (Users cannot connect remotely to the target computer by using Remote Desktop Services, unless it has been manually enabled from the Remote tab in the System Properties sheet.)

References:

1. CCE-35255-9

CIS Controls:

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

18.9.59.3.3 Device and Resource Redirection

This section contains recommendations related to Remote Desktop Session Host Device and Resource Redirection.

This Group Policy section is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.59.3.3.1 (L2) Ensure 'Do not allow COM port redirection' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting specifies whether to prevent the redirection of data to client COM ports from the remote computer in a Remote Desktop Services session.

The recommended state for this setting is: `Enabled`.

Rationale:

In a more security-sensitive environment, it is desirable to reduce the possible attack surface. The need for COM port redirection within a Remote Desktop session is very rare, so makes sense to reduce the number of unexpected avenues for data exfiltration and/or malicious code transfer.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

<code>HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal Services:fDisableCcm</code>

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Remote Desktop Services\Remote Desktop Session Host\Device and  
Resource Redirection\Do not allow COM port redirection
```

Note: This Group Policy path is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Users in a Remote Desktop Services session will not be able to redirect server data to local (client) COM ports.

Default Value:

Disabled. (Remote Desktop Services allows COM port redirection.)

References:

1. CCE-34496-0

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

18.9.59.3.3.2 (L1) Ensure 'Do not allow drive redirection' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting prevents users from sharing the local drives on their client computers to Remote Desktop Servers that they access. Mapped drives appear in the session folder tree in Windows Explorer in the following format:

```
\\TSCClient\<driveletter>$
```

If local drives are shared they are left vulnerable to intruders who want to exploit the data that is stored on them.

The recommended state for this setting is: `Enabled`.

Rationale:

Data could be forwarded from the user's Remote Desktop Services session to the user's local computer without any direct user interaction. Malicious software already present on a compromised server would have direct and stealthy disk access to the user's local computer during the Remote Desktop session.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal  
Services:fDisableCdm
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Remote Desktop Services\Remote Desktop Session Host\Device and  
Resource Redirection\Do not allow drive redirection
```

Note: This Group Policy path is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Drive redirection will not be possible. In most situations, traditional network drive mapping to file shares (including administrative shares) performed manually by the connected user will serve as a capable substitute to still allow file transfers when needed.

Default Value:

Disabled. (An RD Session Host maps client drives automatically upon connection.)

References:

1. CCE-34697-3

CIS Controls:

Version 6

13 Data Protection
Data Protection

Version 7

14.6 Protect Information through Access Control Lists

Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.

18.9.59.3.3.3 (L2) Ensure 'Do not allow LPT port redirection' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting specifies whether to prevent the redirection of data to client LPT ports during a Remote Desktop Services session.

The recommended state for this setting is: `Enabled`.

Rationale:

In a more security-sensitive environment, it is desirable to reduce the possible attack surface. The need for LPT port redirection within a Remote Desktop session is very rare, so makes sense to reduce the number of unexpected avenues for data exfiltration and/or malicious code transfer.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal  
Services:fDisableLPT
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Remote Desktop Services\Remote Desktop Session Host\Device and  
Resource Redirection\Do not allow LPT port redirection
```

Note: This Group Policy path is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Users in a Remote Desktop Services session will not be able to redirect server data to local (client) LPT ports.

Default Value:

Disabled. (Remote Desktop Services allows LPT port redirection.)

References:

1. CCE-34698-1

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

18.9.59.3.3.4 (L2) Ensure 'Do not allow supported Plug and Play device redirection' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting allows you to control the redirection of supported Plug and Play devices, such as Windows Portable Devices, to the remote computer in a Remote Desktop Services session.

The recommended state for this setting is: Enabled.

Rationale:

In a more security-sensitive environment, it is desirable to reduce the possible attack surface. The need for Plug and Play device redirection within a Remote Desktop session is very rare, so makes sense to reduce the number of unexpected avenues for data exfiltration and/or malicious code transfer.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal  
Services:fDisablePNPRedir
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Remote Desktop Services\Remote Desktop Session Host\Device and  
Resource Redirection\Do not allow supported Plug and Play device redirection
```

Note: This Group Policy path is provided by the Group Policy template

TerminalServer.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Users in a Remote Desktop Services session will not be able to redirect their supported (local client) Plug and Play devices to the remote computer.

Default Value:

Disabled. (Remote Desktop Services allows redirection of supported Plug and Play devices.)

References:

1. CCE-34790-6

CIS Controls:

Version 6

9.1 Limit Open Ports, Protocols, and Services

Ensure that only ports, protocols, and services with validated business needs are running on each system.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

18.9.59.3.4 Licensing

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template

`TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.59.3.5 Printer Redirection

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template

`TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.59.3.6 Profiles

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.59.3.7 RD Connection Broker (formerly TS Connection Broker)

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note: This section was initially named *TS Connection Broker* but was renamed by Microsoft to *RD Connection Broker* starting with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates.

18.9.59.3.8 Remote Session Environment

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.59.3.9 Security

This section contains recommendations related to Remote Desktop Session Host Security.

This Group Policy section is provided by the Group Policy template

`TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.59.3.9.1 (L1) Ensure 'Always prompt for password upon connection' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting specifies whether Remote Desktop Services always prompts the client computer for a password upon connection. You can use this policy setting to enforce a password prompt for users who log on to Remote Desktop Services, even if they already provided the password in the Remote Desktop Connection client.

The recommended state for this setting is: `Enabled`.

Rationale:

Users have the option to store both their username and password when they create a new Remote Desktop Connection shortcut. If the server that runs Remote Desktop Services allows users who have used this feature to log on to the server but not enter their password, then it is possible that an attacker who has gained physical access to the user's computer could connect to a Remote Desktop Server through the Remote Desktop Connection shortcut, even though they may not know the user's password.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

<code>HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal Services:fPromptForPassword</code>

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Remote Desktop Services\Remote Desktop Session  
Host\Security\Always prompt for password upon connection
```

Note: This Group Policy path is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In the Microsoft Windows Vista Administrative Templates, this setting was named *Always prompt client for password upon connection*, but it was renamed starting with the Windows Server 2008 (non-R2) Administrative Templates.

Impact:

Users cannot automatically log on to Remote Desktop Services by supplying their passwords in the Remote Desktop Connection client. They will be prompted for a password to log on.

Default Value:

Disabled. (Remote Desktop Services allows users to automatically log on if they enter a password in the Remote Desktop Connection client.)

References:

1. CCE-33960-6

CIS Controls:

Version 6

16.14 Encrypt/Hash All Authentication Files And Monitor Their Access

Verify that all authentication files are encrypted or hashed and that these files cannot be accessed without root or administrator privileges. Audit all access to password files in the system.

Version 7

16.4 Encrypt or Hash all Authentication Credentials

Encrypt or hash with a salt all authentication credentials when stored.

16.5 Encrypt Transmittal of Username and Authentication Credentials

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

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18.9.59.3.9.2 (L1) Ensure 'Require secure RPC communication' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to specify whether Remote Desktop Services requires secure Remote Procedure Call (RPC) communication with all clients or allows unsecured communication.

You can use this policy setting to strengthen the security of RPC communication with clients by allowing only authenticated and encrypted requests.

The recommended state for this setting is: `Enabled`.

Rationale:

Allowing unsecure RPC communication can exposes the server to man in the middle attacks and data disclosure attacks.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal  
Services:fEncryptRPCTraffic
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Remote Desktop Services\Remote Desktop Session  
Host\Security\Require secure RPC communication
```

Note: This Group Policy path is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Remote Desktop Services accepts requests from RPC clients that support secure requests, and does not allow unsecured communication with untrusted clients.

Default Value:

Disabled. (Remote Desktop Services always requests security for all RPC traffic. However, unsecured communication is allowed for RPC clients that do not respond to the request.)

References:

1. CCE-35723-6

CIS Controls:

Version 6

3.4 Use Only Secure Channels For Remote System Administration

Perform all remote administration of servers, workstation, network devices, and similar equipment over secure channels. Protocols such as telnet, VNC, RDP, or others that do not actively support strong encryption should only be used if they are performed over a secondary encryption channel, such as SSL, TLS or IPSEC.

Version 7

4.5 Use Multifactor Authentication For All Administrative Access

Use multi-factor authentication and encrypted channels for all administrative account access.

18.9.59.3.9.3 (L1) Ensure 'Require use of specific security layer for remote (RDP) connections' is set to 'Enabled: SSL' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting specifies whether to require the use of a specific security layer to secure communications between clients and RD Session Host servers during Remote Desktop Protocol (RDP) connections.

The recommended state for this setting is: `Enabled: SSL`.

Note: In spite of this setting being labelled *SSL*, it is actually enforcing Transport Layer Security (TLS) version 1.0, not the older (and less secure) SSL protocol.

Rationale:

The native Remote Desktop Protocol (RDP) encryption is now considered a weak protocol, so enforcing the use of stronger Transport Layer Security (TLS) encryption for all RDP communications between clients and RD Session Host servers is preferred.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal  
Services\SecurityLayer
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled: SSL`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Remote Desktop Services\Remote Desktop Session  
Host\Security\Require use of specific security layer for remote (RDP)  
connections
```

Note: This Group Policy path is provided by the Group Policy template

`TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

TLS 1.0 will be required to authenticate to the RD Session Host server. If TLS is not supported, the connection fails.

Default Value:

Negotiate. (The most secure method that is supported by the client is enforced. If TLS is supported, it is used to authenticate the RD Session Host server. If TLS is not supported, native RDP encryption is used, but the RD Session Host server is not authenticated.)

References:

1. CCE-33025-8

CIS Controls:

Version 7

4.5 Use Multifactor Authentication For All Administrative Access

Use multi-factor authentication and encrypted channels for all administrative account access.

18.9.59.3.9.4 (L1) Ensure 'Require user authentication for remote connections by using Network Level Authentication' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to specify whether to require user authentication for remote connections to the RD Session Host server by using Network Level Authentication.

The recommended state for this setting is: Enabled.

Rationale:

Requiring that user authentication occur earlier in the remote connection process enhances security.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal  
Services\UserAuthentication
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Remote Desktop Services\Remote Desktop Session  
Host\Security\Require user authentication for remote connections by using  
Network Level Authentication
```

Note: This Group Policy path is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In the Microsoft Windows Vista Administrative Templates, this setting was initially named *Require user authentication using RDP 6.0 for remote connections*, but it was renamed starting with the Windows Server 2008 (non-R2) Administrative Templates.

Impact:

Only client computers that support Network Level Authentication can connect to the RD Session Host server.

Note: Some third party two-factor authentication solutions (e.g. RSA Authentication Agent) can be negatively affected by this setting, as Network Level Authentication will expect the user's Windows password, and once successfully authenticated, pass the credential along to the Windows session on the RDP host (to complete the login). If a two-factor agent is present and expecting a different credential at the RDP logon screen, this initial connection may result in a failed logon attempt.

Default Value:

Windows 7 and older: Disabled.

Windows 8.0 and newer: Enabled.

References:

1. CCE-35724-4

CIS Controls:

Version 7

4.5 Use Multifactor Authentication For All Administrative Access

Use multi-factor authentication and encrypted channels for all administrative account access.

18.9.59.3.9.5 (L1) Ensure 'Set client connection encryption level' is set to 'Enabled: High Level' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting specifies whether to require the use of a specific encryption level to secure communications between client computers and RD Session Host servers during Remote Desktop Protocol (RDP) connections. This policy only applies when you are using native RDP encryption. However, native RDP encryption (as opposed to SSL encryption) is not recommended. This policy does not apply to SSL encryption.

The recommended state for this setting is: Enabled: High Level.

Rationale:

If Remote Desktop client connections that use low level encryption are allowed, it is more likely that an attacker will be able to decrypt any captured Remote Desktop Services network traffic.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal  
Services:MinEncryptionLevel
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled: High Level:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Remote Desktop Services\Remote Desktop Session Host\Security\Set  
client connection encryption level
```

Note: This Group Policy path is provided by the Group Policy template TerminalServer.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Enabled: High Level. (All communications between clients and RD Session Host servers during remote connections using native RDP encryption must be 128-bit strength. Clients that do not support 128-bit encryption will be unable to establish Remote Desktop Server sessions.)

References:

1. CCE-35578-4

CIS Controls:

Version 6

3.4 Use Only Secure Channels For Remote System Administration

Perform all remote administration of servers, workstation, network devices, and similar equipment over secure channels. Protocols such as telnet, VNC, RDP, or others that do not actively support strong encryption should only be used if they are performed over a secondary encryption channel, such as SSL, TLS or IPSEC.

Version 7

4.5 Use Multifactor Authentication For All Administrative Access

Use multi-factor authentication and encrypted channels for all administrative account access.

18.9.59.3.10 Session Time Limits

This section contains recommendations related to Remote Desktop Session Host Session Time Limits.

This Group Policy section is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.59.3.10.1 (L2) Ensure 'Set time limit for active but idle Remote Desktop Services sessions' is set to 'Enabled: 15 minutes or less' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting allows you to specify the maximum amount of time that an active Remote Desktop Services session can be idle (without user input) before it is automatically disconnected.

The recommended state for this setting is: `Enabled: 15 minutes or less`.

Rationale:

This setting helps to prevent active Remote Desktop sessions from tying up the computer for long periods of time while not in use, preventing computing resources from being consumed by large numbers of inactive sessions. In addition, old, forgotten Remote Desktop sessions that are still active can cause password lockouts if the user's password has changed but the old session is still running. For systems that limit the number of connected users (e.g. servers in the default Administrative mode - 2 sessions only), other users' old but still active sessions can prevent another user from connecting, resulting in an effective denial of service.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal  
Services:MaxIdleTime
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**:
15 minutes or less:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Remote Desktop Services\Remote Desktop Session Host\Session Time  
Limits\Set time limit for active but idle Remote Desktop Services sessions
```

Note: This Group Policy path is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was named *Set time limit for active but idle Terminal Services sessions*, but it was renamed starting with the Windows 7 & Server 2008 R2 Administrative Templates.

Impact:

Remote Desktop Services will automatically disconnect active but idle sessions after 15 minutes (or the specified amount of time). The user receives a warning two minutes before the session disconnects, which allows the user to press a key or move the mouse to keep the session active. Note that idle session time limits do not apply to console sessions.

Default Value:

Disabled. (Remote Desktop Services allows sessions to remain active but idle for an unlimited amount of time.)

References:

1. CCE-35595-8

CIS Controls:

Version 6

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.11 Lock Workstation Sessions After Inactivity

Automatically lock workstation sessions after a standard period of inactivity.

18.9.59.3.10.2 (L2) Ensure 'Set time limit for disconnected sessions' is set to 'Enabled: 1 minute' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting allows you to configure a time limit for disconnected Remote Desktop Services sessions.

The recommended state for this setting is: `Enabled: 1 minute`.

Rationale:

This setting helps to prevent active Remote Desktop sessions from tying up the computer for long periods of time while not in use, preventing computing resources from being consumed by large numbers of disconnected but still active sessions. In addition, old, forgotten Remote Desktop sessions that are still active can cause password lockouts if the user's password has changed but the old session is still running. For systems that limit the number of connected users (e.g. servers in the default Administrative mode - 2 sessions only), other users' old but still active sessions can prevent another user from connecting, resulting in an effective denial of service. This setting is important to ensure a disconnected session is properly terminated.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal  
Services:MaxDisconnectionTime
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**:
1 minute:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Remote Desktop Services\Remote Desktop Session Host\Session Time  
Limits\Set time limit for disconnected sessions
```

Note: This Group Policy path is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Disconnected Remote Desktop sessions are deleted from the server after 1 minute. Note that disconnected session time limits do not apply to console sessions.

Default Value:

Disabled. (Disconnected Remote Desktop sessions are maintained for an unlimited time on the server.)

References:

1. CCE-35599-0

CIS Controls:

Version 6

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.11 Lock Workstation Sessions After Inactivity

Automatically lock workstation sessions after a standard period of inactivity.

18.9.59.3.11 Temporary folders

This section contains recommendations related to Remote Desktop Session Host Session Temporary folders.

This Group Policy section is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.59.3.11.1 (L1) Ensure 'Do not delete temp folders upon exit' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting specifies whether Remote Desktop Services retains a user's per-session temporary folders at logoff.

The recommended state for this setting is: Disabled.

Rationale:

Sensitive information could be contained inside the temporary folders and visible to other administrators that log into the system.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal  
Services>DeleteTempDirsOnExit
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Remote Desktop Services\Remote Desktop Session Host\Temporary  
Folders\Do not delete temp folders upon exit
```

Note: This Group Policy path is provided by the Group Policy template TerminalServer.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was named *Do not delete temp folder upon exit*, but it was renamed starting with the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Temporary folders are deleted when a user logs off.)

References:

1. CCE-34136-2

CIS Controls:

Version 6

14.4 Protect Information With Access Control Lists

All information stored on systems shall be protected with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.

Version 7

14.6 Protect Information through Access Control Lists

Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.

18.9.59.3.11.2 (L1) Ensure 'Do not use temporary folders per session' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

By default, Remote Desktop Services creates a separate temporary folder on the RD Session Host server for each active session that a user maintains on the RD Session Host server. The temporary folder is created on the RD Session Host server in a Temp folder under the user's profile folder and is named with the `sessionId`. This temporary folder is used to store individual temporary files.

To reclaim disk space, the temporary folder is deleted when the user logs off from a session.

The recommended state for this setting is: `Disabled`.

Rationale:

Disabling this setting keeps the cached data independent for each session, both reducing the chance of problems from shared cached data between sessions, and keeping possibly sensitive data separate to each user session.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows NT\Terminal  
Services:PerSessionTempDir
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Remote Desktop Services\Remote Desktop Session Host\Temporary  
Folders\Do not use temporary folders per session
```

Note: This Group Policy path is provided by the Group Policy template

`TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Per-session temporary folders are created.)

References:

1. CCE-34531-4

CIS Controls:

Version 6

14.4 Protect Information With Access Control Lists

All information stored on systems shall be protected with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.

Version 7

14.6 Protect Information through Access Control Lists

Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.

18.9.60 RSS Feeds

This section contains recommendations related to RSS feeds.

This Group Policy section is provided by the Group Policy template `InetRes.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.60.1 (L1) Ensure 'Prevent downloading of enclosures' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting prevents the user from having enclosures (file attachments) downloaded from an RSS feed to the user's computer.

The recommended state for this setting is: `Enabled`.

Rationale:

Allowing attachments to be downloaded through the RSS feed can introduce files that could have malicious intent.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Internet  
Explorer\Feeds:DisableEnclosureDownload
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

Computer Configuration\Policies\Administrative Templates\Windows Components\RSS Feeds\Prevent downloading of enclosures

Note: This Group Policy path is provided by the Group Policy template `InetRes.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was named *Turn off downloading of enclosures*, but it was renamed starting with the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

Impact:

Users cannot set the Feed Sync Engine to download an enclosure through the Feed property page. Developers cannot change the download setting through feed APIs.

Default Value:

Disabled. (Users can set the Feed Sync Engine to download an enclosure through the Feed property page. Developers can change the download setting through the Feed APIs.)

References:

1. CCE-34822-7

CIS Controls:

Version 6

7.2 Uninstall/Disable Unnecessary or Unauthorized Browser Or Email Client Plugins

Uninstall or disable any unnecessary or unauthorized browser or email client plugins or add-on applications. Each plugin shall utilize application / URL whitelisting and only allow the use of the application for pre-approved domains.

Version 7

7.2 Disable Unnecessary or Unauthorized Browser or Email Client Plugins

Uninstall or disable any unauthorized browser or email client plugins or add-on applications.

18.9.61 Search

This section contains recommendations for Search settings.

This Group Policy section is provided by the Group Policy template `Search.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.61.1 OCR

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `SearchOCR.admx/adml` that is only included with the Microsoft Windows 7 & Server 2008 R2 through the Windows 10 Release 1511 Administrative Templates.

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18.9.61.2 (L1) Ensure 'Allow indexing of encrypted files' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting controls whether encrypted items are allowed to be indexed. When this setting is changed, the index is rebuilt completely. Full volume encryption (such as BitLocker Drive Encryption or a non-Microsoft solution) must be used for the location of the index to maintain security for encrypted files.

The recommended state for this setting is: Disabled.

Rationale:

Indexing and allowing users to search encrypted files could potentially reveal confidential data stored within the encrypted files.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\Windows  
Search:AllowIndexingEncryptedStoresOrItems
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Search\Allow indexing of encrypted files
```

Note: This Group Policy path is provided by the Group Policy template `Search.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Search service components (including non-Microsoft components) are expected not to index encrypted items or encrypted stores.)

References:

1. CCE-35314-4

CIS Controls:

Version 6

13.1 Assess Data To Identify Sensitive Information

Perform an assessment of data to identify sensitive information that requires the application of encryption and integrity controls.

Version 7

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

18.9.62 Security Center

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `SecurityCenter.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.63 Server for NIS

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Snis.admx/adml` that is only included with the Microsoft Windows Vista through the Windows 8.1 Update & Server 2012 R2 Update Administrative Templates.

18.9.64 Shutdown Options

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WinInit.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.65 Smart Card

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `SmartCard.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.66 Software Protection Platform

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `AVSValidationGP.admx/adml` that is included with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates (or newer).

18.9.67 Sound Recorder

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `SoundRec.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.68 Speech

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Speech.admx/adml` that is included with the Microsoft Windows 10 Release 1703 Administrative Templates (or newer).

18.9.69 Store

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WinStoreUI.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates, or by the Group Policy template `WindowsStore.admx/adml` that is included with the Microsoft Windows 10 Release 1511 Administrative Templates (or newer).

18.9.70 Sync your settings

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `SettingSync.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.9.71 Tablet PC

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.72 Task Scheduler

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `TaskScheduler.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.73 Text Input

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `TextInput.admx/adml` that is only included with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates and Microsoft Windows 10 Release 1511 Administrative Templates.

18.9.74 Windows Calendar

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WinCal.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.75 Windows Color System

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsColorSystem.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.76 Windows Customer Experience Improvement Program

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `CEIPEnable.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

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18.9.77 Windows Defender Antivirus (formerly Windows Defender)

This section contains recommendations related to Windows Defender Antivirus.

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note: This section was originally named *Windows Defender* but was renamed by Microsoft to *Windows Defender Antivirus* starting with the Microsoft Windows 10 Release 1703 Administrative Templates.

18.9.77.1 Client Interface

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

18.9.77.2 Exclusions

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

18.9.77.3 MAPS

This section contains recommendations related to Microsoft Active Protection Service (MAPS).

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

18.9.77.3.1 (L2) Ensure 'Join Microsoft MAPS' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting allows you to join Microsoft Active Protection Service (MAPS), which Microsoft has now renamed to "Windows Defender Antivirus Cloud Protection Service". Microsoft MAPS / Windows Defender Antivirus Cloud Protection Service is the online community that helps you choose how to respond to potential threats. The community also helps stop the spread of new malicious software infections. You can choose to send basic or additional information about detected software. Additional information helps Microsoft create new definitions and help it to protect your computer.

Possible options are:

- (0x0) Disabled (default)
- (0x1) Basic membership
- (0x2) Advanced membership

Basic membership will send basic information to Microsoft about software that has been detected including where the software came from the actions that you apply or that are applied automatically and whether the actions were successful.

Advanced membership in addition to basic information will send more information to Microsoft about malicious software spyware and potentially unwanted software including the location of the software file names how the software operates and how it has impacted your computer.

The recommended state for this setting is: `Disabled`.

Rationale:

The information that would be sent can include things like location of detected items on your computer if harmful software was removed. The information would be automatically collected and sent. In some instances personal information might unintentionally be sent to Microsoft. However, Microsoft states that it will not use this information to identify you or contact you.

For privacy reasons in high security environments, it is best to prevent these data submissions altogether.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is in effect when the following registry value does not exist, or when it exists with a value of 0:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows  
Defender\Spynet:SpynetReporting
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Windows Defender Antivirus\MAPS\Join Microsoft MAPS
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Microsoft MAPS / Windows Defender Antivirus Cloud Protection Service will not be joined.)

CIS Controls:

Version 7

2.7 Utilize Application Whitelisting

Utilize application whitelisting technology on all assets to ensure that only authorized software executes and all unauthorized software is blocked from executing on assets.

18.9.77.4 MpEngine

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 10 Release 1703 Administrative Templates (or newer).

18.9.77.5 Network Inspection System

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

18.9.77.6 Quarantine

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

18.9.77.7 Real-time Protection

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

18.9.77.8 Remediation

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

18.9.77.9 Reporting

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

18.9.77.10 Scan

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

18.9.77.11 Security Intelligence Updates (formerly Signature Updates)

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

Note: This section was initially named *Signature Updates* but was renamed by Microsoft to *Security Intelligence Updates* starting with the Microsoft Windows 10 Release 1903 Administrative Templates.

18.9.77.12 Threats

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

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18.9.77.13 Windows Defender Exploit Guard

This section contains Windows Defender Exploit Guard settings.

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 10 Release 1709 Administrative Templates (or newer).

18.9.77.13.1 Attack Surface Reduction

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

18.9.77.13.2 Controlled Folder Access

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

18.9.77.13.3 Network Protection

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with the Microsoft Windows 10 Release 1709 Administrative Templates (or newer).

18.9.77.14 (L1) Ensure 'Turn off Windows Defender AntiVirus' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting turns off Windows Defender Antivirus. If the setting is configured to Disabled, Windows Defender Antivirus runs and computers are scanned for malware and other potentially unwanted software.

The recommended state for this setting is: Disabled.

Rationale:

It is important to ensure a current, updated antivirus product is scanning each computer for malicious file activity. Microsoft provides a competent solution out of the box in Windows Defender Antivirus.

Organizations that choose to purchase a reputable 3rd-party antivirus solution may choose to exempt themselves from this recommendation in lieu of the commercial alternative.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows  
Defender:DisableAntiSpyware
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Windows Defender Antivirus\Turn off Windows Defender AntiVirus
```

Note: This Group Policy path is provided by the Group Policy template `WindowsDefender.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was initially named *Turn off Windows Defender*, but it was renamed starting with the Windows 10 Release 1703 Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Windows Defender Antivirus runs and computers are scanned for malware and other potentially unwanted software.)

References:

1. CCE-33478-9

CIS Controls:

Version 6

8.1 Deploy Automated Endpoint Protection Tools

Employ automated tools to continuously monitor workstations, servers, and mobile devices with anti-virus, anti-spyware, personal firewalls, and host-based IPS functionality. All malware detection events should be sent to enterprise anti-malware administration tools and event log servers.

Version 7

8.1 Utilize Centrally Managed Anti-malware Software

Utilize centrally managed anti-malware software to continuously monitor and defend each of the organization's workstations and servers.

8.2 Ensure Anti-Malware Software and Signatures are Updated

Ensure that the organization's anti-malware software updates its scanning engine and signature database on a regular basis.

18.9.78 Windows Defender Application Guard

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `AppHVSI.admx/adml` that is included with the Microsoft Windows 10 Release 1703 Administrative Templates (or newer).

18.9.79 Windows Defender Exploit Guard

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `ExploitGuard.admx/adml` that is included with the Microsoft Windows 10 Release 1709 Administrative Templates (or newer).

18.9.80 Windows Defender SmartScreen

This section contains Windows Defender SmartScreen settings.

This Group Policy section is provided by the Group Policy template `SmartScreen.admx/adml` that is included with the Microsoft Windows 10 Release 1703 Administrative Templates (or newer).

18.9.80.1 Explorer

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

The Group Policy settings contained within this section are provided by the Group Policy template `WindowsExplorer.admx/adml` that is included with the Microsoft Windows 10 Release 1703 Administrative Templates (or newer).

18.9.80.2 Microsoft Edge

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

The Group Policy settings contained within this section are provided by the Group Policy template `SmartScreen.admx/adml` that is included with the Microsoft Windows 10 Release 1703 Administrative Templates (or newer).

18.9.81 Windows Error Reporting

This section contains recommendations related to Windows Error Reporting.

This Group Policy section is provided by the Group Policy template `ErrorReporting.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.81.1 Advanced Error Reporting Settings

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `ErrorReporting.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

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18.9.81.2 Consent

This section contains recommendations related to Windows Error Reporting consent.

This Group Policy section is provided by the Group Policy template

ErrorReporting.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.81.2.1 (L1) Ensure 'Configure Default consent' is set to 'Enabled: Always ask before sending data' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting allows you to set the default consent handling for error reports.

The recommended state for this setting is: Enabled: Always ask before sending data

Rationale:

Error reports may contain sensitive information and should not be sent to anyone automatically.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\Windows Error Reporting\Consent:DefaultConsent
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled: Always ask before sending data:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\Windows Error Reporting\Consent\Configure Default consent
```

Note: This Group Policy path is provided by the Group Policy template

ErrorReporting.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Always ask before sending data. (Windows prompts users for consent to send reports.)

References:

1. CCE-34330-1

CIS Controls:

Version 6

13 Data Protection

Data Protection

Version 7

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

18.9.82 Windows Game Recording and Broadcasting

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `GameDVR.admx/adml` that is included with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates (or newer).

18.9.83 Windows Hello for Business (formerly Microsoft Passport for Work)

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Passport.admx/adml` that is included with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates (or newer).

Note: This section was initially named *Microsoft Passport for Work* but was renamed by Microsoft to *Windows Hello for Business* starting with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates.

18.9.84 Windows Ink Workspace

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsInkWorkspace.admx/adml` that is included with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates (or newer).

18.9.85 Windows Installer

This section contains recommendations related to Windows Installer.

This Group Policy section is provided by the Group Policy template `MSI.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.85.1 (L1) Ensure 'Allow user control over installs' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting controls whether users are permitted to change installation options that typically are available only to system administrators. The security features of Windows Installer normally prevent users from changing installation options that are typically reserved for system administrators, such as specifying the directory to which files are installed. If Windows Installer detects that an installation package has permitted the user to change a protected option, it stops the installation and displays a message. These security features operate only when the installation program is running in a privileged security context in which it has access to directories denied to the user.

The recommended state for this setting is: `Disabled`.

Rationale:

In an enterprise managed environment, only IT staff with administrative rights should be installing or changing software on a system. Allowing users the ability to have any control over installs can risk unapproved software from being installed or removed from a system, which could cause the system to become vulnerable to compromise.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\Installer:EnableUserControl
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

Computer Configuration\Policies\Administrative Templates\Windows Components\Windows Installer\Allow user control over installs

Note: This Group Policy path is provided by the Group Policy template `MSI.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was named *Enable user control over installs*, but it was renamed starting with the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (The security features of Windows Installer will prevent users from changing installation options typically reserved for system administrators, such as specifying the directory to which files are installed.)

References:

1. CCE-35431-6

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

18.9.85.2 (L1) Ensure 'Always install with elevated privileges' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting controls whether or not Windows Installer should use system permissions when it installs any program on the system.

Note: This setting appears both in the Computer Configuration and User Configuration folders. To make this setting effective, you must enable the setting in both folders.

Caution: If enabled, skilled users can take advantage of the permissions this setting grants to change their privileges and gain permanent access to restricted files and folders. Note that the User Configuration version of this setting is not guaranteed to be secure.

The recommended state for this setting is: `Disabled`.

Rationale:

Users with limited privileges can exploit this feature by creating a Windows Installer installation package that creates a new local account that belongs to the local built-in Administrators group, adds their current account to the local built-in Administrators group, installs malicious software, or performs other unauthorized activities.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\Installer:AlwaysInstallElevated
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\Windows Installer\Always install with elevated privileges
```

Note: This Group Policy path is provided by the Group Policy template `MSI.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Windows Installer will apply the current user's permissions when it installs programs that a system administrator does not distribute or offer. This will prevent standard users from installing applications that affect system-wide configuration items.)

References:

1. CCE-35400-1

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

18.9.85.3 (L2) Ensure 'Prevent Internet Explorer security prompt for Windows Installer scripts' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting controls whether Web-based programs are allowed to install software on the computer without notifying the user.

The recommended state for this setting is: `Disabled`.

Rationale:

Suppressing the system warning can pose a security risk and increase the attack surface on the system.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\Installer:SafeForScripting
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\Windows Installer\Prevent Internet Explorer security prompt for Windows Installer scripts
```

Note: This Group Policy path is provided by the Group Policy template `MSI.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was initially named *Disable IE security prompt for Windows Installer scripts*, but it was renamed starting with the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (When a script hosted by an Internet browser tries to install a program on the system, the system warns users and allows them to select or refuse the installation.)

References:

1. CCE-35086-8

CIS Controls:

Version 6

7 Email and Web Browser Protections

Email and Web Browser Protections

Version 7

7.3 Limit Use of Scripting Languages in Web Browsers and Email Clients

Ensure that only authorized scripting languages are able to run in all web browsers and email clients.

18.9.86 Windows Logon Options

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WinLogon.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.87 Windows Mail

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsMail.admx/adml` that is only included with the Microsoft Windows Vista through the Windows 10 Release 1703 Administrative Templates.

18.9.88 Windows Media Center

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `MediaCenter.admx/adml` that is only included with the Microsoft Windows Vista through Windows 10 Release 1511 Administrative Templates.

18.9.89 Windows Media Digital Rights Management

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsMediaDRM.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.90 Windows Media Player

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsMediaPlayer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.91 Windows Meeting Space

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsCollaboration.admx/adml` that is only included with the Microsoft Windows Vista and Server 2008 (non-R2) Administrative Templates.

18.9.92 Windows Messenger

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsMessenger.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.93 Windows Mobility Center

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `MobilePCMobilityCenter.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.94 Windows Movie Maker

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `MovieMaker.admx/adml` that is only included with the Microsoft Windows Vista and Server 2008 (non-R2) Administrative Templates.

18.9.95 Windows PowerShell

This section contains recommendations related to Windows PowerShell.

This Group Policy section is provided by the Group Policy template

PowerShellExecutionPolicy.admx/adml that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

18.9.95.1 (L1) Ensure 'Turn on PowerShell Script Block Logging' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting enables logging of all PowerShell script input to the Microsoft-Windows-PowerShell/Operational event log.

The recommended state for this setting is: Disabled.

Note: In Microsoft's own hardening guidance, they recommend the opposite value, Enabled, because having this data logged improves investigations of PowerShell attack incidents. However, the default ACL on the PowerShell Operational log allows Interactive User (i.e. *any* logged on user) to read it, and therefore possibly expose passwords or other sensitive information to unauthorized users. If Microsoft locks down the default ACL on that log in the future (e.g. to restrict it only to Administrators), then we will revisit this recommendation in a future release.

Rationale:

There are potential risks of capturing passwords in the PowerShell logs. This setting should only be needed for debugging purposes, and not in normal operation, it is important to ensure this is set to Disabled.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\PowerShell\ScriptBlock  
Logging:EnableScriptBlockLogging
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

Computer Configuration\Policies\Administrative Templates\Windows Components\Windows PowerShell\Turn on PowerShell Script Block Logging

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `PowerShellExecutionPolicy.admx/adml` that is included with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates (or newer).

Impact:

Logging of PowerShell script input is disabled.

Default Value:

Enabled. (PowerShell will log script blocks the first time they are used.)

CIS Controls:

Version 6

16.4 Automatically Log Off Users After Standard Period Of Inactivity

Regularly monitor the use of all accounts, automatically logging off users after a standard period of inactivity.

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

18.9.95.2 (L1) Ensure 'Turn on PowerShell Transcription' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This Policy setting lets you capture the input and output of Windows PowerShell commands into text-based transcripts.

The recommended state for this setting is: `Disabled`.

Rationale:

If this setting is enabled there is a risk that passwords could get stored in plain text in the `PowerShell_transcript` output file.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\PowerShell\Transcription:EnableTranscripting
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\Windows PowerShell\Turn on PowerShell Transcription
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `PowerShellExecutionPolicy.admx/adml` that is included with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates (or newer).

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Transcription of PowerShell-based applications is disabled by default, although transcription can still be enabled through the `Start-Transcript` cmdlet.)

CIS Controls:

Version 6

16.4 Automatically Log Off Users After Standard Period Of Inactivity

Regularly monitor the use of all accounts, automatically logging off users after a standard period of inactivity.

Version 7

5.1 Establish Secure Configurations

Maintain documented, standard security configuration standards for all authorized operating systems and software.

18.9.96 Windows Reliability Analysis

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `RacWmiProv.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

18.9.97 Windows Remote Management (WinRM)

This section contains recommendations related to Windows Remote Management (WinRM).

This Group Policy section is provided by the Group Policy template `WindowsRemoteManagement.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.97.1 WinRM Client

This section contains recommendations related to the Windows Remote Management (WinRM) client.

This Group Policy section is provided by the Group Policy template `WindowsRemoteManagement.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.97.1.1 (L1) Ensure 'Allow Basic authentication' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to manage whether the Windows Remote Management (WinRM) client uses Basic authentication.

The recommended state for this setting is: `Disabled`.

Note: Clients that use Microsoft's Exchange Online service (Office 365) will require an exception to this recommendation, to instead have this setting set to `Enabled`. Exchange Online uses Basic authentication over HTTPS, and so the Exchange Online authentication traffic will still be safely encrypted.

Rationale:

Basic authentication is less robust than other authentication methods available in WinRM because credentials including passwords are transmitted in plain text. An attacker who is able to capture packets on the network where WinRM is running may be able to determine the credentials used for accessing remote hosts via WinRM.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WinRM\Client:AllowBasic
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Windows Remote Management (WinRM)\WinRM Client\Allow Basic  
authentication
```

Note: This Group Policy path is provided by the Group Policy template `WindowsRemoteManagement.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (The WinRM client does not use Basic authentication.)

References:

1. CCE-35258-3

CIS Controls:

Version 6

16.13 User/Account Authentication Must Be Performed Over Encrypted Channels

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

Version 7

14.4 Encrypt All Sensitive Information in Transit

Encrypt all sensitive information in transit.

16.5 Encrypt Transmittal of Username and Authentication Credentials

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

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18.9.97.1.2 (L1) Ensure 'Allow unencrypted traffic' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to manage whether the Windows Remote Management (WinRM) client sends and receives unencrypted messages over the network.

The recommended state for this setting is: `Disabled`.

Rationale:

Encrypting WinRM network traffic reduces the risk of an attacker viewing or modifying WinRM messages as they transit the network.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WinRM\Client:AllowUnencryptedTraffic
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\Windows Remote Management (WinRM)\WinRM Client\Allow unencrypted traffic
```

Note: This Group Policy path is provided by the Group Policy template `WindowsRemoteManagement.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

`Disabled`. (The WinRM client sends or receives only encrypted messages over the network.)

References:

1. CCE-34458-0

CIS Controls:

Version 6

16.13 User/Account Authentication Must Be Performed Over Encrypted Channels

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

Version 7

14.4 Encrypt All Sensitive Information in Transit

Encrypt all sensitive information in transit.

16.5 Encrypt Transmittal of Username and Authentication Credentials

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

18.9.97.1.3 (L1) Ensure 'Disallow Digest authentication' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to manage whether the Windows Remote Management (WinRM) client will not use Digest authentication.

The recommended state for this setting is: `Enabled`.

Rationale:

Digest authentication is less robust than other authentication methods available in WinRM, an attacker who is able to capture packets on the network where WinRM is running may be able to determine the credentials used for accessing remote hosts via WinRM.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WinRM\Client:AllowDigest
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Windows Remote Management (WinRM)\WinRM Client\Disallow Digest  
authentication
```

Note: This Group Policy path is provided by the Group Policy template `WindowsRemoteManagement.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

The WinRM client will not use Digest authentication.

Default Value:

Disabled. (The WinRM client will use Digest authentication.)

References:

1. CCE-34778-1

CIS Controls:

Version 6

16.13 User/Account Authentication Must Be Performed Over Encrypted Channels

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

Version 7

14.4 Encrypt All Sensitive Information in Transit

Encrypt all sensitive information in transit.

16.5 Encrypt Transmittal of Username and Authentication Credentials

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

18.9.97.2 WinRM Service

This section contains recommendations related to the Windows Remote Management (WinRM) service.

This Group Policy section is provided by the Group Policy template `WindowsRemoteManagement.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.97.2.1 (L1) Ensure 'Allow Basic authentication' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to manage whether the Windows Remote Management (WinRM) service accepts Basic authentication from a remote client.

The recommended state for this setting is: Disabled.

Rationale:

Basic authentication is less robust than other authentication methods available in WinRM because credentials including passwords are transmitted in plain text. An attacker who is able to capture packets on the network where WinRM is running may be able to determine the credentials used for accessing remote hosts via WinRM.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WinRM\Service:AllowBasic

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Windows Remote Management (WinRM)\WinRM Service\Allow Basic  
authentication
```

Note: This Group Policy path is provided by the Group Policy template `WindowsRemoteManagement.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (The WinRM service will not accept Basic authentication from a remote client.)

References:

1. CCE-34779-9

CIS Controls:

Version 6

16.13 User/Account Authentication Must Be Performed Over Encrypted Channels

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

Version 7

14.4 Encrypt All Sensitive Information in Transit

Encrypt all sensitive information in transit.

16.5 Encrypt Transmittal of Username and Authentication Credentials

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

18.9.97.2.2 (L2) Ensure 'Allow remote server management through WinRM' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting allows you to manage whether the Windows Remote Management (WinRM) service automatically listens on the network for requests on the HTTP transport over the default HTTP port.

The recommended state for this setting is: `Disabled`.

Rationale:

Any feature is a potential avenue of attack, those that enable inbound network connections are particularly risky. Only enable the use of the Windows Remote Management (WinRM) service on trusted networks and when feasible employ additional controls such as IPsec.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy object is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WinRM\Service:AllowAutoConfig
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Administrative Templates\Windows Components\Windows Remote Management (WinRM)\WinRM Service\Allow remote server management through WinRM
```

Note: This Group Policy path is provided by the Group Policy template

`WindowsRemoteManagement.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was initially named *Allow automatic configuration of listeners*, but it was renamed starting with the Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (The WinRM service will not respond to requests from a remote computer, regardless of whether or not any WinRM listeners are configured.)

References:

1. CCE-33146-2

CIS Controls:

Version 6

3.4 Use Only Secure Channels For Remote System Administration

Perform all remote administration of servers, workstation, network devices, and similar equipment over secure channels. Protocols such as telnet, VNC, RDP, or others that do not actively support strong encryption should only be used if they are performed over a secondary encryption channel, such as SSL, TLS or IPSEC.

Version 7

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.9.97.2.3 (L1) Ensure 'Allow unencrypted traffic' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to manage whether the Windows Remote Management (WinRM) service sends and receives unencrypted messages over the network.

The recommended state for this setting is: `Disabled`.

Rationale:

Encrypting WinRM network traffic reduces the risk of an attacker viewing or modifying WinRM messages as they transit the network.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WinRM\Service:AllowUnencryptedTraffic
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\Windows Remote Management (WinRM)\WinRM Service\Allow unencrypted traffic
```

Note: This Group Policy path is provided by the Group Policy template `WindowsRemoteManagement.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (The WinRM service sends or receives only encrypted messages over the network.)

References:

1. CCE-35054-6

CIS Controls:

Version 6

16.13 User/Account Authentication Must Be Performed Over Encrypted Channels

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

Version 7

14.4 Encrypt All Sensitive Information in Transit

Encrypt all sensitive information in transit.

16.5 Encrypt Transmittal of Username and Authentication Credentials

Ensure that all account usernames and authentication credentials are transmitted across networks using encrypted channels.

18.9.97.2.4 (L1) Ensure 'Disallow WinRM from storing RunAs credentials' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to manage whether the Windows Remote Management (WinRM) service will allow RunAs credentials to be stored for any plug-ins.

The recommended state for this setting is: `Enabled`.

Note: If you enable and then disable this policy setting, any values that were previously configured for `RunAsPassword` will need to be reset.

Rationale:

Although the ability to store RunAs credentials is a convenient feature it increases the risk of account compromise slightly. For example, if you forget to lock your desktop before leaving it unattended for a few minutes another person could access not only the desktop of your computer but also any hosts you manage via WinRM with cached RunAs credentials.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WinRM\Service:DisableRunAs
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Windows Remote Management (WinRM)\WinRM Service\Disallow WinRM  
from storing RunAs credentials
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `WindowsRemoteManagement.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

Impact:

The WinRM service will not allow the `RunAsUser` or `RunAsPassword` configuration values to be set for any plug-ins. If a plug-in has already set the `RunAsUser` and `RunAsPassword` configuration values, the `RunAsPassword` configuration value will be erased from the credential store on the computer.

If this setting is later Disabled again, any values that were previously configured for `RunAsPassword` will need to be reset.

Default Value:

Disabled. (The WinRM service will allow the `RunAsUser` and `RunAsPassword` configuration values to be set for plug-ins and the `RunAsPassword` value will be stored securely.)

References:

1. CCE-35416-7

CIS Controls:

Version 6

16.4 Automatically Log Off Users After Standard Period Of Inactivity

Regularly monitor the use of all accounts, automatically logging off users after a standard period of inactivity.

Version 7

14.3 Disable Workstation to Workstation Communication

Disable all workstation to workstation communication to limit an attacker's ability to move laterally and compromise neighboring systems, through technologies such as Private VLANs or microsegmentation.

18.9.98 Windows Remote Shell

This section contains settings related to Windows Remote Shell (WinRS).

This Group Policy section is provided by the Group Policy template

WindowsRemoteShell.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.98.1 (L2) Ensure 'Allow Remote Shell Access' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting allows you to manage configuration of remote access to all supported shells to execute scripts and commands.

The recommended state for this setting is: Disabled.

Note: The GPME help text for this setting is incorrectly worded, implying that configuring it to Enabled will reject new Remote Shell connections, and setting it to Disabled will allow Remote Shell connections. The opposite is true (and is consistent with the title of the setting). This is a wording mistake by Microsoft in the Administrative Template.

Rationale:

Any feature is a potential avenue of attack, those that enable inbound network connections are particularly risky. Only enable the use of the Windows Remote Shell on trusted networks and when feasible employ additional controls such as IPsec.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy object is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WinRM\Service\WinRS:AllowRemoteShellAccess
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

Computer Configuration\Administrative Templates\Windows Components\Windows Remote Shell\Allow Remote Shell Access

Note: This Group Policy path is provided by the Group Policy template WindowsRemoteShell.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

New Remote Shell connections are not allowed and are rejected by the workstation.

Default Value:

Enabled. (New Remote Shell connections are allowed.)

References:

1. CCE-33740-2

CIS Controls:

Version 6

3.4 Use Only Secure Channels For Remote System Administration

Perform all remote administration of servers, workstation, network devices, and similar equipment over secure channels. Protocols such as telnet, VNC, RDP, or others that do not actively support strong encryption should only be used if they are performed over a secondary encryption channel, such as SSL, TLS or IPSEC.

Version 7

4.5 Use Multifactor Authentication For All Administrative Access

Use multi-factor authentication and encrypted channels for all administrative account access.

9.2 Ensure Only Approved Ports, Protocols and Services Are Running

Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.

9.3 Perform Regular Automated Port Scans

Perform automated port scans on a regular basis against all systems and alert if unauthorized ports are detected on a system.

18.9.99 Windows Security (formerly Windows Defender Security Center)

This section contains recommendations related to the Windows Security Center console settings.

This Group Policy section is provided by the Group Policy template `WindowsDefenderSecurityCenter.admx/adml` that is included with the Microsoft Windows 10 Release 1709 Administrative Templates (or newer).

Note: This section was originally named *Windows Defender Security Center* but was renamed by Microsoft to *Windows Security* starting with the Microsoft Windows 10 Release 1809 & Server 2019 Administrative Templates.

18.9.99.1 Account protection

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsDefenderSecurityCenter.admx/adml` that is included with the Microsoft Windows 10 Release 1803 Administrative Templates (or newer).

18.9.99.2 App and browser protection

This section contains App and browser protection settings.

This Group Policy section is provided by the Group Policy template

`WindowsDefenderSecurityCenter.admx/adml` that is included with the Microsoft Windows 10 Release 1709 Administrative Templates (or newer).

18.9.100 Windows SideShow

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `SideShow.admx/adml` that is only included with the Microsoft Windows Vista Administrative Templates through Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

18.9.101 Windows System Resource Manager

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template

`SystemResourceManager.admx/adml` that is only included with the Microsoft Windows Vista through Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

18.9.102 Windows Update

This section contains recommendations related to Windows Update.

This Group Policy section is provided by the Group Policy template `WindowsUpdate.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

18.9.102.1 Windows Update for Business (formerly Defer Windows Updates)

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsUpdate.admx/adml` that is included with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates (or newer).

Note: This section was initially named *Defer Windows Updates* but was renamed by Microsoft to *Windows Update for Business* starting with the Microsoft Windows 10 Release 1709 Administrative Templates.

18.9.102.2 (L1) Ensure 'Configure Automatic Updates' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting specifies whether computers in your environment will receive security updates from Windows Update or WSUS. If you configure this policy setting to Enabled, the operating system will recognize when a network connection is available and then use the network connection to search Windows Update or your designated intranet site for updates that apply to them.

After you configure this policy setting to Enabled, select one of the following three options in the Configure Automatic Updates Properties dialog box to specify how the service will work:

- 2 - Notify for download and auto install (*Notify before downloading any updates*)
- 3 - Auto download and notify for install (*Download the updates automatically and notify when they are ready to be installed.*) (Default setting)
- 4 - Auto download and schedule the install (*Automatically download updates and install them on the schedule specified below.*)
- 5 - Allow local admin to choose setting (*Leave decision on above choices up to the local Administrators (Not Recommended)*)

The recommended state for this setting is: Enabled.

Note: The sub-setting "Configure automatic updating:" has 4 possible values – all of them are valid depending on specific organizational needs, however if feasible we suggest using a value of 4 - Auto download and schedule the install. This suggestion is not a scored requirement.

Note #2: Organizations that utilize a 3rd-party solution for patching may choose to exempt themselves from this recommendation, and instead configure it to Disabled so that the native Windows Update mechanism does not interfere with the 3rd-party patching process.

Rationale:

Although each version of Windows is thoroughly tested before release, it is possible that problems will be discovered after the products are shipped. The Configure Automatic Updates setting can help you ensure that the computers in your environment will always have the most recent critical operating system updates and service packs installed.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WindowsUpdate\AU:NoAutoUpdate
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\Windows Update\Configure Automatic Updates
```

Note: This Group Policy path is provided by the Group Policy template `WindowsUpdate.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Critical operating system updates and service packs will be installed as necessary.

Default Value:

Enabled: 3 - Auto download and notify for install. (Windows finds updates that apply to the computer and downloads them in the background (the user is not notified or interrupted during this process). When the downloads are complete, users will be notified that they are ready to install. After going to Windows Update, users can install them.)

References:

1. CCE-35111-4

CIS Controls:

Version 6

4.5 Use Automated Patch Management And Software Update Tools

Deploy automated patch management tools and software update tools for operating system and software/applications on all systems for which such tools are available and safe. Patches should be applied to all systems, even systems that are properly air gapped.

Version 7

3.4 Deploy Automated Operating System Patch Management Tools

Deploy automated software update tools in order to ensure that the operating systems are running the most recent security updates provided by the software vendor.

3.5 Deploy Automated Software Patch Management Tools

Deploy automated software update tools in order to ensure that third-party software on all systems is running the most recent security updates provided by the software vendor.

18.9.102.3 (L1) Ensure 'Configure Automatic Updates: Scheduled install day' is set to '0 - Every day' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting specifies when computers in your environment will receive security updates from Windows Update or WSUS.

The recommended state for this setting is: 0 - Every day.

Note: This setting is only applicable if 4 - Auto download and schedule the install is selected in Rule 18.9.102.2. It will have no impact if any other option is selected.

Rationale:

Although each version of Windows is thoroughly tested before release, it is possible that problems will be discovered after the products are shipped. The Configure Automatic Updates setting can help you ensure that the computers in your environment will always have the most recent critical operating system updates and service packs installed.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WindowsUpdate\AU:ScheduledInstallDay
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to 0 - Every day:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\Windows Update\Configure Automatic Updates: Scheduled install day
```

Note: This Group Policy path is provided by the Group Policy template

WindowsUpdate.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

If 4 - Auto download and schedule the install is selected in Rule 18.9.102.2, critical operating system updates and service packs will automatically download every day (at 3:00 A.M., by default).

Default Value:

Not Defined. (Since the default value of Configure Automatic Updates is 3 - Auto download and notify for install, this setting is not applicable by default.)

References:

1. CCE-35111-4

CIS Controls:

Version 6

4.5 Use Automated Patch Management And Software Update Tools

Deploy automated patch management tools and software update tools for operating system and software/applications on all systems for which such tools are available and safe. Patches should be applied to all systems, even systems that are properly air gapped.

Version 7

3.4 Deploy Automated Operating System Patch Management Tools

Deploy automated software update tools in order to ensure that the operating systems are running the most recent security updates provided by the software vendor.

3.5 Deploy Automated Software Patch Management Tools

Deploy automated software update tools in order to ensure that third-party software on all systems is running the most recent security updates provided by the software vendor.

18.9.102.4 (L1) Ensure 'Do not adjust default option to 'Install Updates and Shut Down' in Shut Down Windows dialog box' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to manage whether the 'Install Updates and Shut Down' option is allowed to be the default choice in the Shut Down Windows dialog box.

The recommended state for this setting is: Disabled.

Rationale:

Installing security updates is very important for maintaining the ongoing security of a computer. This setting should *not* be Enabled, to therefore keep the 'Install Updates and Shut Down' option as the default choice (when applicable), which helps to encourage the installation of pending updates when a user shuts down their computer.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WindowsUpdate\AU:NoAUsDefaultShutdownOption
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
Computer Configuration\Administrative Templates\Windows Components\Windows Update\Do not adjust default option to 'Install Updates and Shut Down' in Shut Down Windows dialog box
```

Note: This Group Policy path is provided by the Group Policy template

WindowsUpdate.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (The 'Install Updates and Shut Down' option will be the default option in the Shut Down Windows dialog box if updates are available for installation at the time the user selects the Shut Down option in the Start menu.)

References:

1. CCE-34491-1

CIS Controls:

Version 6

4.5 Use Automated Patch Management And Software Update Tools

Deploy automated patch management tools and software update tools for operating system and software/applications on all systems for which such tools are available and safe. Patches should be applied to all systems, even systems that are properly air gapped.

Version 7

3.4 Deploy Automated Operating System Patch Management Tools

Deploy automated software update tools in order to ensure that the operating systems are running the most recent security updates provided by the software vendor.

3.5 Deploy Automated Software Patch Management Tools

Deploy automated software update tools in order to ensure that third-party software on all systems is running the most recent security updates provided by the software vendor.

18.9.102.5 (L1) Ensure 'Do not display 'Install Updates and Shut Down' option in Shut Down Windows dialog box' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to manage whether the 'Install Updates and Shut Down' option is displayed in the Shut Down Windows dialog box.

The recommended state for this setting is: `Disabled`.

Rationale:

Installing security updates is very important for maintaining the ongoing security of a computer. This setting should *not* be Enabled, to therefore keep the 'Install Updates and Shut Down' option available (when applicable), which helps to encourage the installation of pending updates when a user shuts down their computer.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WindowsUpdate\AU:NoAUShutDownOption
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows Components\Windows Update\Do not display 'Install Updates and Shut Down' option in Shut Down Windows dialog box
```

Note: This Group Policy path is provided by the Group Policy template `WindowsUpdate.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (The 'Install Updates and Shut Down' option will be available in the Shut Down Windows dialog box if updates are available when the user selects the Shut Down option in the Start menu.)

References:

1. CCE-34520-7

CIS Controls:

Version 6

4.5 Use Automated Patch Management And Software Update Tools

Deploy automated patch management tools and software update tools for operating system and software/applications on all systems for which such tools are available and safe. Patches should be applied to all systems, even systems that are properly air gapped.

Version 7

3.4 Deploy Automated Operating System Patch Management Tools

Deploy automated software update tools in order to ensure that the operating systems are running the most recent security updates provided by the software vendor.

3.5 Deploy Automated Software Patch Management Tools

Deploy automated software update tools in order to ensure that third-party software on all systems is running the most recent security updates provided by the software vendor.

18.9.102.6 (L1) Ensure 'No auto-restart with logged on users for scheduled automatic updates installations' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting specifies that Automatic Updates will wait for computers to be restarted by the users who are logged on to them to complete a scheduled installation.

The recommended state for this setting is: `Disabled`.

Note: This setting applies only when you configure Automatic Updates to perform scheduled update installations. If you configure the Configure Automatic Updates setting to Disabled, this setting has no effect.

Rationale:

Some security updates require that the computer be restarted to complete an installation. If the computer cannot restart automatically, then the most recent update will not completely install and no new updates will download to the computer until it is restarted. Without the auto-restart functionality, users who are not security-conscious may choose to indefinitely delay the restart, therefore keeping the computer in a less secure state.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WindowsUpdate\AU:NoAutoRebootWithLoggedOnUsers

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Disabled`:

```
Computer Configuration\Policies\Administrative Templates\Windows  
Components\Windows Update\No auto-restart with logged on users for scheduled  
automatic updates installations
```

Note: This Group Policy path is provided by the Group Policy template `WindowsUpdate.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note #2: In older Microsoft Windows Administrative Templates, this setting was initially named *No auto-restart for scheduled Automatic Updates installations*, but it was renamed starting with the Windows 7 & Server 2008 R2 Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Automatic Updates will notify the user that the computer will automatically restart in 5 minutes to complete the installation of security updates.)

References:

1. CCE-33813-7

CIS Controls:

Version 6

4.5 Use Automated Patch Management And Software Update Tools

Deploy automated patch management tools and software update tools for operating system and software/applications on all systems for which such tools are available and safe. Patches should be applied to all systems, even systems that are properly air gapped.

Version 7

3.4 Deploy Automated Operating System Patch Management Tools

Deploy automated software update tools in order to ensure that the operating systems are running the most recent security updates provided by the software vendor.

3.5 Deploy Automated Software Patch Management Tools

Deploy automated software update tools in order to ensure that third-party software on all systems is running the most recent security updates provided by the software vendor.

18.9.102.7 (L1) Ensure 'Reschedule Automatic Updates scheduled installations' is set to 'Enabled: 1 minute' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting specifies the amount of time for Automatic Updates to wait, following system startup, before proceeding with a scheduled installation that was missed previously.

The recommended state for this setting is: Enabled: 1 minute.

Rationale:

Rescheduling Automatic Updates that were not installed on schedule will help ensure security patches get installed. By scheduling these missed updates to install quickly after starting up Windows, it will help to reduce the amount of productivity impact on users, as they would not likely have gotten very far into their workload.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WindowsUpdate\AU:RescheduleWaitTimeEnabled
HKEY_LOCAL_MACHINE\SOFTWARE\Policies\Microsoft\Windows\WindowsUpdate\AU:RescheduleWaitTime
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled: 1 minute:

```
Computer Configuration\Administrative Templates\Windows Components\Windows Update\Reschedule Automatic Updates scheduled installations
```

Note: This Group Policy path is provided by the Group Policy template WindowsUpdate.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Enabled: 1 minute. (A missed scheduled installation will occur one minute after the computer is next started.)

References:

1. CCE-33027-4

CIS Controls:

Version 6

4.5 Use Automated Patch Management And Software Update Tools

Deploy automated patch management tools and software update tools for operating system and software/applications on all systems for which such tools are available and safe. Patches should be applied to all systems, even systems that are properly air gapped.

Version 7

3.4 Deploy Automated Operating System Patch Management Tools

Deploy automated software update tools in order to ensure that the operating systems are running the most recent security updates provided by the software vendor.

3.5 Deploy Automated Software Patch Management Tools

Deploy automated software update tools in order to ensure that third-party software on all systems is running the most recent security updates provided by the software vendor.

19 Administrative Templates (User)

This section contains user-based recommendations from Group Policy Administrative Templates (ADMX).

19.1 Control Panel

This section contains recommendations for Control Panel settings.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.1.1 Add or Remove Programs

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `AddRemovePrograms.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.1.2 Display

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `ControlPanelDisplay.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.1.3 Personalization (formerly Desktop Themes)

This section contains recommendations for personalization settings.

This Group Policy section is provided by the Group Policy template `ControlPanelDisplay.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note: This section was initially named *Desktop Themes* but was renamed by Microsoft to *Personalization* starting with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates.

19.1.3.1 (L1) Ensure 'Enable screen saver' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting enables/disables the use of desktop screen savers.

The recommended state for this setting is: `Enabled`.

Rationale:

If a user forgets to lock their computer when they walk away, it is possible that a passerby will hijack it. Configuring a timed screen saver with password lock will help to protect against these hijacks.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_USERS\[USER SID]\Software\Policies\Microsoft\Windows\Control  
Panel\Desktop:ScreenSaveActive
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
User Configuration\Policies\Administrative Templates\Control  
Panel\Personalization\Enable screen saver
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `ControlPanelDisplay.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

A screen saver runs, provided that the following two conditions hold: First, a valid screen saver on the client is specified through the *Force specific screen saver* setting (Rule 19.1.3.2) or through Control Panel on the client computer. Second, the *Screen saver timeout* setting (Rule 19.1.3.4) is set to a nonzero value through the setting or through Control Panel.

Default Value:

Enabling/disabling the screen saver is managed locally by the user.

References:

1. CCE-33164-5

CIS Controls:

Version 6

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.11 Lock Workstation Sessions After Inactivity

Automatically lock workstation sessions after a standard period of inactivity.

19.1.3.2 (L1) Ensure 'Force specific screen saver: Screen saver executable name' is set to 'Enabled: scrnsave.scr' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting specifies the screen saver for the user's desktop.

The recommended state for this setting is: Enabled: scrnsave.scr.

Note: If the specified screen saver is not installed on a computer to which this setting applies, the setting is ignored.

Rationale:

If a user forgets to lock their computer when they walk away, it is possible that a passerby will hijack it. Configuring a timed screen saver with password lock will help to protect against these hijacks.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_USERS\[USER SID]\Software\Policies\Microsoft\Windows\Control  
Panel\Desktop:SCRNSAVE.EXE
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Enabled: scrnsave.scr:

```
User Configuration\Policies\Administrative Templates\Control  
Panel\Personalization\Force specific screen saver
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template ControlPanelDisplay.admx/adml that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

The system displays the specified screen saver on the user's desktop. The drop-down list of screen savers in the Screen Saver dialog in the Personalization or Display Control Panel will be disabled, preventing users from changing the screen saver.

Default Value:

Disabled. (Users can select any screen saver.)

References:

1. CCE-33105-8

CIS Controls:

Version 6

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.11 Lock Workstation Sessions After Inactivity

Automatically lock workstation sessions after a standard period of inactivity.

19.1.3.3 (L1) Ensure 'Password protect the screen saver' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting determines whether screen savers used on the computer are password protected.

The recommended state for this setting is: `Enabled`.

Rationale:

If a user forgets to lock their computer when they walk away, it is possible that a passerby will hijack it. Configuring a timed screen saver with password lock will help to protect against these hijacks.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_USERS\[USER SID]\Software\Policies\Microsoft\Windows\ControlPanel\Desktop:ScreenSaverIsSecure
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
User Configuration\Policies\Administrative Templates\ControlPanel\Personalization>Password protect the screen saver
```

Note: This Group Policy path is provided by the Group Policy template `ControlPanelDisplay.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

All screen savers are password protected. The "Password protected" checkbox on the Screen Saver dialog in the Personalization or Display Control Panel will be disabled, preventing users from changing the password protection setting.

Default Value:

Whether or not to password protect each screen saver is managed locally by the user.

References:

1. CCE-32938-3

CIS Controls:

Version 6

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.11 Lock Workstation Sessions After Inactivity

Automatically lock workstation sessions after a standard period of inactivity.

ARCHIVE

19.1.3.4 (L1) Ensure 'Screen saver timeout' is set to 'Enabled: 900 seconds or fewer, but not 0' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting specifies how much user idle time must elapse before the screen saver is launched.

The recommended state for this setting is: Enabled: 900 seconds or fewer, but not 0.

Note: This setting has no effect under the following circumstances:

- The wait time is set to zero.
- The "Enable Screen Saver" setting is disabled.
- A valid screen existing saver is not selected manually or via the "Screen saver executable name" setting

Rationale:

If a user forgets to lock their computer when they walk away, it is possible that a passerby will hijack it. Configuring a timed screen saver with password lock will help to protect against these hijacks.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_USERS\[USER_SID]\Software\Policies\Microsoft\Windows\Control  
Panel\Desktop:ScreenSaveTimeOut
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:
900 or fewer, but not 0:

```
User Configuration\Policies\Administrative Templates\Control  
Panel\Personalization\Screen saver timeout
```

Note: This Group Policy path may not exist by default. It is provided by the Group Policy template `ControlPanelDisplay.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Impact:

The screen saver will automatically activate when the computer has been left unattended for the amount of time specified, and the users will not be able to change the timeout value.

Default Value:

15 minutes. (May subsequently be reconfigured locally by the user.)

References:

1. CCE-33168-6

CIS Controls:

Version 6

16.5 Ensure Workstation Screen Locks Are Configured

Configure screen locks on systems to limit access to unattended workstations.

Version 7

16.11 Lock Workstation Sessions After Inactivity

Automatically lock workstation sessions after a standard period of inactivity.

19.2 Desktop

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.3 Network

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.4 Shared Folders

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `SharedFolders.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.5 Start Menu and Taskbar

This section contains recommendations for Start Menu and Taskbar settings.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.5.1 Notifications

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WPN.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

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19.6 System

This section contains recommendations for System settings.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.6.1 Ctrl+Alt+Del Options

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `CtrlAltDel.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.6.2 Display

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Display.admx/adml` that is included with the Microsoft Windows 10 Release 1803 Administrative Templates (or newer).

19.6.3 Driver Installation

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DeviceInstallation.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.6.4 Folder Redirection

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `FolderRedirection.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.6.5 Group Policy

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `GroupPolicy.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

ARCHIVE

19.6.6 Internet Communication Management

This section contains recommendations related to Internet Communication Management.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.6.6.1 Internet Communication settings

This section contains recommendations related to Internet Communication settings.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.6.6.1.1 (L2) Ensure 'Turn off Help Experience Improvement Program' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This policy setting specifies whether users can participate in the Help Experience Improvement program. The Help Experience Improvement program collects information about how customers use Windows Help so that Microsoft can improve it.

The recommended state for this setting is: `Enabled`.

Rationale:

Large enterprise managed environments may not want to have information collected by Microsoft from managed client computers.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

<code>HKEY_USERS\[USER SID]\Software\Policies\Microsoft\Assistance\Client\1.0:NoImplicitFeedback</code>

Remediation:

To establish the recommended configuration via GP, set the following UI path to **Enabled**:

```
User Configuration\Policies\Administrative Templates\System\Internet  
Communication Management\Internet Communication Settings\Turn off Help  
Experience Improvement Program
```

Note: This Group Policy path is provided by the Group Policy template `HelpAndSupport.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Users cannot participate in the Help Experience Improvement program.

Default Value:

Disabled. (Users can turn on the Help Experience Improvement program feature from the Help and Support settings page.)

References:

1. CCE-33349-2

CIS Controls:

Version 6

13 Data Protection
Data Protection

Version 7

13.3 Monitor and Block Unauthorized Network Traffic

Deploy an automated tool on network perimeters that monitors for unauthorized transfer of sensitive information and blocks such transfers while alerting information security professionals.

19.7 Windows Components

This section contains recommendations for Windows Component settings.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.1 Add features to Windows 8 / 8.1 / 10 (formerly Windows Anytime Upgrade)

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsAnytimeUpgrade.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

Note: This section was initially named *Windows Anytime Upgrade* but was renamed by Microsoft to *Add features to Windows x* starting with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

19.7.2 App runtime

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `AppXRuntime.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

19.7.3 Application Compatibility

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `AppCompat.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.4 Attachment Manager

This section contains recommendations related to Attachment Manager.

This Group Policy section is provided by the Group Policy template

`AttachmentManager.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.4.1 (L1) Ensure 'Do not preserve zone information in file attachments' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting allows you to manage whether Windows marks file attachments with information about their zone of origin (such as restricted, Internet, intranet, local). This requires NTFS in order to function correctly, and will fail without notice on FAT32. By not preserving the zone information, Windows cannot make proper risk assessments.

The recommended state for this setting is: `Disabled`.

Note: The Attachment Manager feature warns users when opening or executing files which are marked as being from an untrusted source, unless/until the file's zone information has been removed via the "Unblock" button on the file's properties or via a separate tool such as [Microsoft Sysinternals Streams](#).

Rationale:

A file that is downloaded from a computer in the Internet or Restricted Sites zone may be moved to a location that makes it appear safe, like an intranet file share, and executed by an unsuspecting user. The Attachment Manager feature will warn users when opening or executing files which are marked as being from an untrusted source, unless/until the file's zone information has been removed.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_USERS\[USER  
SID]\Software\Microsoft\Windows\CurrentVersion\Policies\Attachments:SaveZoneI  
nformation
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

```
User Configuration\Policies\Administrative Templates\Windows  
Components\Attachment Manager\Do not preserve zone information in file  
attachments
```

Note: This Group Policy path is provided by the Group Policy template AttachmentManager.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Windows marks file attachments with their zone information.)

References:

1. CCE-34810-2

CIS Controls:

Version 6

7 Email and Web Browser Protections

Email and Web Browser Protections

Version 7

7.1 Ensure Use of Only Fully Supported Browsers and Email Clients

Ensure that only fully supported web browsers and email clients are allowed to execute in the organization, ideally only using the latest version of the browsers and email clients provided by the vendor.

19.7.4.2 (L1) Ensure 'Notify antivirus programs when opening attachments' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting manages the behavior for notifying registered antivirus programs. If multiple programs are registered, they will all be notified.

The recommended state for this setting is: `Enabled`.

Note: An updated antivirus program must be installed for this policy setting to function properly.

Rationale:

Antivirus programs that do not perform on-access checks may not be able to scan downloaded files.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_USERS\[USER  
SID]\Software\Microsoft\Windows\CurrentVersion\Policies\Attachments:ScanWithA  
ntiVirus
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
User Configuration\Policies\Administrative Templates\Windows  
Components\Attachment Manager\Notify antivirus programs when opening  
attachments
```

Note: This Group Policy path is provided by the Group Policy template

`AttachmentManager.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Windows tells the registered antivirus program(s) to scan the file when a user opens a file attachment. If the antivirus program fails, the attachment is blocked from being opened.

Default Value:

Disabled. (Windows does not call the registered antivirus program(s) when file attachments are opened.)

References:

1. CCE-33799-8

CIS Controls:

Version 6

7.8 Scan All Inbound E-mail Attachments For Malicious Code

Scan and block all e-mail attachments entering the organization's e-mail gateway if they contain malicious code or file types that are unnecessary for the organization's business. This scanning should be done before the e-mail is placed in the user's inbox. This includes e-mail content filtering and web content filtering.

Version 7

7.10 Sandbox All Email Attachments

Use sandboxing to analyze and block inbound email attachments with malicious behavior.

7.9 Block Unnecessary File Types

Block all e-mail attachments entering the organization's e-mail gateway if the file types are unnecessary for the organization's business.

8.1 Utilize Centrally Managed Anti-malware Software

Utilize centrally managed anti-malware software to continuously monitor and defend each of the organization's workstations and servers.

8.2 Ensure Anti-Malware Software and Signatures are Updated

Ensure that the organization's anti-malware software updates its scanning engine and signature database on a regular basis.

19.7.5 AutoPlay Policies

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `AutoPlay.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.6 Backup

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `UserDataBackup.admx/adml` that is included only with the Microsoft Windows Vista through Windows 8.0 & Server 2012 (non-R2) Administrative Templates, as well as the Microsoft Windows 10 RTM (Release 1507) and Windows 10 Release 1511 Administrative Templates.

19.7.7 Cloud Content

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `CloudContent.admx/adml` that is included with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates (or newer).

19.7.8 Credential User Interface

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `CredUI.admx/adml` that is included with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates (or newer).

19.7.9 Data Collection and Preview Builds

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DataCollection.admx/adml` that is included with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates (or newer).

19.7.10 Desktop Gadgets

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Sidebar.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

19.7.11 Desktop Window Manager

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DWM.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.12 Digital Locker

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `DigitalLocker.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.13 Edge UI

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `EdgeUI.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

19.7.14 File Explorer (formerly Windows Explorer)

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note: This section was initially named *Windows Explorer* but was renamed by Microsoft to *File Explorer* starting with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates.

19.7.15 File Revocation

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `FileRevocation.admx/adml` that is included with the Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates (or newer).

19.7.16 IME

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `EAIME.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates (or newer).

19.7.17 Import Video

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `CaptureWizard.admx/adml` that is only included with the Microsoft Windows Vista and Windows Server 2008 (non-R2) Administrative Templates.

19.7.18 Instant Search

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WordWheel.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.19 Internet Explorer

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `InetRes.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.20 Location and Sensors

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Sensors.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

19.7.21 Microsoft Edge

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `MicrosoftEdge.admx/adml` that is included with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates (or newer).

19.7.22 Microsoft Management Console

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `MMC.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.23 Microsoft User Experience Virtualization

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `UserExperienceVirtualization.admx/adml` that is included with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates (or newer).

19.7.24 NetMeeting

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Conf.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.25 Network Projector

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `NetworkProjection.admx/adml` that is only included with the Microsoft Windows Vista through the Windows 8.1 Update & Server 2012 R2 Update Administrative Templates.

19.7.26 Network Sharing

This section contains recommendations related to Network Sharing.

This Group Policy section is provided by the Group Policy template `Sharing.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.26.1 (L1) Ensure 'Prevent users from sharing files within their profile.' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This policy setting determines whether users can share files within their profile. By default, users are allowed to share files within their profile to other users on their network after an administrator opts in the computer. An administrator can opt in the computer by using the sharing wizard to share a file within their profile.

The recommended state for this setting is: `Enabled`.

Rationale:

If not properly configured, a user could accidentally share sensitive data with unauthorized users. In an enterprise managed environment, the company should provide a managed location for file sharing, such as a file server or SharePoint, instead of the user sharing files directly from their own user profile.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_USERS\[USER  
SID]\Software\Microsoft\Windows\CurrentVersion\Policies\Explorer:NoInplaceSha  
ring
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

```
User Configuration\Policies\Administrative Templates\Windows  
Components\Network Sharing\Prevent users from sharing files within their  
profile.
```

Note: This Group Policy path is provided by the Group Policy template `Sharing.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Users cannot share files within their profile using the sharing wizard. Also, the sharing wizard cannot create a share at `%root%\Users` and can only be used to create SMB shares on folders.

Default Value:

Disabled. (Users can share files out of their user profile after an administrator has opted in the computer.)

References:

1. CCE-33490-4

CIS Controls:

Version 6

14.4 Protect Information With Access Control Lists

All information stored on systems shall be protected with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.

Version 7

14.6 Protect Information through Access Control Lists

Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.

19.7.27 OOB

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `OOBE.admx/adml` that is included with the Microsoft Windows 10 Release 1809 and Server 2019 Administrative Templates (or newer).

19.7.28 Presentation Settings

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `MobilePCPresentationSettings.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.29 Remote Desktop Services (formerly Terminal Services)

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `TerminalServer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Note: This section was initially named *Terminal Services* but was renamed by Microsoft to *Remote Desktop Services* starting with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates.

19.7.30 RSS Feeds

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `InetRes.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.31 Search

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Search.admx/adml` that is included with the Microsoft Windows 7 & Server 2008 R2 Administrative Templates (or newer).

19.7.32 Sound Recorder

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `SoundRec.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.33 Store

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WinStoreUI.admx/adml` that is included with the Microsoft Windows 8.0 & Server 2012 (non-R2) Administrative Templates and Microsoft Windows 8.1 & Server 2012 R2 Administrative Templates, or by the Group Policy template `WindowsStore.admx/adml` that is included with the Microsoft Windows 10 Release 1511 Administrative Templates (or newer).

19.7.34 Tablet PC

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Windows.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.35 Task Scheduler

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `TaskScheduler.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.36 Windows Calendar

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WinCal.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.37 Windows Color System

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsColorSystem.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.38 Windows Defender SmartScreen

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `SmartScreen.admx/adml` that is included with the Microsoft Windows 10 Release 1703 Administrative Templates (or newer).

19.7.39 Windows Error Reporting

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `ErrorReporting.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.40 Windows Hello for Business (formerly Microsoft Passport for Work)

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `Passport.admx/adml` that is included with the Microsoft Windows 10 RTM (Release 1507) Administrative Templates (or newer).

Note: This section was initially named *Microsoft Passport for Work* but was renamed by Microsoft to *Windows Hello for Business* starting with the Microsoft Windows 10 Release 1607 & Server 2016 Administrative Templates.

19.7.41 Windows Installer

This section contains recommendations related to Windows Installer.

This Group Policy section is provided by the Group Policy template `MSI.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.41.1 (L1) Ensure 'Always install with elevated privileges' is set to 'Disabled' (Scored)

Profile Applicability:

- Level 1 (L1) - Corporate/Enterprise Environment (general use)

Description:

This setting controls whether or not Windows Installer should use system permissions when it installs any program on the system.

Note: This setting appears both in the Computer Configuration and User Configuration folders. To make this setting effective, you must enable the setting in both folders.

Caution: If enabled, skilled users can take advantage of the permissions this setting grants to change their privileges and gain permanent access to restricted files and folders. Note that the User Configuration version of this setting is not guaranteed to be secure.

The recommended state for this setting is: `Disabled`.

Rationale:

Users with limited privileges can exploit this feature by creating a Windows Installer installation package that creates a new local account that belongs to the local built-in Administrators group, adds their current account to the local built-in Administrators group, installs malicious software, or performs other unauthorized activities.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_USERS\[USER  
SID]\Software\Policies\Microsoft\Windows\Installer:AlwaysInstallElevated
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to Disabled:

User Configuration\Policies\Administrative Templates\Windows Components\Windows Installer\Always install with elevated privileges

Note: This Group Policy path is provided by the Group Policy template `MSI.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

None - this is the default behavior.

Default Value:

Disabled. (Windows Installer will apply the current user's permissions when it installs programs that a system administrator does not distribute or offer. This will prevent standard users from installing applications that affect system-wide configuration items.)

References:

1. CCE-34788-0

CIS Controls:

Version 6

5.1 Minimize And Sparingly Use Administrative Privileges

Minimize administrative privileges and only use administrative accounts when they are required. Implement focused auditing on the use of administrative privileged functions and monitor for anomalous behavior.

Version 7

4.3 Ensure the Use of Dedicated Administrative Accounts

Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.

4.6 Use of Dedicated Machines For All Administrative Tasks

Ensure administrators use a dedicated machine for all administrative tasks or tasks requiring administrative access. This machine will be segmented from the organization's primary network and not be allowed Internet access. This machine will not be used for reading e-mail, composing documents, or browsing the Internet.

19.7.42 Windows Logon Options

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WinLogon.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.43 Windows Mail

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `WindowsMail.admx/adml` that is only included with the Microsoft Windows Vista through the Windows 10 Release 1703 Administrative Templates.

19.7.44 Windows Media Center

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template `MediaCenter.admx/adml` that is only included with the Microsoft Windows Vista through Windows 10 Release 1511 Administrative Templates.

19.7.45 Windows Media Player

This section contains recommendations related to Windows Media Player.

This Group Policy section is provided by the Group Policy template

`WindowsMediaPlayer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.45.1 Networking

This section is intentionally blank and exists to ensure the structure of Windows benchmarks is consistent.

This Group Policy section is provided by the Group Policy template

`WindowsMediaPlayer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

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19.7.45.2 Playback

This section contains recommendations related to Windows Media Player playback.

This Group Policy section is provided by the Group Policy template

WindowsMediaPlayer.admx/adml that is included with all versions of the Microsoft Windows Administrative Templates.

19.7.45.2.1 (L2) Ensure 'Prevent Codec Download' is set to 'Enabled' (Scored)

Profile Applicability:

- Level 2 (L2) - High Security/Sensitive Data Environment (limited functionality)

Description:

This setting controls whether Windows Media Player is allowed to download additional codecs for decoding media files it does not already understand.

The recommended state for this setting is: Enabled.

Rationale:

This has some potential for risk if a malicious data file is opened in Media Player that requires an additional codec to be installed. If a special codec is required for a necessary job function, then that codec should first be tested to ensure it is legitimate, and it should be supplied by the IT department in the organization.

Audit:

Navigate to the UI Path articulated in the Remediation section and confirm it is set as prescribed. This group policy setting is backed by the following registry location:

```
HKEY_USERS\[USER  
SID]\Software\Policies\Microsoft\WindowsMediaPlayer:PreventCodecDownload
```

Remediation:

To establish the recommended configuration via GP, set the following UI path to `Enabled`:

User Configuration\Policies\Administrative Templates\Windows Components\Windows Media Player\Playback\Prevent Codec Download

Note: This Group Policy path is provided by the Group Policy template `WindowsMediaPlayer.admx/adml` that is included with all versions of the Microsoft Windows Administrative Templates.

Impact:

Windows Media Player is prevented from automatically downloading codecs to your computer. In addition, the *Download codecs automatically* check box on the Player tab in the Player is not available.

Default Value:

Users can change the setting for the *Download codecs automatically* check box.

References:

1. CCE-33793-1

CIS Controls:

Version 6

- 2 Inventory of Authorized and Unauthorized Software
Inventory of Authorized and Unauthorized Software

Version 7

- 2.3 Utilize Software Inventory Tools

Utilize software inventory tools throughout the organization to automate the documentation of all software on business systems.

- 2.6 Address unapproved software

Ensure that unauthorized software is either removed or the inventory is updated in a timely manner

Appendix: Summary Table

Control		Set Correctly	
		Yes	No
1	Account Policies		
1.1	Password Policy		
1.1.1	(L1) Ensure 'Enforce password history' is set to '24 or more password(s)' (Scored)	☐	☐
1.1.2	(L1) Ensure 'Maximum password age' is set to '60 or fewer days, but not 0' (Scored)	☐	☐
1.1.3	(L1) Ensure 'Minimum password age' is set to '1 or more day(s)' (Scored)	☐	☐
1.1.4	(L1) Ensure 'Minimum password length' is set to '14 or more character(s)' (Scored)	☐	☐
1.1.5	(L1) Ensure 'Password must meet complexity requirements' is set to 'Enabled' (Scored)	☐	☐
1.1.6	(L1) Ensure 'Store passwords using reversible encryption' is set to 'Disabled' (Scored)	☐	☐
1.2	Account Lockout Policy		
1.2.1	(L1) Ensure 'Account lockout duration' is set to '15 or more minute(s)' (Scored)	☐	☐
1.2.2	(L1) Ensure 'Account lockout threshold' is set to '10 or fewer invalid logon attempt(s), but not 0' (Scored)	☐	☐
1.2.3	(L1) Ensure 'Reset account lockout counter after' is set to '15 or more minute(s)' (Scored)	☐	☐
2	Local Policies		
2.1	Audit Policy		
2.2	User Rights Assignment		
2.2.1	(L1) Ensure 'Access Credential Manager as a trusted caller' is set to 'No One' (Scored)	☐	☐
2.2.2	(L1) Ensure 'Access this computer from the network' is set to 'Administrators, Remote Desktop Users' (Scored)	☐	☐
2.2.3	(L1) Ensure 'Act as part of the operating system' is set to 'No One' (Scored)	☐	☐
2.2.4	(L1) Ensure 'Adjust memory quotas for a process' is set to 'Administrators, LOCAL SERVICE, NETWORK SERVICE' (Scored)	☐	☐
2.2.5	(L1) Ensure 'Allow log on locally' is set to 'Administrators, Users' (Scored)	☐	☐
2.2.6	(L1) Ensure 'Allow log on through Remote Desktop Services' is set to 'Administrators, Remote Desktop Users' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
2.2.7	(L1) Ensure 'Back up files and directories' is set to 'Administrators' (Scored)	☐	☐
2.2.8	(L1) Ensure 'Change the system time' is set to 'Administrators, LOCAL SERVICE' (Scored)	☐	☐
2.2.9	(L1) Ensure 'Change the time zone' is set to 'Administrators, LOCAL SERVICE, Users' (Scored)	☐	☐
2.2.10	(L1) Ensure 'Create a pagefile' is set to 'Administrators' (Scored)	☐	☐
2.2.11	(L1) Ensure 'Create a token object' is set to 'No One' (Scored)	☐	☐
2.2.12	(L1) Ensure 'Create global objects' is set to 'Administrators, LOCAL SERVICE, NETWORK SERVICE, SERVICE' (Scored)	☐	☐
2.2.13	(L1) Ensure 'Create permanent shared objects' is set to 'No One' (Scored)	☐	☐
2.2.14	(L1) Configure 'Create symbolic links' (Scored)	☐	☐
2.2.15	(L1) Ensure 'Debug programs' is set to 'Administrators' (Scored)	☐	☐
2.2.16	(L1) Ensure 'Deny access to this computer from the network' to include 'Guests, Local account' (Scored)	☐	☐
2.2.17	(L1) Ensure 'Deny log on as a batch job' to include 'Guests' (Scored)	☐	☐
2.2.18	(L1) Ensure 'Deny log on as a service' to include 'Guests' (Scored)	☐	☐
2.2.19	(L1) Ensure 'Deny log on locally' to include 'Guests' (Scored)	☐	☐
2.2.20	(L1) Ensure 'Deny log on through Remote Desktop Services' to include 'Guests, Local account' (Scored)	☐	☐
2.2.21	(L1) Ensure 'Enable computer and user accounts to be trusted for delegation' is set to 'No One' (Scored)	☐	☐
2.2.22	(L1) Ensure 'Force shutdown from a remote system' is set to 'Administrators' (Scored)	☐	☐
2.2.23	(L1) Ensure 'Generate security audits' is set to 'LOCAL SERVICE, NETWORK SERVICE' (Scored)	☐	☐
2.2.24	(L1) Ensure 'Impersonate a client after authentication' is set to 'Administrators, LOCAL SERVICE, NETWORK SERVICE, SERVICE' (Scored)	☐	☐
2.2.25	(L1) Ensure 'Increase scheduling priority' is set to 'Administrators' (Scored)	☐	☐
2.2.26	(L1) Ensure 'Load and unload device drivers' is set to 'Administrators' (Scored)	☐	☐
2.2.27	(L1) Ensure 'Lock pages in memory' is set to 'No One' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
2.2.28	(L2) Ensure 'Log on as a batch job' is set to 'Administrators' (Scored)	☐	☐
2.2.29	(L2) Configure 'Log on as a service' (Scored)	☐	☐
2.2.30	(L1) Ensure 'Manage auditing and security log' is set to 'Administrators' (Scored)	☐	☐
2.2.31	(L1) Ensure 'Modify an object label' is set to 'No One' (Scored)	☐	☐
2.2.32	(L1) Ensure 'Modify firmware environment values' is set to 'Administrators' (Scored)	☐	☐
2.2.33	(L1) Ensure 'Perform volume maintenance tasks' is set to 'Administrators' (Scored)	☐	☐
2.2.34	(L1) Ensure 'Profile single process' is set to 'Administrators' (Scored)	☐	☐
2.2.35	(L1) Ensure 'Profile system performance' is set to 'Administrators, NT SERVICE\WdiServiceHost' (Scored)	☐	☐
2.2.36	(L1) Ensure 'Replace a process level token' is set to 'LOCAL SERVICE, NETWORK SERVICE' (Scored)	☐	☐
2.2.37	(L1) Ensure 'Restore files and directories' is set to 'Administrators' (Scored)	☐	☐
2.2.38	(L1) Ensure 'Shut down the system' is set to 'Administrators, Users' (Scored)	☐	☐
2.2.39	(L1) Ensure 'Take ownership of files or other objects' is set to 'Administrators' (Scored)	☐	☐
2.3	Security Options		
2.3.1	Accounts		
2.3.1.1	(L1) Ensure 'Accounts: Administrator account status' is set to 'Disabled' (Scored)	☐	☐
2.3.1.2	(L1) Ensure 'Accounts: Guest account status' is set to 'Disabled' (Scored)	☐	☐
2.3.1.3	(L1) Ensure 'Accounts: Limit local account use of blank passwords to console logon only' is set to 'Enabled' (Scored)	☐	☐
2.3.1.4	(L1) Configure 'Accounts: Rename administrator account' (Scored)	☐	☐
2.3.1.5	(L1) Configure 'Accounts: Rename guest account' (Scored)	☐	☐
2.3.2	Audit		
2.3.2.1	(L1) Ensure 'Audit: Force audit policy subcategory settings (Windows Vista or later) to override audit policy category settings' is set to 'Enabled' (Scored)	☐	☐
2.3.2.2	(L1) Ensure 'Audit: Shut down system immediately if unable to log security audits' is set to 'Disabled' (Scored)	☐	☐
2.3.3	DCOM		

Control		Set Correctly	
		Yes	No
2.3.4	Devices		
2.3.4.1	(L1) Ensure 'Devices: Allowed to format and eject removable media' is set to 'Administrators and Interactive Users' (Scored)	☐	☐
2.3.4.2	(L2) Ensure 'Devices: Prevent users from installing printer drivers' is set to 'Enabled' (Scored)	☐	☐
2.3.5	Domain controller		
2.3.6	Domain member		
2.3.6.1	(L1) Ensure 'Domain member: Digitally encrypt or sign secure channel data (always)' is set to 'Enabled' (Scored)	☐	☐
2.3.6.2	(L1) Ensure 'Domain member: Digitally encrypt secure channel data (when possible)' is set to 'Enabled' (Scored)	☐	☐
2.3.6.3	(L1) Ensure 'Domain member: Digitally sign secure channel data (when possible)' is set to 'Enabled' (Scored)	☐	☐
2.3.6.4	(L1) Ensure 'Domain member: Disable machine account password changes' is set to 'Disabled' (Scored)	☐	☐
2.3.6.5	(L1) Ensure 'Domain member: Maximum machine account password age' is set to '30 or fewer days, but not 0' (Scored)	☐	☐
2.3.6.6	(L1) Ensure 'Domain member: Require strong (Windows 2000 or later) session key' is set to 'Enabled' (Scored)	☐	☐
2.3.7	Interactive logon		
2.3.7.1	(L1) Ensure 'Interactive logon: Do not display last user name' is set to 'Enabled' (Scored)	☐	☐
2.3.7.2	(L1) Ensure 'Interactive logon: Do not require CTRL+ALT+DEL' is set to 'Disabled' (Scored)	☐	☐
2.3.7.3	(L1) Configure 'Interactive logon: Message text for users attempting to log on' (Scored)	☐	☐
2.3.7.4	(L1) Configure 'Interactive logon: Message title for users attempting to log on' (Scored)	☐	☐
2.3.7.5	(L2) Ensure 'Interactive logon: Number of previous logons to cache (in case domain controller is not available)' is set to '4 or fewer logon(s)' (Scored)	☐	☐
2.3.7.6	(L1) Ensure 'Interactive logon: Prompt user to change password before expiration' is set to 'between 5 and 14 days' (Scored)	☐	☐
2.3.7.7	(L1) Ensure 'Interactive logon: Smart card removal behavior' is set to 'Lock Workstation' or higher (Scored)	☐	☐
2.3.8	Microsoft network client		
2.3.8.1	(L1) Ensure 'Microsoft network client: Digitally sign communications (always)' is set to 'Enabled' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
2.3.8.2	(L1) Ensure 'Microsoft network client: Digitally sign communications (if server agrees)' is set to 'Enabled' (Scored)	☐	☐
2.3.8.3	(L1) Ensure 'Microsoft network client: Send unencrypted password to third-party SMB servers' is set to 'Disabled' (Scored)	☐	☐
2.3.9	Microsoft network server		
2.3.9.1	(L1) Ensure 'Microsoft network server: Amount of idle time required before suspending session' is set to '15 or fewer minute(s)' (Scored)	☐	☐
2.3.9.2	(L1) Ensure 'Microsoft network server: Digitally sign communications (always)' is set to 'Enabled' (Scored)	☐	☐
2.3.9.3	(L1) Ensure 'Microsoft network server: Digitally sign communications (if client agrees)' is set to 'Enabled' (Scored)	☐	☐
2.3.9.4	(L1) Ensure 'Microsoft network server: Disconnect clients when logon hours expire' is set to 'Enabled' (Scored)	☐	☐
2.3.9.5	(L1) Ensure 'Microsoft network server: Server SPN target name validation level' is set to 'Accept if provided by client' or higher (Scored)	☐	☐
2.3.10	Network access		
2.3.10.1	(L1) Ensure 'Network access: Allow anonymous SID/Name translation' is set to 'Disabled' (Scored)	☐	☐
2.3.10.2	(L1) Ensure 'Network access: Do not allow anonymous enumeration of SAM accounts' is set to 'Enabled' (Scored)	☐	☐
2.3.10.3	(L1) Ensure 'Network access: Do not allow anonymous enumeration of SAM accounts and shares' is set to 'Enabled' (Scored)	☐	☐
2.3.10.4	(L1) Ensure 'Network access: Do not allow storage of passwords and credentials for network authentication' is set to 'Enabled' (Scored)	☐	☐
2.3.10.5	(L1) Ensure 'Network access: Let Everyone permissions apply to anonymous users' is set to 'Disabled' (Scored)	☐	☐
2.3.10.6	(L1) Ensure 'Network access: Named Pipes that can be accessed anonymously' is set to 'None' (Scored)	☐	☐
2.3.10.7	(L1) Ensure 'Network access: Remotely accessible registry paths' (Scored)	☐	☐
2.3.10.8	(L1) Ensure 'Network access: Remotely accessible registry paths and sub-paths' (Scored)	☐	☐
2.3.10.9	(L1) Ensure 'Network access: Restrict anonymous access to Named Pipes and Shares' is set to 'Enabled' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
2.3.10.10	(L1) Ensure 'Network access: Shares that can be accessed anonymously' is set to 'None' (Scored)	☐	☐
2.3.10.11	(L1) Ensure 'Network access: Sharing and security model for local accounts' is set to 'Classic - local users authenticate as themselves' (Scored)	☐	☐
2.3.11	Network security		
2.3.11.1	(L1) Ensure 'Network security: Allow Local System to use computer identity for NTLM' is set to 'Enabled' (Scored)	☐	☐
2.3.11.2	(L1) Ensure 'Network security: Allow LocalSystem NULL session fallback' is set to 'Disabled' (Scored)	☐	☐
2.3.11.3	(L1) Ensure 'Network Security: Allow PKU2U authentication requests to this computer to use online identities' is set to 'Disabled' (Scored)	☐	☐
2.3.11.4	(L1) Ensure 'Network security: Configure encryption types allowed for Kerberos' is set to 'AES128_HMAC_SHA1, AES256_HMAC_SHA1, Future encryption types' (Scored)	☐	☐
2.3.11.5	(L1) Ensure 'Network security: Do not store LAN Manager hash value on next password change' is set to 'Enabled' (Scored)	☐	☐
2.3.11.6	(L1) Ensure 'Network security: Force logoff when logon hours expire' is set to 'Enabled' (Not Scored)	☐	☐
2.3.11.7	(L1) Ensure 'Network security: LAN Manager authentication level' is set to 'Send NTLMv2 response only. Refuse LM & NTLM' (Scored)	☐	☐
2.3.11.8	(L1) Ensure 'Network security: LDAP client signing requirements' is set to 'Negotiate signing' or higher (Scored)	☐	☐
2.3.11.9	(L1) Ensure 'Network security: Minimum session security for NTLM SSP based (including secure RPC) clients' is set to 'Require NTLMv2 session security, Require 128-bit encryption' (Scored)	☐	☐
2.3.11.10	(L1) Ensure 'Network security: Minimum session security for NTLM SSP based (including secure RPC) servers' is set to 'Require NTLMv2 session security, Require 128-bit encryption' (Scored)	☐	☐
2.3.12	Recovery console		
2.3.13	Shutdown		
2.3.14	System cryptography		
2.3.14.1	(L2) Ensure 'System cryptography: Force strong key protection for user keys stored on the computer' is set to 'User is prompted when the key is first used' or higher (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
2.3.15	System objects		
2.3.15.1	(L1) Ensure 'System objects: Require case insensitivity for non-Windows subsystems' is set to 'Enabled' (Scored)	☐	☐
2.3.15.2	(L1) Ensure 'System objects: Strengthen default permissions of internal system objects (e.g. Symbolic Links)' is set to 'Enabled' (Scored)	☐	☐
2.3.16	System settings		
2.3.16.1	(L1) Ensure 'System settings: Optional subsystems' is set to 'Defined: (blank)' (Scored)	☐	☐
2.3.17	User Account Control		
2.3.17.1	(L1) Ensure 'User Account Control: Admin Approval Mode for the Built-in Administrator account' is set to 'Enabled' (Scored)	☐	☐
2.3.17.2	(L1) Ensure 'User Account Control: Behavior of the elevation prompt for administrators in Admin Approval Mode' is set to 'Prompt for consent on the secure desktop' (Scored)	☐	☐
2.3.17.3	(L1) Ensure 'User Account Control: Behavior of the elevation prompt for standard users' is set to 'Automatically deny elevation requests' (Scored)	☐	☐
2.3.17.4	(L1) Ensure 'User Account Control: Detect application installations and prompt for elevation' is set to 'Enabled' (Scored)	☐	☐
2.3.17.5	(L1) Ensure 'User Account Control: Only elevate UIAccess applications that are installed in secure locations' is set to 'Enabled' (Scored)	☐	☐
2.3.17.6	(L1) Ensure 'User Account Control: Run all administrators in Admin Approval Mode' is set to 'Enabled' (Scored)	☐	☐
2.3.17.7	(L1) Ensure 'User Account Control: Switch to the secure desktop when prompting for elevation' is set to 'Enabled' (Scored)	☐	☐
2.3.17.8	(L1) Ensure 'User Account Control: Virtualize file and registry write failures to per-user locations' is set to 'Enabled' (Scored)	☐	☐
3	Event Log		
4	Restricted Groups		
5	System Services		
5.1	(L2) Ensure 'Bluetooth Support Service (bthserv)' is set to 'Disabled' (Scored)	☐	☐
5.2	(L1) Ensure 'Computer Browser (Browser)' is set to 'Disabled' or 'Not Installed' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
5.3	(L1) Ensure 'HomeGroup Listener (HomeGroupListener)' is set to 'Disabled' (Scored)	☐	☐
5.4	(L1) Ensure 'HomeGroup Provider (HomeGroupProvider)' is set to 'Disabled' (Scored)	☐	☐
5.5	(L1) Ensure 'IIS Admin Service (IISADMIN)' is set to 'Disabled' or 'Not Installed' (Scored)	☐	☐
5.6	(L1) Ensure 'Internet Connection Sharing (ICS) (SharedAccess)' is set to 'Disabled' (Scored)	☐	☐
5.7	(L2) Ensure 'Link-Layer Topology Discovery Mapper (ltdsvc)' is set to 'Disabled' (Scored)	☐	☐
5.8	(L1) Ensure 'Media Center Extender Service (Mcx2Svc)' is set to 'Disabled' or 'Not Installed' (Scored)	☐	☐
5.9	(L1) Ensure 'Microsoft FTP Service (FTPSVC)' is set to 'Disabled' or 'Not Installed' (Scored)	☐	☐
5.10	(L2) Ensure 'Microsoft iSCSI Initiator Service (MSiSCSI)' is set to 'Disabled' (Scored)	☐	☐
5.11	(L2) Ensure 'Peer Name Resolution Protocol (PNRPsvc)' is set to 'Disabled' (Scored)	☐	☐
5.12	(L2) Ensure 'Peer Networking Grouping (p2psvc)' is set to 'Disabled' (Scored)	☐	☐
5.13	(L2) Ensure 'Peer Networking Identity Manager (p2pimsvc)' is set to 'Disabled' (Scored)	☐	☐
5.14	(L2) Ensure 'PNRP Machine Name Publication Service (PNRPAutoReg)' is set to 'Disabled' (Scored)	☐	☐
5.15	(L2) Ensure 'Problem Reports and Solutions Control Panel Support (werpcplsupport)' is set to 'Disabled' (Scored)	☐	☐
5.16	(L2) Ensure 'Remote Access Auto Connection Manager (RasAuto)' is set to 'Disabled' (Scored)	☐	☐
5.17	(L2) Ensure 'Remote Desktop Configuration (SessionEnv)' is set to 'Disabled' (Scored)	☐	☐
5.18	(L2) Ensure 'Remote Desktop Services (TermService)' is set to 'Disabled' (Scored)	☐	☐
5.19	(L2) Ensure 'Remote Desktop Services UserMode Port Redirector (UmRdpService)' is set to 'Disabled' (Scored)	☐	☐
5.20	(L1) Ensure 'Remote Procedure Call (RPC) Locator (RpcLocator)' is set to 'Disabled' (Scored)	☐	☐
5.21	(L2) Ensure 'Remote Registry (RemoteRegistry)' is set to 'Disabled' (Scored)	☐	☐
5.22	(L1) Ensure 'Routing and Remote Access (RemoteAccess)' is set to 'Disabled' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
5.23	(L2) Ensure 'Server (LanmanServer)' is set to 'Disabled' (Scored)	☐	☐
5.24	(L1) Ensure 'Simple TCP/IP Services (simptcp)' is set to 'Disabled' or 'Not Installed' (Scored)	☐	☐
5.25	(L2) Ensure 'SNMP Service (SNMP)' is set to 'Disabled' or 'Not Installed' (Scored)	☐	☐
5.26	(L1) Ensure 'SSDP Discovery (SSDPsRV)' is set to 'Disabled' (Scored)	☐	☐
5.27	(L1) Ensure 'Telnet (TlntSvr)' is set to 'Disabled' or 'Not Installed' (Scored)	☐	☐
5.28	(L1) Ensure 'UPnP Device Host (upnphost)' is set to 'Disabled' (Scored)	☐	☐
5.29	(L1) Ensure 'Web Management Service (WMSvc)' is set to 'Disabled' or 'Not Installed' (Scored)	☐	☐
5.30	(L1) Ensure 'Windows CardSpace (idsvc)' is set to 'Disabled' or 'Not Installed' (Scored)	☐	☐
5.31	(L2) Ensure 'Windows Error Reporting Service (WerSvc)' is set to 'Disabled' (Scored)	☐	☐
5.32	(L2) Ensure 'Windows Event Collector (Wecsvc)' is set to 'Disabled' (Scored)	☐	☐
5.33	(L1) Ensure 'Windows Media Center Receiver Service (ehRecvr)' is set to 'Disabled' or 'Not Installed' (Scored)	☐	☐
5.34	(L1) Ensure 'Windows Media Center Scheduler Service (ehSched)' is set to 'Disabled' or 'Not Installed' (Scored)	☐	☐
5.35	(L1) Ensure 'Windows Media Player Network Sharing Service (WMPNetworkSvc)' is set to 'Disabled' or 'Not Installed' (Scored)	☐	☐
5.36	(L2) Ensure 'Windows Remote Management (WS-Management) (WinRM)' is set to 'Disabled' (Scored)	☐	☐
5.37	(L1) Ensure 'WinHTTP Web Proxy Auto-Discovery Service (WinHttpAutoProxySvc)' is set to 'Disabled' (Scored)	☐	☐
5.38	(L1) Ensure 'World Wide Web Publishing Service (W3SVC)' is set to 'Disabled' or 'Not Installed' (Scored)	☐	☐
6	Registry		
7	File System		
8	Wired Network (IEEE 802.3) Policies		
9	Windows Firewall with Advanced Security		
9.1	Domain Profile		
9.1.1	(L1) Ensure 'Windows Firewall: Domain: Firewall state' is set to 'On (recommended)' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
9.1.2	(L1) Ensure 'Windows Firewall: Domain: Inbound connections' is set to 'Block (default)' (Scored)	☐	☐
9.1.3	(L1) Ensure 'Windows Firewall: Domain: Outbound connections' is set to 'Allow (default)' (Scored)	☐	☐
9.1.4	(L1) Ensure 'Windows Firewall: Domain: Settings: Display a notification' is set to 'No' (Scored)	☐	☐
9.1.5	(L1) Ensure 'Windows Firewall: Domain: Logging: Name' is set to '%SystemRoot%\System32\logfiles\firewall\domainfw.log' (Scored)	☐	☐
9.1.6	(L1) Ensure 'Windows Firewall: Domain: Logging: Size limit (KB)' is set to '16,384 KB or greater' (Scored)	☐	☐
9.1.7	(L1) Ensure 'Windows Firewall: Domain: Logging: Log dropped packets' is set to 'Yes' (Scored)	☐	☐
9.1.8	(L1) Ensure 'Windows Firewall: Domain: Logging: Log successful connections' is set to 'Yes' (Scored)	☐	☐
9.2	Private Profile		
9.2.1	(L1) Ensure 'Windows Firewall: Private: Firewall state' is set to 'On (recommended)' (Scored)	☐	☐
9.2.2	(L1) Ensure 'Windows Firewall: Private: Inbound connections' is set to 'Block (default)' (Scored)	☐	☐
9.2.3	(L1) Ensure 'Windows Firewall: Private: Outbound connections' is set to 'Allow (default)' (Scored)	☐	☐
9.2.4	(L1) Ensure 'Windows Firewall: Private: Settings: Display a notification' is set to 'No' (Scored)	☐	☐
9.2.5	(L1) Ensure 'Windows Firewall: Private: Logging: Name' is set to '%SystemRoot%\System32\logfiles\firewall\privatefw.log' (Scored)	☐	☐
9.2.6	(L1) Ensure 'Windows Firewall: Private: Logging: Size limit (KB)' is set to '16,384 KB or greater' (Scored)	☐	☐
9.2.7	(L1) Ensure 'Windows Firewall: Private: Logging: Log dropped packets' is set to 'Yes' (Scored)	☐	☐
9.2.8	(L1) Ensure 'Windows Firewall: Private: Logging: Log successful connections' is set to 'Yes' (Scored)	☐	☐
9.3	Public Profile		
9.3.1	(L1) Ensure 'Windows Firewall: Public: Firewall state' is set to 'On (recommended)' (Scored)	☐	☐
9.3.2	(L1) Ensure 'Windows Firewall: Public: Inbound connections' is set to 'Block (default)' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
9.3.3	(L1) Ensure 'Windows Firewall: Public: Outbound connections' is set to 'Allow (default)' (Scored)	☐	☐
9.3.4	(L1) Ensure 'Windows Firewall: Public: Settings: Display a notification' is set to 'No' (Scored)	☐	☐
9.3.5	(L1) Ensure 'Windows Firewall: Public: Settings: Apply local firewall rules' is set to 'No' (Scored)	☐	☐
9.3.6	(L1) Ensure 'Windows Firewall: Public: Settings: Apply local connection security rules' is set to 'No' (Scored)	☐	☐
9.3.7	(L1) Ensure 'Windows Firewall: Public: Logging: Name' is set to '%SystemRoot%\System32\logfiles\firewall\publicfw.log' (Scored)	☐	☐
9.3.8	(L1) Ensure 'Windows Firewall: Public: Logging: Size limit (KB)' is set to '16,384 KB or greater' (Scored)	☐	☐
9.3.9	(L1) Ensure 'Windows Firewall: Public: Logging: Log dropped packets' is set to 'Yes' (Scored)	☐	☐
9.3.10	(L1) Ensure 'Windows Firewall: Public: Logging: Log successful connections' is set to 'Yes' (Scored)	☐	☐
10	Network List Manager Policies		
11	Wireless Network (IEEE 802.11) Policies		
12	Public Key Policies		
13	Software Restriction Policies		
14	Network Access Protection NAP Client Configuration		
15	Application Control Policies		
16	IP Security Policies		
17	Advanced Audit Policy Configuration		
17.1	Account Logon		
17.1.1	(L1) Ensure 'Audit Credential Validation' is set to 'Success and Failure' (Scored)	☐	☐
17.2	Account Management		
17.2.1	(L1) Ensure 'Audit Application Group Management' is set to 'Success and Failure' (Scored)	☐	☐
17.2.2	(L1) Ensure 'Audit Security Group Management' is set to include 'Success' (Scored)	☐	☐
17.2.3	(L1) Ensure 'Audit User Account Management' is set to 'Success and Failure' (Scored)	☐	☐
17.3	Detailed Tracking		
17.3.1	(L1) Ensure 'Audit Process Creation' is set to include 'Success' (Scored)	☐	☐
17.4	DS Access		

Control		Set Correctly	
		Yes	No
17.5	Logon/Logoff		
17.5.1	(L1) Ensure 'Audit Account Lockout' is set to include 'Failure' (Scored)	☐	☐
17.5.2	(L1) Ensure 'Audit Logoff' is set to include 'Success' (Scored)	☐	☐
17.5.3	(L1) Ensure 'Audit Logon' is set to 'Success and Failure' (Scored)	☐	☐
17.5.4	(L1) Ensure 'Audit Other Logon/Logoff Events' is set to 'Success and Failure' (Scored)	☐	☐
17.5.5	(L1) Ensure 'Audit Special Logon' is set to include 'Success' (Scored)	☐	☐
17.6	Object Access		
17.6.1	(L1) Ensure 'Audit Detailed File Share' is set to include 'Failure' (Scored)	☐	☐
17.6.2	(L1) Ensure 'Audit File Share' is set to 'Success and Failure' (Scored)	☐	☐
17.6.3	(L1) Ensure 'Audit Other Object Access Events' is set to 'Success and Failure' (Scored)	☐	☐
17.7	Policy Change		
17.7.1	(L1) Ensure 'Audit Audit Policy Change' is set to include 'Success' (Scored)	☐	☐
17.7.2	(L1) Ensure 'Audit Authentication Policy Change' is set to include 'Success' (Scored)	☐	☐
17.7.3	(L1) Ensure 'Audit Authorization Policy Change' is set to include 'Success' (Scored)	☐	☐
17.7.4	(L1) Ensure 'Audit MPSSVC Rule-Level Policy Change' is set to 'Success and Failure' (Scored)	☐	☐
17.7.5	(L1) Ensure 'Audit Other Policy Change Events' is set to include 'Failure' (Scored)	☐	☐
17.8	Privilege Use		
17.8.1	(L1) Ensure 'Audit Sensitive Privilege Use' is set to 'Success and Failure' (Scored)	☐	☐
17.9	System		
17.9.1	(L1) Ensure 'Audit IPsec Driver' is set to 'Success and Failure' (Scored)	☐	☐
17.9.2	(L1) Ensure 'Audit Other System Events' is set to 'Success and Failure' (Scored)	☐	☐
17.9.3	(L1) Ensure 'Audit Security State Change' is set to include 'Success' (Scored)	☐	☐
17.9.4	(L1) Ensure 'Audit Security System Extension' is set to include 'Success' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
17.9.5	(L1) Ensure 'Audit System Integrity' is set to 'Success and Failure' (Scored)	☐	☐
18	Administrative Templates (Computer)		
18.1	Control Panel		
18.1.1	Personalization		
18.1.2	Regional and Language Options		
18.1.2.1	Handwriting personalization		
18.2	LAPS		
18.2.1	(L1) Ensure LAPS AdmPwd GPO Extension / CSE is installed (Scored)	☐	☐
18.2.2	(L1) Ensure 'Do not allow password expiration time longer than required by policy' is set to 'Enabled' (Scored)	☐	☐
18.2.3	(L1) Ensure 'Enable Local Admin Password Management' is set to 'Enabled' (Scored)	☐	☐
18.2.4	(L1) Ensure 'Password Settings: Password Complexity' is set to 'Enabled: Large letters + small letters + numbers + special characters' (Scored)	☐	☐
18.2.5	(L1) Ensure 'Password Settings: Password Length' is set to 'Enabled: 15 or more' (Scored)	☐	☐
18.2.6	(L1) Ensure 'Password Settings: Password Age (Days)' is set to 'Enabled: 30 or fewer' (Scored)	☐	☐
18.3	MS Security Guide		
18.3.1	(L1) Ensure 'Apply UAC restrictions to local accounts on network logons' is set to 'Enabled' (Scored)	☐	☐
18.3.2	(L1) Ensure 'Configure SMB v1 client' is set to 'Enabled: Bowser, MRxSmb20, NSI' (Scored)	☐	☐
18.3.3	(L1) Ensure 'Configure SMB v1 client driver' is set to 'Enabled: Disable driver (recommended)' (Scored)	☐	☐
18.3.4	(L1) Ensure 'Configure SMB v1 server' is set to 'Disabled' (Scored)	☐	☐
18.3.5	(L1) Ensure 'Enable Structured Exception Handling Overwrite Protection (SEHOP)' is set to 'Enabled' (Scored)	☐	☐
18.3.6	(L1) Ensure 'NetBT NodeType configuration' is set to 'Enabled: P-node (recommended)' (Scored)	☐	☐
18.3.7	(L1) Ensure 'WDigest Authentication' is set to 'Disabled' (Scored)	☐	☐
18.4	MSS (Legacy)		
18.4.1	(L1) Ensure 'MSS: (AutoAdminLogon) Enable Automatic Logon (not recommended)' is set to 'Disabled' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
18.4.2	(L1) Ensure 'MSS: (DisableIPSourceRouting IPv6) IP source routing protection level (protects against packet spoofing)' is set to 'Enabled: Highest protection, source routing is completely disabled' (Scored)	☐	☐
18.4.3	(L1) Ensure 'MSS: (DisableIPSourceRouting) IP source routing protection level (protects against packet spoofing)' is set to 'Enabled: Highest protection, source routing is completely disabled' (Scored)	☐	☐
18.4.4	(L2) Ensure 'MSS: (DisableSavePassword) Prevent the dial-up password from being saved' is set to 'Enabled' (Scored)	☐	☐
18.4.5	(L1) Ensure 'MSS: (EnableICMPRedirect) Allow ICMP redirects to override OSPF generated routes' is set to 'Disabled' (Scored)	☐	☐
18.4.6	(L2) Ensure 'MSS: (KeepAliveTime) How often keep-alive packets are sent in milliseconds' is set to 'Enabled: 300,000 or 5 minutes (recommended)' (Scored)	☐	☐
18.4.7	(L1) Ensure 'MSS: (NoNameReleaseOnDemand) Allow the computer to ignore NetBIOS name release requests except from WINS servers' is set to 'Enabled' (Scored)	☐	☐
18.4.8	(L2) Ensure 'MSS: (PerformRouterDiscovery) Allow IRDP to detect and configure Default Gateway addresses (could lead to DoS)' is set to 'Disabled' (Scored)	☐	☐
18.4.9	(L1) Ensure 'MSS: (SafeDllSearchMode) Enable Safe DLL search mode (recommended)' is set to 'Enabled' (Scored)	☐	☐
18.4.10	(L1) Ensure 'MSS: (ScreenSaverGracePeriod) The time in seconds before the screen saver grace period expires (0 recommended)' is set to 'Enabled: 5 or fewer seconds' (Scored)	☐	☐
18.4.11	(L2) Ensure 'MSS: (TcpMaxDataRetransmissions IPv6) How many times unacknowledged data is retransmitted' is set to 'Enabled: 3' (Scored)	☐	☐
18.4.12	(L2) Ensure 'MSS: (TcpMaxDataRetransmissions) How many times unacknowledged data is retransmitted' is set to 'Enabled: 3' (Scored)	☐	☐
18.4.13	(L1) Ensure 'MSS: (WarningLevel) Percentage threshold for the security event log at which the system will generate a warning' is set to 'Enabled: 90% or less' (Scored)	☐	☐
18.5	Network		
18.5.1	Background Intelligent Transfer Service (BITS)		
18.5.2	BranchCache		
18.5.3	DirectAccess Client Experience Settings		

Control		Set Correctly	
		Yes	No
18.5.4	DNS Client		
18.5.4.1	(L1) Ensure 'Turn off multicast name resolution' is set to 'Enabled' (Scored)	☐	☐
18.5.5	Fonts		
18.5.6	Hotspot Authentication		
18.5.7	Lanman Server		
18.5.8	Lanman Workstation		
18.5.9	Link-Layer Topology Discovery		
18.5.9.1	(L2) Ensure 'Turn on Mapper I/O (LLTDIO) driver' is set to 'Disabled' (Scored)	☐	☐
18.5.9.2	(L2) Ensure 'Turn on Responder (RSPNDR) driver' is set to 'Disabled' (Scored)	☐	☐
18.5.10	Microsoft Peer-to-Peer Networking Services		
18.5.10.1	Peer Name Resolution Protocol		
18.5.10.2	(L2) Ensure 'Turn off Microsoft Peer-to-Peer Networking Services' is set to 'Enabled' (Scored)	☐	☐
18.5.11	Network Connections		
18.5.11.1	Windows Defender Firewall (formerly Windows Firewall)		
18.5.11.2	(L1) Ensure 'Prohibit installation and configuration of Network Bridge on your DNS domain network' is set to 'Enabled' (Scored)	☐	☐
18.5.11.3	(L1) Ensure 'Require domain users to elevate when setting a network's location' is set to 'Enabled' (Scored)	☐	☐
18.5.12	Network Connectivity Status Indicator		
18.5.13	Network Isolation		
18.5.14	Network Provider		
18.5.14.1	(L1) Ensure 'Hardened UNC Paths' is set to 'Enabled, with "Require Mutual Authentication" and "Require Integrity" set for all NETLOGON and SYSVOL shares' (Scored)	☐	☐
18.5.15	Offline Files		
18.5.16	QoS Packet Scheduler		
18.5.17	SNMP		
18.5.18	SSL Configuration Settings		
18.5.19	TCPIP Settings		
18.5.19.1	IPv6 Transition Technologies		
18.5.19.2	Parameters		
18.5.19.2.1	(L2) Disable IPv6 (Ensure TCPIP6 Parameter 'DisabledComponents' is set to '0xff (255)') (Scored)	☐	☐
18.5.20	Windows Connect Now		

Control		Set Correctly	
		Yes	No
18.5.20.1	(L2) Ensure 'Configuration of wireless settings using Windows Connect Now' is set to 'Disabled' (Scored)	☐	☐
18.5.20.2	(L2) Ensure 'Prohibit access of the Windows Connect Now wizards' is set to 'Enabled' (Scored)	☐	☐
18.5.21	Windows Connection Manager		
18.5.22	Wireless Display		
18.5.23	WLAN Service		
18.6	Printers		
18.7	Start Menu and Taskbar		
18.7.1	Notifications		
18.8	System		
18.8.1	Access-Denied Assistance		
18.8.2	App-V		
18.8.3	Audit Process Creation		
18.8.3.1	(L1) Ensure 'Include command line in process creation events' is set to 'Disabled' (Scored)	☐	☐
18.8.4	Credentials Delegation		
18.8.4.1	(L1) Ensure 'Encryption Oracle Remediation' is set to 'Enabled: Force Updated Clients' (Scored)	☐	☐
18.8.5	Device Guard		
18.8.6	Device Health Attestation Service		
18.8.7	Device Installation		
18.8.7.1	Device Installation Restrictions		
18.8.7.1.1	(BL) Ensure 'Prevent installation of devices using drivers that match these device setup classes' is set to 'Enabled' (Scored)	☐	☐
18.8.7.1.2	(BL) Ensure 'Prevent installation of devices using drivers that match these device setup classes: Prevent installation of devices using drivers for these device setup' is set to 'IEEE 1394 device setup classes' (Scored)	☐	☐
18.8.7.1.3	(BL) Ensure 'Prevent installation of devices using drivers that match these device setup classes: Also apply to matching devices that are already installed.' is set to 'True' (checked) (Scored)	☐	☐
18.8.7.2	(L1) Ensure 'Allow remote access to the Plug and Play interface' is set to 'Disabled' (Scored)	☐	☐
18.8.8	Device Redirection		
18.8.9	Disk NV Cache		
18.8.10	Disk Quotas		
18.8.11	Display		

Control		Set Correctly	
		Yes	No
18.8.12	Distributed COM		
18.8.13	Driver Installation		
18.8.14	Early Launch Antimalware		
18.8.15	Enhanced Storage Access		
18.8.16	File Classification Infrastructure		
18.8.17	File Share Shadow Copy Agent		
18.8.18	File Share Shadow Copy Provider		
18.8.19	Filesystem (formerly NTFS Filesystem)		
18.8.20	Folder Redirection		
18.8.21	Group Policy		
18.8.21.1	Logging and tracing		
18.8.21.2	(L1) Ensure 'Configure registry policy processing: Do not apply during periodic background processing' is set to 'Enabled: FALSE' (Scored)	☐	☐
18.8.21.3	(L1) Ensure 'Configure registry policy processing: Process even if the Group Policy objects have not changed' is set to 'Enabled: TRUE' (Scored)	☐	☐
18.8.21.4	(L1) Ensure 'Turn off background refresh of Group Policy' is set to 'Disabled' (Scored)	☐	☐
18.8.22	Internet Communication Management		
18.8.22.1	Internet Communication settings		
18.8.22.1.1	(L1) Ensure 'Turn off downloading of print drivers over HTTP' is set to 'Enabled' (Scored)	☐	☐
18.8.22.1.2	(L2) Ensure 'Turn off handwriting personalization data sharing' is set to 'Enabled' (Scored)	☐	☐
18.8.22.1.3	(L2) Ensure 'Turn off handwriting recognition error reporting' is set to 'Enabled' (Scored)	☐	☐
18.8.22.1.4	(L2) Ensure 'Turn off Internet Connection Wizard if URL connection is referring to Microsoft.com' is set to 'Enabled' (Scored)	☐	☐
18.8.22.1.5	(L1) Ensure 'Turn off Internet download for Web publishing and online ordering wizards' is set to 'Enabled' (Scored)	☐	☐
18.8.22.1.6	(L2) Ensure 'Turn off Internet File Association service' is set to 'Enabled' (Scored)	☐	☐
18.8.22.1.7	(L2) Ensure 'Turn off printing over HTTP' is set to 'Enabled' (Scored)	☐	☐
18.8.22.1.8	(L2) Ensure 'Turn off Registration if URL connection is referring to Microsoft.com' is set to 'Enabled' (Scored)	☐	☐
18.8.22.1.9	(L2) Ensure 'Turn off Search Companion content file updates' is set to 'Enabled' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
18.8.22.1.10	(L2) Ensure 'Turn off the "Order Prints" picture task' is set to 'Enabled' (Scored)	☐	☐
18.8.22.1.11	(L2) Ensure 'Turn off the "Publish to Web" task for files and folders' is set to 'Enabled' (Scored)	☐	☐
18.8.22.1.12	(L2) Ensure 'Turn off the Windows Messenger Customer Experience Improvement Program' is set to 'Enabled' (Scored)	☐	☐
18.8.22.1.13	(L2) Ensure 'Turn off Windows Customer Experience Improvement Program' is set to 'Enabled' (Scored)	☐	☐
18.8.22.1.14	(L2) Ensure 'Turn off Windows Error Reporting' is set to 'Enabled' (Scored)	☐	☐
18.8.23	iSCSI		
18.8.24	KDC		
18.8.25	Kerberos		
18.8.26	Kernel DMA Protection		
18.8.27	Locale Services		
18.8.28	Logon		
18.8.28.1	(L1) Ensure 'Always use classic logon' is set to 'Enabled' (Scored)	☐	☐
18.8.29	Mitigation Options		
18.8.30	Net Logon		
18.8.31	OS Policies		
18.8.32	Performance Control Panel		
18.8.33	PIN Complexity		
18.8.34	Power Management		
18.8.34.1	Button Settings		
18.8.34.2	Energy Saver Settings		
18.8.34.3	Hard Disk Settings		
18.8.34.4	Notification Settings		
18.8.34.5	Power Throttling Settings		
18.8.34.6	Sleep Settings		
18.8.34.6.1	(BL) Ensure 'Allow standby states (S1-S3) when sleeping (on battery)' is set to 'Disabled' (Scored)	☐	☐
18.8.34.6.2	(BL) Ensure 'Allow standby states (S1-S3) when sleeping (plugged in)' is set to 'Disabled' (Scored)	☐	☐
18.8.34.6.3	(L1) Ensure 'Require a password when a computer wakes (on battery)' is set to 'Enabled' (Scored)	☐	☐
18.8.34.6.4	(L1) Ensure 'Require a password when a computer wakes (plugged in)' is set to 'Enabled' (Scored)	☐	☐
18.8.35	Recovery		

Control		Set Correctly	
		Yes	No
18.8.36	Remote Assistance		
18.8.36.1	(L1) Ensure 'Configure Offer Remote Assistance' is set to 'Disabled' (Scored)	☐	☐
18.8.36.2	(L1) Ensure 'Configure Solicited Remote Assistance' is set to 'Disabled' (Scored)	☐	☐
18.8.37	Remote Procedure Call		
18.8.37.1	(L1) Ensure 'Enable RPC Endpoint Mapper Client Authentication' is set to 'Enabled' (Scored)	☐	☐
18.8.37.2	(L1) Ensure 'Restrict Unauthenticated RPC clients' is set to 'Enabled: Authenticated' (Scored)	☐	☐
18.8.38	Removable Storage Access		
18.8.39	Scripts		
18.8.40	Server Manager		
18.8.41	Service Control Manager Settings		
18.8.42	Shutdown		
18.8.43	Shutdown Options		
18.8.44	Storage Health		
18.8.45	Storage Sense		
18.8.46	System Restore		
18.8.47	Troubleshooting and Diagnostics		
18.8.47.1	Application Compatibility Diagnostics		
18.8.47.2	Corrupted File Recovery		
18.8.47.3	Disk Diagnostic		
18.8.47.4	Fault Tolerant Heap		
18.8.47.5	Microsoft Support Diagnostic Tool		
18.8.47.5.1	(L2) Ensure 'Microsoft Support Diagnostic Tool: Turn on MSDT interactive communication with support provider' is set to 'Disabled' (Scored)	☐	☐
18.8.47.6	MSI Corrupted File Recovery		
18.8.47.7	Scheduled Maintenance		
18.8.47.8	Scripted Diagnostics		
18.8.47.9	Windows Boot Performance Diagnostics		
18.8.47.10	Windows Memory Leak Diagnosis		
18.8.47.11	Windows Performance PerfTrack		
18.8.47.11.1	(L2) Ensure 'Enable/Disable PerfTrack' is set to 'Disabled' (Scored)	☐	☐
18.8.48	Trusted Platform Module Services		
18.8.49	User Profiles		
18.8.50	Windows File Protection		
18.8.51	Windows HotStart		

Control		Set Correctly	
		Yes	No
18.8.52	Windows Time Service		
18.8.52.1	Time Providers		
18.8.52.1.1	(L2) Ensure 'Enable Windows NTP Client' is set to 'Enabled' (Scored)	☐	☐
18.8.52.1.2	(L2) Ensure 'Enable Windows NTP Server' is set to 'Disabled' (Scored)	☐	☐
18.9	Windows Components		
18.9.1	Active Directory Federation Services		
18.9.2	ActiveX Installer Service		
18.9.3	Add features to Windows 8 / 8.1 / 10 (formerly Windows Anytime Upgrade)		
18.9.4	App Package Deployment		
18.9.5	App Privacy		
18.9.6	App runtime		
18.9.7	Application Compatibility		
18.9.8	AutoPlay Policies		
18.9.8.1	(L1) Ensure 'Disallow Autoplay for non-volume devices' is set to 'Enabled' (Scored)	☐	☐
18.9.8.2	(L1) Ensure 'Set the default behavior for AutoRun' is set to 'Enabled: Do not execute any autorun commands' (Scored)	☐	☐
18.9.8.3	(L1) Ensure 'Turn off Autoplay' is set to 'Enabled: All drives' (Scored)	☐	☐
18.9.9	Backup		
18.9.10	Biometrics		
18.9.11	BitLocker Drive Encryption		
18.9.11.1	Fixed Data Drives		
18.9.11.1.1	(BL) Ensure 'Allow access to BitLocker-protected fixed data drives from earlier versions of Windows' is set to 'Disabled' (Scored)	☐	☐
18.9.11.1.2	(BL) Ensure 'Choose how BitLocker-protected fixed drives can be recovered' is set to 'Enabled' (Scored)	☐	☐
18.9.11.1.3	(BL) Ensure 'Choose how BitLocker-protected fixed drives can be recovered: Allow data recovery agent' is set to 'Enabled: True' (Scored)	☐	☐
18.9.11.1.4	(BL) Ensure 'Choose how BitLocker-protected fixed drives can be recovered: Recovery Password' is set to 'Enabled: Allow 48-digit recovery password' (Scored)	☐	☐
18.9.11.1.5	(BL) Ensure 'Choose how BitLocker-protected fixed drives can be recovered: Recovery Key' is set to 'Enabled: Allow 256-bit recovery key' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
18.9.11.1.6	(BL) Ensure 'Choose how BitLocker-protected fixed drives can be recovered: Omit recovery options from the BitLocker setup wizard' is set to 'Enabled: True' (Scored)	☐	☐
18.9.11.1.7	(BL) Ensure 'Choose how BitLocker-protected fixed drives can be recovered: Save BitLocker recovery information to AD DS for fixed data drives' is set to 'Enabled: False' (Scored)	☐	☐
18.9.11.1.8	(BL) Ensure 'Choose how BitLocker-protected fixed drives can be recovered: Configure storage of BitLocker recovery information to AD DS' is set to 'Enabled: Backup recovery passwords and key packages' (Scored)	☐	☐
18.9.11.1.9	(BL) Ensure 'Choose how BitLocker-protected fixed drives can be recovered: Do not enable BitLocker until recovery information is stored to AD DS for fixed data drives' is set to 'Enabled: False' (Scored)	☐	☐
18.9.11.1.10	(BL) Ensure 'Configure use of passwords for fixed data drives' is set to 'Disabled' (Scored)	☐	☐
18.9.11.1.11	(BL) Ensure 'Configure use of smart cards on fixed data drives' is set to 'Enabled' (Scored)	☐	☐
18.9.11.1.12	(BL) Ensure 'Configure use of smart cards on fixed data drives: Require use of smart cards on fixed data drives' is set to 'Enabled: True' (Scored)	☐	☐
18.9.11.2	Operating System Drives		
18.9.11.2.1	(BL) Ensure 'Allow enhanced PINs for startup' is set to 'Enabled' (Scored)	☐	☐
18.9.11.2.2	(BL) Ensure 'Choose how BitLocker-protected operating system drives can be recovered' is set to 'Enabled' (Scored)	☐	☐
18.9.11.2.3	(BL) Ensure 'Choose how BitLocker-protected operating system drives can be recovered: Allow data recovery agent' is set to 'Enabled: False' (Scored)	☐	☐
18.9.11.2.4	(BL) Ensure 'Choose how BitLocker-protected operating system drives can be recovered: Recovery Password' is set to 'Enabled: Require 48-digit recovery password' (Scored)	☐	☐
18.9.11.2.5	(BL) Ensure 'Choose how BitLocker-protected operating system drives can be recovered: Recovery Key' is set to 'Enabled: Do not allow 256-bit recovery key' (Scored)	☐	☐
18.9.11.2.6	(BL) Ensure 'Choose how BitLocker-protected operating system drives can be recovered: Omit recovery options from the BitLocker setup wizard' is set to 'Enabled: True' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
18.9.11.2.7	(BL) Ensure 'Choose how BitLocker-protected operating system drives can be recovered: Save BitLocker recovery information to AD DS for operating system drives' is set to 'Enabled: True' (Scored)	☐	☐
18.9.11.2.8	(BL) Ensure 'Choose how BitLocker-protected operating system drives can be recovered: Configure storage of BitLocker recovery information to AD DS:' is set to 'Enabled: Store recovery passwords and key packages' (Scored)	☐	☐
18.9.11.2.9	(BL) Ensure 'Choose how BitLocker-protected operating system drives can be recovered: Do not enable BitLocker until recovery information is stored to AD DS for operating system drives' is set to 'Enabled: True' (Scored)	☐	☐
18.9.11.2.10	(BL) Ensure 'Configure minimum PIN length for startup' is set to 'Enabled: 7 or more characters' (Scored)	☐	☐
18.9.11.2.11	(BL) Ensure 'Require additional authentication at startup' is set to 'Enabled' (Scored)	☐	☐
18.9.11.2.12	(BL) Ensure 'Require additional authentication at startup: Allow BitLocker without a compatible TPM' is set to 'Enabled: False' (Scored)	☐	☐
18.9.11.2.13	(BL) Ensure 'Require additional authentication at startup: Configure TPM startup:' is set to 'Enabled: Do not allow TPM' (Scored)	☐	☐
18.9.11.2.14	(BL) Ensure 'Require additional authentication at startup: Configure TPM startup PIN:' is set to 'Enabled: Require startup PIN with TPM' (Scored)	☐	☐
18.9.11.2.15	(BL) Ensure 'Require additional authentication at startup: Configure TPM startup key:' is set to 'Enabled: Do not allow startup key with TPM' (Scored)	☐	☐
18.9.11.2.16	(BL) Ensure 'Require additional authentication at startup: Configure TPM startup key and PIN:' is set to 'Enabled: Do not allow startup key and PIN with TPM' (Scored)	☐	☐
18.9.11.3	Removable Data Drives		
18.9.11.3.1	(BL) Ensure 'Allow access to BitLocker-protected removable data drives from earlier versions of Windows' is set to 'Disabled' (Scored)	☐	☐
18.9.11.3.2	(BL) Ensure 'Choose how BitLocker-protected removable drives can be recovered' is set to 'Enabled' (Scored)	☐	☐
18.9.11.3.3	(BL) Ensure 'Choose how BitLocker-protected removable drives can be recovered: Allow data recovery agent' is set to 'Enabled: True' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
18.9.11.3.4	(BL) Ensure 'Choose how BitLocker-protected removable drives can be recovered: Recovery Password' is set to 'Enabled: Do not allow 48-digit recovery password' (Scored)	☐	☐
18.9.11.3.5	(BL) Ensure 'Choose how BitLocker-protected removable drives can be recovered: Recovery Key' is set to 'Enabled: Do not allow 256-bit recovery key' (Scored)	☐	☐
18.9.11.3.6	(BL) Ensure 'Choose how BitLocker-protected removable drives can be recovered: Omit recovery options from the BitLocker setup wizard' is set to 'Enabled: True' (Scored)	☐	☐
18.9.11.3.7	(BL) Ensure 'Choose how BitLocker-protected removable drives can be recovered: Save BitLocker recovery information to AD DS for removable data drives' is set to 'Enabled: False' (Scored)	☐	☐
18.9.11.3.8	(BL) Ensure 'Choose how BitLocker-protected removable drives can be recovered: Configure storage of BitLocker recovery information to AD DS:' is set to 'Enabled: Backup recovery passwords and key packages' (Scored)	☐	☐
18.9.11.3.9	(BL) Ensure 'Choose how BitLocker-protected removable drives can be recovered: Do not enable BitLocker until recovery information is stored to AD DS for removable data drives' is set to 'Enabled: False' (Scored)	☐	☐
18.9.11.3.10	(BL) Ensure 'Configure use of passwords for removable data drives' is set to 'Disabled' (Scored)	☐	☐
18.9.11.3.11	(BL) Ensure 'Configure use of smart cards on removable data drives' is set to 'Enabled' (Scored)	☐	☐
18.9.11.3.12	(BL) Ensure 'Configure use of smart cards on removable data drives: Require use of smart cards on removable data drives' is set to 'Enabled: True' (Scored)	☐	☐
18.9.11.3.13	(BL) Ensure 'Deny write access to removable drives not protected by BitLocker' is set to 'Enabled' (Scored)	☐	☐
18.9.11.3.14	(BL) Ensure 'Deny write access to removable drives not protected by BitLocker: Do not allow write access to devices configured in another organization' is set to 'Enabled: False' (Scored)	☐	☐
18.9.12	Camera		
18.9.13	Cloud Content		
18.9.14	Connect		
18.9.15	Credential User Interface		
18.9.15.1	(L1) Ensure 'Do not display the password reveal button' is set to 'Enabled' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
18.9.15.2	(L1) Ensure 'Enumerate administrator accounts on elevation' is set to 'Disabled' (Scored)		
18.9.16	Data Collection and Preview Builds		
18.9.17	Delivery Optimization		
18.9.18	Desktop Gadgets		
18.9.18.1	(L1) Ensure 'Turn off desktop gadgets' is set to 'Enabled' (Scored)	☐	☐
18.9.18.2	(L1) Ensure 'Turn Off user-installed desktop gadgets' is set to 'Enabled' (Scored)	☐	☐
18.9.19	Desktop Window Manager		
18.9.20	Device and Driver Compatibility		
18.9.21	Device Registration (formerly Workplace Join)		
18.9.22	Digital Locker		
18.9.23	Edge UI		
18.9.24	EMET		
18.9.24.1	(L1) Ensure 'EMET 5.52' or higher is installed (Scored)	☐	☐
18.9.24.2	(L1) Ensure 'Default Action and Mitigation Settings' is set to 'Enabled' (plus subsettings) (Scored)	☐	☐
18.9.24.3	(L1) Ensure 'Default Protections for Internet Explorer' is set to 'Enabled' (Scored)	☐	☐
18.9.24.4	(L1) Ensure 'Default Protections for Popular Software' is set to 'Enabled' (Scored)	☐	☐
18.9.24.5	(L1) Ensure 'Default Protections for Recommended Software' is set to 'Enabled' (Scored)	☐	☐
18.9.24.6	(L1) Ensure 'System ASLR' is set to 'Enabled: Application Opt-In' (Scored)	☐	☐
18.9.24.7	(L1) Ensure 'System DEP' is set to 'Enabled: Application Opt-Out' (Scored)	☐	☐
18.9.24.8	(L1) Ensure 'System SEHOP' is set to 'Enabled: Application Opt-Out' (Scored)	☐	☐
18.9.25	Event Forwarding		
18.9.26	Event Log Service		
18.9.26.1	Application		
18.9.26.1.1	(L1) Ensure 'Application: Control Event Log behavior when the log file reaches its maximum size' is set to 'Disabled' (Scored)	☐	☐
18.9.26.1.2	(L1) Ensure 'Application: Specify the maximum log file size (KB)' is set to 'Enabled: 32,768 or greater' (Scored)	☐	☐
18.9.26.2	Security		

Control		Set Correctly	
		Yes	No
18.9.26.2.1	(L1) Ensure 'Security: Control Event Log behavior when the log file reaches its maximum size' is set to 'Disabled' (Scored)	☐	☐
18.9.26.2.2	(L1) Ensure 'Security: Specify the maximum log file size (KB)' is set to 'Enabled: 196,608 or greater' (Scored)	☐	☐
18.9.26.3	Setup		
18.9.26.3.1	(L1) Ensure 'Setup: Control Event Log behavior when the log file reaches its maximum size' is set to 'Disabled' (Scored)	☐	☐
18.9.26.3.2	(L1) Ensure 'Setup: Specify the maximum log file size (KB)' is set to 'Enabled: 32,768 or greater' (Scored)	☐	☐
18.9.26.4	System		
18.9.26.4.1	(L1) Ensure 'System: Control Event Log behavior when the log file reaches its maximum size' is set to 'Disabled' (Scored)	☐	☐
18.9.26.4.2	(L1) Ensure 'System: Specify the maximum log file size (KB)' is set to 'Enabled: 32,768 or greater' (Scored)	☐	☐
18.9.27	Event Logging		
18.9.28	Event Viewer		
18.9.29	Family Safety (formerly Parental Controls)		
18.9.30	File Explorer (formerly Windows Explorer)		
18.9.30.1	Previous Versions		
18.9.30.2	(L1) Ensure 'Turn off Data Execution Prevention for Explorer' is set to 'Disabled' (Scored)	☐	☐
18.9.30.3	(L1) Ensure 'Turn off heap termination on corruption' is set to 'Disabled' (Scored)	☐	☐
18.9.30.4	(L1) Ensure 'Turn off shell protocol protected mode' is set to 'Disabled' (Scored)	☐	☐
18.9.31	File History		
18.9.32	Find My Device		
18.9.33	Game Explorer		
18.9.34	Handwriting		
18.9.35	HomeGroup		
18.9.35.1	(L1) Ensure 'Prevent the computer from joining a homegroup' is set to 'Enabled' (Scored)	☐	☐
18.9.36	Import Video		
18.9.37	Internet Explorer		
18.9.38	Internet Information Services		
18.9.39	Location and Sensors		
18.9.39.1	Windows Location Provider		

Control		Set Correctly	
		Yes	No
18.9.39.2	(L2) Ensure 'Turn off location' is set to 'Enabled' (Scored)	☐	☐
18.9.40	Maintenance Scheduler		
18.9.41	Maps		
18.9.42	MDM		
18.9.43	Messaging		
18.9.44	Microsoft account		
18.9.45	Microsoft Edge		
18.9.46	Microsoft FIDO Authentication		
18.9.47	Microsoft Secondary Authentication Factor		
18.9.48	Microsoft User Experience Virtualization		
18.9.49	NetMeeting		
18.9.50	Network Access Protection		
18.9.51	Network Projector		
18.9.52	OneDrive (formerly SkyDrive)		
18.9.52.1	(L1) Ensure 'Prevent the usage of OneDrive for file storage' is set to 'Enabled' (Scored)	☐	☐
18.9.52.2	(L1) Ensure 'Prevent the usage of OneDrive for file storage on Windows 8.1' is set to 'Enabled' (Scored)	☐	☐
18.9.53	Online Assistance		
18.9.54	OOBE		
18.9.55	Password Synchronization		
18.9.56	Portable Operating System		
18.9.57	Presentation Settings		
18.9.58	Push To Install		
18.9.59	Remote Desktop Services (formerly Terminal Services)		
18.9.59.1	RD Licensing (formerly TS Licensing)		
18.9.59.2	Remote Desktop Connection Client		
18.9.59.2.1	RemoteFX USB Device Redirection		
18.9.59.2.2	(L1) Ensure 'Do not allow passwords to be saved' is set to 'Enabled' (Scored)	☐	☐
18.9.59.3	Remote Desktop Session Host (formerly Terminal Server)		
18.9.59.3.1	Application Compatibility		
18.9.59.3.2	Connections		
18.9.59.3.2.1	(L2) Ensure 'Allow users to connect remotely by using Remote Desktop Services' is set to 'Disabled' (Scored)	☐	☐
18.9.59.3.3	Device and Resource Redirection		
18.9.59.3.3.1	(L2) Ensure 'Do not allow COM port redirection' is set to 'Enabled' (Scored)	☐	☐
18.9.59.3.3.2	(L1) Ensure 'Do not allow drive redirection' is set to 'Enabled' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
18.9.59.3.3.3	(L2) Ensure 'Do not allow LPT port redirection' is set to 'Enabled' (Scored)	☐	☐
18.9.59.3.3.4	(L2) Ensure 'Do not allow supported Plug and Play device redirection' is set to 'Enabled' (Scored)	☐	☐
18.9.59.3.4	Licensing		
18.9.59.3.5	Printer Redirection		
18.9.59.3.6	Profiles		
18.9.59.3.7	RD Connection Broker (formerly TS Connection Broker)		
18.9.59.3.8	Remote Session Environment		
18.9.59.3.9	Security		
18.9.59.3.9.1	(L1) Ensure 'Always prompt for password upon connection' is set to 'Enabled' (Scored)	☐	☐
18.9.59.3.9.2	(L1) Ensure 'Require secure RPC communication' is set to 'Enabled' (Scored)	☐	☐
18.9.59.3.9.3	(L1) Ensure 'Require use of specific security layer for remote (RDP) connections' is set to 'Enabled: SSL' (Scored)	☐	☐
18.9.59.3.9.4	(L1) Ensure 'Require user authentication for remote connections by using Network Level Authentication' is set to 'Enabled' (Scored)	☐	☐
18.9.59.3.9.5	(L1) Ensure 'Set client connection encryption level' is set to 'Enabled: High Level' (Scored)	☐	☐
18.9.59.3.10	Session Time Limits		
18.9.59.3.10.1	(L2) Ensure 'Set time limit for active but idle Remote Desktop Services sessions' is set to 'Enabled: 15 minutes or less' (Scored)	☐	☐
18.9.59.3.10.2	(L2) Ensure 'Set time limit for disconnected sessions' is set to 'Enabled: 1 minute' (Scored)	☐	☐
18.9.59.3.11	Temporary folders		
18.9.59.3.11.1	(L1) Ensure 'Do not delete temp folders upon exit' is set to 'Disabled' (Scored)	☐	☐
18.9.59.3.11.2	(L1) Ensure 'Do not use temporary folders per session' is set to 'Disabled' (Scored)	☐	☐
18.9.60	RSS Feeds		
18.9.60.1	(L1) Ensure 'Prevent downloading of enclosures' is set to 'Enabled' (Scored)	☐	☐
18.9.61	Search		
18.9.61.1	OCR		
18.9.61.2	(L1) Ensure 'Allow indexing of encrypted files' is set to 'Disabled' (Scored)	☐	☐
18.9.62	Security Center		

Control		Set Correctly	
		Yes	No
18.9.63	Server for NIS		
18.9.64	Shutdown Options		
18.9.65	Smart Card		
18.9.66	Software Protection Platform		
18.9.67	Sound Recorder		
18.9.68	Speech		
18.9.69	Store		
18.9.70	Sync your settings		
18.9.71	Tablet PC		
18.9.72	Task Scheduler		
18.9.73	Text Input		
18.9.74	Windows Calendar		
18.9.75	Windows Color System		
18.9.76	Windows Customer Experience Improvement Program		
18.9.77	Windows Defender Antivirus (formerly Windows Defender)		
18.9.77.1	Client Interface		
18.9.77.2	Exclusions		
18.9.77.3	MAPS		
18.9.77.3.1	(L2) Ensure 'Join Microsoft MAPS' is set to 'Disabled' (Scored)	☐	☐
18.9.77.4	MpEngine		
18.9.77.5	Network Inspection System		
18.9.77.6	Quarantine		
18.9.77.7	Real-time Protection		
18.9.77.8	Remediation		
18.9.77.9	Reporting		
18.9.77.10	Scan		
18.9.77.11	Security Intelligence Updates (formerly Signature Updates)		
18.9.77.12	Threats		
18.9.77.13	Windows Defender Exploit Guard		
18.9.77.13.1	Attack Surface Reduction		
18.9.77.13.2	Controlled Folder Access		
18.9.77.13.3	Network Protection		
18.9.77.14	(L1) Ensure 'Turn off Windows Defender AntiVirus' is set to 'Disabled' (Scored)	☐	☐
18.9.78	Windows Defender Application Guard		
18.9.79	Windows Defender Exploit Guard		
18.9.80	Windows Defender SmartScreen		
18.9.80.1	Explorer		
18.9.80.2	Microsoft Edge		

Control		Set Correctly	
		Yes	No
18.9.81	Windows Error Reporting		
18.9.81.1	Advanced Error Reporting Settings		
18.9.81.2	Consent		
18.9.81.2.1	(L1) Ensure 'Configure Default consent' is set to 'Enabled: Always ask before sending data' (Scored)	☐	☐
18.9.82	Windows Game Recording and Broadcasting		
18.9.83	Windows Hello for Business (formerly Microsoft Passport for Work)		
18.9.84	Windows Ink Workspace		
18.9.85	Windows Installer		
18.9.85.1	(L1) Ensure 'Allow user control over installs' is set to 'Disabled' (Scored)	☐	☐
18.9.85.2	(L1) Ensure 'Always install with elevated privileges' is set to 'Disabled' (Scored)	☐	☐
18.9.85.3	(L2) Ensure 'Prevent Internet Explorer security prompt for Windows Installer scripts' is set to 'Disabled' (Scored)	☐	☐
18.9.86	Windows Logon Options		
18.9.87	Windows Mail		
18.9.88	Windows Media Center		
18.9.89	Windows Media Digital Rights Management		
18.9.90	Windows Media Player		
18.9.91	Windows Meeting Space		
18.9.92	Windows Messenger		
18.9.93	Windows Mobility Center		
18.9.94	Windows Movie Maker		
18.9.95	Windows PowerShell		
18.9.95.1	(L1) Ensure 'Turn on PowerShell Script Block Logging' is set to 'Disabled' (Scored)	☐	☐
18.9.95.2	(L1) Ensure 'Turn on PowerShell Transcription' is set to 'Disabled' (Scored)	☐	☐
18.9.96	Windows Reliability Analysis		
18.9.97	Windows Remote Management (WinRM)		
18.9.97.1	WinRM Client		
18.9.97.1.1	(L1) Ensure 'Allow Basic authentication' is set to 'Disabled' (Scored)	☐	☐
18.9.97.1.2	(L1) Ensure 'Allow unencrypted traffic' is set to 'Disabled' (Scored)	☐	☐
18.9.97.1.3	(L1) Ensure 'Disallow Digest authentication' is set to 'Enabled' (Scored)	☐	☐
18.9.97.2	WinRM Service		

Control		Set Correctly	
		Yes	No
18.9.97.2.1	(L1) Ensure 'Allow Basic authentication' is set to 'Disabled' (Scored)	☐	☐
18.9.97.2.2	(L2) Ensure 'Allow remote server management through WinRM' is set to 'Disabled' (Scored)	☐	☐
18.9.97.2.3	(L1) Ensure 'Allow unencrypted traffic' is set to 'Disabled' (Scored)	☐	☐
18.9.97.2.4	(L1) Ensure 'Disallow WinRM from storing RunAs credentials' is set to 'Enabled' (Scored)	☐	☐
18.9.98	Windows Remote Shell		
18.9.98.1	(L2) Ensure 'Allow Remote Shell Access' is set to 'Disabled' (Scored)	☐	☐
18.9.99	Windows Security (formerly Windows Defender Security Center)		
18.9.99.1	Account protection		
18.9.99.2	App and browser protection		
18.9.100	Windows SideShow		
18.9.101	Windows System Resource Manager		
18.9.102	Windows Update		
18.9.102.1	Windows Update for Business (formerly Defer Windows Updates)		
18.9.102.2	(L1) Ensure 'Configure Automatic Updates' is set to 'Enabled' (Scored)	☐	☐
18.9.102.3	(L1) Ensure 'Configure Automatic Updates: Scheduled install day' is set to '0 - Every day' (Scored)	☐	☐
18.9.102.4	(L1) Ensure 'Do not adjust default option to 'Install Updates and Shut Down' in Shut Down Windows dialog box' is set to 'Disabled' (Scored)	☐	☐
18.9.102.5	(L1) Ensure 'Do not display 'Install Updates and Shut Down' option in Shut Down Windows dialog box' is set to 'Disabled' (Scored)	☐	☐
18.9.102.6	(L1) Ensure 'No auto-restart with logged on users for scheduled automatic updates installations' is set to 'Disabled' (Scored)	☐	☐
18.9.102.7	(L1) Ensure 'Reschedule Automatic Updates scheduled installations' is set to 'Enabled: 1 minute' (Scored)	☐	☐
19	Administrative Templates (User)		
19.1	Control Panel		
19.1.1	Add or Remove Programs		
19.1.2	Display		
19.1.3	Personalization (formerly Desktop Themes)		
19.1.3.1	(L1) Ensure 'Enable screen saver' is set to 'Enabled' (Scored)	☐	☐

Control		Set Correctly	
		Yes	No
19.1.3.2	(L1) Ensure 'Force specific screen saver: Screen saver executable name' is set to 'Enabled: scrnsave.scr' (Scored)	☐	☐
19.1.3.3	(L1) Ensure 'Password protect the screen saver' is set to 'Enabled' (Scored)	☐	☐
19.1.3.4	(L1) Ensure 'Screen saver timeout' is set to 'Enabled: 900 seconds or fewer, but not 0' (Scored)	☐	☐
19.2	Desktop		
19.3	Network		
19.4	Shared Folders		
19.5	Start Menu and Taskbar		
19.5.1	Notifications		
19.6	System		
19.6.1	Ctrl+Alt+Del Options		
19.6.2	Display		
19.6.3	Driver Installation		
19.6.4	Folder Redirection		
19.6.5	Group Policy		
19.6.6	Internet Communication Management		
19.6.6.1	Internet Communication settings		
19.6.6.1.1	(L2) Ensure 'Turn off Help Experience Improvement Program' is set to 'Enabled' (Scored)	☐	☐
19.7	Windows Components		
19.7.1	Add features to Windows 8 / 8.1 / 10 (formerly Windows Anytime Upgrade)		
19.7.2	App runtime		
19.7.3	Application Compatibility		
19.7.4	Attachment Manager		
19.7.4.1	(L1) Ensure 'Do not preserve zone information in file attachments' is set to 'Disabled' (Scored)	☐	☐
19.7.4.2	(L1) Ensure 'Notify antivirus programs when opening attachments' is set to 'Enabled' (Scored)	☐	☐
19.7.5	AutoPlay Policies		
19.7.6	Backup		
19.7.7	Cloud Content		
19.7.8	Credential User Interface		
19.7.9	Data Collection and Preview Builds		
19.7.10	Desktop Gadgets		
19.7.11	Desktop Window Manager		
19.7.12	Digital Locker		
19.7.13	Edge UI		

Control		Set Correctly	
		Yes	No
19.7.14	File Explorer (formerly Windows Explorer)		
19.7.15	File Revocation		
19.7.16	IME		
19.7.17	Import Video		
19.7.18	Instant Search		
19.7.19	Internet Explorer		
19.7.20	Location and Sensors		
19.7.21	Microsoft Edge		
19.7.22	Microsoft Management Console		
19.7.23	Microsoft User Experience Virtualization		
19.7.24	NetMeeting		
19.7.25	Network Projector		
19.7.26	Network Sharing		
19.7.26.1	(L1) Ensure 'Prevent users from sharing files within their profile.' is set to 'Enabled' (Scored)	☐	☐
19.7.27	OOBE		
19.7.28	Presentation Settings		
19.7.29	Remote Desktop Services (formerly Terminal Services)		
19.7.30	RSS Feeds		
19.7.31	Search		
19.7.32	Sound Recorder		
19.7.33	Store		
19.7.34	Tablet PC		
19.7.35	Task Scheduler		
19.7.36	Windows Calendar		
19.7.37	Windows Color System		
19.7.38	Windows Defender SmartScreen		
19.7.39	Windows Error Reporting		
19.7.40	Windows Hello for Business (formerly Microsoft Passport for Work)		
19.7.41	Windows Installer		
19.7.41.1	(L1) Ensure 'Always install with elevated privileges' is set to 'Disabled' (Scored)	☐	☐
19.7.42	Windows Logon Options		
19.7.43	Windows Mail		
19.7.44	Windows Media Center		
19.7.45	Windows Media Player		
19.7.45.1	Networking		
19.7.45.2	Playback		

Control		Set Correctly	
		Yes	No
19.7.45.2.1	(L2) Ensure 'Prevent Codec Download' is set to 'Enabled' (Scored)	☐	☐

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Appendix: Change History

Date	Version	Changes for this version
05-14-2010	1.0.0	Initial Public Release
07-30-2010	1.1.0	Section 1.10.5: Fixed GPO in Remediation section
07-30-2010	1.1.0	Section 1.9.11: Set recommended value from Enabled to Disabled
07-30-2010	1.1.0	Section 1.9.12: Set recommended value to Enabled from Disabled
07-30-2010	1.1.0	Fixed formatting for “Interactive logon: Do not require CTRL+ALT+DEL” created it as item 1.9.73
07-30-2010	1.1.0	Changed 1.12.7: Fixed Registry Key in Audit
03-30-2012	1.2.0	Corrected registry hive (HKLM to HKCU) for section 1.13 User Policies. (Tickets #39, #50, #56, #57, #60, #68, #69)
03-30-2012	1.2.0	Corrected reg query command in 1.12.7: Registry policy processing (Ticket #47)
03-30-2012	1.2.0	Added warning to 1.9.26: Interactive logon: Require smart card (Ticket #51)
03-30-2012	1.2.0	Added additional registry key to 1.6.1: Configure Automatic Updates (Ticket #63)
03-30-2012	1.2.0	Renamed section 1.10 (Ticket #70)
03-30-2012	1.2.0	Corrected default value for 1.9.1, 1.9.51, 1.9.53, 1.9.59, 1.9.67, 1.9.73
10-01-2013	2.0.0	Complete rewrite and reorganization of the benchmark.
12-03-2013	2.1.0	Addressed Tickets: #71, #72, #73, #74, #128, #129, #130, #131, #139, #147, #148, #149, #150, #151, #152, #153, #155, #156, #162, #173
04-28-2016	3.0.0	Complete rewrite and reorganization of the benchmark.
01-31-2017	3.0.1	ADD – (L2) Ensure 'Allow remote server management through WinRM' is set to 'Disabled'. Ticket #581

Date	Version	Changes for this version
01-31-2017	3.0.1	UPDATE of all “Default Value” entries to reflect default behavior instead of “Not Configured”, and streamline of other recommendation text to simplify and increase readability. Ticket #584
01-31-2017	3.0.1	UPDATE for EMET v5.51 release (also caused removal of 19.7.12 EMET user-based section & renumbering of subsequent sections) Ticket #586
01-31-2017	3.0.1	RENAME & RELOCATE “Microsoft Passport for Work” sections to “Windows Hello for Business” to reflect change in new Windows 10 R1607 & Server 2016 administrative templates. Ticket #595
01-31-2017	3.0.1	MERGE “SkyDrive” section into “OneDrive” section and rename to “OneDrive (formerly SkyDrive)” to reflect full consolidation in new Windows 10 R1607 & Server 2016 administrative templates. Ticket #596
01-31-2017	3.0.1	ADD all new sections from new Windows 10 R1607 & Server 2016 administrative templates. Causes some section renumbering. Ticket #597
01-31-2017	3.0.1	UPDATE – SkyDrive and OneDrive settings for better clarity between Next Generation Sync Client and legacy OneDrive client. Ticket #2846
01-31-2017	3.0.1	Added mappings to the CIS Critical Security Controls.
03-30-2018	3.1.0	UPDATE - 18.9.24 (L1) Ensure 'EMET 5.51' or higher is installed to EMET 5.52 Ticket #4725

Date	Version	Changes for this version
03-30-2018	3.1.0	UPDATE - 2.2.2 (L1) Ensure 'Access this computer from the network' is set to 'Administrators' to also include 'Remote Desktop Users' Ticket #4727
03-30-2018	3.1.0	UPDATE - 17.5 (L1) Ensure 'Audit Account Lockout' is set to 'Success' to include 'Failure' Ticket #4729
03-30-2018	3.1.0	ADD - 17.7 (L1) Ensure 'Authorization Policy Change' is set to 'Success' Ticket #4731
03-30-2018	3.1.0	ADD - 18.4.4 (L1) Ensure 'Turn off multicast name resolution' is set to 'Enabled' Ticket #4839
03-30-2018	3.1.0	ADD - 18.4.4 (L1) Set 'NetBIOS node type' to 'P-node' (Ensure NetBT Parameter 'NodeType' is set to '0x2 (2)') Ticket #4848
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'Bluetooth Support Service (bthserv)' is set to 'Disabled' Ticket #4932
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'Computer Browser (Browser)' is set to 'Disabled' or 'Not Installed' Ticket #4933
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'HomeGroup Listener (HomeGroupListener)' is set to 'Disabled' Ticket #4944
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'HomeGroup Provider (HomeGroupProvider)' is set to 'Disabled' Ticket #4945

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03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'Internet Connection Sharing (ICS) (SharedAccess)' is set to 'Disabled' Ticket #4948
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'Link-Layer Topology Discovery Mapper (lldsvc)' is set to 'Disabled' Ticket #4949
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'Microsoft iSCSI Initiator Service (MSiSCSI)' is set to 'Disabled' Ticket #4950
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'Peer Name Resolution Protocol (PNRPsvc)' is set to 'Disabled' Ticket #4951
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'Peer Networking Grouping (p2psvc)' is set to 'Disabled' Ticket #4954
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'Peer Networking Identity Manager (p2pimsvc)' is set to 'Disabled' Ticket #4955
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'PNRP Machine Name Publication Service (PNRPAutoReg)' is set to 'Disabled' Ticket #4956
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'Problem Reports and Solutions Control Panel Support (wercplsupport)' is set to 'Disabled' Ticket #4957

Date	Version	Changes for this version
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'Remote Access Auto Connection Manager (RasAuto)' is set to 'Disabled' Ticket #4958
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'Remote Desktop Configuration (SessionEnv)' is set to 'Disabled' Ticket #4959
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'Remote Desktop Services (TermService)' is set to 'Disabled' Ticket #4960
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'Remote Procedure Call (RPC) Locator (RpcLocator)' is set to 'Disabled' Ticket #4961
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'Remote Registry (RemoteRegistry)' is set to 'Disabled' Ticket #4962
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'Routing and Remote Access (RemoteAccess)' is set to 'Disabled' Ticket #4963
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'Server (LanmanServer)' is set to 'Disabled' Ticket #4964
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'SSDP Discovery (SSDPSRV)' is set to 'Disabled' Ticket #4965
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'UPnP Device Host (upnphost)' is set to 'Disabled' Ticket #4966

Date	Version	Changes for this version
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'Windows Error Reporting Service (WerSvc)' is set to 'Disabled' Ticket #4967
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'Windows Event Collector (Wecsvc)' is set to 'Disabled' Ticket #4968
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'Windows Remote Management (WS-Management) (WinRM)' is set to 'Disabled' Ticket #4970
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'WinHTTP Web Proxy Auto-Discovery Service (WinHttpAutoProxySvc)' is set to 'Disabled' Ticket #4971
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'Media Center Extender Service (Mcx2Svc)' is set to 'Disabled' or 'Not Installed' Ticket #5025
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'Remote Desktop Services UserMode Port Redirector (UmRdpService)' is set to 'Disabled' Ticket #5026
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'Windows CardSpace (idsvc)' is set to 'Disabled' or 'Not Installed' Ticket #5027
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'Windows Media Center Receiver Service (ehRecvr)' is set to 'Disabled' or 'Not Installed' Ticket #5028

Date	Version	Changes for this version
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'Windows Media Center Scheduler Service (ehSched)' is set to 'Disabled' or 'Not Installed' Ticket #5029
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'Windows Media Player Network Sharing Service (WMPNetworkSvc)' is set to 'Disabled' or 'Not Installed' Ticket #5030
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'IIS Admin Service (IISADMIN)' is set to 'Disabled' or 'Not Installed' Ticket #5089
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'Microsoft FTP Service (FTPSVC)' is set to 'Disabled' or 'Not Installed' Ticket #5091
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'Simple TCP/IP Services (simptcp)' is set to 'Disabled' or 'Not Installed' Ticket #5092
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'Telnet (TlntSvr)' is set to 'Disabled' or 'Not Installed' Ticket #5094
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'Web Management Service (WMSvc)' is set to 'Disabled' or 'Not Installed' Ticket #5095
03-30-2018	3.1.0	ADD - 5 (L1) Ensure 'World Wide Web Publishing Service (W3SVC)' is set to 'Disabled' or 'Not Installed' Ticket #5096

Date	Version	Changes for this version
03-30-2018	3.1.0	ADD - 5 (L2) Ensure 'SNMP Service (SNMP)' is set to 'Disabled' or 'Not Installed' Ticket #5097
03-30-2018	3.1.0	UPDATE - 2.3.11.4 (L1) Ensure 'Network security: Configure encryption types allowed for Kerberos' is set to 'AES128_HMAC_SHA1, AES256_HMAC_SHA1, Future encryption types' Ticket #5148
03-30-2018	3.1.0	UPDATE - 2.3.11.6 (L1) Ensure 'Network security: Force logoff when logon hours expire' is set to 'Enabled' to be Unscored Ticket #5353
03-30-2018	3.1.0	ADD - 18.3 (L1) Ensure 'Configure SMB v1 client' is set to 'Enabled: Bowser, MRxSmb20, NSI' Ticket #5358
03-30-2018	3.1.0	ADD - 18.3 (L1) Ensure 'Configure SMB v1 client driver' is set to 'Enabled: Disable driver' Ticket #5359
03-30-2018	3.1.0	ADD - 18.3 (L1) Ensure 'Configure SMB v1 server' is set to 'Disabled' Ticket #5361
03-30-2018	3.1.0	ADD - 18.3 (L1) Ensure 'Enable Structured Exception Handling Overwrite Protection (SEHOP)' is set to 'Enabled' Ticket #5362
03-30-2018	3.1.0	UPDATE - Rename and Reorder '18.7 - SCM: Pass the Hash Mitigations' to '18.3 - MS Security Guide' Ticket #5416

Date	Version	Changes for this version
03-30-2018	3.1.0	REMOVE - 9.1.5 (L1) Ensure 'Windows Firewall: Domain: Settings: Apply local firewall rules' is set to 'Yes (default)' Ticket #5463
03-30-2018	3.1.0	REMOVE - 9.1.6 (L1) Ensure 'Windows Firewall: Domain: Settings: Apply local connection security rules' is set to 'Yes (default)' Ticket #5464
03-30-2018	3.1.0	REMOVE - 9.2.6 (L1) Ensure 'Windows Firewall: Private: Settings: Apply local connection security rules' is set to 'Yes (default)' Ticket #5465
03-30-2018	3.1.0	REMOVE - 9.2.5 (L1) Ensure 'Windows Firewall: Private: Settings: Apply local firewall rules' is set to 'Yes (default)' Ticket #5466
03-30-2018	3.1.0	UPDATE - 9.3.4 (L1) Ensure 'Windows Firewall: Public: Settings: Display a notification' is set to 'Yes' - change to 'No' Ticket #5467
03-30-2018	3.1.0	RENAME - 18.9.72 'Windows Defender' section to 'Windows Defender Antivirus' Ticket #5468
03-30-2018	3.1.0	ADD - New sections from Windows 10 Release 1703 Administrative Templates Ticket #5469
03-30-2018	3.1.0	ADD - 17.6 (L1) Ensure 'Audit File Share' is set to 'Success and Failure' Ticket #5642
03-30-2018	3.1.0	ADD - 17.6 (L1) Ensure 'Audit Other Object Access Events' is set to 'Success and Failure' Ticket #5643

Date	Version	Changes for this version
03-30-2018	3.1.0	UPDATE - 18.8.22.1 (L2 -> L1) Ensure 'Turn off downloading of print drivers over HTTP' is set to 'Enabled' Ticket #5644
03-30-2018	3.1.0	UPDATE - 18.8.22.1 (L2 -> L1) Ensure 'Turn off Internet download for Web publishing and online ordering wizards' is set to 'Enabled' Ticket #5645
03-30-2018	3.1.0	UPDATE - 18.8.22.1 (L2 -> L1) Ensure 'Turn off printing over HTTP' is set to 'Enabled' Ticket #5646
03-30-2018	3.1.0	ADD - New sections from Windows 10 Release 1709 Administrative Templates Ticket #5658
03-30-2018	3.1.0	RENAME - 18.9.101.1 'Defer Windows Updates' section to 'Windows Update for Business' Ticket #5659
03-30-2018	3.1.0	RENAME - 18.5.11.1 'Windows Firewall' section to 'Windows Defender Firewall' Ticket #5660
03-30-2018	3.1.0	ADD - 18.9.76 (L1) Ensure 'Turn off Windows Defender AntiVirus' is set to 'Disabled' Ticket #5667
03-30-2018	3.1.0	ADD - 18.9.39 (L2) Ensure 'Turn off location' is set to 'Enabled' Ticket #5740
03-30-2018	3.1.0	ADD - 18.1.2.1 Missing sub-section "Handwriting personalization" Ticket #6049

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03-31-2020	3.2.0	UPDATE - (BL) BitLocker Profile to be an independent add-on profile instead of extension of L1 and L2 Ticket #6439
03-31-2020	3.2.0	UPDATE - 2.2 (L2) Ensure 'Log on as a service' is set to 'No One' TO Configure 'Log on as a service' Ticket #7500
03-31-2020	3.2.0	ADD - 18.8.4 (L1) Ensure 'Encryption Oracle Remediation' is set to 'Enabled: Force Updated Clients' Ticket #7588
03-31-2020	3.2.0	ADD - 18.9.58.3.9 (L1) Ensure 'Require use of specific security layer for remote (RDP) connections' is set to 'Enabled: SSL' Ticket #7610
03-31-2020	3.2.0	ADD - 18.9.58.3.9 (L1) Ensure 'Require user authentication for remote connections by using Network Level Authentication' is set to 'Enabled' Ticket #7611
03-31-2020	3.2.0	UPDATE - 2.3.9 (L1) Ensure 'Microsoft network server: Amount of idle time required before suspending session' is set to '15 or fewer minute(s), but not 0' to allow 0 Ticket #7969
03-31-2020	3.2.0	REMOVE - 17.2 (L1) Ensure 'Audit Other Account Management Events' is set to 'Success and Failure' Ticket #7970
03-31-2020	3.2.0	UPDATE - 17.2 (L1) Ensure 'Audit Security Group Management' is set to 'Success and Failure' to include 'Success' Ticket #7971

Date	Version	Changes for this version
03-31-2020	3.2.0	UPDATE - 17.5 (L1) Ensure 'Audit Account Lockout' is set to 'Success and Failure' to include 'Failure' Ticket #7972
03-31-2020	3.2.0	UPDATE - 17.7 (L1) Ensure 'Audit Audit Policy Change' is set to 'Success and Failure' to include 'Success' Ticket #7973
03-31-2020	3.2.0	UPDATE - 17.9 (L1) Ensure 'Audit Security System Extension' is set to 'Success and Failure' to include 'Success' Ticket #7974
03-31-2020	3.2.0	ADD - 17.6 (L1) Ensure 'Audit Detailed File Share' is set to include 'Failure' Ticket #7975
03-31-2020	3.2.0	ADD - 17.7 (L1) Ensure 'Audit MPSSVC Rule-Level Policy Change' is set to 'Success and Failure' Ticket #7977
03-31-2020	3.2.0	ADD - 17.7 (L1) Ensure 'Audit Other Policy Change Events' is set to include 'Failure' Ticket #7978
03-31-2020	3.2.0	ADD - New sections from Windows 10 Release 1803 Administrative Templates Ticket #8053
03-31-2020	3.2.0	ADD - New sections from Windows 10 Release 1809 & Server 2019 Administrative Templates Ticket #8156

Date	Version	Changes for this version
03-31-2020	3.2.0	UPDATE - 18.8.22.1 (L1 -> L2) Ensure 'Turn off printing over HTTP' is set to 'Enabled' Ticket #8861
03-31-2020	3.2.0	REMOVE - 2.3.17 (L1) Ensure 'User Account Control: Allow UIAccess applications to prompt for elevation without using the secure desktop' is set to 'Disabled' Ticket #8863
03-31-2020	3.2.0	REMOVE - 17.2 (L1) Ensure 'Audit Computer Account Management' is set to 'Success and Failure' Ticket #9085
03-31-2020	3.2.0	ADD - New sections from Windows 10 Release 1903 Administrative Templates Ticket #9564
03-31-2020	3.2.0	MOVE & RENAME - 18.5.4 (L1) Set 'NetBIOS node type' TO 18.3 (L1) Ensure 'NetBT NodeType configuration' is set to 'Enabled: P-node (recommended)' Ticket #9566
03-31-2020	3.2.0	REMOVE - 18.9.11 (BL) Ensure 'Choose drive encryption method and cipher strength (Windows Vista, Windows Server 2008, Windows 7, Windows Server 2008 R2)' is set to 'Enabled: AES 256-bit with Diffuser' Ticket #9575